Y 1.1/2:SERIAL 14581
United States Congressional Ser

House Document

No. 103


SUPERINTENDENT OF DOCUMENTS
DEPOSIT
FEB 1
BOSTON PUBLIC LIBRARY
GOVERNMENT DOCUMENTS DEPT

FINANCIAL DISCLOSURE REPORTS
MEMBERS OF HOUSE OF REPRESENTATIVES
JANUARY 1–DECEMBER 31, 1998
VOLUME 2 OF 3

United States Congressional Serial Set

Serial Number 14581

United States Government Printing Office
Washington : 2001

106th Congress, 1st Session - - - - - - - - - - - - House Document 106–103

FINANCIAL DISCLOSURE REPORTS OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES FOR THE PERIOD BETWEEN JANUARY 1, 1998 AND DECEMBER 31, 1998

COMMUNICATION

FROM

THE CLERK OF THE HOUSE OF REPRESENTATIVES

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES FOR THE PERIOD OF JANUARY 1, 1998, THROUGH DECEMBER 31, 1998, PURSUANT TO RULE XXVII, CLAUSE 1, OF THE HOUSE RULES

(Volume 2 of 3)



JULY 22, 1999.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

---

U.S. GOVERNMENT PRINTING OFFICE

58–074 WASHINGTON : 1999

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, July 13, 1999.

Hon. J. DENNIS HASTERT,
Speaker of the House of Representatives,
The Capitol, Washington, DC.

DEAR MR. SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVII.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVII by May 17, 1999. The compilation includes reported financial transactions which occurred between January 1, 1998, and December 31, 1998.

House Rule XXVII provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

JEFF TRANDAHL,
Clerk, U.S. House of Representatives.

Enclosure.

CHRIS JOHN
7TH DISTRICT, LOUISIANA
1504 LONGWORTH HOB
WASHINGTON, DC 20515
(202) 225-2031
FAX (202) 225-5724

556 JEFFERSON ST., SUITE 100
LAFAYETTE, LA 70501
(318) 235-6322
FAX (318) 235-6072

3211 LAKESHORE DR., SUITE 306
LAKE CHARLES, LA 70601
(318) 433-1747
FAX (318) 433-0974

HAND DELIVERED

COMMITTEES
COMMITTEE ON RESOURCES
SUBCOMMITTEE
ENERGY AND MINERAL RESOURCES
COMMITTEE ON AGRICULTURE
SUBCOMMITTEES
GENERAL FARM COMMODITIES
FORESTRY, RESOURCE CONSERVATION AND RESEARCH

Congress of the United States
House of Representatives
Washington, DC 20515–1807

May 20, 1999

The Honorable Jeff Trandahl
Clerk of the House
H-154, The Capitol
Washington, DC 20515

Dear Jeff

I would like to amend Schedule VII of my Financial Disclosure Form to include the following information which was inadvertently omitted.

Source	Dates	Point of Departure--Destination--Point of Return	Lodging	Food	Family Included?	Any Time not at sponsor's expense?
Demo. Leadership Council	5-1-98--5-3-98	No transportation	Y	Y	Y	N

Thank you for your attention to this matter

Sincerely,

Chris John
MEMBER OF CONGRESS

PRINTED ON RECYCLED PAPER

CHRIS JOHN
[illegible]
1504 [illegible] HOB
[illegible], DC 20515
202 225-2331
Fax 202 225-5724

[illegible]
[illegible], LA 70501
318 235-8022
Fax 318 235-[illegible]

1333 Lakeshore Dr. Suite 306
Lake Charles, LA 70601
318-433-1747
Fax 318-433-0974

RECEIVED
99 MAY 27 PM 3:53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

COMMITTEES
COMMITTEE ON RESOURCES
SUBCOMMITTEE
ENERGY AND MINERAL RESOURCES
COMMITTEE ON AGRICULTURE
SUBCOMMITTEES
GENERAL FARM COMMODITIES
FORESTRY, RESOURCE CONSERVATION AND RESEARCH

Congress of the United States
House of Representatives
Washington, DC 20515–1807

May 27, 1999

The Honorable Jeff Trandahl
Clerk of the House
B-106 Cannon House Office Bldg
Washington, DC 20515

MC ✓

Dear Jeff

I would like to amend Schedule VII of my Financial Disclosure Form to include the following information which was inadvertently omitted

Source:	**Democratic Leadership Council**
Dates:	**05/01/98 - 05/03/98**
Destination:	**New Orleans, Louisiana**
Transportation:	**None**
Lodging:	**Yes**
Food:	**Yes**
Family included:	**Yes**
Any time not at sponsors expense:	**None**

Thank you for your attention to this matter

Sincerely,

Chris John
MEMBER OF CONGRESS

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 1:58
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

EDDIE BERNICE JOHNSON
(Full Name)

2501 Cedar Springs Road #550
(Mailing Address)

Dallas, TX 75201

Daytime Telephone (214) 922-8885

Filer Status
[XX] Member of the U.S. House of Representatives — State TX District 30th
[ ] Officer or Employee — Employing Office

Report Type
[XX] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [XX] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [XX]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [XX] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [XX]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [XX]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [XX]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [XX] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [XX]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [XX]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [XX] No [ ]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Eddie Bernice Johnson — **DATE** (Month/Day/Year) May 17, 1999

1861

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF TEXAS	Legislative pension	$25,000.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash , D C	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
N/A			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name EDDIE BERNICE JOHNSON | Page 4 of 9

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D										BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock	Indefinite			X								X							Royalties								X			P
			Simon & Schuster																														
			1st Bank of Paducah, KY accounts					X									X										X						
	Mutual of America (IRA)				XX									XX								N/A										
	Texas Federal Credit Union				XX											XX						XX										
	U.S. Savings Bonds				XX											XX						N/A										
	Waterhouse Securities					XX								XX													XX					
	EBJ & Associates		XX																	XX		N/A										

For additional assets and unearned income, use next page.

1864

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name EDDIE BERNICE JOHNSON

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	N/A																														

SCHEDULE IV — TRANSACTIONS

Name EDDIE BERNICE JOHNSON | Page 6 of 9

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	N/A														

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
T.D. Jakes Ministry 6777 West Kiest Blvd. Dallas, TX 75236		July 8 - July 11,98	Dallas-Atlanta, GA - Washington, DC	Y	Y	N	3 days

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A		

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

99 MAY 17 PM 4:49

U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

(6)

Nancy Lee Johnson
(Full Name)

2113 Rayburn Building
(Mailing Address)

Washington DC 20515

Daytime Telephone (202) 225-4476

(Office Use Only)

Filer Status

[X] Member of the U.S. House of Representatives — State CT, District 06

[ ] Officer or Employee — Employing Office

Report Type

[X] Annual (May 15)

[ ] Amendment

[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Nancy L. Johnson

DATE (Month/Day/Year) May 14, 1999

1870

Name Nancy Lee Johnson	Page 1 of 3

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT	*Examples*	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	American Savings Bank - IRA	$1,001 - $15,000	Interest	$201 - $1,000	
	Fidelity Balanced Fund - IRA	$1,001 - $15,000	Interest	$201 - $1,000	
	Fidelity CT Tax Free HiYield	$1,001 - $15,000	Interest	$1,001 - $2,500	
	Fidelity Govt. Securities	$1 - $1,000	Interest	$1 - $200	
	Fidelity Growth & Income Fund (IRA)	$1,001 - $15,000	Interest	$1,001 - $2,500	
	Nuveen CT Premium Income Munic	$15,001 - $50,000	Interest	$1,001 - $2,500	P

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	First City Bank, New Britain (IRA)	$1,001 - $15,000	Interest	$201 - $1,000	
	Fidelity Spartan Gin Mac (IRA)	$15,001 - $50,000	Interest	$1,001 - $2,500	
	Fidelity Utilities Income Fund (IRA)	$15,001 - $50,000	Capital Gains and Dividends	$1,001 - $2,500	
	First City Bank Checking Account	$15,001 - $50,000	Interest	$201 - $1,000	
	First City Bank Stock	$1,001 - $15,000	No Income	None	
	Ginos Assoc Ltd Partner	$15,001 - $50,000	Interest	$15,001 - $50,000	
	ICC Harbin Ltd Partner	$1,001 - $15,000	Interest	$1,001 - $2,500	
	Janus Flex Income Fund	$1,001 - $15,000	Interest	$201 - $1,000	
	Fidelity Equity Income II (IRA)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	
	Fidelity Asset Manager (IRA)	$15,001 - $50,000	Capital Gains and Dividends	$2,501 - $5,000	
	Fidelity Midcap Stock (IRA)	$1,001 - $15,000	Capital Gains	$201 - $1,000	
	Fidelity Global Balanced (IRA)	$1,001 - $15,000	Dividends	$1 - $200	
	Investment Assoc	None	Sale	$100,001 - $1,000,000	S
	Fidelity Tax Exempt Money Mkt	$1,001 - $15,000	Interest	$1 - $200	
	Vanguard Extended Mkt Fund (IRA)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	E
	Janus Twenty Fund	$1,001 - $15,000	Dividends	$1 - $200	
	Janus Growth & Income Fund	$1,001 - $15,000	Dividends	$1 - $200	
	Janus Worldwide Fund	$1,001 - $15,000	Dividends	$1 - $200	
	Stanley Works Stock	$100,001 - $250,000	Dividends	$2,501 - $5,000	S

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Vanguard Star Portfolio	$15,001 - $50,000	Capital Gains and Dividends	$1,001 - $2,500	
	Nuveen Municipal bond Fund	$1,001 - $15,000	Interest	$201 - $1,000	
	Nuveen Tax Exempt Trust	$15,001 - $50,000	Interest	$1,001 - $2,500	
	Lindner Dividend Fund (IRA)	$1,001 - $15,000	Capital Gains and Dividends	$1,001 - $2,500	
	Vanguard Wellington Fund (IRA)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	E
	Scudder International Fd (IRA)	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	
	Merril-Lynch IRA	$1,000,001 - $5,000,000	combination	$100,001 - $1,000,000	Rollover from Pension Fund
	Charles Schwab MM Fund	$15,001 - $50,000	Interest	$201 - $1,000	
	Fidelity Spartan Ct Munic	$15,001 - $50,000	Interest	$1,001 - $2,500	
	Mutual Shares Fd (IRA)	$1,001 - $15,000	Capital Gains and Dividends	$1,001 - $2,500	
	Invesco Indust. Income Fund	$1,001 - $15,000	Capital Gains	$201 - $1,000	P
	Vanguard Treas MM Fund	$15,001 - $50,000	Interest	$201 - $1,000	P
	Vanguard Wellesley Inc Fund	$15,001 - $50,000	Capital Gains and Dividends	$1,001 - $2,500	P
	Vanguard Wellington Fund	$1,001 - $15,000	Capital Gains and Dividends	$1,001 - $2,500	P

Name Nancy Lee Johnson	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Invesco Industrial Income Fd	Purchase	5/6/98	$1,001 - $15,000
	Stanley Works Stock	Sold	4/22/98	$1,001 - $15,000
	Vanguard Treas MM Fund	Purchase	5/5/98	$15,001 - $50,000
	Vanguard Wellesley Income Fd	Purchase	5/20/98	$1,001 - $15,000
	Vanguard Wellesley Income Fd	Purchase	10/13/98	$1,001 - $15,000
	Vanguard Wellesley Income Fd	Purchase	10/22/98	$1,001 - $15,000
	Vanguard Wellington Fund	Purchase	5/14/98	$1,001 - $15,000
	Vanguard Extended Mkt Index Fd	Exchange	8/10/98	$1,001 - $15,000
	Investment Assoc (Sale of medical practice - sold to Phycor Medical Mgmt., including equipment	Sold	1998	$100,001 - $250,000
	Vanguard Wellington Fund IRA	Exchange	8/10/98	$1,001 - $15,000
	Nuveen CT Premium Inc. Munic	Purchase	3/28/98	$15,001 - $50,000

Name Nancy Lee Johnson	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Aspen Institute	Nov 12 - 17, 1998	Hartford, CT - Bermuda - Washington, DC	Y	Y	Y	None
Women's Pres. Organization	March 6-7, 1998	Washington, DC - Dallas, TX - Washington, DC	N	Y	N	none

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 PM 5:49
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HC, INSIDE MAIL (3)
(Office Use Only)

The Honorable Samuel Robert Johnson
(Full Name)

1030 Longworth House Office Building
Washington, D.C. 20515
(Mailing Address)

Daytime Telephone
202/225-4201

Filer Status
[X] Member of the U.S. House of Representatives — State Texas District 3
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Sam Johnson

DATE (Month/Day/Year) 5-14-99

1876

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C	Speech	Feb 2, 1998	$2,000
XYZ Magazine	Article	Aug 13, 1998	$500
Capitol Hill Club Chili Cook-off	participated in contest to help a charity; Boys & Girls Club of Collin County	July 15,1998	$50.00

This page may be copied if more space is required.

Name Samuel Robert Johnson | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP	*Examples*	SP	Mega Corp. Stock
DC			Simon & Schuster
JT			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

	A	B	C		E	F	G	H	I	J	K	L
	None	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples		Indefinite			X							
JT SRJ Designs, Inc. (book)		X				X						

BLOCK C — Type of Income

	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)
Examples	X						Royalties
JT SRJ Designs, Inc. (book)			X				book sales
JT Congressional FCU-Interest							
JT Legacy Bank-Interest			X				
JT SRJ Designs, Inc.			X				
JT Book Royalties							Royalties

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income

	I	II	III	IV	V	VI	VII	VIII	IX	X	XI
	None	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	$1,000,001 - $5,000,000	Over $5,000,000
Examples				X							
JT SRJ Designs, Inc. (book)			X								
JT Congressional FCU-Interest			X								
JT Legacy Bank-Interest			X								
JT SRJ Designs, Inc.	X										
JT Book Royalties			X								

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

Examples	P, S, E
JT SRJ Designs, Inc. (book)	N/A
JT Congressional FCU-Interest	N/A
JT Legacy Bank-Interest	N/A
JT SRJ Designs, Inc.	N/A
JT Book Royalties	N/A

For additional assets and unearned income, use next page.

1878

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Regents	Smithsonian Institution, Washington, D.C.
Board Member	Dedman College at Southern Methodist University, Dallas,TX
Board Member	YMCA Armed Services Board
Advisory Board	Plano Chamber of Commerce, Plano, Texas
Board Member	Frontiers of Flight, Dallas, Texas
President-Stockholder	SRJ Designs, Inc., Plano, Texas

please see attached sheet

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

1879

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Air Force Association
Board Member	Practical Parent Education

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998 | For use by Members, officers, and employees

HAND DELIVERED

RECEIVED
LEGISLATIVE RESOURCE CENTER (3)
99 JUN 11 PM 2:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES (Office Use Only)

The Honorable Samuel Robert Johnson
(Full Name)

1030 Longworth House Office Building
Washington, D.C. 20515
(Mailing Address)

Daytime Telephone
202/225-4201

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 3	[ ] Officer or Employee	Employing Office	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[ ] Annual (May 17)	[X] Amendment	[ ] Termination	Termination Date	HAND DELIVERED

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 06/11/99

1881

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Samuel Robert Johnson | Page 2 of 3

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income						BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 - $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Stock	X															X								X					S
	(Plano Bank & Trust/ Legacy Bank of Texas)																													
	USAF Disability - nontaxable																			disability								X		

This page may be copied if more space is required.

1882

SCHEDULE IV — TRANSACTIONS

Name: Samuel Robert Johnson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	Plano Bank & Trust/Legacy Bank of TX		X		01-14-98	X									

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 24 AM 9:16

STEPHANIE TUBBS JONES
(Full Name)
11301 WADE PARK AVENUE
CLEVELAND, OHIO 44106
(Mailing Address)
(216) 522-4900
(202) 225-7032
Daytime Telephone

MAY 17 1999
(Office Use Only)

6

Filer Status						
	[X]	Member of the U.S. House of Representatives	State OHIO District 11	[ ]	Officer or Employee	Employing Office
Report Type	[X]	Annual (May 17)	[ ] Amendment	[ ]	Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Stephanie Tubbs Jones

DATE (Month/Day/Year) 5/16/99

1884

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
CUYAHOGA COUNTY, OHIO		SALARY	$ 90,315
OUR PLACE, INC.		SALARY	$ 9,100

For payments to charity in lieu of honoraria, use Schedule II.

1885

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C. / XYZ Magazine	Speech / Article	Feb. 2, 1998 / Aug. 13, 1998	$2,000 / $500
[redacted]	[redacted]		
CHURCH OF THE COVENANT	SPEECH	FEB, 1998	$200.00

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	BLOCK A		BLOCK B													BLOCK C							BLOCK D									BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp Stock				X								X																	P
			Simon & Schuster		Indefinite																Royalties												
			1st Bank of Paducah, KY accounts				X									X										X						
JT	FIDELITY INVESTMENTS			X												X							X									
JT	JIHAAD FILMWORKS				X										NA							X										
	PUBLIC EMPLOYEES RETIREMENT SYSTEM		NA												NA							NA										
	OHIO DEFERRED COMPENSATION PLAN		NA												NA							NA										

For additional assets and unearned income, use next page.

58-074 99-2

1887

Name STEPHANIE TUBBS JONES | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	MBNA AMERICA	CREDIT CARD	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

1888

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	BETHANY BAPTIST CHURCH
TRUSTEE	CLEVELAND BAR ASSOCIATION
MEMBER	BOARD of GOVERNORS OHIO STATE BAR ASSOCIATION
MEMBER	BOARD of REGENTS NATIONAL COLLEGE of DISTRICT ATTORNEYS
TRUSTEE	COMMUNITY REENTRY PROGRAM/ PARTNERSHIP FOR SAFER CLEVELAND
MEMBER	VISITING COMM. CASE WESTERN RESERVE UNIV. LAW SCHOOL
TRUSTEE	HAWKEN SCHOOL

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

99 MAY 17 PM 4:02

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

(4)

MC

(Office Use Only)

WALTER BEAMAN JONES
(Full Name)

422 Cannon House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: (202) 225-3415

Filer Status	[X]	Member of the U.S. House of Representatives	State: NC District 03	[ ]	Officer or Employee	Employing Office
Report Type	[X]	Annual (May 15)	[ ] Amendment	[ ]	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION. Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C.1001).

SIGNATURE OF REPORTING INDIVIDUAL: Walter B. Jones

DATE (Month/Day/Year): 5/12/99

1890

SCHEDULE III – ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan th. is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a bnef description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, *Examples:* JT	SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT		Bradford Money Fund	$1,001 - $15,000	Dividends	$1 - $200	NA
JT		Centura Bank	$1 - $1,000	Dividends	$1 - $200	NA
JT		Delaware Group Treasury	$1,001 - $15,000	Dividends	$1 - $200	NA
JT		Ford Motor Company	$1,001 - $15,000	Dividends	$1 - $200	NA
JT		Investors Title Company	$1,001 - $15,000	Dividends	$1 - $200	NA
JT		Merck & Company	$1,001 - $15,000	Dividends	$1 - $200	NA
JT		Nations Bank	$1,001 - $15,000	Dividends	$1 - $200	NA

1691

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

JT	Sara Lee	$1,001 - $15,000	Dividends	$1 - $200	NA
JT	Nucor	$1,001 - $15,000	Dividends	$1 - $200	NA
JT	Philip Morris	$1,001 - $15,000	Dividends	$201 - $1,000	NA
JT	BB&T	$50,001 - $100,000	Dividends	$1 - $200	NA
JT	Putnam New Growth Opportunities	$1,001 - $15,000	Mutual Fund	$201 - $1,000	NA
SP	Floyd Harrell, Rt. 4, Tarboro, NC 27886	$1,001 - $15,000	Rental on inherited property	$5,001 - $15,000	NA
SP	Millie Peeden, Peeden Farms, Belvoir, NC	$1,001 - $15,000	Rental on inherited property	$2,501 - $5,000	NA
SP	Wallace James, Robersonville, NC	$1,001 - $15,000	Rental on inherited property	$2,501 - $5,000	NA

Name WALTER BEAMAN JONES	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Board of Directors	Eastern Carolina Vocational Center, Inc., Greenville, NC

UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 PM 1:06
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

Hon. Paul E. Kanjorski
(Full Name)

103 South Hanover Street
(Mailing Address)

Nanticoke PA 18634
Daytime Telephone 202-225-6511

Filer Status: [X] Member of the U.S. House of Representatives — State PA, District 11 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001)

SIGNATURE OF REPORTING INDIVIDUAL: Paul E. Kanjorski

DATE (Month/Day/Year): 5/14/99

1894

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source**	BLOCK B **Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude**: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20.	at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block.	For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC, *Examples*: SP JT	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
SP	Cross Valley Credit Union	$50,001 - $100,000	Interest	$2,501 - $5,000	NA
JT	IRA Equitable Life	$1,001 - $15,000	none	None	NA
JT	IRA Prudential Life Bache Securities, AM Capital Government Fund	$50,001 - $100,000	none	None	NA
	Real property -- 20+ acres, 1/2 interest Dallas Twp., Luzerne Co., PA	$250,001 - $500,000	none	None	NA
	Real property -- 90+ acres, 1/5 interest, Wright Twp., Luzerne Co., PA	$500,001 - $1,000,000	none	None	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

1/10 interest, father's estate	$15,001 - $50,000	none	None	NA
1/5 interest, mother's estate	$15,001 - $50,000	none	None	NA
1/2 interest, 126 S. Franklin St., Wilkes-Barre, PA	$100,001 - $250,000	Rent	$15,001 - $50,000	NA
Law Office movable assets	$1,001 - $15,000	none	None	NA

1896

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.

Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	Guaranty National Bank, Wilkes-Barre, PA	mortgage on 1/2 interest in 126 S. Franklin St., Wilkes-Barre, PA	$50,001 - $100,000

Name Hon. Paul E. Kanjorski Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Credit Union Executive Society	11/13/98 to 11/16/98	Washington DC to Phoenix, AZ	Y	Y	Y	2 days

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I

Position	Organization
Trustee	Wilkes University, Wilkes-Barre, PA (resigned May 21, 1998)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

99 MAY 14 PM 2:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

Marcy (Marcia) C Kaptur
(Full Name)

420 Madison, Room 1250
(Mailing Address)

Daytime Telephone
419-259-7500

Toledo OH 43604

Filer Status: [X] Member of the U S House of Representatives — State OH, District 09 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [X] No [ ]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app 4, 104 and 18 U S C 1001)

SIGNATURE OF REPORTING INDIVIDUAL: Marcy (Marcia) C. Kaptur

DATE (Month/Day/Year): 5/14/99

1900

Name	Page 1 of 1

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U S Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

1901

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct An envelope for transmitting the list is included in each Member's filing package

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash , D C	Speech	Feb 2, 1998	$2,000
	XYZ Magazine	Article	Aug 13, 1998	$ 500
	The Connell Company, Westfield, NJ	Speech	12/18/98	$2,000.00

1902

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP DC *Examples* SP JT	Mega Corp Stock 123 Main St, Dover, Del 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Standard Federal Bank	$15,001 - $50,000	Interest	$201 - $1,000	
	Little Flower Credit Union	$1,001 - $15,000	Interest	$1,001 - $2,500	
	Key Bank (formerly Society)	$1,001 - $15,000	Interest	$201 - $1,000	
	Charter One Bank	$15,001 - $50,000	Interest	$2,501 - $5,000	
	U.S. Savings Bonds	$50,001 - $100,000	NA	None	
	Transamerica IRA	$15,001 - $50,000	NA	None	

1903

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Congressional Federal Credit Union	$1,001 - $15,000	[Interest -- all funds accrued in this separate account are from Congressional pay (raises) and are distributed annually to charitable causes]	$201 - $1,000	
	1854 Harlan Road, Toledo	$50,001 - $100,000	Rent -- property upkeep and maintenance resulted in realized income of $573.00 in 1998.	$2,501 - $5,000	NA

Name Marcy (Marcia) C. Kaplur	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
Example	Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000

Name Marcy (Marcia) C Kaptur	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child Mark the highest amount owed during the year
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St , Dover, Del	$100,001 - $250,000

Name	Page 1 of 1

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold

Note: The gift rule (House Rule 51, re-numbered to clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270

1907

Name Marcy (Marcia) C. Kaplur	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Chestnut Hill College, Chestnut Hill, PA	5/9/98	Toledo-Philadelphia-Toledo	N	N	N	none
Veterans of Foreign Wars of the United States	9/1-9/2/98	Toledo-San Antonio-Toledo	Y	Y	N	none
The Connell Company, Westfield, NJ	12/22/98	Detroit-Newark-Detroit	N	N	N	none

1908

Name Marcy (Marcia) C Kaptur	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Trustee	The Anastasia Fund, PO Box 2121, Toledo, OH 43603 [a public charity being established in the names of Anastasia and Stephen Kaptur]

Name	Page 1 of 1

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED ... CENTER
99 MAY 17 PM 5:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

John R. Kasich
(Full Name)

7825 Lanetta Lane
(Mailing Address)

614-523-2555
Daytime Telephone

Westerville, Ohio 43082

Filer Status	[X] Member of the U.S. House of Representatives	State Ohio District 12th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year)
May 14, 1999

1911

Name John R. Kasich	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Gerbig, Snell/Weisheimer and Associates	Spouse Salary	n/a

For payments to charity in lieu of honoraria, use Schedule II.

Name John R. Kasich | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000		E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	*Examples*	SP	Mega Corp. Stock				X								X									X							P
			Simon & Schuster		Indefinite																Royalties											
			1st Bank of Paducah, KY accounts					X									X									X						
	BankOne, Columbus, OH			X													X						X								
	Wright Patman Credit Union			X													X						X								
	Northwestern Mutual Life Annuities (7)					X									X								n/a								
	Northwestern Mutual Variable Annuities (2)					X									X								n/a								

For additional assets and unearned income, use next page.

1913

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John R. Kasich | Page ___ of ___

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Putnam U.S. Govt. Sec.						X						X												X					
	First Capital Insured			X									X									X								P
	Washington Mutual Inv. Fd							X					X													X				
	Investment Co. of America					X							X												X					
SP	Fundamental Investors Inc				X								X												X					
SP	Investment Co. of America					X							X												X					
SP	Washington Mutual Inv. Fd.				X								X												X					
SP	New Perspective Fd. Inc.					X							X												X					
SP	MFS Limited Maturity Fd.				X								X											X						
SP	VanKampen American U.S. Fd				X								X											X						
SP	American Balanced Fund Inc				X								X												X					
SP	Interpore International						X						X								X									
SP	Prudential IRA				X								X									X								
JT	Baird Money Market			X											X								X							
	Delaware County Bank				X								X									X								
	J & R Partnership					X											X				X									P
	Baird Money Markets				X										X								X							

This page may be copied if more space is required.

1914

SCHEDULE IV — TRANSACTIONS

Name John R. Kasich | Page ___ of

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	Northwestern Mutual Life Annuities (7)	X			payments per month	X									
	Northwestern Mutual Variable Annuities (2)	X			payments per month	X									
	First Capital Insured	X			7-1-98	X									
SP	U.S. Treasury Notes		X		4-12-98						X				
SP	Income Fund of America		X		4-12-98		X								
SP	Cross Medical changed name to Interpore International in 1998 (no purchase, sale or exchange)														

This page may be copied if more space is required.

1915

Name	John R. Kasich	Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Estate of Elizabeth M. Stauch	Mortgage on Delaware County			X							
		property owned by J & R										
		Partnership										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
John Kasich traveled in November and December, 1998 on a book tour paid by the Doubleday Book Company, as follows:						
Doubleday Book Company	Nov. 4	Columbus, Ohio	N	N	N	N
Doubleday Book Company	Nov. 5	Cols. - New York - Wash.DC	N	N	N	N
Doubleday Book Company	Nov. 9	Wash.DC-Milwaukee-Chicago	N	N	N	N
Doubleday Book Company	Nov. 10	Chicago - Columbus	Y	Y	N	N
Doubleday Book Company	Nov. 11	Columbus, Ohio	N	N	N	N
Doubleday Book Company	Nov. 12	Cols. - Cleveland - Cols.	N	N	N	N
Doubleday Book Company	Nov. 13	Cols. - Dayton - Cols.	N	N	N	N
Doubleday Book Company	Nov. 15-16	Cols. - LosAngeles - Phoenix, AZ	Y	Y	N	N
Doubleday Book Company	Nov. 17	Phoenix - Wash., D.C.	N	N	N	N
Doubleday Book Company	Nov. 22	Cols. - Cincinnati - Cols	N	N	N	N
Doubleday Book Company	Nov. 29-30	Cols. - Houston TX - WashDC	Y	Y	N	N

This page may be copied if more space is required.

Name	John R. Kasich	Page ___ of

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Doubleday Book Company		Dec. 7-8	Ft.Lauderdale - Atlanta - Columbus	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
Member, Board of Directors	Concord Counseling, Columbus, Ohio

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

JOHN R. KASICH
12TH DISTRICT, OHIO

MEMBER
COMMITTEE ON ARMED SERVICES
COMMITTEE ON THE BUDGET
CHAIRMAN

HAND DELIVERED

1323 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3512
202-225-5355

DISTRICT OFFICE
2 HEASTON PARK, SUITE 250
SUITE 925
COLUMBUS, OH 43231
614-523-7509

Congress of the United States

House of Representatives

Washington, DC 20515-3512

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN -8 PM 4:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

June 8, 1999

The Honorable Jeff Trandahl, Clerk
U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20515

MC

Dear Mr. Trandahl:

In regard to my 1998 Financial Disclosure Statement, this letter is to advise you that the address for the real property described on Schedule V-Liabilities, is:

8321 Oxbow Road
Westerville, Ohio 43082

Please append this information to my 1998 Financial Disclosure Statement.

Thank you for your cooperation in this matter.

Sincerely,

John R. Kasich
Representative to Congress

JRK/lm

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 17 PM 4:02

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Sue W Kelly
(Full Name)

187 Main St
(Mailing Address)

Katonah, NY 10536

Daytime Telephone 202-225-5441

MC
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives — State NY District 19	[ ] Officer or Employee	Employing Office	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination — Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [✓]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [✓]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [✓]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [✓]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [✓]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [✓]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Sue Kelly

DATE (Month/Day/Year) 5/17/99

1921

Name Sue Kerry | Page 4 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	William A. Kerry + Co., Inc., Katonah NY	Spouse Salary	NA
	87 Bedford Hood Corp, Katonah, NY	Spouse Salary	NA
	Construction Industry Foundation, White Plains, NY	Spouse Salary	NA
	LMC Corporation, Logan UTAH	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

1922

Name SUE KELLY | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

				A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock					X								X																		P
			Simon & Schuster			Indefinite												X				Royalties												
			1st Bank of Paducah, KY accounts						X																									
			Amer Home Products					X								X									X									
			Amer Power Conv				X									X							X											
			Bank America					X								X										X								
			British Petroleum					X								X										X								
			Cisco System						X							X							X											
			Intel Corp				X									X								X										

For additional assets and unearned income, use next page.

1928

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Sue Kelly | Page 4 of 9

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset — A: None	B: $1 – $1,000	C: $1,001 – $15,000	D: $15,001 – $50,000	E: $50,001 – $100,000	F: $100,001 – $250,000	G: $250,001 – $500,000	H: $500,001 – $1,000,000	I: $1,000,001 – $5,000,000	J: $5,000,001 – $25,000,000	K: $25,000,001 – $50,000,000	L: Over $50,000,000	BLOCK C: Type of Income — DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D: Amount of Income — I: None	II: $1 – $200	III: $201 – $1,000	IV: $1,001 – $2,500	V: $2,501 – $5,000	VI: $5,001 – $15,000	VII: $15,001 – $50,000	VIII: $50,001 – $100,000	IX: $100,001 – $1,000,000	X: $1,000,001 – $5,000,000	XI: Over $5,000,000	BLOCK E: Transaction — P, S, E
	INTERPOOL, Fnd					X								X										X								
	MOBIL Corp				X									X										X								
	NU SKIN Enterprises				X									X							X											
	Smith Kline Beecham				X									X									X									P
	STATE STREET BANK						X							X										X								P
	TEXACO				X									X										X								
	Money Market - DJI						X							X			X									X						
	Amgen				X									X									X									
	BANK AMERICA NEW COM		X											X							X											
	BRITISH PETE PLC		X											X							X											
	KIMBERLY CLARK						X							X										X								
	SEITEL, Fnd				X									X									X									
	101 N MOGER AV HITKISON							X							X											X						
	36 ANDERSON Rd KATONAH						X								X										X							
	COVE Rd, SOUTH SALEM NY						X								X										X							
	COMMUNITY BANK NY - CARMEL NY			X												X						X										
	BANK OF NY - KATONAH NY				X											X								X								
	FEDERAL CREDIT UNION - WHITNEL			X												X							X									
JT	MERRILL LYNCH CMA				X											X							X									
	10 WATERVIEW CT, SOUTH SALEM NY						X								X										X							

This page may be copied if more space is required.

1924

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name SUE KELLY | Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	BLOCK D Amount of Income: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	POINT MARINA PROPERTY			I	
SP	HEALTH CONDITION, GENERAL		DIVIDENDS	I	
SP	W. A. KELLY & CO. FUND		CAPITAL GAINS	I	
SP	W. A. KELLY LEASE INT.		CAPITAL GAINS	I	
SP	MISC. LIFE INSURANCE	C	DIVIDENDS; CAPITAL GAINS	I	INSURANCE
	LIFE INSURANCE A. SPOUSE		DIVIDENDS	I	INSURANCE
	MASS MUTUAL & US GOVT			I	INSURANCE
	BANK OF N.Y., LEG	C	INTEREST	II	

This page may be copied if more space is required.

1925

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name SUE KELLY | Page 6 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None; B $1,001 – $15,000; C $15,001 – $50,000; D $50,001 – $100,000; E $100,001 – $250,000; F $250,001 – $500,000; G $500,001 – $1,000,000; H $1,000,001 – $5,000,000; I $5,000,001 – $25,000,000; J $25,000,001 – $50,000,000; K Over $50,000,000	BLOCK C Type of Income: DIVIDENDS; RENTS; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	BLOCK D Amount of Income: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	FLEET BANK ACT. KATONAH NY	B		III	
SP	MAHOPAC NAT BANK MAHOPAC NY	C	INTEREST	III	
SP	UNION STATE BK WHITE PLAINS NY	C	INTEREST	III	
SP	USAA SAVINGS ACT.	C	INTEREST	III	
SP	MERRILL LYNCH CMA		INTEREST	IV	
SP	MC DONALDS STOCK		DIVIDENDS		S
SP	KED ICHEIM KATONAH NY				
SP	FRA BREAN MURRAY NYC	G		I	
SP	9 NARDVIEW CT. SOUTH SALEM NY	G		I	
SP	CROTON RIVER FND PARK	G		VI	
SP	105 BEDFORD Rd. KATONAH NY	G		VI	
SP	81 BEDFORD Rd Corp	G		VI	
SP	BREAN MURRAY SECURITIES NYC	G		I	
					[redacted]
SP	RINEHART, YONKERS	G		VI	
SP	PELHAM COMMONS; PELHAM, NY	G		I	
SP	DECHARLES, MOUNT SALEM NY	G		I	S
SP	QUAIL RIDGE TRAIL, MILLBROOK NY	G		I	S
SP	NORTH SALEM CENTER, NORTH SALEM NY	G		I	
SP	POINT PLEASANT POUGHKEEPSIE NY	G		I	

This page may be copied if more space is required.

1926

SCHEDULE IV — TRANSACTIONS

Name: Sue Kelly | Page 7 of 9

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-98		X								
	Nu Skin Enterprises Stock	X			6/17/98		X								
	Smith Kline Beecham	X			8/25/98		X								
	Amigen, Inc		X		4/24/98		X								
	Bank America		X		10/5/98	X									
	British Pete		X		2/4/98 5/8/98	X									
	Kimberly Clark		X		11/21/98 4/13/98				X						
	Seitel, Inc		X		12/14/98		X								
SP	McDonalds		X		6/18/98 10/8/98		X								
SP	Orchards Farm, North Salem, Ny		X		9/15/98	X									
SP	Quail Ridge Farm, Millbrook, Ny		X		7/20/98			X							
SP															

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

This page may be copied if more space is required.

1927

Name SUE KELLY | Page 9 of 4

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
SP	MAYFLOWER NAT BANK	MORTGAGE, CUSTOM HOUSE PARK						X				
SP	UNION STATE BANK, WHITE PLAINS	MORTGAGE, 87 BOSTON RD CORP					X					
SP	DONALD TOBERL, NAIC PAID OFF IN 1998	MORTGAGE - QUAIL RIDGE FARM				X						
SP	LOANS FROM EUGENE COLLAY - NORTH SALEM	JOINT WITH PRASSAS - PARSONS HOUSTON LOAN - YANKEES						X				
SP	LOANS FROM RICHARD MCCOY - BOSTON, MA	JOINT WITH MATARAZZO						X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

1928

Name	SUE KELLY	Page 9 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
ASPEN INSTITUTE —	DEC 98	JFK TO BERLIN AT	Y	Y	Y	3 DAYS
		BERLIN AT TO JFK	Y	Y	Y	
Center for Strategic and	March 19-	Wash, DC - Frankfurt - Skopje, macedonia	Y	Y	N	N
International Studies	23, 1998	Skopje, Macedonia - Frankfurt - Wash DC.				

This page may be copied if more space is required.

SUE W. KELLY
19TH DISTRICT, NEW YORK

COMMITTEE ON
TRANSPORTATION AND INFRASTRUCTURE
SUBCOMMITTEE ON SURFACE TRANSPORTATION
SUBCOMMITTEE ON WATER RESOURCES AND ENVIRONMENT

COMMITTEE ON SMALL BUSINESS
CHAIRMAN, SUBCOMMITTEE ON REGULATORY REFORM AND PAPERWORK REDUCTION

COMMITTEE ON BANKING AND FINANCIAL SERVICES
SUBCOMMITTEE ON FINANCIAL INSTITUTIONS AND CONSUMER CREDIT
SUBCOMMITTEE ON HOUSING AND COMMUNITY OPPORTUNITY

ASSISTANT MAJORITY WHIP

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515–3219

PLEASE REPLY TO
1122 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5441

21 OLD MAIN STREET, ROOM #205
FISHKILL, NEW YORK 12524
(914) 897-5200

116 RADIO CIRCLE DRIVE
MT. KISCO, NEW YORK 10549
(914) 241-6340

RECEIVED
99 JUN 15 P11:50
U.S. HOUSE OF REPRESENTATIVES
OFFICE OF THE CLERK

June 15, 1999

The Honorable Jeff Trandahl
Clerk of the House
H-154, The Capitol
Washington, DC 20515

Re: Amendment to 1998 Financial Disclosure

Dear Mr. Trandahl:

The following information is intended to supplement and amend the Financial Disclosure Statement for calender year 1998.

On page 4, "Bank America New Com" and "British Pete PLC" refer to additional acquisitions of assets listed on page 3 – "Bank America" and British Petroleum". I have been advised by my financial advisor that both companies made acquisitions in 1998 which resulted in an addition in the number of shares of stock to shareholders of the listed companies.

In addition, on page 9, the correct dates for the trip sponsored by the Aspen Institute were November 12th through November 17th, 1998.

Any assistance that you can provide in including this information with my 1998 Financial Disclosure would be most appreciated. Thank you for your attention in this matter.

Sincerely,

Sue Kelly

Sue Kelly
Member of Congress

SWK/svh

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

99 MAY 17 PM 4:45

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Patrick J. Kennedy
(Full Name)

89 Ravenswood Avenue
(Mailing Address)

Providence RI 02908

Daytime Telephone: (202)-225-4911

Filer Status	[X] Member of the U S House of Representatives	State RI District 01	[ ] Officer or Employee	Employing Office	A $200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [ ] No [X]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [X] No [ ]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Patrick J. Kennedy

DATE (Month/Day/Year): 5/15/99

1981

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year **Exclude**: Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None"	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP DC *Examples* JT	Mega Corp Stock 123 Main St., Dover, Del 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Citizens Trust Company Accounts, Providence, RI	$1,001 - $15,000	Interest	$1 - $200	
	1784 Federal Institutional U.S. Treasury Money Market Fund - BSD Boston, MA	$100,001 - $250,000	Interest	$2,501 - $5,000	
	Friends of Patrick J. Kennedy - Loan Receivable (RI State Rep.)	$50,001 - $100,000	Interest	None	
	March 4, 1953 Trust, Rose F. Kennedy, Grantor, FBO Edward M. Kennedy, New York, NY		Excepted Trust	$1,001 - $2,500	

1982

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

August 31, 1959 Trust, Edward M. Kennedy, Grantor, FBO Patrick J. Kennedy, New York, NY		Excepted Trust	$100.001 - $1.000.000	
Arctic Royalty Limited Partnership (a limited partnership owning oil and gas royalty interests) New York, NY	$50.001 - $100.000	Partnership Income	$15.001 - $50.000	

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year

Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	American Express Company, New York, NY	Credit Card	$50,001 - $100,000
	Citibank Visa, Sioux Falls, SD	Revolving Charge Account	$10,001 - $15,000

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Corporate Member	Battleship, Massachusetts
Corporate Member	Bradley Hospital
Vice Chairman	Independent World Commission on the Oceans
Member, Honorary Advisory Board	March of Dimes - Rhode Island
Honorary Member	Mental Health Association of Rhode Island
Member, Advisory Board	Big Brothers of Rhode Island
Member, Advisory Board	Music School
Member, Advisory Board	Rhode Island Special Olympics
Associate Trustee	Joseph P. Kennedy, Jr. Foundation
Limited Partner	Arctic Royalty Limited Partnership

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 17 PM 11:36
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Dale Edward Kildee
(Full Name)
516 Kensington Ave
(Mailing Address)
Flint MI 48503
Daytime Telephone (202) 225-3611

Filer Status
- [X] Member of the U.S. House of Representatives — State MI, District 9
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (e.g., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Dale E. Kildee

DATE (Month/Day/Year) May 16, 1999

1936

Name Dale Edward Kildee | Page 2 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp Stock					X								X																	P
			Simon & Schuster		Indefinite																	Royalties											
			1st Bank of Paducah, KY accounts						X								X										X						
JT	First Union Bank					X											X						X										
JT	Congressional Federal C.U.					X											X						X										
SP	Congressional Federal C.U. (IRA)					X											X						NA										
SP	FirstUnion Bank (SEP)					X											X						NA										
	Michigan Legislative Retirement (vested – not receiving)								X								X						NA										

For additional assets and unearned income, use next page.

1987

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1-1-77	State of Michigan and self	life and health insurance

GPO 1999 55-195 (mac)

(Use additional sheets if more space is required)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 21 PM 12:14

Carolyn Cheeks Kilpatrick
(Full Name)

7445 LaSalle Blvd., Detroit, Michigan 48206
(Mailing Address)

202/225-2261
Daytime Telephone

MAY 17 1999
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: Michigan District: 15th	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment		[ ] Termination	Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Carolyn C. Kilpatrick

DATE (Month/Day/Year): 5-17-99

1999

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Michigan (not subject to outside earned income limit)	deferred compensation	$14,009.63

For payments to charity in lieu of honoraria, use Schedule II.

1940

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
Detroit Association of Black Organizations	Speech	Feb. 1, 1998	$1,000.00
Virginia Park Community Investment Associatee, Inc.	Speech	June 27, 1998	$200.00
K-Mart Kids Race Against Drugs	Appearance	Sept. 16,1998	$500.00

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Kilpatrick | Page 4 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	BLOCK B											BLOCK C							BLOCK D										BLOCK E
				A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
				None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster			Indefinite																Royalties												
			1st Bank of Paducah, KY accounts						X									X										X						
			State of Michigan deferred Compensation II/401k			X												X										N/A						
			Legislative Retirement System State of Michigan				X											X										N/A						
			Congressional Federal Credit Union Savings Account			X												X						X										

For additional assets and unearned income, use next page.

1942

Name	Page 5 of 5
Kilpatrick	

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
	National Association of Black County Officials (conference)	Jan. 31 - Feb. 3	Detroit, MI - Las Vegas - Washington, DC	Y	Y	N	N
	African American Womens Caucus (fundraiser - not an official PAC)	Aug. 24	Detroit MI. - Hartford - Detroit, MI	Y	Y	N	N

This page may be copied if more space is required.

1943

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A

For use by Members, officers, and employees

RECEIVED
[illegible] CENTER
99 MAY 13 PM 2:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Ronald James Kind
(Full Name)

3061 Edgewater Lane, La Crosse, WI (Mailing Address) 608-779-9750 (Daytime Telephone)

Filer Status
- [X] Member of the U.S. House of Representatives — State Wisconsin District 3rd
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e. aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Ronald J. Kind

DATE (Month/Day/Year): 5/6/99

1944

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
Examples State of Maryland	Legislative Pension	$9,000
Examples Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Examples Ontario County Board of Education	Spouse Salary	NA
U.S. House of Representatives	Salary	136,442-
State of Wisconsin - Director of State Courts	Spouse - Salary	15,583-
State of Wisconsin - Office of State Public Defender	Spouse - (Court Reporter Fee)	2610-
United Wisconsin Insurance Co.	Spouse - Salary	209-
State of Wisconsin - Director of State Courts	Spouse - (Court Reporter Fee)	626-
County of LaCrosse	Spouse - (Court Reporter Fee)	848-

For payments to charity in lieu of honoraria, use Schedule II.

1945

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Ronald James Kind — Page 3 of 8

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP.	*Examples*	SP	Mega Corp Stock					X								X																	P
DC.			Simon & Schuster		Indefinite																	Royalties												
JT			1st Bank of Paducah, KY accounts					X										X									X							
JT			Rental Property 219 Pearl Street, LaCrosse, WI					X									X										X							
SP			Putnam Inv - IRA			X										X									X									
			Wis Def Comp Program				X									X									X									
SP			Wis Def Comp Program				X									X									X									
			Investment Club - LaCrosse WI			X										X									X									
			Janus Fund - IRA			X										X									X									

For additional assets and unearned income, use next page.

1946

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald James Kind | Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Janus Fund - IRA Roth			X									X									X								P
	Janus Fund - IRA Roth			X									X									X								P
	Fed Govt - Thrift Savings Plan				X								X										X							P

This page may be copied if more space is required.

1947

Name Ronald James Kind | Page 5 of 8

SCHEDULE IV — TRANSACTIONS

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10–12–98		X								
SP	Roth IRA - Janus Fund	X			Fall, 1998	X									
	Roth IRA - Janus Fund	X			Fall, 1998	X									
	Fed Govt Thrift Savings Plan	X			Various		X								

This page may be copied if more space is required.

1948

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	Norwest Bank, N.A., LaCrosse, WI	Mtg on Pearl Strut, LaCrosse WI			X							

SCHEDULE VI — GIFTS None

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

1949

Name Ronald James Kind | Page 7 of 8

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Congressional/Economic Leadership Institute	Nov 29-Dec 6 1998	Wash D.C – Brussels, Belgium — London, England – Wash D.C.	Y	Y	N	N

This page may be copied if more space is required.

1950

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Boys and Girls Club, Section 501 (c)(3) organization
Director	Cowlee Council on Alcohol, Section 501(c)(3) organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

1951

FINANCIAL DISCLOSURE STATEMENT — FOR CALENDAR YEAR 1998

99 MAY 14 AM 10:55

Rep. Peter T. King
(Full Name)

1442 Roth Road
(Mailing Address)

Seaford, NY 11783

Daytime Telephone: (202) 225-7896

INSIDE MAIL
(Office Use Only)

Filer Status
- [X] Member of the U.S. House of Representatives — State: New York, Distrct. 3rd
- [ ] Officer or Employee — Employing Office

Report Type
- [ ] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Peter King

DATE (Month/Day/Year) May 13, 1999

1952

Name	Rep. Peter T. King	Page 2 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Nassau County, New York	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

1953

Name Rep. Peter T. King | Page 3 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp Stock					X								X										X						P
	DC Simon & Schuster		Indefinite																	Royalties										
	JT 1st Bank of Paducah, KY accounts						X									X									X						
Sp	New York State Pension Program			X																										

For additional assets and unearned income, use next page.

1954

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Friends of Sinn Fein		Apr 15-19	NY/NY - Dublin - NY/NY	Y	Y	Y	NA
Ancient Order of Hibernians		Sep 19-20	NYC - Pittsburgh - DC	Y	Y	N	NA

This page may be copied if more space is required.

1955

UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

99 MAY 17 PM 4:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

(Office Use Only)

Jack Kingston
(Full Name)

1034 Longworth HOB
(Mailing Address)

Washington DC 20515

Daytime Telephone
(202)225-5831

Filer Status	[X] Member of the U S House of Representatives	State GA District 01	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance or article in the reporting period?
If yes, complete and attach Schedule II. Yes [X] No [ ]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Jack Kingston

DATE (Month/Day/Year) 5/12/99

1956

Name Jack Kingston | Page 1 of 1

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium
A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$ 500
Politically Incorrect	TV Show	12/09/98	$1,000.00
K Mart Family Foundation	Race	9/16/98	$1,500.00

1957

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP DC *Examples* JT	Mega Corp. Stock SP 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Palmer & Cay/Carswell Stock	$1,000,001 - $5,000,000	Dividends	$1,001 - $2,500	
	Courtland Reserves MMA	$1,001 - $15,000	Interest	$201 - $1,000	
	Savh Food & Ind. Stock	$1,001 - $15,000	Dividends	$201 - $1,000	
	111 Jacqueline Dr/Savh, GA	$50,001 - $100,000	Rent	$5,001 - $15,000	
	5 Island Drive/Savh, GA	$100,001 - $250,000	Rent	$5,001 - $15,000	
	8424 Ferguson Ave/Savh, GA	$15,001 - $50,000	Rent	$1,001 - $2,500	
	108 18th St/Tybee Isl, GA	$100,001 - $250,000	Rent	$5,001 - $15,000	

1958

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

American Capitol Venture IRA	$15,001 - $50,000	Interest	None	
American Capitol Venture IRA	$15,001 - $50,000	Interest	None	
Roswell, GA G/O Dtd 6/1/88	$15,001 - $50,000	Interest	$201 - $1,000	
Gwinnett City, GA School District	$15,001 - $50,000	Interest	$201 - $1,000	
Putnam Municipal Stocks	$1,001 - $15,000	Interest	$201 - $1,000	
Cats Series S Zero Coupon Govt Bond Corporate Bonds	$1,001 - $15,000	Interest	$201 - $1,000	
Strips-TINT Govt Securities	$1,001 - $15,000	Interest	$201 - $1,000	
Europe Fund, Inc. Stock Fund Merrill Lynch	$1,001 - $15,000	Dividends	$201 - $1,000	
CATS Zero Coupon Bonds (M Lynch)	$1,001 - $15,000	Interest	$201 - $1,000	
Global Income Fund-Bond (M Lynch)	$1,001 - $15,000	Interest	$201 - $1,000	
CATS Series A Coupon (I Johnson)	$15,001 - $50,000	Interest	$201 - $1,000	
TIGER Coupon Book	$1,001 - $15,000	Interest	$201 - $1,000	
Franklin Custodian Utility (Stock Mutual Fund-M Lynch)	$1,001 - $15,000	Dividends	$201 - $1,000	
CATS Zero Coupon Bonds	$1,001 - $15,000	Interest	None	
State of GA Retirement Plan	$1,001 - $15,000	N/A	None	
Palmer & Cay Carswell 401K	$250,001 - $500,000	Interest	None	
GA Develop Authority Municipal Bond-Marietta, GA	$1,001 - $15,000	Interest	$201 - $1,000	
STRIPS Zero Coupon Govt Bond	$1,001 - $15,000	Interest	None	

1959

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	CATS Zero Coupon Bond	$1,001 - $15,000	Interest	$201 - $1,000	

Name Jack Kingston	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	Mr Newman Hall, Madison,TN	Mortgage 111 Jacqueline Dr/Savannah, GA	$15,001 - $50,000

Name Jack Kingston	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
American Meat Institute	05/15-16/98	Savh, GA-Amelia Isl,FL and return	Y	Y	Y	None
Chicago Mercantile Exchange	12/03-04/98	Savh,GA-Chicago and return	Y	Y	N	None
Politically Incorrect	12/09-10/98	Savh,GA-LA,CA and return	Y	Y	Y	None

1962

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U S Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1/5/93	J Kingston & State of Georgia	Health Care Plan

1963

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 PM 6:02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC MAY 11 1999
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

Gerald D. Kleczka
(Full Name)

3150A South 12th St
(Mailing Address)
Milwaukee WI 53215

Daytime Telephone
414-297-1140

Filer Status
[X] Member of the U S House of Representatives — State WI, District 04
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [ ] No [X]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C. app. 4, 104 and 18 U S C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Gerald D. Kleczka

DATE (Month/Day/Year) May 7, 1999

1964

Name Gerald D. Kleczka	Page 1 of 1

SCHEDULE I - EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
Examples:	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	George Washington University Primary Care Associates	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

1965

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude**: Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, *Examples:* JT	SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT		3262 S 9th St, Milwaukee, Rental	$50,001 - $100,000	Rent	$5,001 - $15,000	
JT		Lincoln Savings Bank, Milwaukee	$1,001 - $15,000	Interest	$1 - $200	
JT		Congressional Fed Credit Union, DC	$1,001 - $15,000	Interest	$1 - $200	
JT		North Shore Bank, Milwaukee	$1,001 - $15,000	Interest	$1 - $200	
		Congressional Fed Credit Union, DC	$1,001 - $15,000	Interest	$1 - $200	
SP		Krupp, Insd Plus LP, IRA	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	
SP		Kaufman Fund, IRA	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	

1966

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	Dean Witter IRA (attached)	$1,001 - $15,000	Dividends	$1 - $200	
	Dean Witter IRA (attached)	$50,001 - $100,000	Dividends	$1 - $200	
	Dean Witter Nicolas Applegate Capital Management (attached)	$100,001 - $250,000	Capital Gains and Dividends	$5,001 - $15,000	
	Dean Witter Active Assets Acct	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Vanguard Index Trust	$15,001 - $50,000	Capital Gains and Dividends	$201 - $1,000	
	Vanguard Reit	$1,001 - $15,000	Capital Gains and Dividends	None	S
SP	Legg Mason Value Trust	$15,001 - $50,000	Capital Gains and Dividends	$201 - $1,000	
	Marshall & Illsey Bank Stock	$100,001 - $250,000	Dividends	$1,001 - $2,500	
	AB Freight Stock	$1,001 - $15,000	Dividends	None	S
	Boeing Corp Stock	$1,001 - $15,000	Dividends	$1 - $200	P AND S
	Callaway Golf Stock	$1,001 - $15,000	Dividends	$1 - $200	S
	Comp USA Stock	$1,001 - $15,000	Dividends	None	S
	Kohls Stock	$1,001 - $15,000	Dividends	None	
SP	Marisco Growth & Income	$1,001 - $15,000	Capital Gains and Dividends	None	P
	Boeing Corp Stock	$1,001 - $15,000	Dividends	None	P
	Compaq Computer Stock	$1,001 - $15,000	Dividends	$1 - $200	P
	Einstein/Noah Bagel Stock	$1 - $1,000	Dividends	None	P
	Motorola Inc., Stock	$1,001 - $15,000	Dividends	None	P

1967

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Toymax Intl. Inc , Stock	$1 - $1,000	Dividends	None	P
	Walgreen Co.,Stock	$1,001 - $15,000	Dividends	None	P
	Harley Davidson Inc., Stock	$1,001 - $15,000	Dividends	$1 - $200	P
	LaSalle Hotel Properties, Stock	$1,001 - $15,000	Dividends	$1 - $200	P AND S
	Waddle & Reed Fincl. Inc., Stock	$1,001 - $15,000	Capital Gains	$201 - $1,000	P AND S
	Ziff-Davis Inc., Stock	$1,001 - $15,000	Capital Gains	$201 - $1,000	P AND S
	Wisconsin Retirement System	$100,001 - $250,000	Interest	NA	NA
SP	TIAA CREF Retirement Acct	$50,001 - $100,000	Interest	NA	NA

1968

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Vanguard Reit Stock	Sold	2/6/98	$1,001 - $15,000
	AB Freight Stock	Sold	9/23/98	$1,001 - $15,000
	Boeing Corp , Stock	Sold	12/31/99	$1,001 - $15,000
	Callaway Golf Stock	Sold	6/8/98	$1,001 - $15,000
	Comp USA Stock	Sold	2/2/98	$1,001 - $15,000
SP	Marisco Growth & Income	Purchase	3/6/98	$1,001 - $15,000
	Boeing Corp Stock	Purchase	12/10/98	$1,001 - $15,000
	Compaq Computer Stock	Purchase	2/2/98	$1,001 - $15,000
	Motorola Inc , Stock	Purchase	10/8/98	$1,001 - $15,000
	Toymax, Int'l Inc., Stock	Purchase	11/20/98	$1,001 - $15,000
	Walgreen Co , Stock	Purchase	12/10/98	$1,001 - $15,000
	Harley Davidson Inc , Stock	Purchase	2/13/98	$1,001 - $15,000
	LaSalle Hotel Properties Stock	Purchase	4/29/98	$1,001 - $15,000
	LaSalle Hotel Properties Stock	Sold	11/20/98	$1,001 - $15,000
	Waddle & Reed Fincl Inc.,Stock	Purchase	3/10/98	$1,001 - $15,000
	Waddle & Reed Fincl. Inc., Stock	Sold	3/10/98	$1,001 - $15,000

1969

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
Example:	Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Ziff-Davis Inc., Stock	Purchase	5/4/98	$1,001 - $15,000
	Ziff-Davis Inc., Stock	Sold	5/4/98	$1,001 - $15,000

Name Gerald D Kleczka	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child.
amount owed during the year
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
JT	Lincoln Savings Bank, Milwaukee, WI	Mortgage, 3262 S 9th St, Milwaukee, WI	$15,001 - $50,000

1971

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Account Number FA
642 119460 022

Date of Birth
November 20, 1943

GERALD D KLECZKA
4200 CHRISTINE PLACE
ALEXANDRIA, VA 22311

IRA Rollover
Dated 03/29/95

Asset Summary

	Value	Percent of assets
Money Market Funds	$99.07	0.1%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	58,182.59	99.9
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$58,281.66	100.0%
Cash	0.00	
Total Asset Value	$58,281.66	

Income Summary

	This Month	Year To Date
Money Market Funds	$0.32	$4.21
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	64.33	198.52
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$64.65	$202.73

Activity Summary

Total Asset Value as of September 30 1998 $48,033.88

Cash/Money Market Activity	
Closing Balance as of 9/30	$98.12
Income	131.37
Deposits	0.00
Assets Sold	0.00
Other	1,418.57
Withdrawals	0.00
Assets Bought	-1,548.99
Closing Balance as of 12/31	99.07

Net Change Cash/Money Market Activity 0.95

Changes in Asset Value	
Value of Priced Assets as of 9/30	$47,935.76
Assets Bought	1,548.99
Assets Received	0.00
Assets Sold	0.00
Assets Delivered	0.00
Transactions at Fund Company	1,138.38
Change in Value of Priced Assets	7,559.46
Value of Priced Assets as of 12/31	58,182.59

Net Change in Asset Value 10,246.83

Total Asset Value as of December 31 1998 $58,281.66

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

1972

GERALD D KLECZKA
4200 CHRISTINE PLACE

Account Number FA
642 119460 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
MSDW LIQUID ASSET FUND	99.07	0.1%	$4
Total Cash and Money Market Funds	**$99.07**	**0.1%**	**$4**

Annualized 30 Day Yields	
MSDW Liquid Asset Fund	4.76%
MSDW US Gvt Mymkt	4.30%

	Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Morgan Stanley Dean Witter Mutual Funds									
149.355	MSDW GROWTH FUND B	15.9400	2,380.71	4.1%	N/A	N/A	074963464	GRTBX	Reinv/Reinv
154.895	MSDW EUROPEAN GROWTH B	19.2600	2,983.27	5.2%	N/A	N/A	074958576	EUGBX	Reinv/Reinv
293.643	MSDW AMERICAN VALUE B	32.8500	9,646.17	16.6%	N/A	N/A	074969836	AMVBX	Reinv/Reinv
41.983	MSDW DIVIDEND GROWTH B	61.3600	2,576.07	4.4%	N/A	N/A	074953221	DIVBX	Reinv/Reinv
188.758	TCW/DW SMALL CAP GROWTH B	23.3400	4,405.61	7.6%	N/A	N/A	075065699	TSGBX	Reinv/Reinv
	Total Morgan Stanley Dean Witter Mutual Funds		21,991.83	37.9%	N/A	N/A			
Other Mutual Funds									
166.564	TEMPLETON DEVELOPING MARKETS I	10.3000	1,715.60	2.9%	N/A	N/A	0000000018	TEDMX	Reinv/Reinv
47.777	ALLIANCE TECHNOLOGY B	73.9200	3,531.67	6.1%	N/A	N/A	0282003301	ATEBX	Reinv/Reinv
108.458	ALLIANCE GRWTH B	40.5200	4,394.71	7.5%	N/A	N/A	0331024999	AGBBX	Reinv/Reinv
75.797	MFS EMERGING GROWTH FUND B	43.0600	3,263.81	5.6%	N/A	N/A	0000097756	MEGBX	Reinv/Reinv
99.138	OPPEN MAIN ST GRWTH & INC A	39.9100	3,956.59	6.8%	N/A	N/A	7007002667	MSIGX	Reinv/Reinv
952.469	VAN KAMPEN GLOBAL EQUITY FD B	11.1300	10,600.97	18.2%	N/A	N/A	0005927253	MGEBX	Reinv/Reinv
105.982	AIM CONSTELLATION A	30.5200	3,234.57	5.5%	N/A	N/A	5004636956	CSTGX	Reinv/Reinv
108.708	EUROPACIFIC GROWTH FUND	28.4000	3,087.30	5.3%	N/A	N/A	0024149239	AEPGX	Reinv/Reinv
286.716	TEMPLETON FOREIGN FUND I	8.3900	2,405.54	4.1%	N/A	N/A	0000049506	TEMFX	Reinv/Reinv
	Total Other Mutual Funds		36,190.76	62.0%	N/A	N/A			
Total Mutual Funds			$58,182.59	99.9%	N/A	N/A			

Asset Summary	Value	Est Yrly Income
Total Asset Value	58,281.66	$4

1973

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

BONNIE L KLECZKA
4200 CHRISTINE PLACE

Account Number 642 127434 FA 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
MSDW LIQUID ASSET FUND	672.74	4.8%	32
Total Cash and Money Market Funds	$672.74	4.8%	$32

Annualized 30 Day Yields	
MSDW Liquid Asset Fund	4.76%
MSDW US Gvt Mymkt	4.30%

Mutual Funds		Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Other Mutual Funds									
63.948	MFS EMERGING GROWTH FUND B	45.0600	2,753.60	20.1%	N/A	N/A	0000118774	MEGBX	Reinv/Reinv
Total Mutual Funds			$2,753.60	20.1%	N/A	N/A			

Other		Current Price	Value	Pct of Assets
Other				
1,056	KRUPP INSURED PLUS	9.77	10,316.36	75.1%
Total Other			$10,316.36	75.1%

Asset Summary	Value	Est Yrly Income
Total Asset Value	13,742.70	$32

ACTIVITY DETAILS

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	12-17	Cap Gain	.642	MFS EMERGING GROWTH FUND B	40.0700	+25.71	TRANSACTION AT FUND COMPANY

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

1974

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 1998

BONNIE L KLECZKA
4200 CHRISTINE PLACE

Account Number 642 127434 FA 022

Income

Date	Activity	Description	Amount	Income Category
12-31	Dividend	MSDW LIQUID ASSET FUND	2.56	Money Market Funds
		Total Income	$2.56	

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance as of 11/30	670.18	
12-31	Dividend Reinvestment	MSDW LIQUID ASSET FUND	2.56	
		Closing Balance as of 12/31	672.74	

Morgan Stanley Dean Witter Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
MSDW LIQUID ASSET FUND	34.76	0.00	0.00	Reinvest Dividends
Totals	$34.76	$0.00	$0.00	

For Morgan Stanley Dean Witter Mutual Fund Account Information, call 1-800-869-NEWS(6397).

MSDW LIQUID ASSET FUND
Following are the Fund's 30-day average annualized yields during the past quarter: October 4.98%, November 4.85% and December 4.70%.

Retirement Recap

	1998	1997
Account Valuation	13,742.70	11,055.08

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

1975

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement
YEAR END ASSETS

Account Number 642 119411 FA 022

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
ACTIVE ASSETS INSURED ACCOUNT	3,142.19	2.1%	129
Total Cash and Money Market Funds	**$3,142.19**	2.1%	**$129**

Annualized 30 Day Yields

Active Assets Money Trust	4.85%
Active Assets Govt. Sec. Tr	4.54%
Active Assets California Tax Free	2.40%
Active Assets Tax Free Trust	2.78%
Active Assets Insured Account	4.13%
Active Assets Deposit Account	4.13%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
36	ABERCROMBIE & FITCH CO	70 5/4	2,547.00	1.8%	0	0.00%	ANF	
68	ALLEGIANCE CORPORATION	46 5/8	3,170.50	2.2%	14	.45%	AEH	Next Dividend Payable 01/04/99
30	ALTERA CP	60 7/8	1,826.25	1.3%	0	0.00%	ALTR	
48	AMERICA ONLINE INC	155 1/8	7,446.00	5.0%	0	0.00%	AOL	
72	APPLE COMPUTER INC	40 15/16	2,947.50	2.0%	0	0.00%	AAPL	
35	ASHLAND INCORPORATED	48 3/8	1,693.12	1.2%	38	2.27%	ASH	Next Dividend Payable 03/99
44	BEAR STEARNS COMPANIES INC	37 7/16	1,647.25	1.2%	26	1.60%	BSC	Next Dividend Payable 02/99
42	BED BATH & BEYOND INC	34 1/8	1,433.25	1.0%	0	0.00%	BBBY	
32	BEST BUY CO	61 3/8	1,964.00	1.4%	0	0.00%	BBY	
26	BIOGEN INC MASS	83	2,158.00	1.5%	0	0.00%	BGEN	
58	BLYTH INDUSTRIES INC	31 1/4	1,812.50	1.2%	0	0.00%	BTH	
25	BMC SOFTWARE	44 9/16	1,114.06	0.7%	0	0.00%	BMCS	
11	CAPITAL ONE FINANCIAL CORP	115	1,265.00	0.8%	3	.27%	COF	Next Dividend Payable 02/99
44	CARLISLE CO INC	51 5/8	2,271.50	1.5%	28	1.23%	CSL	Next Dividend Payable 03/99
48	CENTEX CORP	45 1/16	2,163.00	1.5%	7	.35%	CTX	Next Dividend Payable 01/07/99
31	CITRIX SYSTEMS INC	97 1/16	3,008.93	2.0%	0	0.00%	CTXS	
50	COMCAST CORPORATION CLASS A	57 7/16	2,871.87	1.9%	4	.16%	CMCSA	Next Dividend Payable 03/99
32	COMPUWARE CORP	78 1/8	2,500.00	1.7%	0	0.00%	CPWR	
40	COMVERSE TECHNOLOGY INC	71	2,840.00	1.9%	0	0.00%	CMVT	
46	COORS ADOLPH CO CLASS B	56 7/16	2,596.12	1.7%	27	1.06%	ACCOB	Next Dividend Payable 03/99
42	COUNTRYWIDE CREDIT INDS DEL	50 3/16	2,107.87	1.4%	13	.63%	CCR	Next Dividend Payable 02/99
52	DANAHER CORP	54 5/16	2,824.25	1.9%	3	.11%	DHR	Next Dividend Payable 01/29/99
162	DARDEN RESTAURANTS	18	2,916.00	2.0%	12	.44%	DRI	Next Dividend Payable 05/99
64	DIME BANCORP INC NEW	26 1/4	1,680.00	1.1%	12	.76%	DME	Next Dividend Payable 03/99
44	EARTHGRAINS CO	30 15/16	1,361.25	0.9%	7	.51%	EGR	Next Dividend Payable 02/99
144	FAMILY DOLLAR STORES	22	3,168.00	2.1%	25	.81%	FDO	Next Dividend Payable 01/15/99
75	FIRST AMERICAN FINL CORP	32 1/8	2,409.37	1.6%	18	.74%	FAF	Next Dividend Payable 01/15/99
28	FLEXTRONICS INTL LTD	85 5/8	2,397.50	1.6%	0	0.00%	FLEX	
62	GENL INSTR CORP DELA	33 15/16	2,104.12	1.4%	0	0.00%	GIC	
29	GUIDANT CORP	110	3,190.00	2.1%	1	.04%	GDT	Next Dividend Payable 03/99
46	GULFSTREAM AEROSPACE CP NEW	53 1/4	2,449.50	1.6%	0	0.00%	GAC	
40	HERTZ CORPORATION A	45 5/8	1,825.00	1.2%	8	.43%	HRZ	Next Dividend Payable 03/99
41	INGERSOLL RAND	47 1/4	1,937.25	1.3%	24	1.26%	IR	Next Dividend Payable 03/99

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

1976

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number FA
642 119411 022

	Stocks	Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
17	LAFARGE	40 1/2	688.50	0.5%	10	1.48%	LAF	Next Dividend Payable 03/99
39	LEGATO SYSTEMS INC	65 15/16	2,571.56	1.7%	0	0.00%	LGTO	
30	LEVEL ONE COMMUNICATIONS INC	35 1/2	1,065.00	0.7%	0	0.00%	LEVL	
37	LEXMARK INTL GROUP INC A	100 1/2	3,718.50	2.5%	0	0.00%	LXK	
7	LINCOLN NTL CORP IND	81 13/16	572.68	0.4%	15	2.68%	LNC	Next Dividend Payable 02/99
29	LONGS DRUG STORES CORP	37 1/2	1,087.50	0.7%	16	1.49%	LDG	Next Dividend Payable 01/08/99
44	MAYTAG CORP	62 1/4	2,739.00	1.8%	31	1.15%	MYG	Next Dividend Payable 03/99
19	MCKESSON CORP NEW	79 1/16	1,502.18	1.0%	9	.63%	MCK	Next Dividend Payable 01/04/99
37	NETWORKS ASSOCIATES INC	66 1/4	2,451.25	1.6%	0	0.00%	NETA	
109	NOVELL INC	18 1/8	1,975.62	1.3%	0	0.00%	NOVL	
28	NOVELLUS SYSTEM	49 1/2	1,386.00	0.9%	0	0.00%	NVLS	
72	OMNICARE INC	34 3/4	2,502.00	1.7%	5	.23%	OCR	Next Dividend Payable 03/99
45	OMNICOM GROUP	58	2,610.00	1.8%	27	1.03%	OMC	Next Dividend Payable 01/05/99
18	OWENS CORNING	35 7/16	637.87	0.4%	5	.84%	OWC	Next Dividend Payable 01/15/99
45	PAPA JOHNS INTL INC	44 1/8	1,985.62	1.3%	0	0.00%	PZZA	
35	PMC SIERRA INC	63 1/8	2,209.37	1.5%	0	0.00%	PMCS	
30	PROVIDIAN FINCL CORP	75	2,250.00	1.5%	3	.17%	PVN	Next Dividend Payable 03/99
25	QUINTILES TRANSNATIONAL	53 3/8	1,334.37	0.9%	0	0.00%	QTRN	
36	ROBERT HALF INT	44 1/2	1,602.00	1.1%	0	0.00%	RHI	
106	SHAW INDUSTRIES INC	24 1/4	2,570.50	1.7%	31	1.23%	SHX	
45	SYMBOL TECHNLGS	63 15/16	2,877.18	1.9%	1	.06%	SBL	Next Dividend Payable 04/99
82	TJX COS INC NEW	29	2,378.00	1.6%	9	.41%	TJX	Next Dividend Payable 03/99
42	TRANSACTION SYS ARCH INC A	50	2,100.00	1.4%	0	0.00%	TSAI	
125	UNISYS CORP	34 7/16	4,304.68	2.9%	0	0.00%	UIS	
37	VERITAS SOFTWARE DE	59 15/16	2,217.68	1.5%	0	0.00%	VRTS	
10	VISX INC DEL	87 7/16	874.37	0.6%	0	0.00%	VISX	
56	VITESSE SEMICONDUCTORS	45 5/8	2,555.00	1.7%	0	0.00%	VTSS	
46	WATSON PHARMACEUTICAL INC	62 7/8	2,892.25	1.9%	0	0.00%	WPI	
24	WEBSTER FINCL CORP	27 7/16	658.50	0.4%	10	1.60%	WBST	Next Dividend Payable 02/99
35	WELLPOINT HLTH NET INC NEW	87	3,045.00	2.0%	0	0.00%	WLP	
53	WESTPOINT STEVENS INCORP CL A	31 9/16	1,672.81	1.1%	0	0.00%	WPSN	
14	YAHOO INC	236 15/16	3,317.12	2.2%	0	0.00%	YHOO	
otal Stocks			**$145,998.07**	**97.9%**	**$457**	**.31%**		

sset Summary	Value	Est Yrly Income
otal Asset Value	149,140.26	$587

1977

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter [illegible]

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 119411 FA 022

1998 ACTIVITY DETAILS

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	12-29	Bought	36	ABERCROMBIE & FITCH CO	63.7640	2,295.50	
	01-30	Sold	14	ADAPTEC INC	22.2145	310.98	
	06-04	Bought	51	AIRBORNE FREIGHT DEL	34.5762	1,763.39	
	08-06	Sold	22	AIRBORNE FREIGHT DEL	23.3891	514.54	
	09-02	Sold	29	AIRBORNE FREIGHT DEL	20	579.98	
	08-03	Bought	34	ALLEGIANCE CORPORATION	58.0255	1,972.87	
	08-25	Stock Dividend	34	ALLEGIANCE CORPORATION			
	06-30	Sold	69	ALLIED WASTE INDUSTRIES NEW	25.2500	1,742.19	
	12-02	Sold	50	ALLIED WASTE INDUSTRIES NEW	19.9375	996.84	
	11-05	Bought	30	ALTERA CP	42.3306	1,269.92	
	10-16	Bought	24	AMERICA ONLINE INC	92	2,208.00	
	11-17	Stock Dividend	24	AMERICA ONLINE INC			
	12-31	Sold	25	AMERICA ONLINE INC	147.2754	3,681.76	UNSETTLED SALE
	11-05	Bought	29	AMR CORP DE	67.9773	1,971.34	
	12-21	Sold	18	AMR CORP DE	56.1250	1,010.21	
	12-30	Sold	11	AMR CORP DE	58 12/16	646.22	
	03-31	Sold	8	AMRESCO INC	32.8319	262.65	
	08-28	Sold	44	AMRESCO INC	17.3800	764.69	
	04-27	Stock Dividend	20	APOLLO GROUP INC			
	06-29	Sold	23	APOLLO GROUP INC A	32 3/16	740.28	
	08-31	Sold	14	APOLLO GROUP INC A	37.3946	523.50	
	12-23	Sold	24	APOLLO GROUP INC A	25.6006	614.38	
	05-11	Bought	72	APPLE COMPUTER INC	29.5356	2,126.56	
	05-26	Bought	53	ARTERIAL VASCULAR ENGINEER INC	34.5000	1,828.50	
	11-02	Sold	53	ARTERIAL VASCULAR ENGINEER INC	27.9375	1,480.64	
	02-19	Bought	43	AUTODESK INC DELAWARE	44.4463	1,911.19	
	07-24	Sold	20	AUTODESK INC DELAWARE	32.8469	656.91	
	08-20	Sold	23	AUTODESK INC DELAWARE	31.7354	729.88	
	12-08	Bought	44	BEAR STEARNS COMPANIES INC	40.2949	1,772.98	
	07-31	Stock Dividend	37	BED BATH & BEYOND INC			
	08-24	Bought	39	BED BATH & BEYOND INC	22	858.00	
	12-17	Sold	71	BED BATH & BEYOND INC	28.5033	2,023.66	
	08-31	Bought	36	BEST BUY CO	50.8221	1,829.60	
	11-02	Sold	36	BEST BUY CO	45.1250	1,624.44	
	12-03	Bought	32	BEST BUY CO	58.4375	1,870.00	
	11-09	Bought	26	BIOGEN INC MASS	73.5713	1,912.85	
	02-20	Stock Dividend	19	BJ SVCS CO			
	03-02	Sold	38	BJ SVCS CO	31.8147	1,208.91	
	01-02	Bought	58	BLYTH INDUSTRIES INC	27.9990	1,623.94	
	04-15	Sold	5	BMC SOFTWARE	82.7453	413.71	
	05-15	Stock Dividend	29	BMC SOFTWARE			
	10-07	Sold	33	BMC SOFTWARE	51.3896	1,695.80	
	01-06	Bought	55	BORDERS GROUP INC	31	1,705.00	
	08-28	Sold	55	BORDERS GROUP INC	24.0832	1,324.53	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 119411 FA 022

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	01-14	Sold	28	CAMCO INTERNATIONAL INC	51.8455	1,451.62	
	07-14	Sold	15	CAPITAL ONE FINANCIAL CORP	126.5349	1,897.95	
	09-03	Sold	12	CAPITAL ONE FINANCIAL CORP	87.6031	1,051.20	
	07-27	Bought	45	CARLISLE CO INC	47.4989	2,137.45	
	11-02	Sold	45	CARLISLE CO INC	36.8750	1,659.32	
	12-03	Bought	44	CARLISLE CO INC	45.1875	1,988.25	
	02-27	Stock Dividend	39	CENTEX CORP			
	07-31	Sold	30	CENTEX CORP	39.3886	1,181.62	
	12-24	Bought	31	CITRIX SYSTEMS INC	90.0326	2,791.01	
	03-02	Sold	62	COGNEX CORP	22.5000	1,394.95	
	07-06	Bought	50	COMCAST CORPORATION CLASS A	40.1184	2,005.92	
	09-29	Sold	19	COMPUWARE CORP	60.7427	1,154.07	
	10-07	Sold	16	COMPUWARE CORP	50.2288	803.63	
	03-05	Bought	40	COMVERSE TECHNOLOGY INC	46.6880	1,867.52	
	07-31	Sold	19	CONTINENTIAL ALNS INC CL B	55.5625	1,055.65	
	08-28	Sold	11	CONTINENTIAL ALNS INC CL B	48.9426	538.35	
	10-30	Sold	13	CONTINENTIAL ALNS INC CL B	34.9193	453.93	
	04-30	Sold	11	COOPER CAMERON CORP	61.1455	672.57	
	06-01	Sold	11	COOPER CAMERON CORP	58	637.97	
	10-20	Bought	46	COORS ADOLPH CO CLASS B	50.6176	2,328.41	
	10-02	Bought	42	COUNTRYWIDE CREDIT INDS DEL	44 6/16	1,863.75	
	01-14	Sold	44	DALLAS SEMICONDUCTOR CORP	39.0283	1,717.19	
	04-07	Bought	26	DANAHER CORP	77.7522	2,021.56	
	05-29	Stock Dividend	26	DANAHER CORP			
	03-06	Sold	46	DEXTER CORP	40.3774	1,857.29	
	06-09	Bought	66	DIME BANCORP INC NEW	30	1,980.00	
	11-02	Sold	66	DIME BANCORP INC NEW	22.8750	1,509.69	
	12-03	Bought	64	DIME BANCORP INC NEW	26.6250	1,704.00	
	02-02	Sold	18	DOLLAR GENERAL CORPORATION	36.0646	649.13	
	03-23	Stock Dividend	3	DOLLAR GENERAL CORPORATION			
	07-29	Sold	18	DOLLAR GENERAL CORPORATION	41.5648	748.14	
	08-03	Bought	61	EARTHGRAINS CO	32 11/16	1,993.94	
	10-30	Sold	17	EARTHGRAINS CO	34.2317	581.92	
	06-01	Sold	37	ENSCO INTL INC	25.5691	946.02	
	09-29	Sold	11	ESTEE LAUDER COMPANIES INC A	57	626.97	
	04-30	Stock Dividend	72	FAMILY DOLLAR STORES			
	05-19	Bought	25	FIRST AMERICAN FINL CORP	78.0925	1,952.31	
	07-17	Stock Dividend	50	FIRST AMERICAN FINL CORP			
	01-29	Sold	20	FIRSTPLUS FINANCIAL GROUP INC	28.5829	571.64	
	02-09	Sold	19	FIRSTPLUS FINANCIAL GROUP INC	30.0297	570.54	
	02-03	Received	23	FLEET FINANCIAL GROUP INC NEW			EXCHANGE
	02-03	Sold		FLEET FINANCIAL GROUP INC NEW		8.90	CASH IN LIEU OF FRACTIONS
	03-02	Sold	23	FLEET FINANCIAL GROUP INC NEW	79.0871	1,818.93	
	12-09	Bought	28	FLEXTRONICS INTL LTD	75.9338	2,126.15	
	01-29	Sold	23	GENCORP INC	24 10/16	566.36	
	08-31	Sold	65	GENERAL NUTRITION COS INC	18.2225	1,184.42	

1979

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 119411 FA 022

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	12-11	Bought	62	GENL INSTR CORP DELA	30.0774	1,864.80	
	01-06	Sold	49	GLOBAL MARINE INC NEW	24.8077	1,215.53	
	10-16	Bought	46	GULFSTREAM AEROSPACE CP NEW	34.0689	1,567.17	
	04-15	Sold	10	HBO & CO	60.2787	602.77	
	06-09	Stock Dividend	42	HBO & CO			
	07-23	Sold	38	HBO & CO	33.4852	1,272.39	
	10-26	Sold	46	HBO & CO	25.3767	1,167.29	
	07-17	Stock Dividend	12	HEALTH MGMT ASSOC CL A			
	11-02	Sold	37	HEALTH MGMT ASSOC CL A	17	628.97	
	12-31	Stock Dividend	18	HELMERICH&PAYNE INC			
	01-09	Sold	36	HELMERICH&PAYNE INC	30.0038	1,080.10	
	08-03	Bought	69	HERMAN MILLER INC	28.1993	1,945.75	
	11-02	Sold	69	HERMAN MILLER INC	22	1,517.94	
	12-03	Bought	66	HERMAN MILLER INC	22.0625	1,456.13	
	12-21	Sold	66	HERMAN MILLER INC	20.9539	1,382.91	
	08-31	Bought	40	HERTZ CORPORATION A	45.3209	1,812.84	
	11-02	Sold	40	HERTZ CORPORATION A	35.7500	1,429.95	
	12-03	Bought	40	HERTZ CORPORATION A	36.5000	1,460.00	
	07-31	Sold	49	HEXCEL CORP NEW	16.4712	807.06	
	03-16	Stock Dividend	25	ICN PHARMACEUTICALS INC NEW			
	06-29	Sold	33	ICN PHARMACEUTICALS INC NEW	45.7006	1,508.06	
	07-21	Sold	43	ICN PHARMACEUTICALS INC NEW	34.6396	1,489.45	
	08-18	Bought	41	INGERSOLL RAND	45.1250	1,850.13	
	02-02	Bought	33	JOHNSON CONTROLS INCORPORATED	50.4373	1,664.43	
	06-15	Sold	16	JOHNSON CONTROLS INCORPORATED	56.9646	911.39	
	07-29	Sold	17	JOHNSON CONTROLS INCORPORATED	53.0966	902.61	
	06-25	Stock Dividend	31	JONES APPAREL GRP INC			
	07-30	Sold	19	JONES APPAREL GRP INC	27	512.98	
	09-16	Bought	37	JONES APPAREL GRP INC	20.0514	741.90	
	10-27	Sold	80	JONES APPAREL GRP INC	17.2178	1,377.37	
	12-04	Sold	89	KAUFMAN & BROAD HOME CP	25.2916	2,250.87	
	02-05	Sold	18	KEANE INC	41.1301	740.31	
	10-08	Sold	15	KEANE INC	30.8493	462.72	
	12-04	Sold	45	KEANE INC	29.3717	1,321.68	
	01-29	Sold	21	LAFARGE	31.7735	667.21	
	08-03	Bought	66	LEARNING CO INC	28.2815	1,866.58	
	08-21	Bought	26	LEARNING CO INC	26 14/16	698.75	
	11-02	Sold	92	LEARNING CO INC	24.8125	2,282.67	
	12-03	Bought	91	LEARNING CO INC	29	2,639.00	
	12-21	Sold	91	LEARNING CO INC	24.6588	2,243.87	
	02-02	Bought	10	LEGATO SYSTEMS INC	45.2500	452.50	
	04-17	Stock Dividend	53	LEGATO SYSTEMS INC			
	07-23	Sold	39	LEGATO SYSTEMS INC	45 5/8	1,779.32	
	11-02	Sold	28	LEGATO SYSTEMS INC	38.4778	1,077.34	
	03-03	Bought	37	LEGGETT & PLATT INC	49.9330	1,847.52	
	06-15	Stock Dividend	37	LEGGETT & PLATT INC			

1980

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 119411 FA 022

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	08-28	Sold	47	LEGGETT & PLATT INC	24.3109	1,142.57	
	09-29	Sold	27	LEGGETT & PLATT INC	22	593.98	
	08-31	Bought	30	LEHMAN BROS HOLDING INC DELA	58.8125	1,764.38	
	09-15	Sold	30	LEHMAN BROS HOLDING INC DELA	37.1250	1,113.71	
	02-20	Bought	43	LEVEL ONE COMMUNICATIONS INC	41 3/4	1,795.25	
	05-30	Stock Dividend	21	LEVEL ONE COMMUNICATIONS INC			
	07-29	Sold	34	LEVEL ONE COMMUNICATIONS INC	21.3318	725.25	
	01-30	Bought	9	LEXMARK INTL GROUP INC A	37.0080	333.07	
	08-21	Sold	28	LEXMARK INTL GROUP INC A	73 15/16	2,070.18	
	09-01	Bought	20	LINCOLN NTL CORP IND	91.9665	1,839.33	
	10-30	Sold	12	LINCOLN NTL CORP IND	71.0364	852.41	
	11-02	Sold	8	LINCOLN NTL CORP IND	71.7500	573.98	
	12-03	Bought	7	LINCOLN NTL CORP IND	83.4375	584.06	
	07-29	Sold	17	LIZ CLAIBORNE	39 14/16	677.85	
	09-01	Bought	56	LONGS DRUG STORES CORP	33.2000	1,859.20	
	12-01	Sold	27	LONGS DRUG STORES CORP	34.2543	924.83	
	12-21	Bought	44	MAYTAG CORP	57.9698	2,550.67	
	09-25	Bought	20	MCKESSON CORP NEW	90.2161	1,804.32	
	11-02	Sold	20	MCKESSON CORP NEW	74.8125	1,496.20	
	12-03	Bought	19	MCKESSON CORP NEW	72	1,368.00	
	02-03	Bought	19	MGIC INVT CORP	67.9887	1,291.79	
	08-20	Sold	18	MGIC INVT CORP	47.0817	847.44	
	08-28	Sold	17	MGIC INVT CORP	46.1411	784.37	
	03-02	Sold	21	MRV COMMUNICATION INC	24.7500	519.73	
	03-31	Sold	27	MRV COMMUNICATION INC	23.5000	634.47	
	06-01	Sold	49	NAVISTAR INTNL CORP NEW HLDG	31.4389	1,540.45	
	12-01	Sold	42	NAVISTAR INTNL CORP NEW HLDG	26.6157	1,117.82	
	05-25	Sold	21	NETWORKS ASSOCIATES INC	64.4668	1,353.75	
	12-29	Bought	37	NETWORKS ASSOCIATES INC	63.2910	2,341.77	
	05-15	Stock Dividend	30	NO FORK BANCORP			
	07-13	Sold	10	NO FORK BANCORP	26	259.99	
	08-28	Sold	80	NO FORK BANCORP	22.8513	1,828.03	
	12-01	Bought	109	NOVELL INC	18.1494	1,978.28	
	12-08	Bought	28	NOVELLUS SYSTEM	56.0633	1,569.77	
	12-28	Bought	72	OMNICARE INC	30.3534	2,185.44	
	12-29	Stock Dividend	25	OMNICOM GROUP			
	07-13	Sold	5	OMNICOM GROUP	55.8242	279.11	
	03-02	Sold	45	ORBOTECH LTD	37.1999	1,673.94	
	11-13	Bought	47	OWENS CORNING	38.3369	1,801.83	
	12-01	Sold	29	OWENS CORNING	36.1270	1,047.64	
	07-06	Bought	22	PACIFICARE HLTH SYS INC B	87.9911	1,935.80	
	11-02	Sold	22	PACIFICARE HLTH SYS INC B	68.6250	1,509.69	
	06-05	Bought	48	PAPA JOHNS INTL INC	42.2500	2,028.00	
	11-02	Sold	48	PAPA JOHNS INTL INC	38.5625	1,850.93	
	12-03	Bought	45	PAPA JOHNS INTL INC	42.3750	1,906.88	
	01-22	Bought	30	PARKER HANNIFIN CORP	47.9361	1,438.08	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

1981

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 119411 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-05	Sold	49	PARKER HANNIFIN CORP	40.9838	2,008.14	
11-27	Bought	35	PMC SIERRA INC	58.4988	2,047.46	
12-08	Bought	20	PROVIDIAN FINCL CORP	92.1830	1,843.66	TRADE CARRIES STOCK DISTRIB.
12-15	Stock Dividend	10	PROVIDIAN FINCL CORP			
01-16	Bought	40	QUICK & REILLY GROUP INC	39.8154	1,592.62	
02-03	Tender	40	QUICK & REILLY GROUP INC			EXCHANGE
01-05	Bought	44	QUINTILES TRANSNATIONAL	37.7307	1,660.15	
01-28	Bought	14	QUINTILES TRANSNATIONAL	41.7500	584.50	
11-03	Sold	33	QUINTILES TRANSNATIONAL	43.0141	1,419.42	
02-25	Sold	42	ROBERT HALF INT	44 4/16	1,858.43	
01-29	Sold	54	ROHAN COMPANIES INC	24.2813	1,311.14	
02-04	Bought	32	ROYAL CARRIBBEAN CRUISES LTD	52.3111	1,673.96	
07-31	Stock Dividend	32	ROYAL CARRIBBEAN CRUISES LTD			
12-04	Sold	43	ROYAL CARRIBBEAN CRUISES LTD	30.2694	1,301.53	
12-18	Sold	21	ROYAL CARRIBBEAN CRUISES LTD	30 12/16	645.72	
03-31	Sold	39	SCI SYSTEMS INC	39.0848	1,524.25	
01-28	Bought	62	SERVICEMASTER CO	27.1842	1,685.42	
04-30	Sold	22	SERVICEMASTER CO	27.5000	604.97	
06-01	Sold	40	SERVICEMASTER CO	30.9123	1,236.44	
08-03	Bought	108	SHAW INDUSTRIES INC	17.9833	1,942.20	
11-02	Sold	108	SHAW INDUSTRIES INC	15.9375	1,721.19	
12-03	Bought	106	SHAW INDUSTRIES INC	20.3750	2,159.75	
01-02	Stock Dividend	42	SLM HOLDING CORP			
01-29	Sold	33	SLM HOLDING CORP	39.9244	1,317.46	
04-30	Sold	11	SLM HOLDING CORP	44.2500	486.73	
06-01	Sold	15	SLM HOLDING CORP	39 14/16	598.11	
07-20	Sold	42	SMITHFIELD FOODS INC (VA)	26.6833	1,120.66	
08-03	Bought	41	SNYDER COMMUNICATIONS INC	46.7500	1,916.75	
11-02	Sold	41	SNYDER COMMUNICATIONS INC	37.1250	1,522.07	
09-09	Bought	47	STERLING COMMERCE INC	34.9768	1,643.91	
12-18	Sold	47	STERLING COMMERCE INC	34 12/16	1,633.19	
06-26	Stock Dividend	26	STORAGE TECHNOLOGY CORP NEW			
07-29	Sold	20	STORAGE TECHNOLOGY CORP NEW	39.6250	792.47	
09-21	Sold	32	STORAGE TECHNOLOGY CORP NEW	24 12/16	791.97	
01-29	Sold	16	SUNBEAM CORPORATION	41.0672	657.05	
02-13	Sold	26	SUNBEAM CORPORATION	36.3736	945.67	
03-04	Bought	31	SUNDSTRAND CORP	60.3745	1,871.61	
11-02	Sold	31	SUNDSTRAND CORP	46.7500	1,449.20	
02-20	Stock Dividend	12	SYBRON INTL CP WISC			
06-01	Sold	24	SYBRON INTL CP WISC	22.5739	541.75	
10-19	Bought	45	SYMBOL TECHNLGS	44	1,980.00	
12-17	Sold	49	TANDY CORP	38.5778	1,890.24	
07-13	Sold	5	TEXAS INDUSTRIES	52.8908	264.44	
07-29	Sold	31	TEXAS INDUSTRIES	40.1201	1,243.67	
04-29	Sold	29	TIDEWATER INC	38	1,101.96	
10-30	Sold	16	TIFFANY & COMPANY NEW	33 2/16	529.98	

1982

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 119411 FA 022

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	06-16	Bought	49	TIMKEN CO	35.2500	1,727.25	
	08-04	Sold	49	TIMKEN CO	23 8/16	1,151.46	
	06-25	Stock Dividend	79	TJX COS INC NEW			
	07-30	Sold	46	TJX COS INC NEW	24.5603	1,129.73	
	10-19	Sold	30	TJX COS INC NEW	20.1250	603.72	
	04-29	Sold	42	TORCHMARK CORP	46.7671	1,964.15	
	11-02	Sold	41	TRANSACTION SYS ARCH INC A	34.6875	1,422.14	
	12-03	Bought	42	TRANSACTION SYS ARCH INC A	39	1,638.00	
	03-17	Bought	36	TRINITY IND DELAWARE	52 13/16	1,901.25	
	07-29	Sold	36	TRINITY IND DELAWARE	40.5333	1,459.15	
	04-30	Sold	5	UAL CORP .01 NEW	87.0438	435.20	
	06-01	Sold	15	UAL CORP .01 NEW	79.8712	1,198.03	
	12-15	Sold	44	UNIVERSAL CP VA	33.0028	1,452.07	
	03-13	Bought	30	UTD STATIONERS	62.1875	1,865.63	
	09-28	Stock Dividend	30	UTD STATIONERS			
	11-03	Sold	60	UTD STATIONERS	25.4702	1,528.15	
	04-03	Bought	72	VARCO INTERNATIONAL INC	26.7319	1,924.70	
	08-28	Sold	72	VARCO INTERNATIONAL INC	10.7976	777.40	
	11-05	Bought	37	VERITAS SOFTWARE DE	53.4460	1,977.50	
	08-04	Bought	32	VISX INC DEL	61.4087	1,965.08	
	11-02	Sold	32	VISX INC DEL	45.2500	1,447.95	
	12-03	Bought	10	VISX INC DEL	73.7500	737.50	
	05-26	Stock Dividend	39	VITESSE SEMICONDUCTORS			
	09-22	Sold	22	VITESSE SEMICONDUCTORS	24	527.98	
	07-01	Sold	16	VULCAN MATERIALS CO	105.2344	1,683.69	
	07-27	Bought	49	WARNACO GROUP A	39.5000	1,935.50	
	11-02	Sold	24	WARNACO GROUP A	24	575.98	
	11-02	Sold	25	WARNACO GROUP A	24.1250	603.10	
	06-04	Bought	46	WATSON PHARMACEUTICAL INC	44.3885	2,041.87	
	04-14	Stock Dividend	26	WEBSTER FINCL CORP			
	09-02	Sold	25	WEBSTER FINCL CORP	23.4618	586.53	
	11-02	Sold	27	WEBSTER FINCL CORP	23.1875	626.03	
	12-03	Bought	24	WEBSTER FINCL CORP	28	672.00	
	11-05	Bought	15	WELLPOINT HLTH NET INC NEW	76.9949	1,154.92	
	12-09	Bought	20	WELLPOINT HLTH NET INC NEW	84.9844	1,699.69	
	08-06	Bought	56	WESTPOINT STEVENS INCORP CL A	34.8888	1,953.77	
	11-02	Sold	56	WESTPOINT STEVENS INCORP CL A	27.1250	1,518.94	
	12-03	Bought	53	WESTPOINT STEVENS INCORP CL A	30.1875	1,599.94	
	03-04	Bought	30	WHOLE FOODS MARKETS INC	61	1,830.00	
	08-28	Sold	16	WHOLE FOODS MARKETS INC	47.7050	763.25	
	09-29	Sold	14	WHOLE FOODS MARKETS INC	43.0381	602.51	
	07-31	Sold	55	WOLVERINE WORLD WIDE	15.0991	830.42	
	06-30	Bought	9	YAHOO INC	153.9063	1,385.16	
	07-31	Stock Dividend	9	YAHOO INC			
	08-24	Bought	7	YAHOO INC	97.3532	681.47	
	10-14	Sold	11	YAHOO INC	100.0625	1,100.65	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

THE HONORABLE GERALD D KLECZKA
ACCESS #/1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number FA
642 119411 022

Income	Activity	Description	Amount	Income Category
	Taxable Interest	DEAN WITTER INSURED ACCOUNT	311.77	Money Market Funds
	Dividend	AIRBORNE FREIGHT DEL	1.16	Stocks
	Dividend	ALLEGIANCE CORPORATION	3.57	Stocks
	Dividend	ASHLAND INCORPORATED	38.52	Stocks
	Dividend	AUTODESK INC DELAWARE	5.16	Stocks
	Dividend	CAPITAL ONE FINANCIAL CORP	8.80	Stocks
	Dividend	CARLISLE CO INC	7.20	Stocks
	Dividend	CENTEX CORP	10.89	Stocks
	Dividend	COMCAST CORPORATION CLASS A	2.34	Stocks
	Dividend	COORS ADOLPH CO CLASS B	6.90	Stocks
	Dividend	COUNTRYWIDE CREDIT INDS DEL	3.36	Stocks
	Dividend	DANAHER CORP	1.43	Stocks
	Dividend	DARDEN RESTAURANTS	12.96	Stocks
	Dividend	DEXTER CORP	11.04	Stocks
	Dividend	DIME BANCORP INC NEW	3.30	Stocks
	Dividend	DOLLAR GENERAL CORPORATION	1.47	Stocks
	Dividend	EARTHGRAINS CO	4.20	Stocks
	Dividend	ENSCO INTL INC	.93	Stocks
	Dividend	ESTEE LAUDER COMPANIES INC A	3.76	Stocks
	Dividend	FAMILY DOLLAR STORES	25.20	Stocks
	Dividend	FIRST AMERICAN FINL CORP	8.25	Stocks
	Dividend	GUIDANT CORP	1.44	Stocks
	Dividend	HBO & CO	4.68	Stocks
	Dividend	HERMAN MILLER INC	2.50	Stocks
	Dividend	ICN PHARMACEUTICALS INC NEW	11.22	Stocks
	Dividend	INGERSOLL RAND	12.30	Stocks
	Dividend	JOHNSON CONTROLS INCORPORATED	15.18	Stocks
	Dividend	KAUFMAN & BROAD HOME CP	26.72	Stocks
	Dividend	LAFARGE	8.67	Stocks
	Dividend	LEGGETT & PLATT INC	11.84	Stocks
	Dividend	LINCOLN NTL CORP IND	10.40	Stocks
	Dividend	LIZ CLAIBORNE	3.82	Stocks
	Dividend	LONGS DRUG STORES CORP	7.84	Stocks
	Dividend	MGIC INVT CORP	2.64	Stocks
	Dividend	NO FORK BANCORP	30.25	Stocks
	Dividend	OMNICOM GROUP	24.38	Stocks
	Dividend	PARKER HANNIFIN CORP	14.70	Stocks
	Dividend	ROYAL CARRIBBEAN CRUISES LTD	15.36	Stocks
	Dividend	SERVICEMASTER CO	7.44	Stocks
	Dividend	SLM HOLDING CORP	3.64	Stocks
	Dividend	SUNDSTRAND CORP	15.81	Stocks
	Dividend	TANDY CORP	19.60	Stocks
	Dividend	TEXAS INDUSTRIES	5.40	Stocks
	Dividend	TIDEWATER INC	4.35	Stocks
	Dividend	TIFFANY & COMPANY NEW	5.12	Stocks
	Dividend	TJX COS INC NEW	14.51	Stocks
	Dividend	TORCHMARK CORP	12.60	Stocks

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

1984

THE HONORABLE GERALD D KLECZKA
ACCESS #1-NICHOLAS-APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 119411 FA 022

Income

Activity	Description	Amount	Income Category
Dividend	TRINITY IND DELAWARE	12.24	Stocks
Dividend	UNIVERSAL CP VA	49.28	Stocks
Dividend	VULCAN MATERIALS CO	16.64	Stocks
Dividend	WARNACO GROUP A	4.41	Stocks
Dividend	WEBSTER FINCL CORP	16.64	Stocks
Dividend	HOLVERINE WORLD WIDE	4.21	Stocks
	Sub Total Stocks	$556.27	
	Total Income	$868.04	

Other

Date	Activity	Description	Amount	Additional Information
01-02	Stock Dividend	SLM HOLDING CORP	19.56	CASH IN LIEU FRACTIONAL SHARE
01-15	Journal Out	ACCESS 1Q98 FEE NIC	-856.14	
03-16	Stock Dividend	ICN PHARMACEUTICALS INC NEW	22.59	CASH IN LIEU FRACTIONAL SHARE
03-23	Stock Dividend	DOLLAR GENERAL CORPORATION	29.25	CASH IN LIEU FRACTIONAL SHARE
03-30	Stock Dividend	LEVEL ONE COMMUNICATIONS INC	11.56	CASH IN LIEU FRACTIONAL SHARE
04-16	Journal Out	ACCESS 2Q98 FEE NIC	-980.83	
04-27	Stock Dividend	APOLLO GROUP INC	16.59	CASH IN LIEU FRACTIONAL SHARE
07-17	Stock Dividend	HEALTH MGMT ASSOC CL A	12.00	CASH IN LIEU FRACTIONAL SHARE
07-20	Journal Out	ACCESS 3Q98 FEE NIC	-998.20	
10-20	Journal Out	ACCESS 4Q98 FEE NIC	-928.94	
10-21	Journal In	ACCESS 4Q98 FEE NIC	928.94	-- 0 —
10-21	Journal Out	ACCESS 4Q98 FEE NIC	-598.17	
		Total	-$3,321.79	

Tax Information

For 1998

Reportable Gross Proceeds	160,309.85

The tax information provided above should be used only as a guide; A complete 1099 will be sent to you.

Messages

Please note that sell trades executed, checks written and Visa transactions made in 1998, and which settle early in 1999, will be reflected as 1998 transactions under the Assets, Checking and Visa sections of the Activity Details portion of the statement.

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

1985

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
HOUSE OFFICIAL RECORDS RESOURCE CENTER
99 MAY 17 AM 9:54
INSIDE MAIL
(Office Use Only)

Ronald Klink
(Full Name)

3410 Lashan Drive
(Mailing Address)

(202) 225-2565
Daytime Telephone

Murrysville, PA 15668-9480

Filer Status	[X] Member of the U S House of Representatives	State PA District 4th	[X] Officer or Employee	Employing Office US House of Representatives	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS— Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U S C app 4, §104 and 18 U S C §1001).

SIGNATURE OF REPORTING INDIVIDUAL Ron Klink

DATE (Month/Day/Year) 5-13-99

1986

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Onlano County Board of Education	Spouse Salary	NA
Linda Klink R D 7 Box 262 Greensburg, PA 15601-9569	Spouse/Selp Employed	N/A

For payments to charity in lieu of honoraria, use Schedule II.

1987

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500

This page may be copied if more space is required.

1988

Name Ronald Klink

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp Stock				X							X							Royalties				X				X			P
			Simon & Schuster		Indefinite																												
			1st Bank of Paducah, KY accounts					X								X										X							
SP	Inland Monthly Inc. Fund					X														Partnership Inc.				X								
JT	Westinghouse Common Stock					X								X							X											
JT	Irwin Bank				X											X						X										
JT	Irwin Bank				X											X					X											
DC	Coca Cola				X									X							X											P
JT	Paine Weber-Mutual Fund IRA					X								X		X	X				N/A		IRA									

For additional assets and unearned income, use next page.

1989

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald Klink

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Paine Weber-Mutual Fund IRA				X									X		X	X				N/A		IRA							
SP	Resources Trust IRA		X											X							N/A		IRA							
SP	Canada Life Assoc. Trust-IRA		X											X							N/A		IRA							
SP	Pioneer III IRA			X										X		X	X				N/A		IRA							
JT	The American Funds Group-IRA			X										X		X	X				N/A		IRA							
DC	Pioneer II			X										X									X							
SP	Washington Mutual Inc. IRA			X										X							N/A		IRA							
JT	Rob Roy Ind			X										X								X								
DC	Microsoft			X										X							X									
DC	II VI Corp			X										X							X									
JT	Paine Weber Money Market		X											X								X								
JT	Medtronic Inc			X										X								X								
JT	Pepsi Co				X									X									X							
JT	Hewlitt Packard			X										X								X								
JT	Intel Corp				X									X								X								
JT	3 Com Corp				X									X								X								
JT	K Mart Corp			X										X								X								
JT	Hartford Dir. Var. Annuity				X											X					X									

This page may be copied if more space is required.

1990

Name Ronald Klink | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
DC	Coca Cola	X			1-4-98	X									
JT	East Ohio Street Property (Transfered by Gift to Limited Partner for No Consideration)														
JT	Dagwood, Inc. (Transfered by Gift to Limited Partner for No Consideration)														

This page may be copied if more space is required.

1991

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St , Dover, Del.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

1992

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Edison Electric Institute	Jan. 16–17	Wash.,D.C - Ft. Lauderdale FL - Wash., D.C.	Y	Y	N	N
National Association of Realtors	Feb. 5–6	Wash., D.C. - Nashville Tennesse - Wash., D.C	Y	Y	N	N
Sheet Metal Works Conference	Aug. 17–18	Wash., D.C - Montreal Canada - Wash., D.C	Y	Y	N	N

This page may be copied if more space is required.

1993

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

1994

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 12 AM 10:15

INSIDE MAIL
(Office Use Only)

Joseph K. Knollenberg
(Full Name)

1130 Park Place Court
(Mailing Address)

Bloomfield Hills, MI 48302

(202)225-5802
Daytime Telephone

Filer Status
[X] Member of the U S House of Representatives — State Michigan, District 11th
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Joe Knollenberg

DATE (Month/Day/Year): 5-11-99

1995

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Allstate Insurance Co., Southfield, MI	Company paid portion of Group Term Life Insurance for retired employee.	$ 353
Sandra Knollenberg, Bloomfield Hills, MI	Self employed income Aerobics Instructor/ Video Sales	N/A

For payments to charity in lieu of honoraria, use Schedule II.

1996

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A	B	C	E	F	G	H	I	J	K	L										I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, E
SP	*Examples:*	SP	Mega Corp. Stock			X								X											X							P
DC			Simon & Schuster	Indefinite																Royalties										X			
JT			1st Bank of Paducah, KY accounts				X									X											X						
	Alliance World Inc Tr. Inc Secaucus, NJ	X												X								X										S
	Washington Mutual Inv. Fund Brea, CA				X									X			X							X								*
	NBD Bank Detroit, MI			X												X						X										
	First Federal of Michigan Detroit, MI	X														X						X										Closed in 1998
	The Bank of Bloomfield Hills Bloomfield Hills, MI					X										X										X						
	Congressional Fed Cr Union Washington, DC			X												X						X										

For additional assets and unearned income, use next page.

* ADDITIONAL PURCHASES THROUGH DIVIDEND REINVESTMENT PROGRAM

1997

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph K. Knollenberg | Page 4 of 10

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E
	TR Assoc of Pine Bluff(Ltd) East Lansing, MI	X																		SEC 1250 GAIN						X						S
	Farm-Thru Helen K. Knollenberg Tr-Matoon, IL			X												PARTNERSHIP				FARM INTEREST			X									
	Research Electro Optics Boulder, CO (320 shs.)				X									X							X											
	Allstate Annuity Spouse's IRA			X										IRA-NOT SELF DIRECTED										N/A								CLOSED IN 1999

This page may be copied if more space is required.

1998

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph K. Knollenberg | Page 5 of 10

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Securities held through Paine Webber, Inc. Retirement:																															
	Shs. Stock																															
	1600 Allstate					X								X									X									** S
	1365 Archer Daniels Midland Co.				X									X									X									**
	900 Baker Hughes Inc.				X									X									X									P
	450 Electronic Data Systems				X									X									X									
	350 Emerson Electric Co.				X									X									X									
	1000 Engelhard Corp.				X									X									X									
	700 Gartner Group Inc CLA			X										X							X											P
	400 H&R Block Inc.				X									X									X									P
	250 Intel Corp.				X									X								X										P
	300 Johnson & Johnson Com				X									X									X									P
	450 Kimberly Clark Corp				X									X									X									P
	700 Morgan Stanley Dean Witter & Co.				X									X									X									Partial S
	1000 Mylan Laboratories, Inc.				X									X								X										
	1000 Pall Corp.				X									X									X									
	500 Pepsico Inc.				X									X									X									P
	1200 Sears Roebuck					X								X										X								S
	400 Shared Medical Sys Corp.				X									X									X									P
	750 Sigma Aldrich Corp.				X									X									X									P
	PW Retirement Money Fund					X								X											X							

This page may be copied if more space is required.

** ADDITIONAL SHARES ACQUIRED DUE TO STOCK SPLIT OR STOCK DIVIDEND

1999

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph K. Knollenberg

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Securities held through PaineWebber Inc. Retirement (continued):																															
	Shs. Stock																															
	50,000 U.S. Treasury Note 6.75%					X										X								X								
	50,000 U.S. Treasury Note 6.375%					X										X									X							
	50,000 U.S. Treasury Note 6.625%					X										X									X							P
	50,000 U.S. Treasury Note 6.0%					X										X									X							
	50,000 U.S. Treasury Note 7.0%					X										X									X							
	Securities held through Waterhouse Securities, Inc 100 Wall Street New York, New York 10005:																															
	Shs. Stock																															
	7,441.24 CMF Money Market Portfolio			X										X									X									
	26 Bed, Bath & Beyond, Inc.		X											X							X											**
	24 Cisco Systems Inc			X										X							X											**
	9 Sun Micro Systems, Inc.		X											X							X											
	703.681 Gabelli Value Fund Inc.			X										X							X											* P
	389.416 Gellgman Communications + Information Fund Inc.			X													X					X										* P

This page may be copied if more space is required.

* ADDITIONAL PURCHASES THROUGH DIVIDEND REINVESTMENT PROGRAM

** ADDITIONAL SHARES ACQUIRED DUE TO STOCK SPLIT

2000

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph K. Knollenberg

Page _ of _

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Mutual Funds Held Through Fidelity Investments, Inc. Boston, MA:																													
	Fidelity Stock Selector				X									X			X							X						* P
	Fidelity Equity Income II				X									X			X								X					* P
	Fidelity Fund					X								X			X								X					* P
	PBHG Growth				X									X							X									
	Vanguard Windsor II				X									X			X								X					* P
	Fidelity High Income (formerly Spartan High Inc)				X									X			X							X						* P
	Spartan Mi Municipal Inc				X									X									X							* P
	Oberweis Emerging Growth			X													X						X							* P
	Fidelity Mi Muni Money Mkt			X										X									X							

This page may be copied if more space is required.

*ADDITIONAL PURCHASES THROUGH DIVIDEND REINVESTMENT PROGRAM

2001

SCHEDULE IV — TRANSACTIONS

Name Joseph K. Knollenberg | Page 8 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	Activity Through PaineWebber Retirement Acct:														
	200 Sh, Allstate Corp.		X		3-16-98		X								
	200 Sh, Allstate Corp.		X		5-15-98		X								
	800 Sh, Allstate Corp.			X	2 for 1 split 7-02-98	(Transaction less than $1,000)									
	39 Sh, Archer Daniels Midland Co.			X	Stk Dividend 1-01-98	(Transaction less than $1,000)									
	210 Sh, Archer Daniels Midland Co.	X			5-15-98	X									
	316 Sh, Archer Daniels Midland Co.	X			8-05-98	X									
	450 Sh, Baker Hughes Inc.	X			3-16-98		X								
	100 Sh, Baker Hughes Inc.	X			5-15-98	X									
	200 Sh, Baker Hughes Inc.	X			8-05-98	X									
	150 Sh, Baker Hughes Inc.	X			11-16-98	X									
	500 Sh, Gartner Group Inc. CLA	X			5-15-98		X								
	200 Sh, Gartner Group Inc. CLA	X			10-05-98	X									
	400 Sh, H&R Block	X			4-29-98		X								
	250 Sh, Intel Corp.	X			3-16-98		X								
	300 Sh, Johnson & Johnson	X			4-29-98		X								

This page may be copied if more space is required.

2002

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	100 Sh, Kimberly Clark Corp.	X			5-15-98	X									
	100 Sh, Morgan Stanley Dean Witter & Co.		X		8-05-98	X									
	150 Sh, Pepsico Inc.	X			5-15-98	X									
	316 Sh, Sears Roebuck		X		3-16-98		X								
	300 Sh, Sears Roebuck		X		5-15-98		X								
	150 Sh, Shared Medical Sys. Corp.	X			11-16-98	X									
	150 Sh, Sigma Aldrich Corp.	X			3-16-98	X									
	200 Sh, Sigma Aldrich Corp.	X			8-05-98	X									
	50,000 U.S. Treasury Note 6.0%	X			3-16-98			X							
	Partnership Interest in TR Assoc. of Pine Bluff (Ltd)		X		4-10-98	X									
	7005.816 Sh, Alliance World Income Trust Inc.		X		1-09-98	X									
	Activity Through Waterhouse Securities, Inc. 100 Wall Street, New York, NY 10005:														
	13 Sh, Bed Bath & Beyond Inc.			X	2 for 1 split 8-03-98	(Transaction less than $1,000)									

This page may be copied if more space is required.

2003

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	8 Sh, Cisco Systems, Inc.			X	3 for 2 split 9-21-98	(Transaction less than $1,000)									
	114.681 Sh, Gabelli Value Fund Inc.	X			Thru dividend reinv all yr	X									
	5.416 Sh, Seligman Comm. & Infor Fund Inc	X			Thru dividend reinv all yr	X									
	5 Sh, Cisco Systems, Inc.			X	Stk Split 1/02/98		(Transaction less than $1,000)								
	Activity through Fidelity Investments, Inc. Dividends Reinvested:														
	Fidelity Stock Selector	X			*	X									
	Fidelity Equity Income II	X			*	X									
	Fidelity Fund	X			*	X									
	Vanguard Windsor II	X			*	X									
	Fidelity High Income	X			*	X									
	Spartan MI Municipal Income	X			*		(Transaction less than $1,000)								
	Oberweis Emerging Growth Portfolio	X			*		(Transaction less than $1,000)								
	Washington Mutual Investors Fund	X			*		(Transaction less than $1,000)								

This page may be copied if more space is required.

*DIVIDENDS WERE REINVESTED THROUGHOUT THE YEAR AS DIVIDENDS AND CAPITAL GAIN DISTRIBUTIONS WERE RECEIVED.

2004

JOSEPH K. KNOLLENBERG
11th District, Michigan
[illegible] House Office Building
Washington, DC 20515
202-225-5802
[illegible]
Suite 100
Farmington Hills, MI 48333
248-851-1366
[illegible]
Lapeer, MI 48154
[illegible]

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515–2211

COMMITTEE ON APPROPRIATIONS
SUBCOMMITTEES
ENERGY AND WATER
FOREIGN OPERATIONS
VA-HUD
COMMITTEE ON EDUCATION AND THE WORKFORCE
SUBCOMMITTEE
VICE CHAIRMAN
EMPLOYER-EMPLOYEE RELATIONS
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT
Paul F. Welday
CHIEF OF STAFF

June 2, 1999

RECEIVED
99 JUN -3 AM 11:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

The Honorable Jeff Trandahl
Clerk, U.S. House of Representatives
Legislative Resource Center
B-106 Cannon House Office Building
Washington, D. C. 20515

RE: 1998 Financial Disclosure Statement

Dear Mr. Trandahl:

After a discussion with Virginia Johnson from the staff of the Committee on Standards, it has come to my attention that I have inadvertently omitted two transaction items that should have been listed on Schedule IV-Transactions on my 1998 Financial Disclosure Statement.

The following two transactions should have been reported on Schedule IV under activity through Paine Webber Retirement Account:

1. 300 sh Green Tree Financial Corp. - sale - 4/27/98 - amt. of transaction $1,000 - $15,000
2. 1300 sh Dravo Corp. - sale - 9/18/98 - amt. of transaction $15,000 - $50,000

Please treat this letter as an amendment to my 1998 Financial Statement Disclosure.

Sincerely,

Joseph K. Knollenberg
Member of Congress

JKK/kj

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 10 AM 7:48
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

James Thomas Kolbe
(Full Name)

2266 Rayburn House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone
202-225-2542

Filer Status
[X] Member of the U.S. House of Representatives — State AZ, District 05
[ ] Officer or Employee — Employing Office

Report Type
[ ] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [X] No [ ]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 6/9/1999

2006

Name	Page 1 of 1

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

2007

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
	XYZ Magazine	Article	Aug. 13, 1998	$ 500
	Kmart Family Foundation, Troy, MI	Race participation	Sept. 16, 1998	$500.00

2008

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, JT	*Examples:* SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	1/3 interest in 300 acres of land, Sonoita, AZ	$100,001 - $250,000	Grazing fee	$1 - $200	
	Wright Patman FCU - Checking and Savings, Washington, DC	$1,001 - $15,000	Interest	$201 - $1,000	
JT	Arizona Bank - Checking and Savings, Tucson, AZ	$1,001 - $15,000	Interest	$201 - $1,000	
JT	NationsBank - Checking and Savings, Washington, DC	$1,001 - $15,000	Interest	$201 - $1,000	
	Exeter Real Estate Investors XX - Limited Partnership	$15,001 - $50,000	None	None	

2009

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Rental of Efficiency Apartment attached to Residence, 519 East Capitol Street, SE, Washington, DC	None	Rent	$5,001 - $15,000	
	Golder Ranch Assoc., Limited Partnership - 1/2 unit	$1 - $1,000	None	None	
	Wood Canyon Corp. - 5% of outstanding stock	$50,001 - $100,000	None	None	
	Dain Rauscher - Daily Accumulation Fund	$1,001 - $15,000	Interest	$1 - $200	
	Investment Company of America - Mutual Fund	$100,001 - $250,000	Capital Gains	$5,001 - $15,000	
	Investment Company of America - Mutual Fund	$100,001 - $250,000	Interest	$1,001 - $2,500	
	Delaware Group Trend Fund	$15,001 - $50,000	Capital Gains	$2,501 - $5,000	
	Insured Municipal Income Trust	$1,001 - $15,000	Interest	$201 - $1,000	
	Chandler Water & Sewer Revenue Bonds	$15,001 - $50,000	Interest	$1,001 - $2,500	
	Mexico Fund - Mutual Fund	$1,001 - $15,000	Dividends	$201 - $1,000	
	Medtronic, Inc. - Stock	$100,001 - $250,000	Dividends	$201 - $1,000	
	Home Depot - Stock	$15,001 - $50,000	Dividends	$1 - $200	
	Financing Corp. CPN FICO	$1 - $1,000	None	None	
	Andrew Corp. - Stock	$1,001 - $15,000	None	None	
	Health South Corp. - Stock	$1,001 - $15,000	None	None	
	Motorola Corp. - Stock	$15,001 - $50,000	Dividends	$201 - $1,000	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Broken Hill Proprietary - Stock	$1,001 - $15,000	Dividends	$1 - $200	
	Esterline Corp. - Stock	$1,001 - $15,000	None	None	
	Merrill Lynch CMA	$1,001 - $15,000	Interest	$201 - $1,000	
	Merrill Lynch Basic Value Fund - Mutual Fund	$15,001 - $50,000	Capital Gains	$1,001 - $2,500	
	Merrill Lynch Basic Value Fund - Mutual Fund	$15,001 - $50,000	Interest	$201 - $1,000	
	Muniyield Arizona Fund	$15,001 - $50,000	Interest	$1,001 - $2,500	
	Eaton Vance Greater China Growth Fund (formerly Marathon Greater China Growth Fund)	$1,001 - $15,000	None	None	
	Nicholas Applegate Emerging Growth Fund	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	
	Merrill Lynch Special Value Focus	$1,001 - $15,000	None	None	
	Global Strategy Focus Fund	$1,001 - $15,000	None	None	
	H&W International VIP (formerly International Equity Focus Fund)	$1,001 - $15,000	None	None	
	Merrill Lynch Prime Bond Fund	$1,001 - $15,000	None	None	
	Merrill Lynch Quality Equity	$1,001 - $15,000	None	None	
	ML Corporate Bonds	$15,001 - $50,000	Interest	$201 - $1,000	
	Pacific Telesis - PFD Stock	$1,001 - $15,000	Dividends	$201 - $1,000	
	Santander Finance, LTD - PFD Stock	$1,001 - $15,000	Dividends	$201 - $1,000	
	Alliance Workwide Privitization Fund	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	GT Global Telecommunications Fund	$1,001 - $15,000	None	None	
	Keystone Growth and Inc. Fund	$1,001 - $15,000	Capital Gains	None	S
	Merrill Lynch Basic Value Fund	$15,001 - $50,000	Dividends	$201 - $1,000	
	Merrill Lynch Global Allocation	$15,001 - $50,000	Dividends	$201 - $1,000	
	Retirement Account Money Fund	$1,001 - $15,000	Interest	$201 - $1,000	
	Balanced Fund	$1,001 - $15,000	Capital Gains	$201 - $1,000	S
	Scudder Latin America Fund	$1,001 - $15,000	Dividends	$1 - $200	
	Evergreen Blue Chip Fund	$1,001 - $15,000	Interest	$1 - $200	P
	Salomon Smith Barney Money Fund	$1,001 - $15,000	Interest	$1 - $200	S
	Planet Hollywood International	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	S

Name James Thomas Kolbe	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
Example	*Mega Corporation Common Stock*	*Purchase*	*10/12/1998*	*$15,001 - $50,000*
	Balanced Fund	Sold	June 1, 1998	$1,001 - $15,000
	Keystone Growth and Inc. Fund	Sold	June 1, 1998	$1,001 - $15,000
	Evergreen Blue Chip Fund	Purchase	June 1, 1998	$1,001 - $15,000
	Planet Hollywood International	Sold	Sept 30, 1998	$1,001 - $15,000

2013

Name James Thomas Kolbe	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child
amount owed during the year
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed
spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000

2014

Name	Page 1 of 1

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.
Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.
Note: The gift rule (House Rule 51, re-numbered to clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270

2015

Name James Thomas Kolbe	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce Mega Corporation	Mar 2 Aug 6-13	Wash., D.C.-Chicago-Wash., D.C. Wash., D.C.-Los Angeles-Cleveland	N Y	N Y	N Y	N 4 Days
World Economic Forum (participation in conference)	Jan. 29-Feb. 2, 1998	DC-Davos, Switzerland-DC	Y	Y	N	None
U.S.-Mexico Chamber of Commerce (Congressional education/fact-finding mission)	Mar. 1-2, 1998	DC-Mexico City, Mexico-DC	Y	Y	N	None
Transatlantic Policy Network (participation in conference)	Apr 3-5, 1998	DC-White Sulphur Springs, WV-DC	Y	Y	N	None
Concord Coalition (participation in debate)	July 26-27, 1998	Tucson, AZ-Albuquerque, NM-DC	Y	N	N	None
Aspen Institute (participation in conference)	Aug. 13-20, 1998	Tucson, AZ-Aspen, CO-Tucson, AZ	Y	Y	N	4 days
Transatlantic Policy Network/Congressional Economic Leadership Institute (participation in conferences)	Nov. 29-Dec. 6, 1998	DC-Brussels, Belgium-London, UK-DC	Y	Y	N	None

2016

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Trustee	Embry-Riddle Aeronautical University, Daytona Beach, FL
Board Member	Community Food Bank, Tucson, AZ
Board Member	Wright Flight, Tucson, AZ
Board Member	International Republican Institute, Washington, DC

JIM KOLBE
5TH DISTRICT, ARIZONA

COMMITTEE ON APPROPRIATIONS

CHAIRMAN, SUBCOMMITTEE ON TREASURY, POSTAL SERVICE AND GENERAL GOVERNMENT

SUBCOMMITTEE ON INTERIOR

SUBCOMMITTEE ON COMMERCE, JUSTICE, STATE, AND JUDICIARY

HAND DELIVERED

WASHINGTON OFFICE
2266 RAYBURN OFFICE BUILDING
WASHINGTON, DC 20515-0305
(202) 225-2542

ARIZONA OFFICES
1661 NORTH SWAN ROAD, SUITE 112
TUCSON, AZ 85712
(520) 881-3588

77 CALLE PORTAL, SUITE B-160
SIERRA VISTA, AZ 85635
(520) 459-3115

http://www.house.gov/kolbe

Congress of the United States
House of Representatives
Washington, DC 20515–0305

June 14, 1999

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 15 AM 7:33
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(1)

MC

The Honorable Jeff Trandahl
Clerk of the House
U.S. House of Representatives
B-106 Cannon HOB
Washington, DC 20515

Dear Mr. Trandahl:

After consultation with staff of the Committee on Standards of Official Conduct, I am notifying you of two (2) changes to my Financial Disclosure Statement for Fiscal Year 1998:

Schedule III, page 4, an asset previously listed as "Balanced Fund" should be revised to read "Merrill Lynch Balanced Fund."

Schedule IV, page 1, that same asset previously listed as "Balanced Fund" should be revised to read "Merrill Lynch Balanced Fund."

Your assistance with these changes would be appreciated.

Sincerely,

JIM KOLBE
Member of Congress

JTK/rpb

This mailing was prepared, published, and mailed at taxpayer expense
THIS STATIONERY PRINTED ON PAPER MADE OF RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 24 PM 5:

(4)

MC MAY 17 1999
(Office Use Only)

DENNIS J. KUCINICH
(Full Name)

12217 MILAN
CLEVELAND, OHIO 44111
(Mailing Address)

216-228-8850
Daytime Telephone

Filer Status
☑ Member of the U.S. House of Representatives — State OHIO District 10
☐ Officer or Employee — Employing Office

Report Type
☑ Annual (May 17)
☐ Amendment
☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes ☐ No ☑

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes ☑ No ☐

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes ☑ No ☐

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes ☐ No ☑

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes ☑ No ☐

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes ☐ No ☑

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes ☐ No ☑

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes ☐ No ☑

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Dennis J. Kucinich — DATE (Month/Day/Year): 5/17/99

1.

2019

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
K MART KIDS RACE VS. DRUGS	PERSONAL APPEARANCE	OCT., 1998	$500
$500 TO PARMA DARE			

This page may be copied if more space is required.

2020

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DENNIS J. KUCINICH | Page 3 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None"

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	BLOCK A	BLOCK B												BLOCK C							BLOCK D											BLOCK E
		A	B	C		E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, or E
SP																															
DC	*Examples.*		Indefinite													X				Royalties			X			X					P
JT							X																								
	SP Mega Corp Stock					X																									
	Simon & Schuster																														
	1st Bank of Paducah, KY accounts						X																								
	OHIO PERS			X																											
	AM. CREATIVE LODGE		X											X								X									
	THIRD FEDERAL S&L			X												X							X								
	COLUM. FED. CREDIT UNION		X													X						X									

For additional assets and unearned income, use next page.

2021

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	JAMES CARNEY, JR.	CLEVE. OH., PERSONAL LOAN		X								
	SHIRLEY PARKER	LOS ANGELES, CALIF./PERS. LOAN		X								
	AMERICAN EXPRESS CREDIT CARD	CREDIT CARD	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

2002

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN -7 PM 3:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Dennis J. Kucinich (Full Name)
[redacted] 14400 DETROIT AVE. (Mailing Address)
LAKEWOOD, OHIO 44107
Daytime Telephone: 216-228-8050

Filer Status: ☐ Member of the U.S. House of Representatives — State — District ; ☐ Officer or Employee — Employing Office
Report Type: ☐ Annual (May 15) ; ☑ Amendment ; ☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I** — Yes ☐ No ☐

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes ☐ No ☐

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes ☐ No ☐

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes ☐ No ☐

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes ☐ No ☐

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes ☐ No ☐

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes ☑ No ☐

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes ☐ No ☐

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes ☐ No ☐

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS — Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☐

EXEMPTION — Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☐

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Dennis J. Kucinich

DATE (Month/Day/Year): 6/5/99 as amended

2023

AMENDED

NAME DENNIS J. KUCINICH

Name DENNIS J. KUCINICH | Page 2 of 2

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
RECORDING INDUSTRY OF AMERICA	JAN 12-13	CLV. – NYC – CLV.	N	Y	N	N
INVENTORS CLUB OF AMERICA	MAY 2-3	CLV – ATL. – CLV.	Y	Y	N	N
INTERNATIONAL ALLIANCE OF THEATRICAL STAGE EMPLOYES: IATSE	JULY 20	CLV - TORONTO - CLV	N	N	N	N
ENERGY DAILY	DEC. 3	CLV. - WASH. - CLV	N	N	N	N
HEINRICH BOLL FOUNDATION	DEC. 14	CLV. - WASH. - CLV	N	N	N	N

This page may be copied if more space is required.

99 JUN -7 PM 3:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

FEDERAL ELECTION COMMISSION

2024

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

STEVEN T KUYKENDALL
(Full Name)

6544 LOCKLENNA LANE
(Mailing Address)

RANCHO PALOS VERDES, CA 90275

(202) 225-8220
Daytime Telephone

1999 MAR 30 PM 12:36

HAND DELIVERED

MC
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 36	[ ] Officer or Employee	Employing Office	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S C app 4, §104 and 18 U S C §1001)

SIGNATURE OF REPORTING INDIVIDUAL [signature]

DATE (Month/Day/Year) 4/20/99

2025

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
SOUTHERN CALIFORNIA PERMANENTE MEDICAL GROUP	SPOUSAL SALARY	NA
STATE OF CALIFORNIA	LEGISLATIVE PAY	$74,525
STEVEN T. KUYKENDALL & ASSOCIATES	COMMISSION	1,313

For payments to charity in lieu of honoraria, use Schedule II.

2026

Name STEVEN T. KUYKENDALL | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

BLOCK A			BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP																															
			Mega Corp Stock				X								X																		P
			Simon & Schuster	Indefinite																	Royalties												
			1st Bank of Paducah, KY accounts					X									X									X							
DC	BRENT T. KUYKENDALL TRUST		TERMINATED IN 1997												X																		
SP	VANGUARD/ WELLINGTON FUND						X								X		X	X								X							
	T. ROWE PRICE/ EQUITY INC FUND				X										X		X	X							X								
JT	T. ROWE PRICE/ EQUITY INC FUND			X											X		X	X					X										
DC	T. ROWE PRICE/ EQUITY INC FUND		X												X		X	X					X										(NEW EDUCATION IRA)
SP	INVESTMENT COMPANY OF AMERICA						X								X		X	X									X						

For additional assets and unearned income, use next page.

2027

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name STEVEN T. KUYKENDALL | Page 4 of 6

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	INVESTMENT Company of America						X							X			X	X									X				
JT	BANCMES NATL BANK	ACCOUNT CLOSED & WAS NOT INTEREST BEARING IN 1998.																			THIS AND THE ST ACCOUNT LISTED ABOVE WERE COMBINED ON LAST YEARS REPORT AS JT ASSETS.											

This page may be copied if more space is required.

2028

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	AT&T UNIVERSAL CARD	CREDIT CARD	X									
JT	FIRST USA BANK	CREDIT CARD		X								
JT	BAY CITIES NATL BANK ROLLING HILLS ESTATES, CA	LINE OF CREDIT		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
OWNER/PROPRIETOR	STEVEN T. KUYKENDALL & ASSOCIATES - PUT IN INACTIVE STATUS EFFECTIVE 12/31/98
DIRECTOR	CALIFORNIA CONSORTIUM OF EDUCATION FOUNDATIONS

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required

2030

RECEIVED
99 JUN -8 PM 1:25

Steven T. Kuykendall
Member of Congress

36th District
California

House of Representatives
Washington, DC 20515
(202) 225-8220

June 2, 1999

The Honorable Jeff Trandahl
Clerk, U. S. House of Representatives
Legislative Resource Center
B – 106, Cannon House Office Building
Washington, DC 20515

Re: Amendments to Financial Disclosure Statements, Form B for 1997 filed February 28, 1998 and Form A for 1998 filed April 20, 1999

Dear Mr. Trandahl:

In reviewing my California disclosure forms and comparing them to my federal forms I have inadvertently left off a loan on the subject Financial Disclosure Forms.

There should be an additional loan listed on Schedule III (Liabilities) for Form B and on Schedule V (Liabilities) for Form A. The borrower is myself only, the creditor is David Buxton Financial Corporation, the loan is unsecured, and the amount fits in Column "D" being between $50,001 and $100,000.

Please consider this letter as an amendment to both these reports.

Sincerely,

Steven T. Kuykendall

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
99 MAY 14 PM 1:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

John J. LaFalce
(Full Name)

2310 Rayburn House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone
202-225-3231

Filer Status	[X]	Member of the U S House of Representatives	State NY District 20	[ ]	Officer or Employee	Employing Office
Report Type	[X]	Annual (May 15)	[ ]	Amendment	[ ]	Termination Date: Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL: John J. LaFalce

DATE (Month/Day/Year): 5/13/99

2032

Name John J LaFalce	Page 1 of 6

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed*, provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20.	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC *Examples* JT	SP Mega Corp. Stock SP 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	FIDELITY			NA	
	Hong Kong and China Fund	$15,001 - $50,000	Dividends	NA	P
				NA	
	PRUDENTIAL (BACHE)			NA	
	Comptek Research	$15,001 - $50,000	N/A	None	NA
	Gibraltar Steel	$15,001 - $50,000	N/A	None	NA
	Computer Associates	$15,001 - $50,000	Capital Gains and Dividends	$5,001 - $15,000	S

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

Vanstar	None	N/A	None	S
Oracle	$15,001 - $50,000	N/A	None	NA
Corning	$15,001 - $50,000	Dividends	$201 - $1,000	NA
Netscape	None	Capital Gains	$201 - $1,000	P AND S
Moneymart Assets	$15,001 - $50,000	Dividends	$201 - $1,000	NA
			NA	
			NA	
MERRILL LYNCH (1)			NA	
Motorola	$15,001 - $50,000	Dividends	$201 - $1,000	NA
Intel	None	Capital Gains and Dividends	$201 - $1,000	S
Oracle	$50,001 - $100,000	N/A	None	NA
Gibraltar Steel	$1,001 - $15,000	N/A	None	NA
Vanstar	None	N/A	NA	S
Microsoft	$50,001 - $100,000	N/A	None	NA
Network Associates	$15,001 - $50,000	N/A	None	NA
Paychex	None	Capital Gains	$5,001 - $15,000	S
Corning	$15,001 - $50,000	Dividends	$201 - $1,000	NA
China Telecom	$15,001 - $50,000	N/A	None	NA
Centocor	$15,001 - $50,000	N/A	None	P

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

Commscope	$15,001 - $50,000	N/A	None	P
Iridium	$15,001 - $50,000	N/A	None	P
Cash Management Moneymarket Fund	$1,001 - $15,000	Dividends	$201 - $1,000	NA
			NA	
			NA	
MERRILL LYNCH (2)			NA	
Comptek Research	$15,001 - $50,000	N/A	None	NA
Gibraltar Steel	$1,001 - $15,000	N/A	None	NA
Network Associates	$15,001 - $50,000	N/A	None	NA
Regeneron	None	N/A	None	S
Cash Management Moneymarket Fund	$1,001 - $15,000	Dividends	$201 - $1,000	NA
			NA	
			NA	
MERRILL LYNCH (3)			NA	
Merrill Lynch Dragon Fund B	None	Dividends	$1 - $200	S
Retirement Reserves Moneymarket Fund	$1 - $1,000	Dividends	$1 - $200	NA
Comptek Research	None	Capital Gains	$2,501 - $5,000	S
Healthplan Services	$1,001 - $15,000	Dividends	$201 - $1,000	NA
Cash Mgmt. Moneymkt. Fund (was Ready Assets Moneymarket)	$1 - $1,000	Dividends	$1 - $200	Switched into Cash Management Account

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Vanstar	$1,001 - $15,000	N/A	None	NA
	Somnus Medical Technologies	$1,001 - $15,000	N/A	None	P
	Nextel	$1,001 - $15,000	N/A	None	P
	Pfizer	$50,001 - $100,000	Dividends	$201 - $1,000	P
	ML Mitts due 7/1/2005	$1,001 - $15,000	N/A	None	P
	Centocor	$15,001 - $50,000	N/A	None	P
	ML Global Tech Fund	$1,001 - $15,000	N/A	None	P
	Transcor Waste Services	$1,001 - $15,000	N/A	None	NA
	ML Developing Capital Markets	None	Dividends	$1,001 - $2,500	S
				NA	
				NA	
	SMITH BARNEY			NA	
	Healthplan Services	None	Dividends	$1 - $200	N/A (sold 12/31/97)
				NA	
	DEAN WITTER (1)			NA	
	Gibraltar Steel	$15,001 - $50,000	N/A	None	NA
	Vanstar	None	Dividends	NA	S
	Active Assets Moneymarket Fund	$1,001 - $15,000	Dividends	$201 - $1,000	NA
				NA	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

			NA	
DEAN WITTER (2)			NA	
Liquid Assets Moneymarket & cash	$15,001 - $50,000	Dividends	$1 - $200	NA
Healthplan Services	None	Dividends	$201 - $1,000	S
Transcor Waste Services	$1,001 - $15,000	N/A	None	NA
Gibraltar Steel	$15,001 - $50,000	N/A	None	NA
Vanstar	None	N/A	None	S
C Cube Microsystems	None	Capital Gains	$2,501 - $5,000	S
Comptek Research	$15,001 - $50,000	N/A	None	NA
Nextel Communications	$15,001 - $50,000	N/A	None	P
Somnus Medical Technologies	$1,001 - $15,000	N/A	None	P
VISX	$15,001 - $50,000	N/A	None	P
			NA	
			NA	
Sports Trac., Inc. Bridge Loan 6900 E. Bellview Avenue Englewood, CO	$1,001 - $15,000	N/A	None	NA
Lockport Savings Bank	$1,001 - $15,000	Interest	$1 - $200	NA
M & T Bank Savings Account	$50,001 - $100,000	Interest	$2,501 - $5,000	NA
Congressional Federal Credit Union	$1,001 - $15,000	N/A	None	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Colonial Manor Condo	$15,001 - $50,000	Rent	$2,501 - $5,000	NA

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Fidelity Hong Kong & China	Purchase	2/23/98	$15,001 - $50,000
	Computer Associates	Sold	4/21/98	$1,001 - $15,000
	Vanstar	Sold	12/31/98	$1,001 - $15,000
	Netscape	Purchase	5/27/98	$1,001 - $15,000
	Netscape	Sold	11/19/98	$1,001 - $15,000
	Intel	Sold	4/15/98	$15,001 - $50,000
	Vanstar	Sold	12/31/98	$1,001 - $15,000
	Paychex	Sold	1/14/98	$1,001 - $15,000
	Centocor	Purchase	4/3/98	$15,001 - $50,000
	Commscope	Purchase	4/15/98	$15,001 - $50,000
	Iridium	Purchase	7/23/98	$15,001 - $50,000
	Regeneron	Purchase	4/8/98	$1,001 - $15,000
	Regeneron	Sold	12/31/98	$1,001 - $15,000
	Merrill Lynch Dragon Fund B	Sold	12/28/98	$1,001 - $15,000
	Comptek Research	Sold	12/30/98	$1,001 - $15,000
	Somnus Medical Technologies	Purchase	1/2/98	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP DC JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Somnus Medical Technologies	Purchase	2/2/98	$1,001 - $15,000
	Nextel	Purchase	3/17/98	$15,001 - $50,000
	Pfizer	Purchase	3/25/98	$15,001 - $50,000
	ML Mitts due 7/1/2005	Purchase	7/6/98	$1,001 - $15,000
	Centocor	Purchase	3/17/98	$15,001 - $50,000
	ML Global Tech Fund	Purchase	12/24/98	$1,001 - $15,000
	ML Developing Capital Mkts	Sold	12/24/98	$1,001 - $15,000
	Vanstar	Sold	12/31/98	$1,001 - $15,000
	Healthplan Services	Sold	1/16/98	$15,001 - $50,000
	Vanstar	Sold	12/31/98	$1,001 - $15,000
	C Cube Microsystems	Sold	12/28/98	$1,001 - $15,000
	Nextel Communications	Purchase	1/16/98	$15,001 - $50,000
	Somnus Medical Technologies	Purchase	2/20/98	$1,001 - $15,000
	VISX	Purchase	4/20/96	$1,001 - $15,000

Name John J LaFalce	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Trustee	Rev A Joseph Bissonette Memorial Foundation

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 2:45
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Ray H. LaHood
(Full Name)

329 Cannon House Office Building, Wash., DC 20515 (Mailing Address)

202-225-6201 (Daytime Telephone)

Filer Status
[X] Member of the U.S. House of Representatives — State IL District 18
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL — DATE (Month/Day/Year) MAY 17, 1999

58-074

2042

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
National City Illinois Trust Company	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name	Ray H. LaHood	Page 3 of 8

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
Illinois Central College Educational Foundation	Speech	May 17, 1999	$500

This page may be copied if more space is required.

Name: Ray H. Lallood | Page 4 of 8

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
			None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	
SP, DC, JT	*Examples:*	SP	Mega Corp Stock				X								X										X							P
			Simon & Schuster		Indefinite																Royalties									X			P
			1st Bank of Paducah, KY accounts					X									X									X							S
SP	Natl. City Retirement Plan				X															Deferred	X											
SP	First of Amer. Reserve Plus Retirement Savings						X													Deferred	X											
	Amer. Classic Voyager				X													X				X											P
	Amer. Stores				X													X				X											P
	Wolverine World Wide				X									X				X				X											P

For additional assets and unearned income, use next page.

2045

SCHEDULE IV — TRANSACTIONS

Name: Ray H. LaHood

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
SP	Natl. City IL Trust Company			X	NA Not self directed	X									
SP	First of Amer. Reserve Plus Retirement Savings Plan			X	NA Not self directed			X							
	Amer. Classic Voyager (100 shares)	X			10/23/98	X									
	Amer. Classic Voyager (100 shares)	X			10/27/98	X									
	Wolverine World Wide (100 shares)	X			12/18/98	X									
	Templeton Dragon (59 shares)		X		12/12/98	X									
	Amer. Stores (27 shares)	X			1/12/98	X									
	Amer. Stores (28 shares)	X			7/22/98	X									

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

This page may be copied if more space is required.

2046

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Morton Community Bank, Morton, IL	Student Loan		X								
JT	Citizens Equity Fed. Credit Union	Revolving Loan	X									
JT	Sallie MAE	Student Loan		X								
JT	Direct Loans	Student Loan	X									
	Pontiac Natl. Bank, Pontiac, IL	Short-Term/Misc. Expenses		X								
	Citizens Natl. Bank, Toluca, IL	Student Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

58-074 99 - 7

2047

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Anti-Defamation League	Jan. 3-5 Jan. 8-13	Wash. DC-Israel/Jordan-Wash. DC	Y	Y	Y-Spouse	
Arab American Institute	Jan.5-8	Jordan-Beirut, Lebanon-Jordan	Y	Y	Y-Spouse	
The Greater Peoria Airport Auth.	May 27	Peoria-St. Louis-Peoria	N	N	N	

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee (Unpaid)	Gallaudet University, Washington, D. C.

2049

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 1999 65-195 (mac)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 5:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Nicholas V. Lampson
(Full Name)
8350 Collier Road
(Mailing Address)
Beaumont, TX 77706
Daytime Telephone (202) 225 6565

Filer Status
- [X] Member of the U.S. House of Representatives — State Texas District: 9
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL — Nicholas V. Lampson

DATE (Month/Day/Year) — MAY 14 1999

2050

Name Nicholas V. Lampson	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontano County Board of Education	Spouse Salary	NA
	Beaumont Independant School District	Spouse Salary	32,000

For payments to charity in lieu of honoraria, use Schedule II.

2051

Name Nicholas V. Lampson	Page __ of

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500

This page may be copied if more space is required.

Name Nicholas V Lampson | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP, DC, JT	*Examples:*	SP	Mega Corp. Stock
			Simon & Schuster
			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000
Examples: Mega Corp. Stock					X							
Simon & Schuster			Indefinite									
1st Bank of Paducah, KY accounts						X						
WASHINGTON DC. Congress. Fed. Cr. Union			X									
Jefferson Co. Employee Credit Union - Beaumont Texas			X									
TX. Co. Dist. Retirement System Austin, Texas						X						

BLOCK C — Type of Income

	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)
Examples: Mega Corp. Stock	X						
Simon & Schuster							Royalties
1st Bank of Paducah, KY accounts			X				
WASHINGTON DC. Congress. Fed. Cr. Union			X				
Jefferson Co. Employee Credit Union - Beaumont Texas			X				
TX. Co. Dist. Retirement System Austin, Texas							

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000
Examples: Mega Corp. Stock				X							
Simon & Schuster											
1st Bank of Paducah, KY accounts						X					
WASHINGTON DC. Congress. Fed. Cr. Union		X									
Jefferson Co. Employee Credit Union - Beaumont Texas		X									
TX. Co. Dist. Retirement System Austin, Texas	X										

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Examples: Mega Corp. Stock	P
Simon & Schuster	
1st Bank of Paducah, KY accounts	
WASHINGTON DC. Congress. Fed. Cr. Union	
Jefferson Co. Employee Credit Union - Beaumont Texas	
TX. Co. Dist. Retirement System Austin, Texas	

P, S, E

For additional assets and unearned income, use next page.

2053

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nicholas V. Lampson | Page of

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P. S. E
JT	1038 Park Meadow Beaumont, TX					X									X											X					
JT	Prutzman Sq. #24C Beaumont, TX				X										X									X							
JT	Prutzman Sq. #25D Beaumont TX				X										X									X							
	Note Receivable Keith Broussard				X																X										

This page may be copied if more space is required.

2054

SCHEDULE IV — TRANSACTIONS

Name Nicholas V. Lampson | Page of

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								

This page may be copied if more space is required.

2055

Name Nicholas V. Lampson | Page of

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Beaumont Area Educators Fed. Cr. Union	Personal Loan	X									
	Jefferson County Credit Union	Personal Loan	X									
	CHASE Bank, Beaumont TX	Prutzman Sq. Townhomes		X								
	GMAC Mortgage Corp., Waterloo IA	1038 Park Meadow		X								
	W.G. Hall, Dickenson TX	Personal Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

2056

Name	Nicholas V. Lampson	Page	of

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Nuclear Energy Institute	Nov. 20-27 1998	Wash., D.C. - France - Wash., DC	Y	Y	Y	N
Record Industry Assoc. of America; ASCAP	Sept. 5-6 1998	Wash., D.C. - TN (Nashville) - Wash., DC	Y	Y	Y	N
LEZZA COLLECTIONS (NOW ON MISSING CHILDREN)	SEPT 1998	WASH. DC - LOS ANGELES - WASHINGTON	Y	Y	N	N

This page may be copied if more space is required.

Name Nicholas V Lampson	Page of

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO 1999 55–195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 18 PM 2:13
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Thomas Peter Lantos
(Full Name)

2217 Rayburn House Office Bldg, Washington, DC 20515 (202) 225-3531
(Mailing Address) (Daytime Telephone)

Filer Status	[XX] Member of the U S House of Representatives	State: CA District: 12	[ ] Officer or Employee	Employing Office.	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[XX] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [XX]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [XX]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [XX]	No [ ]
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [XX]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [XX]
IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [XX]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [XX]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [XX]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [XX]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U S C §1001)

SIGNATURE OF REPORTING INDIVIDUAL Tom Lantos **DATE** (Month/Day/Year)

2059

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of California Public Systems	Pension	12,273
	California Teachers Association	Pension	13,735

For payments to charity in lieu of honoraria, use Schedule II.

2060

Name Thomas P Lantos | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000		E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	*Examples* SP	Mega Corp Stock					X								X										X						P
		Simon & Schuster		Indefinite													X				Royalties										
		1st Bank of Paducah, KY accounts						X																		X						
JT	Cash Mngnt Acct-MLynch							XX							X												XX				
JT	Keogh Retirement-MLynch							XX							X										XX						
	IRA (self) - M Lynch Cash Fund			XX											X									XX							
SP	IRA - Merrill Lynch Cash Fund			XX											X									XX							
JT	1230 Southdown Rd Hillsborough, CA 94010								XX							X										XX					
JT	210 Justice Crt Washington, DC 20002							X								X									XX						

For additional assets and unearned income, use next page.

2061

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P Lantos

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income						BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000, 001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Savings Acct - WPCFCU		XX													X						X									

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
The Sidney Jewish Museum	Feb 7-14	Wash, DC - Sidney & Melbourne, Australia	Y	Y	Y	N
Australian Institute for Jewish Affairs	Feb 16-23	Canberra, Australia - Christchurch,NZ - WDC	Y	Y	Y	N
Appeal of Conscience Foundation	Apr 13-20	Wash,DC - Tel Aviv, IS-Budapest - Wash, DC	Y	Y	Y	N
Anti-Defamation League Pacific SW Region	May 17-18	Wash, DC - Las Vegas, Nev - Wash, DC	Y	Y	Y	N
The Auschwitz Jewish Center Foundation	June 15	Wash, DC - NY, NY - Wash, DC	N	N	N	N
US Holocaust Memorial Council	June 28 - July 14	San Fran, CA - Budapest Warsaw - Wash, DC	Y	Y	Y	14 Days
Technion: Israel Institute of Technology	Oct 21-22	Wash, DC - Detroit - San Fran, CA - Wash, DC	Y	Y	Y	N

This page may be copied if more space is required.

2063

TOM LANTOS
CALIFORNIA



Congress of the United States
House of Representatives
Washington, D.C. 20515

INTERNATIONAL RELATIONS COMMITTEE
Ranking Member
GOVERNMENT REFORM COMMITTEE

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN -4 PM 2:40
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

June 4, 1999

The Hon. Jeff Trandahl
Clerk of the House
U.S. House of Representatives
B-106 Cannon House Office Building
Washington, D.C. 20515

Amendment to Financial Disclosure Statement
for Calendar Year 1998
Hon. Tom Lantos, Member of Congress

Dear Mr. Trandahl

In the preparation of my Financial Disclosure Form, I inadvertently omitted one asset that should have been reported. I am enclosing additional form for the 1998 Annual Financial Disclosure Statement to deal with this omission. I have attached the following schedules

- Cover sheet with signature
- Schedule III
- Schedule IV

Cordially,

Tom Lantos

TOM LANTOS
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A

For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN -4 PM 2:40
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Thomas Peter Lantos
(Full Name)

2217 Rayburn House Office Bldg, Washington, DC 20515 (202) 225-3531
(Mailing Address) (Daytime Telephone)

Filer Status

[XX] Member of the U.S. House of Representatives — State: CA District: 12

[ ] Officer or Employee — Employing Office

Report Type

[ ] Annual (May 15)

[XXX] Amendment

[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [XX]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [XX]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [XXX] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [XXX] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [XX]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [XX]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [XX]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [XX]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [XX]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [XX]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Tom Lantos

DATE (Month/Day/Year) 6/4/99

2065

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas Peter LANTOS | Page 2 of 3

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Schwab Money Mkt. Fnd					X								X									X									P

This page may be copied if more space is required.

2066

SCHEDULE IV — TRANSACTIONS

Name: Thomas Peter LANTOS | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001 – $15,000)	C ($15,001 – $50,000)	D ($50,001 – $100,000)	E ($100,001 – $250,000)	F ($250,001 – $500,000)	G ($500,001 – $1,000,000)	H ($1,000,001 – $5,000,000)	I ($5,000,001 – $25,000,000)	J ($25,000,001 – $50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
JT	Schwab Money Market Fund	X			11/9/98				X						

This page may be copied if more space is required

2067

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 21 PM 12:17

Stephen Michael Largent
(Full Name)

6150 S. Louisville Tulsa, OK 74136 (918) 492-0106
(Mailing Address) (Daytime Telephone)

Accountant to contact: Steve Jay (918) 492-0106

MAY 17 1999
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State ___ District ___	[ ] Officer or Employee	Employing Office ___
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date ___

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Stephen Michael Largent

DATE (Month/Day/Year): May 17, 1999

2068

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Profootball Hall of Fame	Public Speaker	1,000
Sports Wave, Inc.	"	4,750
Pro Legends Inc.	"	4,000
Players, Inc.	"	8,500
International Sports Marketing	"	1,000
White NFL Settlement Plan B (Note 1)	Litigation Settlement	4,829
Note 1: Deferred income earned prior to election to House of		
Representativms. Not subject to earned income		
limitations.		

For payments to charity in lieu of honoraria, use Schedule II.

2069

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income									BLOCK E TRANSACTION
	A NONE	B $1 - $1,000	C $1,001 - $3,000	D $3,001 - $15,000	E $15,001 - $50,000	F $50,001 - $100,000	G $100,001 - $250,000	H1 $250,001 - $500,000	H2 $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L OVER $50,000,000	Dividends	Rents	Interest	Capital Gains	EXCEPTED TRUST	BLIND TRUST	OTHER	I NONE	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI OVER $5,000,000	
Merrill Lynch CMA			X											X		X							X							
Fidelity Money Market Fund				X												X							X							
American Bank and Trust			X													X						X								
Local America Bank			X													X						X								
Pimco Total Return Fund				X										X			X							X						
FERS, Thrift Plan					X									X		X								X						
Exxon Stock				X										X									X							
CIFRA S.A.			X											X								X								
Home Depot Inc.				X										X								X								
Sara Lee Corp			X											X								X								
Wal Mart Stores Inc.			X											X								X								
Williams Companies			X											X								X								P
Federal Government Retirement Plan			X													X							X							
Congressional Federal Credit Union			X													X						X								
Note Receivable, Ray Tedford					X											X									X					
Wells I Ltd Partnership			X												X					X										
Concord Ltd					X											X			X							X				
Kings River Ranch, Partnership Note Rec			X													X	X								X					
CNL II Ltd Partnerhip				X											X	X							X							
CNL VI Ltd Partnerhip				X											X	X							X							
Eagle Ridge Ltd Partnership	X														X	X				X										E
Mid-America Apts, LP				X											X	X							X							E
Cash surrender Value of life insurance				X										X	X								X							
Numismatic Coins				X													X			X										
Gold & Silver				X													X			X										
Proactive Solutions	X																X			X										S

2070

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Stephen Michael Largent

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E TRANSACTION
	A NONE	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	Gains	Rents	Interest	Capital Exempt	BLIND TRUST	OTHER	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
Northcreek Investment Ltd. Partnership			x											x		x										x			
Mutual Series Inc. IRA				x												x						x							
Mutual Series Inc. IRA				x												x						x							
Bert Bell NFL Retirement Plan						x												x						x					
Capital World Growth & Income Fund					x								x			x						x							
Merrill Lynch International Equity Fund	x												x			x					x								S
Capital Income Builder fund				x									x			x						x							
AIM Charter Fund					x								x			x						x							
Merrill Lunch Floating Rate fund		x											x			x				x									
Smallcapital World fund	x												x			x					x								S
Templeton Developing Markets Fund	x												x			x					x								S
Alliance North American Govrnmnt Fund	x												x			x					x								S
Templeton Smaller Company Fund	x												x			x					x								S
Russell Equity I Fund						x							x			x								x					P,S,E
Russell Equity II Fund				x									x			x					x								P,S,E
Russell Equity Q Fund						x							x			x								x					P,S,E
Russell Fixed Income I Fund								x					x			x								x					P,S,E
Russell Fixed Income III Fund						x							x											x					P,S,E
Russell Limited Volatility Bd. Fund							x						x			x							x						P,S
Russell International Fund						x							x			x							x						P,S,E
Russell Emerging Markets Fund	x												x			x					x								S
Russell Real Estate Sec Fund						x							x			x							x						P,S,E
Russell Tax-Free Money Market Fund						x							x									x							P,S,E
One Glen Lakes, Ltd		x												x	x	x										x			
Lake Stella Associates, Ltd		x												x	x					x									

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E TRANSACTION
	A NONE	B $1-$1000	C $1001-$15000	D $15001-$50000	E $50001-$100000	F $100001-$250000	G $250001-$500000	H $500001-$1000000	I $1000001-$5000000	J $5000001-$25000000	K $25000001-$50000000	L Over $50000000	Dividend	Rent	Interest	Capital Gain	Farm Income	BLIND TRUST	OTHER	None	$1-$1000	$1001-$2500	$2501-$5000	$5001-$15000	$15001-$50000	$50001-$100000	$100001-$1000000	OVER $1000000	
Russell Equity II			X										X			X							X			X			P,S
Russell Equity I			X										X			X								X		X			P,S
Russell Equity Q				X									X			X								X		X			P,S
Russell Fixed Income I					X								X			X									X	X			P,S
Russell Fixed Income III			X										X										X			X			P,S
Russell Limited Volatility Bond				X									X			X								X					P,S
Russell International				X									X			X								X					P,S
Russell Emerging Markets	X												X			X					X								S
Russell Real Estate Sec				X									X			X								X					P,S
Russell Tax Free Money Market			X										X								X								P,S
Merrill Lynch CMA			X										X			X					X								
CNL Income Fund II			X											X	X									X					
Vanguard GNMA Fund	X												X			X					X								S
Russell Mutual Shares Fund					X								X			X								X					P,S,E
Vanguard Wellington Fund					X								X			X										X			P,S,E
Farm								X									X										X		
Vanguard Muni Bond Fund			X												X	X					X								P,S,E
Rent deposit			X												X					X									
Vanguard Prime Portfolio			X										X			X								X					P,S
Franklin Class Z					X								X			X										X			
FNMA Debentures					X									X											X				P
U. S. Treasurey Notes					X									X										X					P
Solomon Smith Barney Bonds				X											X								X						P
A T & T Common Stock			X										X			X							X						P
Amgen Inc Common Stock			X										X			X				X									P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Stephen Michael Largent

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income									BLOCK E TRANSACTION
	NONE	$1 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	OVER $5,000,000	DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS/ REALIZED	LONG-TERM CAPITAL GAINS	BLIND TRUSTS	OTHER	NONE	$200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	OVER $1,000,000	
Amoco Corp Common Stock			X										X			X					X								P
Archer Danials Midland Common Stock			X										X			X					X								P
Beckman Coulter, Inc Common Stock			X										X			X					X								P
H & R Block Common Stock			X										X			X					X								P
Carolina Power Lgt Common Stock			X										X			X						X							P
Chevron Corp Common Stock			X										X			X					X								P
Conagra Inc Common Stock			X										X			X					X								P
Corning Inc Common Stock			X										X			X					X								P
Dun & Bradstreet Crp Del			X										X			X					X								P
Dow Chemical PV			X										X			X					X								P
Electr Data Sys Corp Common Stock			X										X			X					X								P
Emerson Elec Co Common Stock			X										X			X					X								P
GTE Corp Common Stock			X										X			X					X								P
Ingersoll Rand Common Stock			X										X			X					X								P
IBM Common Stock			X										X			X					X								P
Limited Inc Common Stock			X										X			X					X								P
Marsh & Mclennan Cos Inc Common Stk			X										X			X					X								P
McDonalds Corp Common Stock			X										X			X					X								P
Minnesota Mng Mfg Common Common Stk			X										X			X					X								P
Motorola Inc Common Stock			X										X			X					X								P
Nationwide Hlth PPTYs Common Stk			X										X			X						X							P
Norfolk Southern Corp Common Stock			X										X			X					X								P
Phillips Petroleum Common Stock			X										X			X					X								P
PRAXAIR Inc Common Stock			X										X			X					X								P
Proctor & Gamble Common Stock			X										X			X					X								P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name: Stephen Michael Largent

BLOCK A Asset and /or Income Source	BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income									BLOCK E TRANSACTION
	A NONE	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H1 $500,001 - $1,000,000	H2 $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K $50,000,001 - $100,000,000	L Over $100,000,000	Dividends	Rent	Interest	Capital Gains	Exempt from Tax	BLIND TRUSTS OTHER	None	$1 - $200	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000	
SBC Communicatns Inc PV			X											X			X			X									
Sherwin Williams Common Stock			X											X			X			X									P
Tenneco Inc Common Stock			X											X			X				X								P
Union Camp Corp Common Stock			X											X			X			X									P
Washington Mutual Inc Common Stock			X											X			X			X									P
Wash R E Inv TR SBI			X											X			X			X	X								P
Whirlpool Corp Common Stock			X											X			X			X									P
Russell Equity T Fund							X							X			X					X							P
Scree Actors Guild Pension Plan			X																				X						P

2074

SCHEDULE IV- TRANSACTIONS

Stephen Michael Largent

Asset	TYPE of Transaction			Date	Amount of Transaction									
	Purchase	Sale	Exchange		B $10,000 to $15,000	C $15,001 to $50,000	D $50,001 to $100,000	E $100,001 to $250,000	F $250,001 to $500,000	G $500,001 to $1,000,000	H $1,000,001 to $5,000,000	I $5,000,001 to $25,000,000	J $25,000,001 to $50,000,000	K over $50,000,000
Williams Companies	x			1/09/98	x									
Eagle Ridge Ltd Partnership			x	6/30/98		x								
Mid-America Apts, LP			x	6/30/98		x								
Proactive Solutions		x		12/31/98	Insolvent									
Merrill Lynch International Equity Fund		x		3/24/98,4/20/98		x								
Merrill Lunch Floating Rate fund		x		3/24/98,4/20/98				x						
Smallcapital World fund		x		3/24/98,4/20/98			x							
Templeton Developing Markets Fund		x		3/24/98,4/20/98		x								
Alliance North American Govrnmnt Fund		x		3/24/98,4/20/98		x								
Templeton Smaller Company Fund		x		3/24/98,4/20/98		x								
Russell Equity I Fund	x	x	x	Note 1	Note 1									
Russell Equity II Fund	x	x	x	Note 1	Note 1									
Russell Equity Q Fund	x	x	x	Note 1	Note 1									
Russell Fixed Income I Fund	x	x	x	Note 1	Note 1									
Russell Fixed Income III Fund	x	x	x	Note 1	Note 1									
Russell Limited Volatility Bd. Fund	x	x	x	Note 1	Note 1									
Russell International Fund	x	x	x	Note 1	Note 1									
Russell Emerging Markets Fund	x	x	x	Note 1	Note 1									
Russell Real Estate Sec Fund	x	x	x	Note 1	Note 1									
Russell Tax-Free Money Market Fund	x	x	x	Note 1	Note 1									
A T & T Common Stock	x			3/24/98,4/20/98	X									
Amgen Inc Common Stock	x			3/24/98,4/20/98	X									

Note 1-See attached schedules 11,12,13,14 of 28

SCHEDULE IV- TRANSACTIONS

Stephen Michael Largent

ASSETS	TYPE of Transaction			Date	Amount of Transaction									
	Purchase	Sale	Exchange		B $1,000 to $15,000	C $15,001 to $50,000	D $50,001 to $100,000	E $100,001 to $250,000	F $250,001 to $500,000	G $500,001 to $1,000,000	H $1,000,001 to $5,000,000	I $5,000,001 to $25,000,000	J $25,000,001 to $50,000,000	K over $50,000,000
Russell Equity II	x			Note 2	x									
Russell Equity I	x	x		Note 2	x									
Russell Equity Q	x	x		Note 2	x									
Russell Fixed Income I	x			Note 2	x									
Russell Fixed Income III	x			Note 2	x									
Russell Limited Volatility Bond	x	x		Note 2	x									
Russell International	x	x	x	Note 2	x									
Russell Emerging Markets	x	x	x	Note 2	x									
Russell Real Estate Sec	x			Note 2	x									
Russell Tax Free Money Market	x	x		Note 2	x									
Russell Mutual Shares Fund	x	x	x	Note 2		x								
Vanguard GNMA Fund		x		Note 3	X									
Vanguard Muni Bond Fund	x	x	x	Note 3	Note 3									
Vanguard Wellington Fund	x	x	x	Note 3	Note 3									
Vanguard Prime Portfolio	x	x		Note 3	Note 3									
FNMA Debentures	x			3/24/98,4/20/98		x								
U. S. Treasurey Notes	x			3/24/98,4/20/98		x								
Solomon Smith Barney Bonds	x			3/24/98		x								

Note 2-See schedule attached 15,16,17 of 28
Note 3-See schedule attached 18,19,20,21,22,23,24,25,26 of 28

SCHEDULE IV- TRANSACTIONS Stephen Michael Largent

ASSET	TYPE of Transaction			Date	Amount of Transaction									
	Purchase	Sale	Exchange		B $1,000 to $15,000	C $15,001 to $50,000	D $50,001 to $100,000	E $100,001 to $250,000	F $250,001 to $500,000	G $500,001 to $1,000,000	H $1,000,001 to $5,000,000	I $5,000,001 to $25,000,000	J $25,000,001 to $50,000,000	K over $50,000,000
Amoco Corp Common Stock	X			Mar 24 & Apr 20, 1998	X									
Archer Danials Midland Common Stock	X			Mar 24 & Apr 20, 1998	X									
Beckman Coulter, Inc Common Stock	X			Mar 24 & Apr 20, 1998	X									
H & R Block Common Stock	X			Aug 19, 1998	X									
Carolina Power Lgt Common Stock	X			Mar 24 & Apr 20, 1998	X									
Chevron Corp Common Stock	X			Mar 24 & Apr 20, 1998	X									
Conagra Inc Common Stock	X			Mar 24 & Apr 20, 1998	X									
Corning Inc Common Stock	X			Mar 24 & Apr 20, 1998	X									
Dun & Bradstreet Crp Del	X			Mar 24 & Apr 20, 1998	X									
Dow Chemical PV	X			Mar 24 & Apr 20, 1998	X									
Electr Data Sys Corp Common Stock	X			Mar 24 & Apr 20, 1998	X									
Emerson Elec Co Common Stock	X			Mar 24 & Apr 20, 1998	X									
GTE Corp Common Stock	X			Mar 24 & Apr 20, 1998	X									
Ingersoll Rand Common Stock	X			Aug 19, 1998	X									
IBM Common Stock	X			Mar 24 & Apr 20, 1998	X									
Limited Inc Common Stock	X			Mar 24 & Apr 20, 1998	X									
Marsh & Mclennan Cos Inc Common Stk	X			Mar 24 & Apr 20, 1998	X									
McDonalds Corp Common Stock	X			Mar 24 & Apr 20, 1998	X									
Minnesota Mng Mfg Common Common Stk	X			Oct 5, 1998	X									
Motorola Inc Common Stock	X			Mar 24 & Apr 20, 1998	X									
Nationwide Hlth PPTYs Common Stk	X			Mar 24 & Apr 20, 1998	X									
Norfolk Southern Corp Common Stock	X			Mar 24 & Apr 20, 1998	X									
Phillips Petroleum Common Stock	X			Mar 24 & Apr 20, 1998	X									
PRAXAIR Inc Common Stock	X			Mar 24 & Apr 20, 1998	X									
Proctor & Gamble Common Stock	X			Apr 20, 1998	X									

SCHEDULE IV- TRANSACTIONS | Stephen Michael Largent |

ASSET	TYPE of Transaction			Date	Amount of Transaction									
	Purchase	Sale	Exchange		B $1,000 to $15,000	C $15,001 to $50,000	D $50,001 to $100,000	E $100,001 to $250,000	F $250,001 to $500,000	G $500,001 to $1,000,000	H $1,000,001 to $5,000,000	I $5,000,001 to $25,000,000	J $25,000,001 to $50,000,000	K over $50,000,000
SBC Communicatns Inc PV	x			3/24/98,4/20/98	x									
Tenneco Inc Common Stock	x			3/24/98,4/20/98	x									
Tenneco Inc Common Stock	x			7/21/98	x									
Union Camp Corp Common Stock	x			3/24/98,4/20/98	x									
Washington Mutual Inc Common Stock	x			10/29/98	x									
Wash R E Inv TR SBI	x			3/24/98,4/20/98	x									
Whirlpool Corp Common Stock	x			3/24/98,4/20/98	x									
Whirlpool Corp Common Stock	x			10/05/98	x									
Sherwin Williams Common Stock	x			3/24/98,4/20/98	x									
Sherwin Williams Common Stock	x			10/05/98	x									
Russell Equity T Fund	x			Note 1					x					
Scree Actors Guild Pension Plan	x			Various	x									

Note 1-See schedule attached 11,12,13,14 of 28

Ronald Blue & Co., LLC
TRANSACTION REPORT
STEVE & TERRY LARGENT
56175011
From 01-01-98 To 12-31-98

Trade Date	Asset ID	Security	Shares	Price	Total Cash Flow	Invested Capital
Purchases						
02-03-98	TFMM.FD	Tax-Free Money Market Fund	607.890	1.00	607.89	607.89
02-20-98	TFMM.FD	Tax-Free Money Market Fund	939.080	1.00	939.08	939.08
03-03-98	TFMM.FD	Tax-Free Money Market Fund	549.820	1.00	549.82	549.82
04-02-98	TFMM.FD	Tax-Free Money Market Fund	11,828.870	1.00	11,828.87	11,828.87
05-04-98	TFMM.FD	Tax-Free Money Market Fund	768.070	1.00	768.07	768.07
08-04-98	F1.FD	Fixed I Fund	2,524.094	21.79	55,000.00	55,000.00
08-04-98	F3.FD	Fixed III Fund	2,378.687	10.51	25,000.00	25,000.00
08-04-98	LVTF.FD	Tax Exempt Bond Fund	1,297.170	21.20	27,500.00	27,500.00
08-04-98	EMMA.FD	Emerging Markets Fund	515.996	9.69	5,000.00	5,000.00
08-04-98	IN.FD	International Fund	578.258	38.91	22,500.00	22,500.00
08-04-98	TFMM.FD	Tax-Free Money Market Fund	55,000.000	1.00	55,000.00	55,000.00
08-04-98	RESF.FD	Real Estate Securities Fund	980.392	25.50	25,000.00	25,000.00
08-04-98	E1.FD	Equity I Fund	614.628	32.54	20,000.00	20,000.00
08-04-98	E2.FD	Equity II Fund	157.183	31.81	5,000.00	5,000.00
08-04-98	EQ.FD	Equity Q Fund	529.241	37.79	20,000.00	20,000.00
08-04-98	ET.FD	Equity T Fund	2,562.460	15.61	40,000.00	40,000.00
					314,693.73	314,693.73
Redemptions						
10-23-98	EQ.FD	Equity Q Fund	800.000	37.29	-29,832.00	-29,832.00
					-29,832.00	-29,832.00
Transfer Redemptions						
12-11-98	F1.FD	Fixed I Fund	969.000	22.25	-21,560.25	-21,560.25
12-11-98	F3.FD	Fixed III Fund	913.000	10.60	-9,677.80	-9,677.80
12-11-98	IN.FD	International Fund	207.000	37.46	-7,754.22	-7,754.22
12-11-98	E1.FD	Equity I Fund	213.000	34.64	-7,378.32	-7,378.32
12-11-98	E2.FD	Equity II Fund	49.000	30.41	-1,490.09	-1,490.09
12-11-98	EQ.FD	Equity Q Fund	151.000	41.16	-6,215.16	-6,215.16
12-14-98	LVTF.FD	Tax Exempt Bond Fund	490.000	21.46	-10,515.40	-10,515.40
					-64,591.24	-64,591.24
Exchange Purchases						
01-26-98	TFMM.FD	Tax-Free Money Market Fund	50,000.000	1.00	50,000.00	50,000.00
04-23-98	F1.FD	Fixed I Fund	3,096.174	21.54	66,691.58	66,691.58
04-23-98	F3.FD	Fixed III Fund	372.682	10.43	3,887.07	3,887.07
04-23-98	LVTF.FD	Tax Exempt Bond Fund	1,362.776	21.14	28,809.08	28,809.08
04-23-98	RESF.FD	Real Estate Securities Fund	314.999	28.98	9,128.68	9,128.68
04-23-98	ET.FD	Equity T Fund	19,755.095	16.25	321,020.30	321,020.30
10-26-98	TFMM.FD	Tax-Free Money Market Fund	60,581.770	1.00	60,581.77	60,581.77
					540,118.48	540,118.48
Exchange Redemptions						
01-26-98	IN.FD	International Fund	1,401.738	35.67	-50,000.00	-50,000.00
04-23-98	EMMA.FD	Emerging Markets Fund	504.303	12.23	-6,167.62	-6,167.62

Ronald Blue & Co., LLC

TRANSACTION REPORT

STEVE & TERRY LARGENT

56175011

From 01-01-98 To 12-31-98

Trade Date	Asset ID	Security	Shares	Price	Total Cash Flow	Invested Capital
04-23-98	IN.FD	International Fund	303.725	39.94	-12,130.76	-12,130.76
04-23-98	TFMM.FD	Tax-Free Money Market Fund	67,995.640	1.00	-67,995.64	-67,995.64
04-23-98	E1.FD	Equity I Fund	4,455.737	34.70	-154,614.07	-154,614.07
04-23-98	E2.FD	Equity II Fund	1,001.469	37.24	-37,294.69	-37,294.69
04-23-98	EQ.FD	Equity Q Fund	3,776.739	40.07	-151,333.93	-151,333.93
10-26-98	EMMA.FD	Emerging Markets Fund	3,775.527	8.10	-30,581.77	-30,581.77
10-26-98	RESF.FD	Real Estate Securities Fund	1,233.553	24.32	-30,000.00	-30,000.00
					-540,118.48	-540,118.48
Management Fee						
01-05-98	TFMM.FD	Tax-Free Money Market Fund	4,293.000	1.00	-4,293.00	0.00
04-01-98	TFMM.FD	Tax-Free Money Market Fund	4,506.000	1.00	-4,506.00	0.00
07-01-98	TFMM.FD	Tax-Free Money Market Fund	4,578.000	1.00	-4,578.00	0.00
10-01-98	TFMM.FD	Tax-Free Money Market Fund	5,294.000	1.00	-5,294.00	0.00
					-18,671.00	0.00
Income - Dividend Reinvestment						
01-30-98	TFMM.FD	Tax-Free Money Market Fund	163.590	1.00	163.59	0.00
02-27-98	TFMM.FD	Tax-Free Money Market Fund	241.160	1.00	241.16	0.00
03-31-98	TFMM.FD	Tax-Free Money Market Fund	277.250	1.00	277.25	0.00
04-30-98	TFMM.FD	Tax-Free Money Market Fund	276.430	1.00	276.43	0.00
05-29-98	TFMM.FD	Tax-Free Money Market Fund	128.650	1.00	128.65	0.00
06-02-98	LVTF.FD	Tax Exempt Bond Fund	33.457	21.21	709.63	0.00
06-30-98	TFMM.FD	Tax-Free Money Market Fund	117.360	1.00	117.36	0.00
07-02-98	F1.FD	Fixed I Fund	302.453	21.72	6,569.27	0.00
07-02-98	F3.FD	Fixed III Fund	275.884	10.48	2,891.26	0.00
07-02-98	LVTF.FD	Tax Exempt Bond Fund	32.923	21.21	698.29	0.00
07-02-98	RESF.FD	Real Estate Securities Fund	83.044	28.20	2,341.83	0.00
07-02-98	E1.FD	Equity I Fund	8.877	35.22	312.64	0.00
07-02-98	E2.FD	Equity II Fund	0.546	35.93	19.62	0.00
07-02-98	EQ.FD	Equity Q Fund	7.658	40.82	312.58	0.00
07-31-98	TFMM.FD	Tax-Free Money Market Fund	103.250	1.00	103.25	0.00
08-04-98	LVTF.FD	Tax Exempt Bond Fund	33.834	21.20	717.29	0.00
09-01-98	TFMM.FD	Tax-Free Money Market Fund	241.440	1.00	241.44	0.00
09-02-98	LVTF.FD	Tax Exempt Bond Fund	36.930	21.34	788.08	0.00
10-01-98	TFMM.FD	Tax-Free Money Market Fund	250.370	1.00	250.37	0.00
10-02-98	F1.FD	Fixed I Fund	346.581	22.33	7,739.16	0.00
10-02-98	F3.FD	Fixed III Fund	330.023	10.58	3,491.64	0.00
10-02-98	LVTF.FD	Tax Exempt Bond Fund	35.853	21.52	771.55	0.00
10-02-98	RESF.FD	Real Estate Securities Fund	109.465	24.33	2,663.29	0.00
10-02-98	E1.FD	Equity I Fund	12.401	29.34	363.85	0.00
10-02-98	E2.FD	Equity II Fund	1.337	26.81	35.85	0.00
10-02-98	EQ.FD	Equity Q Fund	10.245	34.77	356.21	0.00
11-02-98	TFMM.FD	Tax-Free Money Market Fund	277.140	1.00	277.14	0.00
11-03-98	LVTF.FD	Tax Exempt Bond Fund	37.943	21.40	811.98	0.00
12-01-98	TFMM.FD	Tax-Free Money Market Fund	355.370	1.00	355.37	0.00
12-02-98	LVTF.FD	Tax Exempt Bond Fund	35.686	21.42	764.40	0.00
12-16-98	F1.FD	Fixed I Fund	324.119	21.77	7,056.06	0.00
12-16-98	F3.FD	Fixed III Fund	340.681	10.22	3,481.76	0.00

Ronald Blue & Co., LLC
TRANSACTION REPORT
STEVE & TERRY LARGENT
56175011
From 01-01-98 To 12-31-98

Trade Date	Asset ID	Security	Shares	Price	Total Cash Flow	Invested Capital
12-16-98	LVTF.FD	Tax Exempt Bond Fund	37.439	21.39	800.82	0.00
12-16-98	IN.FD	International Fund	75.626	36.67	2,773.21	0.00
12-16-98	RESF.FD	Real Estate Securities Fund	68.838	24.26	1,670.02	0.00
12-16-98	E1.FD	Equity I Fund	9.788	32.79	320.94	0.00
12-16-98	E2.FD	Equity II Fund	0.681	28.43	19.36	0.00
12-16-98	EQ.FD	Equity Q Fund	7.763	37.76	293.12	0.00
12-16-98	ET.FD	Equity T Fund	105.572	17.25	1,821.11	0.00
12-31-98	TFMM.FD	Tax-Free Money Market Fund	364.580	1.00	364.58	0.00
					53,391.41	0.00
Short Term Gain Reinvestment						
02-20-98	F3.FD	Fixed III Fund	67.388	10.53	709.60	0.00
02-20-98	IN.FD	International Fund	15.088	36.77	554.78	0.00
02-20-98	RESF.FD	Real Estate Securities Fund	16.910	29.46	498.17	0.00
02-20-98	E1.FD	Equity I Fund	57.272	32.04	1,835.00	0.00
02-20-98	E2.FD	Equity II Fund	6.394	33.91	216.82	0.00
02-20-98	EQ.FD	Equity Q Fund	73.560	36.74	2,702.60	0.00
12-16-98	F1.FD	Fixed I Fund	43.772	21.77	952.91	0.00
12-16-98	F3.FD	Fixed III Fund	252.904	10.22	2,584.68	0.00
12-16-98	E1.FD	Equity I Fund	89.421	32.79	2,932.12	0.00
12-16-98	EQ.FD	Equity Q Fund	44.608	37.76	1,684.38	0.00
					14,671.06	0.00
Mid Term Gain Reinvestment						
02-20-98	RESF.FD	Real Estate Securities Fund	26.057	29.46	767.65	0.00
02-20-98	E1.FD	Equity I Fund	94.416	32.04	3,025.10	0.00
02-20-98	E2.FD	Equity II Fund	10.521	33.91	356.78	0.00
02-20-98	EQ.FD	Equity Q Fund	25.116	36.74	922.75	0.00
					5,072.28	0.00
Long Term Gain Reinvestment						
02-20-98	F3.FD	Fixed III Fund	24.068	10.53	253.43	0.00
02-20-98	IN.FD	International Fund	27.351	36.77	1,005.71	0.00
02-20-98	RESF.FD	Real Estate Securities Fund	57.701	29.46	1,699.87	0.00
02-20-98	E1.FD	Equity I Fund	99.338	32.04	3,182.77	0.00
02-20-98	E2.FD	Equity II Fund	17.036	33.91	577.69	0.00
02-20-98	EQ.FD	Equity Q Fund	153.643	36.74	5,644.86	0.00
12-16-98	F1.FD	Fixed I Fund	170.918	21.77	3,720.88	0.00
12-16-98	F3.FD	Fixed III Fund	216.835	10.22	2,216.05	0.00
12-16-98	IN.FD	International Fund	36.080	36.67	1,323.05	0.00
12-16-98	E1.FD	Equity I Fund	140.372	32.79	4,602.79	0.00
12-16-98	E2.FD	Equity II Fund	60.061	28.42	1,706.92	0.00
12-16-98	EQ.FD	Equity Q Fund	231.901	37.76	8,756.57	0.00
					34,690.59	0.00

Ronald Blue & Co., LLC
TRANSACTION REPORT
STEVE & TERRY LARGENT
56175011
From 01-01-98 To 12-31-98

Trade Date	Asset ID	Security	Shares	Price	Total Cash Flow	Invested Capital
Income - Cash Distribution						
02-03-98	LVTF.FD	Tax Exempt Bond Fund	607.890	1.00	607.89	0.00
02-20-98	F1.FD	Fixed I Fund	514.680	1.00	514.68	0.00
02-20-98	EMMA.FD	Emerging Markets Fund	275.890	1.00	275.89	0.00
02-20-98	IN.FD	International Fund	103.840	1.00	103.84	0.00
02-20-98	E2.FD	Equity II Fund	31.260	1.00	31.26	0.00
02-20-98	EQ.FD	Equity Q Fund	13.410	1.00	13.41	0.00
03-03-98	LVTF.FD	Tax Exempt Bond Fund	549.820	1.00	549.82	0.00
04-02-98	F1.FD	Fixed I Fund	5,520.690	1.00	5,520.69	0.00
04-02-98	F3.FD	Fixed III Fund	2,740.930	1.00	2,740.93	0.00
04-02-98	LVTF.FD	Tax Exempt Bond Fund	596.090	1.00	596.09	0.00
04-02-98	RESF.FD	Real Estate Securities Fund	1,679.570	1.00	1,679.57	0.00
04-02-98	E1.FD	Equity I Fund	603.450	1.00	603.45	0.00
04-02-98	E2.FD	Equity II Fund	31.790	1.00	31.79	0.00
04-02-98	EQ.FD	Equity Q Fund	656.350	1.00	656.35	0.00
05-04-98	LVTF.FD	Tax Exempt Bond Fund	768.070	1.00	768.07	0.00
					14,693.73	0.00
					324,118.56	220,270.49

Ronald Blue & Co., LLC
TRANSACTION REPORT
LARGENT CHILDREN'S TRUST
56097011
From 01-01-98 To 12-31-98

Trade Date	Asset ID	Security	Shares	Price	Total Cash Flow	Invested Capital
Redemptions						
03-17-98	TFMM.FD	Tax-Free Money Market Fund	6,000.000	1.00	-6,000.00	-6,000.00
05-18-98	E1.FD	Equity I Fund	395.541	34.22	-13,535.41	-13,535.41
05-18-98	EQ.FD	Equity Q Fund	37.031	39.55	-1,464.59	-1,464.59
					-21,000.00	-21,000.00
Exchange Purchases						
01-26-98	TFMM.FD	Tax-Free Money Market Fund	5,000.000	1.00	5,000.00	5,000.00
10-01-98	TFMM.FD	Tax-Free Money Market Fund	2,679.730	1.00	2,679.73	2,679.73
					7,679.73	7,679.73
Exchange Redemptions						
01-26-98	IN.FD	International Fund	140.174	35.67	-5,000.00	-5,000.00
10-01-98	EMMA.FD	Emerging Markets Fund	370.640	7.23	-2,679.73	-2,679.73
					-7,679.73	-7,679.73
Management Fee						
01-05-98	TFMM.FD	Tax-Free Money Market Fund	416.000	1.00	-416.00	0.00
04-01-98	TFMM.FD	Tax-Free Money Market Fund	432.000	1.00	-432.00	0.00
07-01-98	TFMM.FD	Tax-Free Money Market Fund	389.000	1.00	-389.00	0.00
10-01-98	TFMM.FD	Tax-Free Money Market Fund	365.000	1.00	-365.00	0.00
					-1,602.00	0.00
Income - Dividend Reinvestment						
01-30-98	TFMM.FD	Tax-Free Money Market Fund	8.880	1.00	8.88	0.00
02-03-98	LVTF.FD	Tax Exempt Bond Fund	3.368	21.25	71.56	0.00
02-20-98	F1.FD	Fixed I Fund	3.654	21.78	79.58	0.00
02-20-98	EMMA.FD	Emerging Markets Fund	2.399	11.25	26.99	0.00
02-20-98	IN.FD	International Fund	0.249	36.75	9.15	0.00
02-20-98	E2.FD	Equity II Fund	0.030	33.67	1.01	0.00
02-20-98	EQ.FD	Equity Q Fund	0.030	37.00	1.11	0.00
02-27-98	TFMM.FD	Tax-Free Money Market Fund	17.580	1.00	17.58	0.00
03-03-98	LVTF.FD	Tax Exempt Bond Fund	3.066	21.18	64.93	0.00
03-31-98	TFMM.FD	Tax-Free Money Market Fund	12.010	1.00	12.01	0.00
04-02-98	F1.FD	Fixed I Fund	39.607	21.58	854.72	0.00
04-02-98	F3.FD	Fixed III Fund	12.113	10.44	126.46	0.00
04-02-98	LVTF.FD	Tax Exempt Bond Fund	3.330	21.20	70.59	0.00
04-02-98	RESF.FD	Real Estate Securities Fund	5.606	29.94	167.83	0.00
04-02-98	E1.FD	Equity I Fund	1.441	34.48	49.69	0.00
04-02-98	E2.FD	Equity II Fund	0.028	36.79	1.03	0.00
04-02-98	EQ.FD	Equity Q Fund	1.354	39.96	54.11	0.00
04-30-98	TFMM.FD	Tax-Free Money Market Fund	2.500	1.00	2.50	0.00
05-04-98	LVTF.FD	Tax Exempt Bond Fund	3.760	21.10	79.33	0.00
05-29-98	TFMM.FD	Tax-Free Money Market Fund	2.540	1.00	2.54	0.00
06-02-98	LVTF.FD	Tax Exempt Bond Fund	3.468	21.21	73.55	0.00
06-30-98	TFMM.FD	Tax-Free Money Market Fund	2.330	1.00	2.33	0.00

Ronald Blue & Co., LLC
TRANSACTION REPORT
LARGENT CHILDREN'S TRUST
56097011
From 01-01-98 To 12-31-98

Trade Date	Asset ID	Security	Shares	Price	Total Cash Flow	Invested Capital
07-02-98	F1.FD	Fixed I Fund	40.333	21.72	876.04	0.00
07-02-98	F3.FD	Fixed III Fund	12.656	10.48	132.63	0.00
07-02-98	LVTF.FD	Tax Exempt Bond Fund	3.412	21.21	72.37	0.00
07-02-98	RESF.FD	Real Estate Securities Fund	7.986	28.20	225.21	0.00
07-02-98	E1.FD	Equity I Fund	0.678	35.21	23.87	0.00
07-02-98	E2.FD	Equity II Fund	0.035	35.43	1.24	0.00
07-02-98	EQ.FD	Equity Q Fund	1.169	40.81	47.71	0.00
07-31-98	TFMM.FD	Tax-Free Money Market Fund	1.250	1.00	1.25	0.00
08-04-98	LVTF.FD	Tax Exempt Bond Fund	3.507	21.20	74.34	0.00
09-01-98	TFMM.FD	Tax-Free Money Market Fund	1.260	1.00	1.26	0.00
09-02-98	LVTF.FD	Tax Exempt Bond Fund	3.408	21.34	72.72	0.00
10-01-98	TFMM.FD	Tax-Free Money Market Fund	1.160	1.00	1.16	0.00
10-02-98	F1.FD	Fixed I Fund	41.214	22.33	920.30	0.00
10-02-98	F3.FD	Fixed III Fund	13.494	10.58	142.77	0.00
10-02-98	LVTF.FD	Tax Exempt Bond Fund	3.309	21.52	71.20	0.00
10-02-98	RESF.FD	Real Estate Securities Fund	9.230	24.33	224.57	0.00
10-02-98	E1.FD	Equity I Fund	0.834	29.35	24.48	0.00
10-02-98	E2.FD	Equity II Fund	0.073	26.99	1.97	0.00
10-02-98	EQ.FD	Equity Q Fund	1.378	34.78	47.93	0.00
11-02-98	TFMM.FD	Tax-Free Money Market Fund	7.610	1.00	7.61	0.00
11-03-98	LVTF.FD	Tax Exempt Bond Fund	3.501	21.40	74.93	0.00
12-01-98	TFMM.FD	Tax-Free Money Market Fund	6.630	1.00	6.63	0.00
12-02-98	LVTF.FD	Tax Exempt Bond Fund	3.293	21.42	70.54	0.00
12-16-98	F1.FD	Fixed I Fund	40.188	21.77	874.90	0.00
12-16-98	F3.FD	Fixed III Fund	14.526	10.22	148.46	0.00
12-16-98	LVTF.FD	Tax Exempt Bond Fund	3.602	21.39	77.05	0.00
12-16-98	IN.FD	International Fund	6.572	36.67	240.98	0.00
12-16-98	RESF.FD	Real Estate Securities Fund	6.852	24.26	166.24	0.00
12-16-98	E1.FD	Equity I Fund	0.687	32.78	22.52	0.00
12-16-98	E2.FD	Equity II Fund	0.039	28.46	1.11	0.00
12-16-98	EQ.FD	Equity Q Fund	1.326	37.77	50.08	0.00
12-31-98	TFMM.FD	Tax-Free Money Market Fund	6.770	1.00	6.77	0.00
					6,488.32	0.00
Short Term Gain Reinvestment						
02-20-98	F3.FD	Fixed III Fund	3.109	10.53	32.74	0.00
02-20-98	IN.FD	International Fund	1.329	36.76	48.86	0.00
02-20-98	RESF.FD	Real Estate Securities Fund	1.690	29.46	49.78	0.00
02-20-98	E1.FD	Equity I Fund	4.716	32.04	151.11	0.00
02-20-98	E2.FD	Equity II Fund	0.207	33.91	7.02	0.00
02-20-98	EQ.FD	Equity Q Fund	6.064	36.74	222.80	0.00
12-16-98	F1.FD	Fixed I Fund	5.427	21.77	118.15	0.00
12-16-98	F3.FD	Fixed III Fund	10.784	10.22	110.21	0.00
12-16-98	E1.FD	Equity I Fund	6.274	32.79	205.71	0.00
12-16-98	EQ.FD	Equity Q Fund	7.622	37.76	287.80	0.00
					1,234.18	0.00
Mid Term Gain Reinvestment						
02-20-98	RESF.FD	Real Estate Securities Fund	2.604	29.45	76.70	0.00

Ronald Blue & Co., LLC

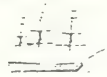
TRANSACTION REPORT

LARGENT CHILDREN'S TRUST

56097011

From 01-01-98 To 12-31-98

Trade Date	Asset ID	Security	Shares	Price	Total Cash Flow	Invested Capital
02-20-98	E1.FD	Equity I Fund	7.775	32.04	249.12	0.00
02-20-98	E2.FD	Equity II Fund	0.340	33.94	11.54	0.00
02-20-98	EQ.FD	Equity Q Fund	2.070	36.75	76.07	0.00
					413.43	0.00
Long Term Gain Reinvestment						
02-20-98	F3.FD	Fixed III Fund	1.110	10.53	11.69	0.00
02-20-98	IN.FD	International Fund	2.409	36.77	88.57	0.00
02-20-98	RESF.FD	Real Estate Securities Fund	5.765	29.46	169.86	0.00
02-20-98	E1.FD	Equity I Fund	8.181	32.04	262.11	0.00
02-20-98	E2.FD	Equity II Fund	0.551	33.92	18.69	0.00
02-20-98	EQ.FD	Equity Q Fund	12.666	36.74	465.34	0.00
12-16-98	F1.FD	Fixed I Fund	21.192	21.77	461.36	0.00
12-16-98	F3.FD	Fixed III Fund	9.246	10.22	94.49	0.00
12-16-98	IN.FD	International Fund	3.135	36.67	114.97	0.00
12-16-98	E1.FD	Equity I Fund	9.848	32.79	322.92	0.00
12-16-98	E2.FD	Equity II Fund	3.446	28.42	97.93	0.00
12-16-98	EQ.FD	Equity Q Fund	39.624	37.76	1,496.19	0.00
					3,604.12	0.00
					-10,861.95	-21,000.00



THE Vanguard GROUP.

Vanguard Wellington Fund

STEVE M LARGENT CUST
KYLE LARGENT MINOR
OK UNIFORM GIFT TO MINOR

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-5527
Fund number: 21
Account number: 9858270724
Statement number: 00168808

Third Party Copy

ACCOUNT VALUE	On 12/31/1997	On 12/31/1998
	$ 22,203.86	$ 9,767.91

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1997		$ 29.45		753.951
3/27	Income dividend .22	$ 165.87	31.47	5.271	759.222
6/26	Income dividend .22	167.03	31.63	5.281	764.503
9/25	Income dividend .22	168.19	30.36	5.540	770.043
12/16	Income dividend .47	361.92	28.84	12.549	782.592
12/16	ST cap gain .04	30.80	28.84	1.068	783.660
12/16	LT cap gain 2.40	1,848.10	28.84	64.081	847.741
12/23	Exchange to Prime MM	-15,000.00	29.13	-514.933	332.808
	Balance on 12/31/1998		$ 29.35		332.808

Income dividends	$ 863.01
Short-term gains	30.80
Long-term gains	1,848.10
Total income year-to-date	$ 2,741.91
Redemptions year-to-date	$ 15,000.00

The current Fund distribution was payable on December 21, 1998.

THE Vanguard GROUP.

Vanguard Wellington Fund

STEVE M LARGENT CUST
CASIE LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-5527
Fund number: 21
Account number 9880651256
Statement number: 04030367

Third Party Copy

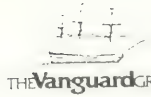
ACCOUNT VALUE	On 12/31/1997	On 12/31/1998
	$ 30,930.83	$ 8,710.20

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1997		$ 29.45		1,050.283
3/27	Income dividend .22	$ 231.06	31.47	7.342	1,057.625
4/27	Exchange to Prime MM	-2,000.00	31.29	-63.918	993.707
6/26	Income dividend .22	218.62	31.63	6.912	1,000.619
9/04	Exchange to Prime MM	-16,000.00	29.17	-548.509	452.110
9/25	Income dividend .22	99.46	30.36	3.276	455.386
11/30	Exchange to Prime MM	-6,000.00	32.29	-185.816	269.570
12/16	Income dividend .47	126.70	28.84	4.393	273.963
12/16	ST cap gain .04	10.78	28.84	.374	274.337
12/16	LT cap gain 2.40	646.97	28.84	22.433	296.770
	Balance on 12/31/1998		$ 29.35		296.770

Income dividends	$ 675.84
Short-term gains	10.78
Long-term gains	646.97
Total income year-to-date	$ 1,333.59
Redemptions year-to-date	$ 24,000.00

Total cost basis on 12/31/1998	$ 6,711.86
Average cost per share	22.62

The current Fund distribution was payable on December 21, 1998



Vanguard Wellington Fund

STEVE M LARGENT CUST
KELLY LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-5527
Fund number: 21
Account number: 9880651120
Statement number 04030354

Third Party Copy

ACCOUNT VALUE	On 12/31/1997	On 12/31/1998
	$ 30,254.25	$ 30,778.67

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1997		$ 29.45		1,027.309
3/27	Income dividend .22	$ 226.01	31.47	7.182	1,034.491
4/27	Exchange to Prime MM	-2,000.00	31.29	-63.918	970.573
6/26	Income dividend .22	213.53	31.63	6.751	977.324
7/06	Exchange to Prime MM	-500.00	32.08	-15.586	961.738
9/25	Income dividend .22	211.58	30.36	6.969	968.707
10/16	Exchange to Prime MM	-500.00	30.97	-16.145	952.562
12/16	Income dividend .47	447.70	28.84	15.524	968.086
12/16	ST cap gain .04	38.10	28.84	1.321	969.407
12/16	LT cap gain 2.40	2,286.15	28.84	79.270	1,048.677
	Balance on 12/31/1998		$ 29.35		1,048.677

Income dividends	$ 1,098.82
Short-term gains	38.10
Long-term gains	2,286.15
Total income year-to-date	$ 3,423.07
Redemptions year-to-date	$ 3,000.00

Total cost basis on 12/31/1998	$ 23,715.26
Average cost per share	22.61

The current Fund distribution was payable on December 21, 1998



Vanguard Wellington Fund

STEVE M LARGENT CUST
KRAMER LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-5527
Fund number: 21
Account number: 9880651434
Statement number: 04030388

Third Party Copy

ACCOUNT VALUE	On 12/31/1997	On 12/31/1998
	$ 31,930 40	$ 33,178.56

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1997		$ 29 45		1,084.224
3/27	Income dividend .22	$ 238.53	31.47	7 580	1,091.804
4/27	Exchange to Prime MM	-2,000 00	31.29	-63 918	1,027.886
6/26	Income dividend .22	226.13	31.63	7 149	1,035.035
7/06	Exchange to Prime MM	-500.00	32 08	-15.586	1,019 449
9/25	Income dividend .22	224.28	30.36	7.387	1,026 836
12/16	Income dividend 47	482.61	28 84	16.734	1,043.570
12/16	ST cap gain .04	41.07	28 84	1 424	1,044.994
12/16	LT cap gain 2.40	2,464.41	28 84	85 451	1,130.445
	Balance on 12/31/1998		$ 29.35		1,130.445

Income dividends	$ 1,171 55
Short-term gains	41 07
Long-term gains	2,464 41
Total income year-to-date	$ 3,677.03

Redemptions year-to-date	$ 2,500 00

The current Fund distribution was payable on December 21, 1998.

THE Vanguard GROUP.

Vanguard Prime Money Market Fund

STEVE M LARGENT CUST
KYLE LARGENT MINOR
OK UNIFORM GIFT TO MINOR

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-5527
Fund number 30
Account number: 9858270724
Statement number: 00168808

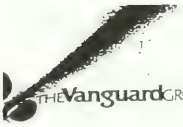
Third Party Copy

ACCOUNT VALUE	On 12/31/1997	On 12/31/1998
	$ 5,340.44	$ 1,018.49

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1997		$ 1.00		5,340.440
1/31	Income dividend	$ 24.58	1.00	24.580	5,365.020
2/28	Income dividend	21.98	1.00	21.980	5,387.000
3/31	Income dividend	24.33	1.00	24.330	5,411.330
4/23	Checkwriting 1027	-300.00	1.00	-300.000	5,111.330
4/24	Checkwriting 1026	-545.00	1.00	-545.000	4,566.330
4/30	Income dividend	22.73	1.00	22.730	4,589.060
5/31	Income dividend	20.68	1.00	20.680	4,609.740
6/01	Checkwriting 1028	-1,200.00	1.00	-1,200.000	3,409.740
6/12	Checkwriting 1029	-900.00	1.00	-900.000	2,509.740
6/30	Income dividend	12.37	1.00	12.370	2,522.110
7/02	Checkwriting 1030	-250.00	1.00	-250.000	2,272.110
7/31	Income dividend	10.27	1.00	10.270	2,282.380
8/31	Income dividend	10.28	1.00	10.280	2,292.660
9/30	Income dividend	9.94	1.00	9.940	2,302.600
10/31	Income dividend	10.10	1.00	10.100	2,312.700
11/02	Checkwriting 1032	-315.00	1.00	-315.000	1,997.700
11/30	Income dividend	8.28	1.00	8.280	2,005.980
12/02	Checkwriting 1033	-1,000.00	1.00	-1,000.000	1,005.980
12/23	Exchange from Wellington	15,000.00	1.00	15,000.000	16,005.980
12/28	Checkwriting 1034	-15,000.00	1.00	-15,000.000	1,005.980
12/31	Income dividend	12.51	1.00	12.510	1,018.490
	Balance on 12/31/1998		$ 1.00		1,018.490

Income dividends	$ 188.05
Purchases year-to-date	$ 15,000.00
Redemptions year-to-date	19,510.00

	Annualized yield	Compound annual yield	Distribution payable date
October	5.17%	5.29%	11/02/1998
November	5.02	5.14	12/01/1998
December	4.95	5.06	1/04/1999



Vanguard Prime Money Market Fund

STEVE M LARGENT CUST
CASIE LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-5527
Fund number 30
Account number: 9880651256
Statement number: 04030367

Third Party Copy

ACCOUNT VALUE	On 12/31/1997	On 12/31/1998
	$ 458.67	$ 1,709.70

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1997		$ 1.00		458.670
1/05	Checkwriting 1003	$ -250.00	1.00	-250.000	208.670
1/31	Income dividend	1.11	1.00	1.110	209.780
2/28	Income dividend	0.86	1.00	.860	210.640
3/31	Income dividend	0.95	1.00	.950	211.590
4/27	Exchange from Wellington	2,000.00	1.00	2,000.000	2,211.590
4/24	Checkwriting 1004	-465.00	1.00	-465.000	1,746.590
4/30	Income dividend	1.32	1.00	1.320	1,747.910
5/31	Income dividend	7.88	1.00	7.880	1,755.790
6/30	Income dividend	7.65	1.00	7.650	1,763.440
[redacted]/02	Checkwriting 1005	-250.00	1.00	-250.000	1,513.440
7/31	Income dividend	6.86	1.00	6.860	1,520.300
8/31	Income dividend	6.85	1.00	6.850	1,527.150
9/04	Exchange from Wellington	16,000.00	1.00	16,000.000	17,527.150
9/15	Checkwriting 1006	-16,000.00	1.00	-16,000.000	1,527.150
9/30	Income dividend	29.75	1.00	29.750	1,556.900
10/26	Checkwriting 1008	-400.00	1.00	-400.000	1,156.900
10/30	Checkwriting 1007	-315.00	1.00	-315.000	841.900
10/31	Income dividend	6.40	1.00	6.400	848.300
11/30	Exchange from Wellington	6,000.00	1.00	6,000.000	6,848.300
11/30	Income dividend	3.50	1.00	3.500	6,851.800
12/02	Checkwriting 1009	-4,550.00	1.00	-4,550.000	2,301.800
12/21	Checkwriting 1010	-601.50	1.00	-601.500	1,700.300
12/31	Income dividend	9.40	1.00	9.400	1,709.700
	Balance on 12/31/1998		$ 1.00		1,709.700

Income dividends	$ 82.53
Purchases year-to-date	$ 24,000.00
Redemptions year-to-date	22,831.50

	Annualized yield	Compound annual yield	Distribution payable date
October	5.17%	5.29%	11/02/1998
November	5.02	5.14	12/01/1998
December	4.95	5.06	1/04/1999

THE Vanguard GROUP.

Vanguard Prime Money Market Fund

STEVE M LARGENT CUST
KELLY LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-5527
Fund number 30
Account number: 9880651120
Statement number: 04030354

Third Party Copy

ACCOUNT VALUE	On 12/31/1997	On 12/31/1998
	$ 459.15	$ 315.41

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1997		$ 1.00		459.150
1/05	Checkwriting 1003	$ -250.00	1.00	-250.000	209.150
1/31	Income dividend	1.11	1.00	1.110	210.260
2/28	Income dividend	0.86	1.00	860	211.120
3/31	Income dividend	0.95	1.00	950	212.070
4/27	Exchange from Wellington	2,000.00	1.00	2,000.000	2,212.070
4/30	Income dividend	1.80	1.00	1.800	2,213.870
5/08	Checkwriting 1004	-1,500.00	1.00	-1,500.000	713.870
5/31	Income dividend	4.74	1.00	4.740	718.610
6/30	Income dividend	3.13	1.00	3.130	721.740
7/02	Checkwriting 1006	-600.00	1.00	-600.000	121.740
7/06	Exchange from Wellington	500.00	1.00	500.000	621.740
7/02	Checkwriting 1005	-250.00	1.00	-250.000	371.740
7/31	Income dividend	1.36	1.00	1.360	373.100
8/31	Income dividend	1.68	1.00	1.680	374.780
9/24	Checkwriting 1007	-250.00	1.00	-250.000	124.780
9/30	Income dividend	1.37	1.00	1.370	126.150
10/16	Exchange from Wellington	500.00	1.00	500.000	626.150
10/31	Income dividend	1.61	1.00	1.610	627.760
11/02	Checkwriting 1008	-315.00	1.00	-315.000	312.760
11/30	Income dividend	1.33	1.00	1.330	314.090
12/31	Income dividend	1.32	1.00	1.320	315.410
	Balance on 12/31/1998		$ 1.00		315.410

Income dividends	$ 21.26
Purchases year-to-date	$ 3,000.00
Redemptions year-to-date	3,165.00

	Annualized yield	Compound annual yield	Distribution payable date
October	5.17%	5.29%	11/02/1998
November	5.02	5.14	12/01/1998
December	4.95	5.06	1/04/1999

THE Vanguard GROUP.

Vanguard Prime Money Market Fund

STEVE M LARGENT CUST
KRAMER LARGENT
OK UNIF TRANS MIN ACT

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-5527
Fund number 30
Account number: 9880651434
Statement number: 04030388

Third Party Copy

ACCOUNT VALUE	On 12/31/1997	On 12/31/1998
	$ 459.15	$ 61.61

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1997		$ 1.00		459.150
1/05	Checkwriting 1003	$ -250.00	1.00	-250.000	209.150
1/31	Income dividend	1.11	1.00	1.110	210.260
2/28	Income dividend	0.86	1.00	.860	211.120
3/31	Income dividend	0.95	1.00	.950	212.070
4/27	Exchange from Wellington	2,000.00	1.00	2,000.000	2,212.070
4/29	Checkwriting 1004	-1,500.00	1.00	-1,500.000	712.070
4/30	Income dividend	1.36	1.00	1.360	713.430
5/31	Income dividend	3.22	1.00	3.220	716.650
6/30	Income dividend	3.12	1.00	3.120	719.770
7/02	Checkwriting 1006	-600.00	1.00	-600.000	119.770
7/06	Exchange from Wellington	500.00	1.00	500.000	619.770
7/02	Checkwriting 1005	-250.00	1.00	-250.000	369.770
7/31	Income dividend	1.35	1.00	1.350	371.120
8/31	Income dividend	1.67	1.00	1.670	372.790
9/30	Income dividend	1.62	1.00	1.620	374.410
10/31	Income dividend	1.64	1.00	1.640	376.050
11/02	Checkwriting 1007	-315.00	1.00	-315.000	61.050
11/30	Income dividend	0.30	1.00	.300	61.350
12/31	Income dividend	0.26	1.00	.260	61.610
	Balance on 12/31/1998		$ 1.00		61.610

Income dividends	$ 17.46
Purchases year-to-date	$ 2,500.00
Redemptions year-to-date	2,915.00

	Annualized yield	Compound annual yield	Distribution payable date
October	5.17%	5.29%	11/02/1998
November	5.02	5.14	12/01/1998
December	4.95	5.06	1/04/1999



Vanguard Tax-Exempt Money Market Fund

JAMES A ZORN TR TR
UA 11-07-89
LARGENT CHILDRENS TRUST

(800) 992-2911 - Investment Adviser Services
"INV-ADVISER" EXT-5527
Fund number: 45
Account number: 9902413893
Statement number: 07635153

Third Party Copy

ACCOUNT VALUE	On 12/31/1997	On 12/31/1998
	$ 8,582.88	$ 2,159.54

Trade date	Transaction	Dollar amount	Share price	Shares transacted	Total shares owned
	Balance on 12/31/1997		$ 1.00		8,582.880
1/22	Check purchase from CNL	$ 356.40	1.00	356.400	8,939.280
1/31	Income dividend	24.52	1.00	24.520	8,963.800
2/18	Checkwriting 1008	-2,180.85	1.00	-2,180.850	6,782.950
2/19	Checkwriting 1007	-750.00	1.00	-750.000	6,032.950
2/24	Checkwriting 1009	-4,067.50	1.00	-4,067.500	1,965.450
2/28	Income dividend	17.00	1.00	17.000	1,982.450
3/18	Wire purchase From Russell a/c	6,000.00	1.00	6,000.000	7,982.450
3/13	Checkwriting 1010	-4,067.50	1.00	-4,067.500	3,914.950
3/31	Income dividend	5.69	1.00	5.690	3,920.640
4/13	Checkwriting 1013	-600.00	1.00	-600.000	3,320.640
4/15	Checkwriting 1011	-1,793.00	1.00	-1,793.000	1,527.640
4/17	Checkwriting 1014	-550.00	1.00	-550.000	977.640
4/21	Check purchase from CNL	1,048.58	1.00	1,048.580	2,026.220
4/23	Checkwriting 1015	-300.00	1.00	-300.000	1,726.220
4/30	Income dividend	7.61	1.00	7.610	1,733.830
5/05	Checkwriting 1012	-611.00	1.00	-611.000	1,122.830
5/19	Wire purchase from Russell a/c	15,000.00	1.00	15,000.000	16,122.830
5/15	Checkwriting 1016	-11,956.00	1.00	-11,956.000	4,166.830
5/31	Income dividend	1.34	1.00	1.340	4,168.170
6/30	Income dividend	11.72	1.00	11.720	4,179.890
7/21	Check purchase from CNL	309.38	1.00	309.380	4,489.270
7/31	Income dividend	11.84	1.00	11.840	4,501.110
8/21	Checkwriting 1017	-262.25	1.00	-262.250	4,238.860
8/31	Income dividend	12.02	1.00	12.020	4,250.880
9/28	Checkwriting 1019	-1,200.00	1.00	-1,200.000	3,050.880
9/30	Checkwriting 1018	-995.80	1.00	-995.800	2,055.080
9/30	Income dividend	11.14	1.00	11.140	2,066.220
10/20	Check purchase from CNL	309.38	1.00	309.380	2,375.600
10/31	Income dividend	5.83	1.00	5.830	2,381.430
11/25	Checkwriting 1020	-233.45	1.00	-233.450	2,147.980
11/30	Income dividend	5.87	1.00	5.870	2,153.850
12/31	Income dividend	5.69	1.00	5.690	2,159.540
	Balance on 12/31/1998		$ 1.00		2,159.540

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Walter Camp	Feb 14-15	Tulsa, OK Hartford, CT Tulsa, OK	Y	Y	N	N
Greater Pittsburgh Community Leaders, Inc.	March 5-6	DC, Pittsburgh, PA, Tulsa, OK	Y	Y	N	N
Figi- International Foundation & Gov't of Figi	Aug 25-29	Tulsa, OK Figi, Tulsa, OK	Y	Y	Y	N

This page may be copied if more space is required.

See page 28 of 28 attached

2095

STEVE LARGENT 1998 TRAVEL REPORT

Date(s)	Itinerary	Payor	Description	Lodging?	Meals?	Airfare?	Family?
2/21/98	Tulsa/Los Angeles/Santa Maria, CA	Tom Bordonaro for Congress	Campaign visit-BBQ	No	Yes	Yes-Commercial & Private	No
2/21/98	Santa Maria/San Francisco/Tulsa	California Republican Party	Convention visit	No	No	Yes-Commercial & Private	No
3/19/98	DCA/Louisville, KY	Northup for Congress	Campaign visit-Breakfast	Yes	Yes	Yes-Commercial	No
3/20/98	Louisville/Cincinnati, OH	Bunning for Senate	Campaign visit-Lunch	No	Yes	Yes-Commercial	No
3/20/98	Cincinnati/Indianapolis/Tulsa	McIntosh for Congress	Campaign visit-Reception	No	Yes	Yes-Commercial	No
3/30/99	Tulsa/Houston/DCA	Koch Industries	Meeting/speech	No	Yes	Yes-Commercial & Private	No
6/12/98	Tulsa/Cedar Rapids, IA/Tulsa	Iowa Republican Party	Rally visit-Evening	Yes	Yes	Yes-Private	No
7/13/98	Tulsa/Philadelphia/Gwynedd, PA	Fox for Congress	Campaign visit-Lunch	No	Yes	Yes-Commercial	No
7/13/98	Gwynedd/Newark/DCA	Pappas for Congress	Campaign visit-Reception	No	Yes	Yes-Commercial	No
8/14/98	Tulsa/Spokane/Coeur d' Alene, ID	Chenoweth for Congress	Campaign visit-Reception	Yes	Yes	Yes-Commercial	No
8/15/98	Spokane/Missoula, MT/Tulsa	Hill for Congress	Campaign visit-BBQ	No	Yes	Yes-Commercial	No
9/2/98	Tulsa/Little Rock/Tulsa	Betty Dickey for Atty Gen	Campaign visit-Lunch	No	Yes	Yes-Private	No
9/11/98	DCA/Marquette, MI/Detroit/DCA	Michigan Right to Life	Rally visit-Evening	No	Yes	Yes-Commercial & Private	Yes
9/18/98	DCA/Gadsden, AL	Aderholt for Congress	Campaign visit-Reception	Yes	Yes	Yes-Private	No
9/18/98	Gadsden/Auburn, AL/Tulsa	Riley for Congress	Campaign visit-Rally	No	Yes	Yes-Private & Commercial	No
9/21/99	Tulsa/Cincinnati, OH/Tulsa	Family First PAC	Banquet fundraiser	No	Yes	Yes-Private	No
10/11/98	DCA/Newark	Ferguson for Congress	Campaign visit-Reception	No	Yes	Yes-Commercial	No
10/12/98	Newark/Atlanta/Valdosta, GA	McCormick for Congress	Campaign visit-Breakfast	Yes	Yes	Yes-Commercial & Private	No
10/12/98	Valdosta/Little Rock	Wyrick for Congress	Campaign visit-Lunch	No	Yes	Yes-Commercial	No
10/12/98	Little Rock/Cincinnati, OH	Williams for Congress	Campaign visit-Reception	Yes	Yes	Yes-Commercial & Private	No
10/13/98	Cincinnati/Quincy, IL	Baker for Congress	Campaign visit-Breakfast	No	Yes	Yes-Private	No
10/13/98	Quincy/Mosinee, WI	West for Congress	Campaign visit-Lunch	No	Yes	Yes-Private	No
10/13/98	Mosinee/Kenosha, WI	Ryan for Congress	Campaign visit-Reception	Yes	Yes	Yes-Private	No
10/14/98	Kenosha/Minneapolis	Duchring for Congress	Campaign visit-Breakfast	No	Yes	Yes-Private	No
10/14/98	Minneapolis/Sioux Falls, SD	Thune for Congress	Campaign visit-Lunch	No	Yes	Yes-Private	No
10/14/98	Sioux Falls/Seattle, WA	White for Congress	Campaign visit-Reception	Yes	Yes	Yes-Private	No
10/15/98	Seattle/Salem, OR	Shannon for Congress	Campaign visit-Breakfast	No	Yes	Yes-Private	No
10/15/98	Salem/Portland, OR	Molly Bordonaro for Congress	Campaign visit-Lunch	No	Yes	Yes-Private	No
10/16/98	DCA/Phoenix/Tulsa	Salmon for Congress	Campaign visit-weekend	Yes	Yes	Yes-Commercial	No
11/12/98	DCA/Atlanta/DCA	Georgia Family Council	Banquet fundraiser	No	Yes	Yes-Private	No
11/13/98	DCA/Harrisburg, PA/Tulsa	Pennsylvania Family Instit.	Banquet fundraiser	Yes	Yes	Yes-Commercial	No

All time above at sponsors expense.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A

For use by Members, officers, and employees

RECEIVED

99 MAY 14 AM 10:53

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

(Office Use Only)

JOHN B. LARSON
(Full Name)

1887 OLD MAIN STREET
(Mailing Address)

EAST HARTFORD, CT 06108

Daytime Telephone
WASHINGTON (202) 225-2265
HARTFORD (860) 278-8888

Filer Status	[X]	Member of the U.S. House of Representatives	State CT District 1ST	[ ]	Officer or Employee	Employing Office
Report Type	[X]	Annual (May 17)	[ ] Amendment	[ ]	Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: John B Larson

DATE (Month/Day/Year): May 14, 1999

2097

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
LARSON & LYSIK, INC. "S" CORP	PASS THROUGH INCOME	GROSS $200,732 NET $ 20,960
NETWORKING CONCEPTS, LIMITED LIABILITY COMPANY	EARNINGS	GROSS $198,178 NET $177,600

For payments to charity in lieu of honoraria, use Schedule II.

2008

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOHN B. LARSON | Page 3 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP, DC, JT — *Examples*: SP Mega Corp. Stock; Simon & Schuster; 1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

A	B	C	D	E	F	G	H	I	J	K	L
None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000
				X							
Indefinite											
					X						

BLOCK C — Type of Income

DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)
X						
		X				Royalties

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

I	II	III	IV	V	VI	VII	VIII	IX	X	XI
None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000
			X							
					X					
			N/A							
			N/A							

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	A	B	C	D	E	F	G	H	I	J	K	L	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	BLOCK E
FLEET NATIONAL BANK HARTFORD, CT		X													X																P
FLEET NATIONAL BANK(IRA) HARTFORD, CT			X												N/A								N/A								
MERRILL LYNCH (SEP) HARTFORD, CT			X												N/A								N/A								

For additional assets and unearned income, use next page

2099

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN B. LARSON

BLOCK A	BLOCK B												BLOCK C							BLOCK D											BLOCK E
Asset and/or Income Source	Year-End Value of Asset												Type of Income							Amount of Income											Transaction
SP. DC. JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E.
LARSON & LYSIK, INC. EAST HARTFORD, CT						X								$					SCHEDULE I					I						
NETWORKING CONCEPTS, LLC HARTFORD, CT						X									S				SCHEDULE I					I						

This page may be copied if more space is required.

2100

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	THE OLD STATE HOUSE
MEMBER	RIVER FRONT RECAPTURE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 9:15
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

THOMAS P. LATHAM
(Full Name)

178 180th Street
(Mailing Address)

Alexander, Iowa 50420

Daytime Telephone: (515) 692-3599

Filer Status
[X] Member of the U.S. House of Representatives — State IOWA District: 5th
[ ] Officer or Employee — Employing Office.

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Thomas P. Latham **DATE** (Month/Day/Year) 5/15/99

2102

Name	THOMAS P. LATHAM	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Latham Seed Company, Alexander, Iowa	Salary	$5,198

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name THOMAS P. LATHAM

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E P, S, or E
SP		SP	Mega Corp Stock					X								X																	P
DC	*Examples:*		Simon & Schuster		Indefinite																	Royalties												
JT			1st Bank of Paducah, KY accounts						X								X										X							
	Latham Seed Company (1/3 interest) Common Stock										X											S Corporation income						X						
	Latham Kanawha Farm (80 ac) Partnership interest (25%)					X																Partnership Income			X									
	Latham Hospital Farm (360 ac) Partnership interest (25%)							X														Partnership Income					X							
	Latham Wright Farm (562 ac) Partnership interest (20%)								X													Partnership Income						X						
	Farm buildings Alexander, Iowa					X											X									X								
	Nationwide Life Ins Co. Annuity contract, Columbus, OH							X								Not applicable							Not applicable											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	401(k) Pension Plan Fringe Benefits Design, Inc.				X									Not applicable							Not applicable											
	Individual Retirement Account Salomon Smith Barney				X									Not applicable							Not applicable											
	First Citizens National Bank Clarion, Iowa					X										X							X								
DC	First Citizens National Bank Clarion, Iowa			X											X									X							
DC	First Citizens National Bank Clarion, Iowa			X											X									X							
	Northwestern State Bank Orange City, Iowa	X														X									X						S
	Municipal Bonds - Salomon Smith Barney, Waterloo, IA Wtrwks Rev. Service A	X														X							X								S
	Municipal Bonds - Salomon Smith Barney, Iowa State Univ. Science & Technology Univ. State Univ. Iowa Academic O-Cpn				X											X							X								S (Partia
	Municipal Money Mkt Fund Salomon Smith Barney			X										X								X									
	Mutual Funds, Fidelity Advisors, Salomon Smith Barney					X								X											X						S (Partia
	(Continued)																															

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas P. Latham

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset										BLOCK C Type of Income					BLOCK D Amount of Income										BLOCK E Transactior
		A None	B	C $1,001 – $15,000	D	E $50,001 – $100,000	F	G $250,001 – $500,000	H	I $1,000,001 – $5,000,000	J	K $25,000,001 – $50,000,000	L	DIVIDENDS	RENTS, ROYALTIES	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	I None	II	III $201 – $1,000	IV	V $2,501 – $5,000	VI	VII $15,001 – $50,000	VIII	IX $100,001 – $1,000,000	X	XI Over $5,000,000	P, S, or
	Green Circle Investments, Inc. (1/6 c. stock)																												
	~~Bank Holding Company for Peoples Trust & Savings Bank~~							X						X					X										
	Congressional Federal Credit Union, Washington, D. C.			X												X					X								

This page may be copied if more space is required.

2106

SCHEDULE IV — TRANSACTIONS

Name: THOMAS P. LATHAM

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	Municipal Bonds - Salomon Smith Barney Waterloo, IA Wtrwks Rev. Service A		X		01-06-98		X								
	Municipal Bonds - Salomon Smith Barney Iowa State Univ., Science & Technology Univ.		X		01-06-98		X								
	Mutual Funds, Fidelity Advisors Salomon Smith Barney		X		01-06-98		X								
	Mutual Funds, Fidelity Advisors Salomon Smith Barney (Dividends Reinvested)	X			Monthly	X									
	Northwestern State Bank Certificates of Deposit, Orange City, Iowa		X		01-06-98				X						

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Northwestern State Bank - Orange City, IA	Campaign loan				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
Not applicable		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Chicago Mercantile Exchange/ Chicago Board of Trade	Mar. 20-22	Washington, D.C., Boca Raton, FL, Washington, D. C.	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Consultant	Latham Seed Company
General Partner	Latham Kanawha Farm
General Partner	Latham Hospital Farm
General Partner	Latham Wright Farm

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	Not applicable	

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

99 MAY 17 PM 1:43

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HC HAND DELIVERED
(Office Use Only)

STEVEN C. LATOURETTE
(Full Name)

1224 LONGWORTH
(Mailing Address)

WASHINGTON D.C.

202-225-5731
Daytime Telephone

Filer Status

☑ Member of the U.S. House of Representatives — State OHIO, District 19

☐ Officer or Employee — Employing Office

Report Type

☐ Annual (May 17)

☐ Amendment

☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5/17/99

2111

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	BRANDT'S CANDIES	SPOUSE SALARY	$1,000

For payments to charity in lieu of honoraria, use Schedule II.

Name S. LaTourette | Page 3 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

BLOCK A				BLOCK B												BLOCK C								BLOCK D											BLOCK E
				A	B	C		E	F	G	H	I	J	K	L									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
				None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock					X								X										X								P
			Simon & Schuster			Indefinite																Royalties								X				
			1st Bank of Paducah, KY accounts						X									X									X								
THRIFT SAVINGS PLAN						X												X							X									

For additional assets and unearned income, use next page.

2113

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 17 PM 4:24

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(1)

RICK A. LAZIO
(Full Name)
126 SOUTH WINDSOR AVENUE
(Mailing Address)
BRIGHTWATERS NEW YORK 11718
Daytime Telephone (516) 893-9010

FILING RECEIVED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State 2 NY District	[ ] Officer or Employee	Employing Office	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (e.g., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS — Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Rick C. Lazio

DATE (Month/Day/Year) 4.17.99

2114

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Onlano County Board of Education	Spouse Salary	NA
	SOUTHSIDE HOSPITAL	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II

Name RICK A. LAZIO Page 3 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless used as rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and its included only because it generated income, the value should be "None."	BLOCK C **Type of Income**	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
	A B C E F G H I J K L	DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED TRUST QUALIFIED BLIND TRUST Other Type of Income (Specify for Example "Partnership Income" or "Farm Income")	I II III IV V VI VII VIII IX X XI	P S E
	None $1 – $1,000 $1,001 – $15,000 $15,001 – $50,000 $50,001 – $100,000 $100,001 – $250,000 $250,001 – $500,000 $500,001 – $1,000,000 $1,000,001 – $5,000,000 $5,000,001 – $25,000,000 $25,000,001 – $50,000,000 Over $50,000,000		None $1 – $200 $201 – $1,000 $1,001 – $2,500 $2,501 – $5,000 $5,001 – $15,000 $15,001 – $50,000 $50,001 – $100,000 $100,001 – $1,000,000 $1,000,001 – $5,000,000 $5,000,001 – $25,000,000 Over $25,000,000	
SP Mega Corp. Stock Examples: Simon & Schuster JT Bank of Podunk, NY account	X	X Royalties	X X	P X
DIAMOND MULTIMEDIA SYSTEMS	X		X	
KEY CORP.	X		X	
TOWER RECORDS	X		X	
US AIRWAYS	X		X	
COMPAQ	X		X	
MICROSOFT	X		X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name RICK A. LAZIO — Page 2 of 9

Block B columns (Year-End Value of Asset): A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000

Block C columns (Type of Income): DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other: Type of Income (Specify)

Block D columns (Amount of Income): I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000

Block E (Transaction): P, S, E

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
	NORTH FORK BANCORP	C	Dividends	II	
	SCHLUMBERGER LTD	C		I	
	DREYFUS GENERAL [illegible]	D	Dividends	III	
	DREYFUS [illegible]	B	Dividends	III	
	DREYFUS GENERAL [illegible]	C	Dividends	II	
	CONGRESSIONAL [illegible]	C	Interest	II	
	1/2 [illegible] HARBOR NY	F	Rent	VI	
	HALLIBURTON	A	Dividends, Capital Gains	III	S
	[illegible]	A	Dividends, Capital Gains	III	S
	[illegible]	A	Dividends, Capital Gains	VI	S
	SUN MICROSYSTEMS	A	Capital Gains	V	S
	SUN MICROSYSTEMS CALLS	A	Capital Gains	III	S
	QUICK & REILLY	A	Dividends, Capital Gains	IV	S
	COMPUTER ASSOCIATES	A	Capital Gains	V	S
	COMPAQ CALLS	A	Capital Gains	III	S
	COMPAQ CALLS	A	Capital Gains	III	S
	COMPAQ CALLS	A	Capital Gains	III	S
	COMPUTER ASSOCIATES CALLS	A	Capital Gains	III	S
	[illegible] CALLS	A	Capital Gains	IV	S
	MCDONALDS	A	Dividends, Capital Gains	III	S
	[illegible]	A	Dividends, Capital Gains	III	S

This page may be copied if more space is required.

Name RICK A. LAZIO | Page 5 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

SP, DC, JT / SP	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: Mega Corporation Common Stock	X			10-12-98		X								
	SUN MICROSYSTEMS INC	X			4-7-98	X									
	NORTH FORK BANKCORP	X			5-8-98	X									
	MONSANTO COMPANY	X			11-9-98	X									
	SCHLUMBERGER LTD	X			12-22-98	X									
	QUICK & REILLY GROUP		X		1-30-98		X								
	ASCEND COMMUNICATIONS		X		4-29-98	X									
	SUN MICROSYSTEMS		X		10-19-98		X								
	MONSANTO COMPANY		X		12-21-98	X									
	HALLIBURTON COMPANY		X		2-23-98	X									
	FEDD		X		6-3-98	X									
	NOKE LEE 45 CALL OPTIONS		X		3-5-98	X									
	NOKE - SUN 45 CALLS - OPTIONS		X		4-20-98	X									
	UNITED STATES TREASURY BILL	X			2-26-98	X									
	SUN MICROSYSTEMS INC		X		1-2-98	X									
	[illegible]				[illegible]	X									
	[illegible]				[illegible]	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name RICK A. LAZIO

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT SP	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example — Mega Corporation Common Stock	X			10-12-98		X								
	DRESSER IND (BECAME HALLIBURTON)	X			6-15-98	X									
	JOHNSON + JOHNSON		X		1-20-98	X									
	MCDONALDS CORP		X		2-[illegible]-98	X									
	NIKE INC		X		7-23-98		X								
	WORLD WIDE FILTER		X		12-31-98	X									
	COMPUTER ASSOCIATES	X			2-17-98	X									
	COMPAQ COMPUTER	X			6-1-98	X									
	BOEING CO.	X			6-12-98	X									
	BOEING CO.	X			7-30-98	X									
	QUICK + REILLY		X		1-20-98	X									
	COMPUTER ASSOCIATES		X		5-[illegible]-98		X								
	[illegible] BURTON COMPANY	X			11-7-98	X									

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	FIRST FEDERAL SAVINGS OF THE PALM BEACHES (fully indemnified)	MORTGAGE ON 501 SOUTH SEAS DR. JUPITER, FL.		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note. The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name	Page
RICK LAZIO	8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
NATIONAL ARTS CLUB	April 3-4	NYC	Y	Y	Y	N
NAT'L KIDNEY CANCER ASSOC	July 17-19	DC-CHICAGO-NY	Y	N	Y	N
NAT'L ASSOC OF MORTGAGE BROKERS	Sept 12	SARATOGA, NY	N	N	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1-93	SUFFOLK COUNTY RETIREMENT PLAN - NYS EMPLOYEE RETIREMENT SYSTEM	VESTED RETIREMENT PLAN

GPO 1999 55-105 (mac)

Use additional sheets if more space is required.

2122

HAND DELIVERED

99 MAY 19 AM 5:59

MEMO

To: Jeff Trandahl
Clerk, U.S. House of Representatives

From: David Horne
Chief of Staff, U.S. Representative Rick Lazio (NY-2)

Subject: Enclosed Amended Financial Disclosure Statement for 1998

Date: May 18, 1999

Enclosed pursuant to your office's direction is an Amended Financial Disclosure Statement for Rep. Rick Lazio (NY-2).

As you know, we attempted to file the attached Statement prior to the deadline yesterday but the Clerk's office explained that they could not retrieve the originally filed Statement and replace it with the attached Statement.

Thank you for your attention to this matter

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 18 AM 6:00

U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

RICK A LAZIO
(Full Name)
126 SOUTH WINDSOR AVENUE
(Mailing Address)
BRIGHTWATERS, NEW YORK 11718
Daytime Telephone (516) 893-9010

Filer Status
- [X] Member of the U.S. House of Representatives — State NY District 02
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 17)
- [X] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL — **DATE** (Month/Day/Year)

2124

Name RICK A. LAZIO | Page 28

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
SOUTHSIDE HOSPITAL	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

2125

Name RICK A. LAZIO | Page 3 of 8

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples:	SP	Meyo Corp. Stock				X								X																		P
DC			Indefinite																		Royalties									X			
JT			1st Bank of Purlash, KY account					X									X									X							
																						X											
					X										X							X											
					X										X								X										
					X										X						X												
					X										X							X											

For additional assets and unearned income, use next page.

2126

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name RICK A. LAZIO

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E
	NORFOLK BANCORP			X									X								X									
	SCHLUMBERGER LTD			X									X								X									
	[illegible]				X								X									X								
	[illegible]		X										X									X								
	[illegible]			X									X			X					X									
	[illegible]			X									X								X									
	[illegible]						X						X											X						
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	
	[illegible]	X											X																	

This page may be copied if more space is required

Name RICK A. LAZIO | Page 5 of 8

SCHEDULE IV — TRANSACTIONS

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example. | Mega Corporation Common Stock	X			10-12-98		X								
	SUN MICROSYSTEMS INC	X			4-7-98	X									
	NORTH FORK BANKCORP	X			5-8-98	X									
	MONSANTO COMPANY	X			11-9-98	X									
	SCHLUMBERGER LTD	X			12-22-98	X									
	QUICK + REILLY GROUP		X		1-30-98		X								
	ASCEND COMMUNICATIONS		X		4-22-98	X									
	SUN MICROSYSTEMS		X		10-19-98		X								
	MONSANTO COMPANY		X		12-21-98	X									
	HALLIBURTON COMPANY		X		12-23-98	X									
	FEDO		X		6-3-98	X									
	LUCENT - LEC 65 CALLS - OPTIONS		X		3-5-98	X									
	LUCENT - SUN 65 CALLS - OPTIONS		X		4-20-98	X									
	UNITED STATES FILTER - [illegible] OPTION	X			2-[illegible]-98	X									
	SUN MICROSYSTEMS - PUT OPTIONS		X		7-2-98	X									
	[illegible] CORP				4-[illegible]-98	X									
	[illegible] INC	X			[illegible]	X									

This page may be copied if more space is required.

2128

Name RICK A. LAZIO

Page 6 of 7

SCHEDULE IV — TRANSACTIONS

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction										
	Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* — Mega Corporation Common Stock	X			10-12-98		X									
	DRESSER IND (BECAME HALLIBURTON)	X			6-15-98	X										
	JOHNSON & JOHNSON		X		1-20-98	X										
	MCDONALDS CORP		X		2-23-98	X										
	NIKE INC		X		7-20-98		X									
	UNITED STATES FILTER		X		12-3-98	X										
	COMPUTER ASSOCIATES	X			2-17-98	X										
	COMPAQ COMPUTER	X			6-1-98	X										
	BOEING CO.	X			6-12-98	X										
	BOEING CO.	X			7-30-98	X										
	QUAKER OATS		X		1-20-98	X										
	COMPUTER ASSOCIATES		X		6-23-98		X									
	PFIZER INCORPORATED	X			11-7-98	X										

This page may be copied if more space is required

Name RICK LAZIO | Page 7 of 8

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
NATIONAL ARTS CLUB		April 3-4	NYC	Y	Y	Y	N
NAT'L KIDNEY CANCER ASSOC		July 17-19	DC-CHICAGO-NY	Y	N	Y	N
NAT'L ASSOC OF MORTGAGE BROKERS		Sept 12	SARATOGA, NY	N	N	N	N

This page may be copied if more space is required.

2130

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1-93	SUFFOLK COUNTY RETIREMENT PLAN - NYS EMPLOYEE RETIREMENT SYSTEM	VESTED RETIREMENT PLAN

GPO 1999 55-105 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 JUN 15 PM 3:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

RICK A LAZIO
(Full Name)
126 SOUTH WINDSOR AVENUE
(Mailing Address)
BRIGHTWATERS, NEW YORK 11718
Daytime Telephone 516-893-9010

Filer Status	☒ Member of the U.S. House of Representatives	State NY District 02	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 17)	☒ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL — DATE (Month/Day/Year) 6/14/99

Name RICK A LAZIO	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SOUTHSIDE HOSPITAL	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name RICK A. LAZIO Page 3 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D											BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, or E
SP	Mega Corp. Stock				X									X										X							P
DC	Examples: Simon & Schuster		Indefinite																	Royalties											
JT	1st Bank of Purlieigh, KY accounts						X									X										X					
	COMPUTER ASSOCIATES			X										X							X										
	KEYCORP			X										X								X									
	TOWER REALTY			X										X									X								
	US FILTER			X																	X										
	BOEING			X										X								X									
	COMPAQ COMPUTER			X										X								X									

For additional assets and unearned income, use next page.

2134

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name RICK A. LAZIO

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income								BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	NORTH FORK BANCORP			X										X								X									
	SCHLUMBERGER LTD			X										X							X										
	DREYFUS GENERAL [illegible]				X									X									X								
	DREYFUS GENERAL [illegible]		X											X									X								
	DREYFUS GENERAL [illegible]			X										X									X								
	CONGRESSIONAL FEDERAL CREDIT UNION			X												X							X								
	69 HOLLY WALK FAIR HARBOR NY						X							X										X							
	HALLIBURTON	X												X									X								
	PEPCO	X												X									X								
	QUICK & REILLY	X												X									X								
	SUN MICROSYSTEMS	X															X							X							
	SUN MICROSYSTEMS Calls	X															X						X								
	QUICK & REILLY	X												X									X								
	COMPUTER ASSOCIATES	X															X							X							
	COMPAQ Calls	X															X						X								
	COMPAQ Calls	X															X						X								
	COMPAQ Calls	X															X						X								
	COMPUTER ASSOCIATES Calls	X															X							X							
	NIKE CALLS	X															X							X							
	MCDONALDS	X												X									X								
	MONSANTO	X												X									X								

This page may be copied if more space is required

Name RICK A. LAZIO | Page 5 of 9

SCHEDULE IV — TRANSACTIONS

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* | Mega Corporation Common Stock	X			10-12-98		X								
	SUN MICROSYSTEMS INC	✓			4-7-98	X									
	NORTH FORK BANKCORP	X			5-8-98	X									
	MONSANTO COMPANY	X			11-9-98	X									
	SCHLUMBERGER LTD	X			10-22-98	X									
	QUICK + REILLY GROUP		X		1-20-98		X								
	FISCEND COMMUNICATIONS		X		4-22-98	X									
	SUN MICROSYSTEMS		X		10-19-98		X								
	MONSANTO COMPANY		X		12-21-98	X									
	HALLIBURTON COMPANY		X		12-22-98	X									
	PECO		X		6-3-98	X									
	NIKE - APR 45 CALLS OPTIONS		X		3-5-98	X									
	NIKE - JULY 45 CALLS - OPTIONS		X		4-27-98	X									
	UNITED STATES FILTER - [illegible] CALLS OPTION	X			8-14-98	X									
	SUN MICROSYSTEMS - [illegible] CALLS-OPTIONS		X		7-8-98	X									
	KEY CORP				4-6-98	X									
	NIKE INC	X			1-23-98	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name RICK A. LAZIO | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT SP	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	X Over $50,000,000
SP	*Example* | Mega Corporation Common Stock	X			10-12-98		X								
	DRESSER IND (BECAME HALLIBURTON)	X			6-15-98	X									
	JOHNSON + JOHNSON		X		1-20-98	X									
	MCDONALDS CORP		X		3-23-98	X									
	NIKE INC		X		7-20-98		X								
	UNITED STATES FILTER		X		12-31-98	X									
	COMPUTER ASSOCIATES	X			2-17-98	X									
	COMPAQ COMPUTER	X			6-1-98	X									
	BOEING CO.	X			6-12-98	X									
	BOEING CO	X			7-30-98	X									
	QUICK – REILLY		X		1-20-98	X									
	COMPUTER ASSOCIATES		X		5-18-98		X								
	HALLIBURTON COMPANY	X			4-7-98	X									

This page may be copied if more space is required

2137

MAY 12 2000 11:

MAY-26-99 WED 03:30 PM PICCIRILLO & LAMONT CPAS FAX NO. 516 376 0180 P. 02
05-25-1999 04:35PM FROM FIRST ALBANY CORPORATION TO 93760180 P.02

Name RICK A LAZIO Page 7 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP	DC	JT	Asset Example: Mega Corporation Common Stock	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DA/YR)	Amount of Transaction: A	B	C	D	E	F	G	H	I	J	K
				x			10-12-98			x								
			TOWER REALTY	X			7-8-98		X									
			COMPUTER ASSOCIATES	X			7-28-98		X									
			DESSER IND	X			7-30-98		X									
			COMPUTER ASSOCIATES	X			7-31-98		X									
			US FILTER	X			7-31-98		X									

This page may be copied if more space is required.

From sources which we believe to be reliable; however we can not guarantee it's accuracy or completeness.

Name RICK A. LAZIO | Page 8 of 9

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
NATIONAL ARTS CLUB	April 3-4	NYC	Y	Y	Y	N
NAT'L KIDNEY CANCER ASSOC	July 17-19	DC-CHICAGO-NY	Y	N	Y	N
NAT'L ASSOC OF MORTGAGE BROKERS	Sept 12	SARATOGA, NY	N	N	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1-95	SUFFOLK COUNTY RETIREMENT PLAN - NYS EMPLOYEE RETIREMENT SYSTEM	VESTED RETIREMENT PLAN

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

99 MAY 17 PM 4:04

OFFICE OF PUBLIC RECORDS
U.S. HOUSE OF REPRESENTATIVES
WASHINGTON, DC 20515-6612

(Office Use Only)

James A. Leach
(Full Name)

2186 Rayburn House Office Building
(Mailing Address)

Washington DC 20515
Daytime Telephone 202 225 6576

Filer Status: [X] Member of the U.S. House of Representatives — State IA District 01 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL James A. Leach

DATE (Month/Day/Year) 5/17/99

2141

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NA	NA	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A seperate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$ 500
NA	NA	NA	NA

Name James A. Leach | Page 4 of 13

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is not selfdirected, name the institution holding the account.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

BLOCK A				BLOCK B												BLOCK C							BLOCK D										BLOCK E
				A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp Stock					X								X										X							P
			Simon & Schuster			Indefinite												X				Royalties								X			
			1st Bank of Paducah, KY accounts						X																		X							
	Adel Wholesalers, Incorporated											X				X															X		
	Leach Family Farms, Inc.									X						X												X					
	Mehta Tech, Inc.											X						X									X						
	Merrill Lynch CMA											X						X								X							P
	Foxley Cattle Company											X				X														X			
SP	Merrill Lynch CMA					X												X															S

For additional assets and unearned income, use next page.

2144

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: James A. Leach

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	The following investments are																												
	held in the Lois Hill Leach																												
	Trust:																												
	Michigan Mun. Bd. Auth. Dev.					x									x									x					
	CMA Treasury Fund				x										x								x						S
	Airtouch Communications				x								x								x								
	AT&T Corp.				x								x									x							
	Ameritech Corp.				x								x									x							
	Amn Water Works				x								x									x							
	Bell Atlantic Corp.				x								x									x							
	BellSouth Corp.				x								x									x							
	Boeing Company				x								x									x							
	Bristol Myers Squibb Co.				x								x									x							
	Domtar Inc.			x									x								x								
	Ericsn LM Tel.				x								x									x							
	FPL Group Inc.			x									x									x							
	GATX Corp.				x								x									x							
	General Electric					x							x									x							
	IMC Global Inc. Del PV			x									x								x								
	International Flavors & Frangrances			x									x									x							
	Kansas City Power & Light			x									x									x							

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: James A. Leach

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
	Lucent Technologies				X									X								X										
	Lee Enterprises Inc.			X										X									X									
	Lee Enterprises Inc. CL B			X										X									X									
	Mattel Inc.			X										X								X										
	Merck & Co. Inc.				X									X									X									
	Motorola Inc.			X										X								X										
	Nestle				X									X									X									
	Potash Corp. Saskatchewan			X										X								X										
	Regal Beloit Corp.				X									X									X									
	SBC Communications Inc.				X									X									X									
	Sara Lee Corp.				X									X									X									
	Sysco Corporation				X									X									X									
	Toys R Us			X										X								X										
	Media One Group, Inc.			X										X									X									E
	U.S. West Communications Group				X									X									X									
	Standard & Poors Deposit Receipt			X										X								X										P
	ML Eurofund FD CL A				X									X												X						
	Templeton Foreign Fund					X								X												X						P
	ML Technology Vent LP		X													X						X										

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: James A. Leach

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
	The following securities are																												
	held by Merrill Lynch:																												
SP	GATX Corporation			x										X									x							
SP	Lee Enterprises Inc.				x									X									x							
SP	Singapore Air			x										X								x								
DC	GATX Corporation			x										X									x							
DC	UAL Corp.			x										X								x								
DC	Lee Enterprises						x							X										x						P
DC	MidAmerican Energy			x										X									x							
DC	Singapore Air			x										X								x								
DC	Domtar Inc.			x										X								x								
DC	CMA Fund				x											x								x						S
DC	Capital Builders Fund			x												x						x								P
	Merrill Lynch IRA Account:																													
SP	Singapore Air				x									X									x							
SP	Retirement Reserves Fund			x												x							x							P

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: James A. Leach

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP	Wyoming Mineral Rights			x											x							x									
JT	Congressional Credit Union			x													x					x									
	Merrill Lynch IRA Account:																														
	Singapore Air				x									x									x								
	Retirement Reserves Fund			x													x						x								P
	Norwest Bank IRA Account:																														
SP	Retirement Savings Certificates			x													x					x									
	Retirement Savings Certificates			x													x					x									
SP	The Carderock Group Club		x											x								x									P
DC	Rough Pine Productions, LLC		x											x							x										

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: James A. Leach | Page 9 of 13

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example.* Mega Corporation Common Stock	x			10-12-97		x								
	CMA Treasury Fund		x		Various	x									
	Merrill Lynch CMA	x			Various							x			
SP	Merrill Lynch CMA		x		Various		x								
	ML Eurofund FD CL A	x			Various	x									
	Templeton Foreign Fund	x			Various	x									
DC	CMA Fund		x		Various	x									
DC	Capital Builders Fund	x			Various	x									
	Retirement Reserves Fund	x			Various	x									
SP	Retirement Reserves Fund	x			Various	x									
DC	Lee Enterprises	x			09-01-98	x									
	Media One Group, Inc.			x	06-22-98	x									
SP	The Carderock Group Club	x			Various	x									

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	First Midwest Mortgage Corp. Joliet, Illinois	Mortgage on Iowa Residence					X					
JT	Congressional Credit Union	Personal Loan	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Wayne Morris Integrity In Politics Award	February 20, 21, 22, 1998	Cedar Rapids, IA to Eugene, OR to Washington, DC	Y	Y	N	NO
American Bar Association Panel on the 50th Anniversary of the Universal Declaration of Human Rights	March 12, 13, 1998	Washington, DC to New York City, NY to Washington, DC	Y	Y	N	NO
Congressman Donald Manzullo	March 15, 16, 1998	Washington, DC to Chicago, IL to Washington, DC	Y	Y	N	NO
Iowa Independent Bankers Association	July 18, 1998	Moline, IL to Spencer, IA to Moline, IL	N	Y	N	NO
Twentieth Century Fund (The Century Foundation)	November 12, 13, 1998	Washington, DC to New York City, NY to Washington, DC	Y	Y	N	NO
Illinois Bankers Association	December 9, 10, 1998	Washington, DC to Chicago, IL to Washington, DC	Y	Y	N	NO

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Vice Chair of the Board (unpaid)	The Century Foundation (formerly The Twentieth Century Fund) non-profit educational foundation
Member of the Board (unpaid)	Johns Hopkins University School of Advanced International Studies Advisory Council
Member of the Board (unpaid)	The Kettering Foundation, Dayton, Ohio (non-profit educational foundation)

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	NA

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 10: 11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

BARBARA LEE
(Full Name)

U.S. House of Representatives, 414 Cannon HOB, Washington, D.C. 20215
(Mailing Address)

Daytime Telephone (202) 225-2661

Filer Status	[X] Member of the U.S. House of Representatives	State: California District: 9	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Barbara Lee

DATE (Month/Day/Year) May 14, 1999

2154

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	W.C. Parish Co., Inc. (DBA Lee Associates and Sentinel Security)	Salary	Jan-Apr, 1998 $ 60,000
	W.C. Parish Co., Inc. (DBA Lee Associates and Sentinel Security)	Income to the Business	Jan-April 1998 $ 1.12 million

For payments to charity in lieu of honoraria, use Schedule II.

Name BARBARA LEE

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
			None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock				X								X										X								P
			Simon & Schuster		Indefinite																Royalties								X				
			1st Bank of Paducah, KY accounts					X									X									X								
	Franklin Fund Keogh Account		X																		N/A												
	W.C.Parish Co, Inc.													X				X															S:4/98
	Rental Property: 1526 Atlanta Ave,Portsmouth, VA				X										X							X											
	State of California Savings Plus Retirement Plan					X															N/A												
	Merrill Lynch Retirement Reserve					X															N/A												
	Manulife Financial Retirement Account				X																N/A												

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name BARBARA LEE

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
	Guilltone Properties, Inc																			Purchase of business								X			
	Rental Property: 9 City Court, Sacramento, CA						X								X										X						

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name BARBARA LEE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
	W.C. Parish Co., Inc. (dba Lee Associates/ Sentinel Security)		X		4/17/98					X					

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wells Fargo Bank - Assumed by Guilltone Properties	on 4/17/98 pending refinance						X				
	Merrill Lynch - Assumed by Guilltone Properties	on 4/17/98			X							
	VISA	Line of credit	X									
	GMAC	Mortgage on rental property	X									
	Weyerhaeueser Mortgage	Mortgage on rental property			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Proprietor - terminated 4/98	W.C. Parish Co., Inc. (dba Lee Associates/Sentinel Security)
Trustee	Ghana Children's Fund (a non-profit public benefit corporation - a project of the East Bay Community Found)
Board Member - terminated 4/98	W.C.Parish Co., Inc. (dba Lee Associates/Sentinel Security)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

BARBARA LEE
9TH DISTRICT, CALIFORNIA

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, D.C. 20515-0509

WASHINGTON OFFICE
CARLOTTA A. W. SCOTT
ADMINISTRATIVE ASSISTANT
DISTRICT OFFICE
SANDRE R. SWANSON
DISTRICT DIRECTOR
ROBERTA CHEFF BROOKS
ASSISTANT DISTRICT DIRECTOR

RECEIVED
99 JUN 15 PM 4:07
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(1)

MC ✓

June 15, 1999

The Honorable Jeff Trandahl
Clerk of the House
B 106 Cannon

Dear Mr. Trandahl:

I write to amend Schedule III of the 1998 Financial Disclosure statement to reflect the following:

1) The end of year value of W.C. Parish Company should have been listed as "None".

2) The amount of income (Block D) from W.C. Parish Company was $100,001 - $1,000,000. This should have been classified as Capital Gains.

3) Guilltone Properties listed on Schedule III should be omitted.

Thank you for your courtesy in dealing with this matter.

Sincerely,

Barbara Lee

Barbara Lee
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

99 MAY 17 PM 1:25
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Sander M. Levin
(Full Name)

2268 Rayburn House Office Building
(Mailing Address)

Washington DC 20515
Daytime Telephone 202-225-4961

Filer Status
[X] Member of the U S House of Representatives — State: MI District: 12
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X] No [ ]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Sander M. Levin

DATE (Month/Day/Year): 5-15-99

2162

Name Sander M. Levin	Page 1 of 1

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Victoria Levin, National Institute of Mental Heath	Spouse Salary	$67,254.30

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct An envelope for transmitting the list is included in each Member's filing package

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
	XYZ Magazine	Article	Aug. 13, 1998	$ 500
	KMart Family Foundation: Dontations to: Macomb Zero Tolerance - $250; Coalition of Healthy Communities - $250	Kids Race Against Drugs in Washington, DC on 9/10/98	9/10/98	$500.00

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year **Exclude:** Your personal residence(s) (unless rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None"	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, *Examples:* JT	SP Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Wright Patman Federal Credit Union	$1,001 - $15,000	Interest	$201 - $1,000	NA
JT	First Union	$1,001 - $15,000	Interest	$1 - $200	NA
	Michigan National Bank	$1 - $1,000	Interest	$1 - $200	NA
JT	1/2 interest in 78A; 1/6 interest in 18A, Tyrone Township, Michigan	$50,001 - $100,000	NA	None	NA
	1/9 undivided interest in commercial real estate, West Maple, Birmingham, Michigan	$100,001 - $250,000	Rent	$15,001 - $50,000	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	5.3% interest in accounts receivable from Hayden Gregory previously held by LNS Co., a general partnership now liquidated	$15,001 - $50,000	NA	None	NA
	5.3% interst in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated (see attached).	$15,001 - $50,000	NA	$5,001 - $15,000	Royalty
	5.3 % interst in LRS Co., a general partnership owning real estate in Romulus and Pontiac Townships, Michigan and accounts receivable	$1,001 - $15,000	NA	None	NA
JT	Vacation House, Lot 4, West Tisbury, MA	$250,001 - $500,000	Rent	$15,001 - $50,000	NA
SP	IRA - Merrill Lynch (see attachment)	$15,001 - $50,000	Dividends	$1,001 - $2,500	NA
	KEOGH - Merrill Lynch (see attachment)	$50,001 - $100,000	Dividends	$2,501 - $5,000	NA
JT	Martha's Vineyard Cooperative Bank	$1,001 - $15,000	Interest	$1 - $200	NA
JT	Loan. Clark and Bonnie Barnes, Victoria Rd., Tyrone Township, Livingston Co., MI	$1,001 - $15,000	NA	None	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

ATTACHMENT:

5.3% interest in Michigan mineral rights previously held by LNS Co., a general partnership now liquidated: Shell Western - income - $84; Michigan Consolidated Gas Co. - income -$151; Antrim Gas - income - $0; and Mercury Exploration Co., an assignee from a previous lease - income - $1,608; Quicksilver Pipeline LLC, assignee from previous lease - income - $5,016; Dominion Midwest Energy, assignee from previous lease - income - $410; and HRF Exploration, assignee from previous lease - income - $4,350. The year-end value of these interests are estimated herein based on latest available information and technical advice.

ATTACHMENT:

1. IRA - Merrill Lynch Portfolio
   - Merrill Lynch Growth Fund CL D
   - Merrill Lynch Federal Securities Trust CL D
   - Merrill Lynch Retirement Reserves Money Market

2. KEOGH - Merrill Lynch Portfolio
   - Money Purchase Plan
     - Merrill Lynch Growth Fund CL D
     - Merrill Lynch Basic Value Fund CL B
     - Fidelity Adivsor Ser VII Overseas Portfolio CL T
     - Merrill Lynch Retirement Reserves Money Market
   - Profit Sharing Plan
     - Merrill Lynch Growth Fund CL D
     - Fidelity Advisor Growth Opportunities Portfolio CL T
     - Merrill Lynch Basic Value Fund CL B
     - Fidelity Advisor Ser VII Overseas Portfolio CL T
     - Merrill Lynch Retirement Reserves Money Market

Name Sander M. Levin	Page _1_ of _1_

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child.
amount owed during the year
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
JT	Homeside Lending Co., Birmingham, AL	Mortgage on vacation house, West Tisbury, MA	$250,001 - $500,000
JT	Bank of Boston	Equity loan on vacation house, West Tisbury, MA	$15,001 - $50,000

Name Sander M. Levin	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
The Aspen Institute	Feb. 13-16, 1998	DC-Charleston, SC-DC	Y	Y	Y	NA

Name Sander M Levin	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Partner	LRS Company

2170

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 12 PM 2:39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

JERRY LEWIS
(Full Name)

2112 Rayburn House Office Building
(Mailing Address)

(202) 225-5861
Daytime Telephone

Filer Status
[X] Member of the U.S. House of Representatives — State CA District 40th.
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

Copy of Financial Disclosure Statement of Spouse is attached

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Jerry Lewis

DATE (Month/Day/Year): May 5 1999

2171

Name	JERRY LEWIS	Page	1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U S Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Legislators' Retirement System, State of CA.	Legislative Pension	$3,326.90

For payments to charity in lieu of honoraria, use Schedule II.

2172

Name JERRY LEWIS	Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
NONE			

This page may be copied if more space is required.

Name JERRY LEWIS | Page 1 of 1

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset (at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None.")

BLOCK C — Type of Income

BLOCK D — Amount of Income (For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income)

BLOCK E — Transaction (Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year)

	BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E
SP DC JT	*Examples*			Indefinite		X							X			X				Royalties				X		X			X			P, S, E P
	631 Constitution Ave. NE, Wash, D.C. 20002								X						X												X				
	Charles Schwab & Co. Inc IRA-cash & Odwalla stock				X										NONE								NONE								
	Lewis Family Trust-1/4 sh. (copy attached)					X									Trust distributions										X						

For additional assets and unearned income, use next page.

2174

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JERRY LEWIS | Page 2 of 2

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income								BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
	Odwalla, Inc. common stock						X									NONE									NONE							
	Congressional Federal Credit Union		X														X					X										
	1120 1/2 C St. NE Wash. D.C. 20002						X									X									X							
	Parcel 13 as shown on Map-4416, recorded May 23, 1979, Book 47, pgs. 65 and 66, County of San Bernardino				X											NONE									NONE							
	Penn Mutual Life Ins. Co. Retirement System						X									NONE									NONE							
	Legislators' Retirement System, State of CA						X													Legislative Pension					X							
	Arrowhead Credit Union San Bernardino, CA			X													X						X									

This page may be copied if more space is required.

2175

SCHEDULE IV — TRANSACTIONS

Name JERRY LEWIS | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	NONE														

This page may be copied if more space is required.

Name	JERRY LEWIS	Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
	Mellon Mortgage Co.	Mortgage on 1120 1/2 C St.NE,Wash.D.C.20002			x							
	BOMCC	Mortgage on 631 Constitution,Wash.D.C.										
		20002					x					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

Use additional sheets if more space is required.

Name JERRY LEWIS	Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
California Housing Consortium	May 28-29	Redlands, Ca-Irvine, CA. Redlands, CA	Y	Y	Y	N
Orincon / ADCS	January 11-14	Redlands-San Diego-Redlands	Y	Y	Y	N

This page may be copied if more space is required

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Loma Linda University Children's Hospital
Advisory Council	High Desert Partnership in Academic Excellence Foundation, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
July,1959	Penn Mutual Life Insurance Co. Agent retirement plan--monthly life annuity beginning at age 65. A standard agent's insurance contract.	
1969	Legislators' Retirement System, St. of Ca. Public Employees Retirement System. Monthly life annuity beginning at age 60	

CONTINUATION OF EDWARD R. LEWIS AND ELAINE L. LEWIS TRUST

The undersigned, Richard J. Lewis, Edward R. Lewis, Jr., C. Jeremy Lewis, and John C. Lewis are the vested sole beneficiaries of the Edward R. Lewis and Elaine L. Lewis Trust executed June 15, 1983, a copy of which is attached hereto. Following the deaths of Edward R. Lewis and Elaine L. Lewis, outright distribution has been made of some of the assets of this Trust, but the assets listed on Schedule A attached hereto continue to be held by C. Jeremy Lewis as Trustee of said Trust for the benefit of all of the undersigned.

The undersigned hereby agree that said property shall continue to be held, administered, and distributed by Trustee of said Trust in accordance with the provisions of said Trust as modified by this instrument.

1. Purpose. The purpose of this Trust as hereby extended shall be for Trustee to manage the assets of this Trust, collect all payments on obligations owed, divide and distribute the proceeds equally between us, liquidate all tangible assets of this Trust, and make distribution of the net proceeds to us.

2. Term. The term of this Trust shall be for so long as necessary to sell the real property and obtain complete payment on all notes and contracts which are the subject of this Trust, or for 10 years from the date of this instrument, whichever first occurs. At the expiration of this Trust, outright distribution shall be made by Trustee to the undersigned in equal shares, if all of them living, or to the successor beneficiaries of each of us as designated on the beneficiary designation of each, if any of us is not living at the time a distribution is to be made.

3. Beneficiary Designations. For so long as each of us are living, all distributions shall be made in equal shares to each of us, with Trustee holding such reserves as Trustee may deem appropriate for the management of the Trust estate. Upon the death of any of us prior to the termination of this Trust, the share of this Trust allocable to such deceased person and all distributions being made for such person shall be delivered to the beneficiaries designated for such person in accordance with the Beneficiary Designation of such deceased person delivered to Trustee. If no Beneficiary Designation has been executed by any of us at the time of the death of any of us or Trustee has not received the original of such Beneficiary Designation when a distribution is to be made, distribution of such deceased person's share shall be made without the need for any probate administration to the beneficiaries of such deceased person's last Will, or if there is no Will, to such deceased beneficiary's intestate heirs according to California's laws of intestate succession. If Trustee has any doubt as to the appropriate distribution to be made, Trustee may, in Trustee's sole discretion, make distribution to the estate of the one of us as to

when the ambiguity exists or may petition the Court for instructions as to the appropriate distribution. Each of us may modify his Beneficiary Designation at any time by sending to Trustee a duly executed revision and, upon receipt of such change of Beneficiary Designation by Trustee, such change shall be effective.

3.1 Each of us shall be considered as the primary beneficiary of his share of this Trust, and the designated successor beneficiaries shall have no rights or powers concerning this Trust until the interests of such successor beneficiaries vest upon the death of one of us.

3.2 Notwithstanding anything set forth above, Trustee may, in Trustee's sole discretion, at any time elect to distribute all of the assets of this Trust in undivided shares equally between the four primary beneficiaries of the Trust; provided, however, if any of us is then deceased, the distribution of the share of such deceased one of us shall be made to the designated beneficiaries of such deceased person.

4. Additional modifications of Trust. This Trust may be amended at any time by written document executed by the four of us and may be revoked by written document executed by the four of us. It may not be amended or revoked in any other manner.

Executed effective December 1, 1989.

Richard J. Lewis

Edward R. Lewis, Jr.

C. Jeremy Lewis

John C. Lewis

Approved as to form:
GRESHAM, DARNER, SAVAGE, NOLAN & TILDEN

By: _____
Philip M. Savage, III

All assets in the Edward R. and Elaine L. Lewis Trust dated June 15, 1983 remaining following outright distribution after the death of Edward R. Lewis on May 13, 1988, including but not limited to the following:

1. Note dated 5-18-78 in the amount of $11,933.14 by Mark E. Brandlin, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 5-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1034, in Book 9763, Page 1571. Reconveyed

2. Note dated 7-18-84 in the amount of $31,750.00 by Robert Bresnahan and Carolyn Bresnahan and Carolyn Bresnahan as joint tenants, and Rob Bresnahan, at 7% per annum, payments of $250.00 payable on the 18th day of each calendar month, commencing on 7-18-79, secured by deed of trust recorded 8-27-84 as Instrument 84-204415.

3. Note dated 9-1-78 in the amount of $23,245.00 by Gerald W. Bromley and Phyllis Bromley, joint tenants, at 7% per annum, payments of $175.00 payable on the 1st day of each calendar month, commencing 10-1-78, secured by deed of trust recorded 9-4-79 as Instrument 1035, in Book 9763, Page 1572.

4. Real property in San Bernardino County, CA, subject to contract for sale dated 10-26-81 for $32,000.00 by Earl D. Burdett.

5. Real property in San Bernardino County, CA, subject to contract for sale dated 10-26-81 for $32,000.00 by Earl D. Burdett.

6. Real property in San Bernardino County, CA, subject to contract for sale dated 9-16-85 for $60,000.00 by Glasscamp, Inc.

7. Real property in San Bernardino County, CA, subject to contract for sale dated 9-16-85 for $32,000.00 by Glasscamp, Inc.

8. Note dated 7-22-75 in the amount of $14,400.00 by Alan G. DeWeese and Margaret M. DeWeese, at 7% per annum, payments of $125.00 payable on the 23rd day of each calendar month, commencing 8-23-75, secured by deed of trust recorded 9-4-79 as Instrument 1031, in Book 9763, Page 1568. - Reconveyed

9. Note dated 9-24-80 in the amount of $12,575.00 by Edward W. Heber, Jr., and Judith A. Heber, at 7% per annum, payments of $125.00 payable on the 1st day of each calendar month, commencing on 10-1-80, secured by deed of trust recorded 1-19-81 as Instrument 81-012555.

10. Note dated 5-8-78 in the amount of $14,404.22 by James A. and Earleen K. Helm, at 7% per annum, payments of $125.00 payable on the 5th day of calendar month, commencing 6-5-78, secured by deed of trust recorded 9-4-79 as Instrument 1038, in Book 9763, Page 1575.

11. Real property in San Bernardino County, CA, subject to contract for sale dated 4-20-73 for $12,000.00 by Randy Don Hopkinson & Georgette M. Hopkinson. - Reconveyed

12. Note dated 12-24-84 in the amount of $31,750.00 by Sam J. Kahn and Betty L. Kahn as joint tenants, and Robert Craig Bennion and La Ree Bennion as joint tenants, at 7% per annum, payments of $250.00 payable on the 30th day of each calendar month, commencing on 6-30-77, secured by deed of trust recorded 1-2-85 as Instrument 85-000662.

13. Real property in San Bernardino County, CA, subject to contract for sale dated 6-13-79 for $32,000.00 by Carl E. Knauff, Peggy Knauff, Randy W. Roach, Barbara Roach, Stephen F. Jaurigue, Kathleen S. Jaurigue, Philippe J. Tatton, and Susan E. Tatton.

14. Note dated 4-2-84 in the amount of $15,775.00 by Harold E. Koenig, at 7% per annum, payments of $125.00 payable on the 15th day of each calendar month commencing on 9-25-76, secured by deed of trust recorded 5-17-84 as Instrument 84-116000.

15. Note dated 8-31-78 in the amount of $14,000.00 by Albert Lara, at 7% per annum, payments of $125.00 payable on the 30th day of each calendar month, commencing 9-30-78, secured by deed of trust recorded 9-20-79 as Instrument 1137, in Book 9775, Page 1620.

16. Real property in San Bernardino County, CA, subject to contract for sale dated 2-4-84 for $12,000.00 by Richard J. and Donna F. Lewis.

17. Real property in San Bernardino County, CA, subject to contract for sale dated 10-16-79 for $32,000.00 by Marilyn L. Mahan.

18. Note dated 4-1-84 in the amount of $23,600.00 by Michael B. Oliver and Sheila R. Oliver, joint tenants, at 7% per annum, payments of $175.00 payable on the 15th day of each calendar month, commencing on 7-15-77, secured by deed of trust recorded 5-17-84 as Instrument 84-116062.

19. Note by Harold J. Petty and Netty L. Petty at 7% per annum secured by deed of trust recorded as Instrument No. 913 on Dec. 19, 1979 in Book 9583, Page 1403.

20. Note dated 9-28-76 in the amount of $14,011.86 by Melvin L. Smith, Jr., and Marilyn A. Smith, joint tenants, at 7% per annum, payments of $125.00 payable on the 21st day of each calendar month, commencing on 10-21-76. Assigned

21. Real property in San Bernardino County, CA, subject to contract for sale dated 5-2-85 for $12,102.28 by Frances M. Stephenson and Austin Shiack.

22. Note dated 4-7-83 in the amount of $28,450.85 by Pauline R. Walton and Bobbie R. Skinner, at 7% per annum, payments of $250.00 payable on the 15th day of each calendar month, commencing 4-15-83, secured by deed of trust recorded 4-19-83 as Instrument 83-082026.

23. Real property in Los Angeles County, CA, subject to contract for sale dated 1-20-88 for $10,000.00 by Rommel Agee.

24. Lot 32 Area 43,608 sq. ft. in Block 265 as delineated on the map entitled Hawaiian Ocean View Estates which said map was filed in the Bureau of Conveyances of the State of Hawaii 4-5-62 as File Plan 787.

25. Improved real property situated in San Bernardino, CA, at 5328 Park Lane, described as: Sold - 1991

Lot 2, Tract 5123 as per plat recorded in Book 62 of maps, page 23, records of San Bernardino County, Assessor's Parcel 154-051-37. - Sold ~ 1991

26. Improved real property situated in San Bernardino, CA, at 5634 Argyle Street, described as: - Sold in 1990

Lot 29, Tract 5095 as per map recorded in Book 75 of Maps, pages 23-24 in the office of the County Recorder of San Bernardino County.

27. Pacific Savings Bank Account # 0535262-0 - Trans Ferred to C. Jeremy Lewis

28. Franklin Fund - Sold ~ 1991
California Tax-Free Income Fund-Account No. 112045611724

C. Jeremy Lewis

John C. Lewis

Edward R. Lewis

29. Parcel No. 7 as shown on Parcel Map No. 4416 recorded May 23, 1979 in Parcel Map Book No. 47, pages 65 and 66 in the office of the County of San Bernardino, State of California. Quitclaimed to trust by Richard Lewis 9/17/91.

Richard J. Lewis

30. -

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

ARLENE M. WILLIS
(Full Name)

2112 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

Daytime Telephone: (202) 225-5861

(Office Use Only)

Filer Status	☐ Member of the U.S. House of Representatives — State: ____ District: ____	☒ Officer or Employee	Employing Office: Jerry Lewis	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	☒ Annual (May 17)	☐ Amendment	☐ Termination — Termination Date: ____	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Copy of Financial Disclosure Statement of Spouse is attached

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Arlene M. Willis

DATE (Month/Day/Year): May 5 1999

2185

Name	ARLENE M. WILLIS	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Only as shown on Financial Disclosure Statement of Spouse		
attached hereto		

For payments to charity in lieu of honoraria, use Schedule II.

Name ARLENE M. WILLIS	Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
NONE			

This page may be copied if more space is required.

Name ARLENE M. WILLIS | Page 1 of 1

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	Block B															Block C							Block D										Block E
				A None	B $1 – $1,000	C $1,001 – $15,000		E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other: Type of Income (Specify For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	*Examples:*	SP	Mega Corp Stock					X									X																		P
			Simon & Schuster			Indefinite																	Royalties													
			1st Bank of Paducah, KY accounts						X										X									X				X				
	All as shown on Financial Disclosure Statement of Spouse attached hereto and Charles Schwab & Co., Inc. IRA consisting of cash and Odwalla, Inc. stock						X												NONE																P, S, E

NONE

For additional assets and unearned income, use next page

2188

Name ARLENE M. WILLIS | Page 1 of 1

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	NONE														

This page may be copied if more space is required.

2189

Name ARLENE M. WILLIS | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	All as set forth on Financial Disclosure Statement of											
	Spouse attached hereto.											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

Name	Page ___ of ___
ARLENE M. WILLIS	1 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
All as set forth on Financial Disclosure Statement of Spouse attached hereto.						

This page may be copied if more space is required.

Name	ARLENE M. WILLIS	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 1999 55-195 (mec)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
99 JUN 15 PM 2:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

11

Congressman John Lewis
(Full Name)

343 Cannon HOB
(Mailing Address)

Washington DC 20515

Daytime Telephone 202-225-3801

Filer Status: [X] Member of the U S House of Representatives — State GA, District 05 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [ ] No [X]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL: John Lewis

DATE (Month/Day/Year): 6/15/99

2198

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U S Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria. list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Clark Atlanta University , Atlanta Georgia	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name	Page 2 of 10

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
	XYZ Magazine	Article	Aug. 13, 1998	$ 500

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP DC *Examples:* JT	SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000

Name Congressman John Lewis	Page 5 of 10

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child.
amount owed during the year
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold

Note: The gift rule (House Rule 51, re-numbered to clause 5 of House Rule 25 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash. D.C.-Chicago-Wash. D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash. D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Troy United Women's League	2/28/99	Atlanta -Troy-Atlanta	N	Y	N	None
Faith and Poltics Civil Rights Pilgrimage	3/6/98 to 3/8/98	DC-Birhmingham-Mont-Selma-DC	Y	Y	N	None
Brandeis University	5/23/98 to 5/24/98	DC-Boston-DC	Y	Y	N	None
Simon and Schuster	6/6/98-6/10/98	Atl-NY -DC	Y	Y	Y	None
100 Hundred Black Men of America	6/11/98-6/12/98	DC-New Orleans-Atlanta	Y	Y	N	None
Simon and Schuster	6/18/98-6/20/98	DC-Chicago	Y	Y	N	None
Simon and Schuster	6/20/98-6/21/98	Chicago-Detriot	Y	Y	N	None
Simon and Schuster	6/21/98-6/22/98	Detriot-Clev-Buff-DC	Y	Y	N	None
Simon and Schuster	6/25/98-6/26/98	DC-Birmingham-Atlanta	Y	Y	N	None
Simon and Schuster	6/28/98-6/30/98	San Fran-Nash-Atl	Y	Y	N	None
Simon and Schuster	7/02/98-7/04/98	Atl-Miami-New York-Atl	Y	Y	N	None
Simon and Schuster	7/06/98-7/08/98	Atl-Dallas-Los Angeles	Y	Y	N	None
Simon and Schuster	7/09/98-7/12/98	LA-San Fran-Louis	Y	Y	N	None
Simon and Schuster	7/13/98-7/14/98	Louisville-DC	Y	Y	N	None

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug.6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Simon and Schuster	7/20/98-7/21/98	DC-Boston-DC	N	Y	N	None
Simon and Schuster	7/23/98-7/23/98	DC-New York-DC	N	N	N	None
Tubman African American Museum	7/31/98-8/1/98	DC-Macon,Ga-Atl	Y	Y	N	None
Phi Lambda Phi Educational Foundation	8/8/98-8/9/98	DC-Norfolk-DC	Y	Y	N	None
Simon and Schuster	8/25/98 to 8/28/98	DC-NY-Prov-MV-Atl	Y	Y	N	None
Simon and Schuster	9/13/98 to 9/15/98	Atl-LA-DC	Y	Y	N	None
Simon and Schuster	10/17/98 to10/20/98	Atl-New York-DC	Y	Y	N	None
Simon and Schuster	10/21/98 to 10/22/98	DC- NY-DC	Y	Y	N	None
ACLU	11/7/98 to 11/08/98	Atl-Nash-Boston	Y	Y	N	None
Kennedy Library/Ford Hall Forum	11/08/98to 11/11/98	Nas-Boston-NY	Y	Y	Y	None
Simon and Schuster/Anti -Defamation League	11/12/98 to 11/13/98	NY-Detroit-Atl	Y	Y	N	None
Miami Book Fair	11/20/98 to 11/21/98	DC-Miami-Atl	Y	Y	N	None
Team Harmony	12/14/98to 12/15/98	Atl-Boston-DC	Y	Y	N	None
Citizens Trade Campaign	2/17/98-2/19/98	Atl-Columbus-Tallahassee-Gainesville	Y	Y	N	None

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Board of Directors	Commission on Presidental Debates
Board of Directors	The Faith and Politics Institute
Board of Advisors	Democrats 2000
Board of Trustees	Stennis Center for Public Service

2022

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 2:31
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

Ronald Edward Lewis
(Full Name)

553 Lombardy Drive
(Mailing Address)

Cecilia KY 42701

Daytime Telephone: 202-225-3501

Filer Status	[X] Member of the U S House of Representatives	State: KY District: 02	[ ] Officer or Employee	Employing Office:	
Report Type	[X] Annual (May 15)	[ ] Amendment		[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Ron Lewis

DATE (Month/Day/Year): 5/17/99

2204

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium
A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct An envelope for transmitting the list is included in each Member's filing package

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash , D C XYZ Magazine	Speech Article	Feb. 2, 1998 Aug. 13, 1998	$2,000 $ 500
The Tobacco Institute	Address to Washington Working Group	7/22/98	$2,000 00
K-Mart Kids Race Against Drugs	Appearance	9/16/98	$500.00

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None"	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP DC, *Examples:* SP	Mega Corp. Stock 123 Main St., Dover, Del.	$15,001 - $50,000 $250,001 - $500,000	Dividends Rent	$201 - $1,000 $1,001 - $2,500	P
JT	1st Bank of Paducah, KY accounts	$50,001 - $100,000	Interest	$5,001 - $15,000	
JT	Duplex Apartment; Mockingbird Dr.; Radcliff, Kentucky	$50,001 - $100,000	Rent	$5,001 - $15,000	NA
SP	Lord Abbett Affiliated (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$1 - $200	NA
SP	Lord Abbett Bond (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	NA
SP	Oppenheimer Discovery Fund (Mutual Fund)	$50,001 - $100,000	Capital Gains	$1,001 - $2,500	NA
SP	Oppenheimer Champion Income (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$1 - $200	NA
SP	Templeton Developing Markets	$1,001 - $15,000	Capital Gains and Dividends	$1 - $200	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	Federal Home Loan Mortgage Corp. Bonds	$15,001 - $50,000	Interest	$201 - $1,000	Bonds Matured
SP	VKM America Cap Dow 10 (Unit Trust)	$15,001 - $50,000	Capital Gains and Dividends	None	NA
SP	VKMAC Strategic 10 (Unit Trust)	$1,001 - $15,000	Capital Gains and Dividends	$1 - $200	NA
SP	Heritage Cash Trust (Formerly Alliance Money Fund)	$1 - $1,000	Interest	$1 - $200	NA
SP	American Funds Capital Income	$1,001 - $15,000	Capital Gains and Dividends	$1,001 - $2,500	P
SP	Oppenheimer Equity Income (Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$1,001 - $2,500	P
JT	Basement Apartment; 504 1st Street, S.E.; Washington, D.C. 20003	$100,001 - $250,000	Rent	$5,001 - $15,000	Personal Residence Basement Converted Into An Apartment

2207

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
SP	American Funds Capital Income	Purchase	2/18/98	$1,001 - $15,000
SP	Oppenheimer Equity Income (Mutual Fund)	Purchase	2/18/98	$1,001 - $15,000

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded amount owed during the year.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
JT	First Federal Savings Bank; Elizabethtown, Kentucky	Mortgage on Rental Property; Mockingbird Drive; Radcliff, Kentucky	$50,001 - $100,000
JT	Nations Bank	Mortgage on 504 1st Street, S.E., Washington, D.C.	$100,001 - $250,000

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 2:30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

John Linder
(Full Name)

Post Office Box 942208
(Mailing Address)

Atlanta GA 31141

Daytime Telephone: 202-225-4272

Filer Status: [X] Member of the U S House of Representatives — State GA, District 11 — [ ] Officer or Employee — Employing Office.

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS-Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION-Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S C app 4, 104 and 18 U S C 1001)

SIGNATURE OF REPORTING INDIVIDUAL: John Linder

DATE (Month/Day/Year): 5-11-99

2210

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, *Examples* JT	SP	Mega Corp. Stock 123 Main St , Dover, Del 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
		Note From Sale Linder Financial Corporation	$500,001 - $1,000,000	Capital Gains	$50,001 - $100,000	
		Grayling Industries, Inc	$1,000,001 - $5,000,000	Dividends	$100,001 - $1,000,000	
		Professional Funding Corporation	$100,001 - $250,000	Capital Gains	$100,001 - $1,000,000	
		Profit Sharing Trust (Charles Schwab)	$1,000,001 - $5,000,000	N A	NA	
SP		Profit Sharing Trust (Charles Schwab)	$250,001 - $500,000	N.A	NA	
JT		Roberts Realty R.E.I T	$15,001 - $50,000	Dividends	$201 - $1,000	
SP		Cedarwild Resort	$250,001 - $500,000	Rent	$50,001 - $100,000	

2211

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	State of Georgia Retirement	$1,001 - $15,000	None	None	
	Note Receivable, ARCCOM Commercial Lending	$15,001 - $50,000	Interest	$2,501 - $5,000	
	Trademark Licensing, Atlanta GA	$1 - $1,000	None	None	
JT	Money Market Fund (Charles Schwab)	$100,001 - $250,000	Interest	$1,001 - $2,500	
JT	Money Market Fund (Sun Trust Company)	$100,001 - $250,000	Interest	$5,001 - $15,000	

Name John Linder	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor

Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash , D C -Chicago-Wash , D C	N	N	N	N
Mega Corporation	Aug 6-13	Wash , D C -Los Angeles-Cleveland	Y	Y	Y	4 Days
Future of Puerto Rico, Inc.	August 25-29, 1998	ATL-San Juan-ATL	Y	Y	Y	No

Name John Linder	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Director	ARCCOM Commercial Lending, Inc., Atlanta, GA
Director	Trademark Licensing, Inc., Atlanta, GA

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 17 PM 3:21

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

(Office Use Only)

William Oliver Lipinski
(Full Name)

1501 Longworth House Office Building
(Mailing Address)

(202) 225-5701
Daytime Telephone

Washington DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: IL District: 03	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL William O. Lipinski

DATE (Month/Day/Year) May 17,1999

2215

Name	Page 2 of 6
William O. Lipinski	

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Rosemarie Partners	salary	$3,600
	23rd Ward Committeeman	salary	$8,500

For payments to charity in lieu of honoraria, use Schedule II.

Name: William O. Lipinski

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A: Asset and/or Income Source		BLOCK B: Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	*Examples:*	SP	Mega Corp. Stock				X								X																		P
DC			Simon & Schuster	Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X										X						
JT	5838 Partners Bldg.								X							X												X					
JT	Standard Federal S&L					X											X											X					
JT	Polish National Alliance			X													X					X											
JT	Archer Heights Credit Union			X													X					X											
	Chicago Park District Retirement Fund				X																Pension	X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: William O. Lipinski

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	IRA Superior Bank				X											X					X											
	IRA Superior Bank				X											X					X											
JT	Keough Plan Standard																				X											
	Federal Savings				X															Retirement plan											

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
American Assoc. of RR		Jan. 16-19	Chgo-Carlsbag,CA-Chgo	Y	Y	Y	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
23rd Ward Committeeman	23rd Ward Democratic Party Organization

2220

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
[illegible] CENTER
99 MAY 18 PM 4:54

FRANK A. LoBIONDO
(Full Name)

1754 WYNNEWOOD DRIVE
(Mailing Address)

VINELAND, NEW JERSEY 08360

Daytime Telephone
(202) 225-6572

MAY 17 1999 (1)
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State NEW JERSEY District 02	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL
X. Frank A. LoBiondo

DATE (Month/Day/Year)
5/16/99

2221

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
VINELAND BOARD OF EDUCATION	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

2222

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
N/A			

This page may be copied if more space is required.

Name FRANK A. LoBIONDO | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

			BLOCK A	BLOCK B — Value of Asset (at close of reporting year)											BLOCK C — Type of Income							BLOCK D — Amount of Income										BLOCK E — Transaction
				A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster			Indefinite																Royalties								X			
			1st Bank of Paducah, KY accounts						X								X										X						
	12 ACRES WOODED LAND UPPER DEERFIELD TWP., NJ							X															X									
	LoBIONDO BROS. MOTOR EXPRESS STOCK								X														X									
	SOUTHERN JERSEY BANCORP OF DELAWARE STOCK								X							X											X						
	S & L PETROLEUM, INC. STOCK						X																X										
	NEW YORK LIFE INSURANCE POLICY					X												X					X										
	FIRST UNION CAPITAL MGMT GROUP MONEY MARKET ACCT.				X											X								X									

For additional assets and unearned income, use next page.

2224

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name FRANK A. LoBIONDO | Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	FIRST UNION CAPITAL MGMT: NJ STATE EDL-B BONDS			X											X							X								
	FIRST UNION CAPITAL MGMT: S&P 500 DEPOSITARY RECP I			X										X								X								
	MINOTOLA NATIONAL BANK ACCOUNT			X												X							X								
	FARMERS & MERCHANTS NATIONAL BANK ACCOUNT				X											X							X								
	FRANKLIN GROWTH CLASS I IRA ACCOUNT				X									X			X						X								
SP	MUTUAL OF AMERICA IRA ACCT			X										X								X								
SP	SUMMIT BANK IRA ACCOUNT			X												X							X								
	FIRST UNION CAPITAL MGMT GROUP IRA ACCOUNT				X									X		X							X								
	LoBIONDO BROTHERS MOTOR EXPRESS 401K PROFIT SHARING PLAN-AMERICAN FUNDS GROUP (Invested in Washington Mutual Investors Fund; Self-directed)							X						X		X											X				

This page may be copied if more space is required.

2225

SCHEDULE IV — TRANSACTIONS

Name FRANK A. LoBIONDO | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	FIRST UNION CAPITAL MANAGEMENT GROUP - NJ STATE EDL-B	X			05-14-98	X									
	FIRST UNION CAPITAL MANAGEMENT GROUP - S & P 500 DEPOSITARY RECP UNIT SERIES I	X			05-14-98	X									
	FIRST UNION CAPITAL MANAGEMENT GROUP - IRA ACCOUNT	X			01-13-98		X								

This page may be copied if more space is required.

2226

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
N/A						

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	VINELAND YOUNG MEN'S CHRISTIAN ASSOCIATION

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
10-14-96	LoBIONDO BROTHERS MOTOR EXPRESS, INC.	CONTINUING INTEREST IN COMPANY 401(k) PROFIT SHARING PLAN (SELF-DIRECTED)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 11:12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Zoe Lofgren
(Full Name)

105 South 14th Street
(Mailing Address)

San Jose, CA 95112

Daytime Telephone
(202) 225-3072

Filer Status
[XX] Member of the U.S. House of Representatives — State: CA District: 16
[ ] Officer or Employee — Employing Office

Report Type
[XX] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year)
5/13/99

2230

Name	Zoe Lofaren	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	John Marshall Collins, PC	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Zoe Lofgren | Page 3 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D											BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*		Indefinite				X							X		X				Royalties				X				X			P
							X																			X						
SP	Mega Corp Stock																														
DC	Simon & Schuster																														
JT	1st Bank of Paducah, KY accounts																														
	Bank of America - sav		X													X						X									
	First Interstate Deferred Compensation Account - CDs						X									X									X						
	Charles Schwab - IRA Money Market Fund			X												X							X								
	John Marshall Collins PC - stock							X						X							X										
	Penn Mutual Life Ins.			X												X							X								
SP	Naglee Mansion Assoc.						X							net income distrib.							X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Zoe Lofgren | Page 4 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	John Marshall Collins																													
	profit sharing plan:																													
SP	Charles Schwab, MMFund							X							X											X				
SP	TCBY Enterprises			X									X								X									
SP	Wendy's			X									X							X										
SP	CISCO			X									X							X										P
SP	Prime Medical Svcs.			X									X							X										P
SP	Mondavi			X									X							X										P
SP	Toys Are Us			X									X							X										P
SP	Lubrizol			X									X								X									P
SP	Barnes Group			X									X							X										P
SP	Mondavi			X									X							X										P
SP	Natl Golf Properties			X									X								X									P
SP	Telefonica Peru			X									X							X										P
SP	Berger Small Cap			X									X								X									P
SP	SBS Technologies			X									X							X										P
SP	Lancer			X									X							X										P
SP	SSET Telecom			X									X							X										P
SP	Boeing			X									X								X									P
SP	Greater Bay Bancorp			X									X								X									P
SP	Cisco			X									X							X										P
SP	Black Hills Corp			X									X							X										P

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Zoe Lofgren | Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Comerica Bank - cking			X										no-int. acct							X										
	Bank of Amer., cking			X												X						X										
	CA Pub Empl Ret System						X									X									X							
DC	Van Kampen American				X									X								X										
DC	Alliance Growth Fund B				X									X								X										
DC	Morgan Stanley Value C			X										X								X										
DC	Smith Barney MMFunds		X													X						X										
	Charles Schwab MMFund					X										X								X								
	Greater Bay Bancorp						X							X									X									
	609 Riverview, Capitola								X						X											X						
SP	Charles Schwab IRA MMF						X									X									X							
SP	Acuson Corp stock			X										X							X											
SP	Hearx Ltd. stock		X											X							X											
SP	Mondavi Winery stock			X										X							X											
SP	Piedmont Natural Gas st			X										X							X											
SP	Charles Schwab IRA MMF		X													X						X										

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: Zoe Lofgren | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10–12–98		X								
SP	Cisco	X			2/17/98	X									
SP	Prime Medical Svcs	X			2/24/98	X									
SP	Mondavi	X			2/24/98	X									
SP	Toys Arc Us	X			3/09/98	X									
SP	Barnes Group	X			3/30/98	X									
SP	Mondavi	X			3/30/98	X									
SP	Natl Golf Properties	X			3/31/98	X									
SP	Telefonica Peru	X			3/31/98	X									
SP	Berger Small Cap	X			3/31/98	X									
SP	SBS Technologies	X			4/23/98	X									
SP	Lancer	X			5/20/98	X									
SP	SSET Telecom	X			6/15/98	X									
SP	Boeing	X			7/13/98	X									
SP	Greater Bay Bancorp	X			9/20/98	X									
SP	Cisco	X			9/20/98	X									
SP	Black Hills Corp	X			11/30/98	X									

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Fidelity Fed Sav & Loan	mtg on 95-99 S. 14th, SJ					X					
SP	San Jose Redevelopment Agency	mtg on 95-99 S. 14th, SJ				X						
	Home Savings	mtg on 609 Riverview, Capitola					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Futurist Conference	5/27-28	SJ-LA-SJ	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
mother	Lofgren-Collins Household
Member	University of Santa Clara Law School Board of Visitors
Co-Chair	Housing Leadership Advisory Council

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	California Public Employee Retirement System (through County of Santa Clara, CA)	continuing membership (vested) - no continuing contributions by employer or employee
	First Interstate Bank (through County of Santa Clara, CA)	savings plan - no continuing contributions employer or employee

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 1:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

NITA M. LOWEY
(Full Name)

105 Beverly Road
(Mailing Address)

Rye, NY 10580

Daytime Telephone
(202) 225-6506

Filer Status
[X] Member of the U.S. House of Representatives — State: NY, District: 18
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Nita M. Lowey — **DATE** (Month/Day/Year) May 7, 1999

2239

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Lowey Dannenberg Bemporad & Selinger, P.C.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
NONE.			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name NITA M. LOWEY | Page 4 of 17

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	BLOCK C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP, DC, JT	*Examples* SP Mega Corp Stock					X								X										X							P
DC	Simon & Schuster			Indefinite																Royalties											
JT	1st Bank of Paducah, KY accounts						X									X															
JT	Citibank, N.A.				X											X								X							
JT	Chase Bank			X												X							X								
JT	Citibank Landmark Fund							X						X												X					
JT	US Treasury Notes	X														X								X						S	
SP	Lowey Dannenberg Bemporad & Selinger, P.C.									X											NA										
SP	Lowey Dannenberg Bemporad & Selinger Profit Sharing									X											NA										

For additional assets and unearned income, use next page.

2242

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name NITA M. LOWEY | Page 5 of 17

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E
SP	IRA - The Kaufman Fund								X					NA							NA											
	IRA - Smith Barney				X									NA							NA											
	US Gov't Thrift Svgs Plan				X									NA							NA											
SP	IRA - Tweedy Browne							X						NA							NA											
	NYS Retirement Plan					X								NA							NA											
	NYS Def. Comp. Plan					X								NA							NA											
JT	M.D.Sass Reenterprise Fund								X								X											X				
JT	M.D.Sass Muni/Treasury Fnd								X							X	X										X					
JT	M.D.Sass Symphony Fund								X							X	X										X					
SP	Ingalls&Snyder Value Pp.								X							X	X										X					
JT	EGS Partners°									X				X			X											X				S°
SP	P.Oppenheimer Inv. Pp.								X					X			X										X					
SP	Oppenheimer-Spence Pp.							X						X			X								X							
JT	EM NY Properties Pp.	X												NA							X											
JT	Bear Stearns Portfolio of NY Tax-Free Bonds (attchd)								X								X										X					
JT	Glickenhaus Portfolio of NY Tax-Free Bonds (attchd)	X															X										X				S	
JT	Lebenthal Portfolio of NY Tax-Free Bonds (attchd)									X							X											X				
JT	Lebenthal NY Muni Bond Fund								X								X									X						
SP	Wisdomtree Associates	X												NA							NA										S	
SP	Santa Monica Partners								X								X										X					
	Lowey Family Investmt LLC									X							X											X				
SP	Lowey Family Investmt LLC									X							X											X				

°Liquidated after being without income or value for years.

This page may be copied if more space is required.

2244 (5A)

BEAR STEARNS

Bear, Stearns & Co. Inc.
245 Park Avenue
New York, New York 10167
(212) 272-2000

CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN, NEW YORK 11201-3859
(212) 272-1000

Account	A.E.	Tax I.D.	Period Ending	Last Statement	Page
042-44287	039	017-30-2729	12/31/98	11/27/98	1 of 5

Your Account Executive	Telephone
GREENBERG,EDWARD J.	212-272-6553

779

NITA LOWEY
105 BEVERLY ROAD
RYE NY 10580-1902

Important Message

IF ANY INFORMATION REGARDING 1998 INTEREST, DIVIDENDS, MISCELLANEOUS INCOME, GROSS PROCEEDS OR ORIGINAL ISSUE DISCOUNT IS REQUIRED TO BE REPORTED TO THE IRS FOR THIS ACCOUNT, A CONSOLIDATED 1099 FORM WILL BE MAILED TO YOU BY FEBRUARY 01, 1999 OR 1042S BY MARCH 15, 1999.

Financial Summary

Market Value of Securities

	Long	Short
Equities	$0	$0
Units	0	0
Preferred Equities	0	0
Mutual Funds	55.070	0
Bonds	826.261	0
Options	0	0
Other	0	0
Security Totals	**$881.331**	**$0**

Total Value of Securities This Period	$881.331
Net Cash Balance	2.075
Accrued Interest	15.072
Short Accrued Interest	0
Net Equity This Period	**$898.478**
Net Equity Last Statement	$892.953

Cash Balance Summary

Cash Balances	*Opening*	*Closing*
Cash	$0.00	$2,075.13
Net Cash Balance	**$0.00**	**$2,075.13**

Income Summary

	This Period	Year to Date
Other Dividends	$470.26	$2,821.56
Muni Bond Int.	2,195.00	40,990.96
Total Income	**$2,665.26**	**$43,812.52**
Bond Purchase Int	$0.00	$45.00-

Transactions Settling This Period

Date Mo/Day	Account Type	Transaction Type/Quantity	Description	Price/Rate	Amount Debit	Amount Credit
Dividends And Interest						
11/30	CASH	DIVIDEND	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC CASH DIV ON 3300 SHS REC 11/16/98 PAY 11/30/98	0712		235.13
12/01	CASH	INTEREST	WESTCHESTER CO N Y DUE 12/01/2001 7.100 REG INT ON 10000 BND REC 11/13/98 PAY 12/01/98			355.00
12/15	CASH	INTEREST	HARRISON N Y G/O BSNK QUALIFIED DUE 06/15/2004 5.400 REG INT ON 50000 BND REC 11/30/98 PAY 12/15/98			1,350.00

PLEASE REPORT ANY DIFFERENCE OR NON-RECEIPT OF CHECKS OR STOCKS, INDICATED AS DELIVERED TO YOU, TO CLIENT SERVICES AT 800-634-1428; OR WRITE TO CLIENT SERVICES AT BEAR, STEARNS SECURITIES CORP. ONE METROTECH CENTER NORTH, BROOKLYN, N.Y. 11201-3859

2245 (5B)

BEAR STEARNS
Bear, Stearns & Co. Inc.
245 Park Avenue
New York, New York 10167
(212) 272-2000

CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN, NEW YORK 11201-3856
(212) 272-1000

Account	A.E.	Tax I.D.	Period Ending	Last Statement	Page
042-44287	039	017-30-2729	12/31/98	11/27/98	2 of 5

NITA LOWEY

Transactions Settling This Period

Date Mo/Day	Account Type	Transaction Type/Quantity	Description	Price/Rate	Amount Debit	Amount Credit
Dividends And Interest						
12/15	CASH	INTEREST	NEW YORK CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER A DUE 06/15/2002 4.900 REG INT ON 20000 BND REC 12/01/98 PAY 12/15/98			490.00
12/31	CASH	DIVIDEND	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC CASH DIV ON 3300 SHS REC 12/15/98 PAY 12/31/98	.0712		235.13
Funds Received And Issued						
12/03	CASH	FND WIRED	IDA# MB486119 FUND WIRED TO 820121004044 INVESTORS FID TRUST CO		590.13	
Miscellaneous						
12/18	CASH	EXCHANGE -3.300	RTS BLACK ROCK HIGH YIELD EXP 12/16/1998 DUE 12/16/98 B003614 TO B003614 EXPIRED RIGHTS			

Portfolio Positions

Account Type	Quantity	Description	Symbol/Cusip	Price	Market Value	Estimated Annual Income Rate	Estimated Annual Income Amount
Mutual Funds							
CASH	3,300	BLACKROCK NEW YORK INSURED MUNICIPAL 2008 TERM TRUST INC	BLN	16.688	55,070	.855	2,822
Municipal Bonds							
CASH	150,000	NEW YORK N Y CITY TRAN AU TRAN FACS RV REF LIVINGSTON PLZ PJ DATED DATE 07/01/93 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT FSA INSURED DUE 01/01/2000 4.400% JJ 01 RATING MOODY AAA S+P AAA	649715BA6	101.270 Accr Int	151,905 3,300	4.400%	6,600
CASH	25,000	NEW YORK ST PWR AUTH REV & GEN PURP REF-SER CC-ESC TO MATY- DATED DATE 08/15/93 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT DUE 01/01/2000 4.250% JJ 01 RATING MOODY AAA	649892G75	101.134 Accr Int	25,284 531	4.250%	1,063

2246

(5C)

BEAR STEARNS

Bear, Stearns & Co. Inc.
245 Park Avenue
New York, New York 10167
(212) 272-2000

CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN, NEW YORK 11201-3859
(212) 272-1000

Account	A.E.	Tax I.D.	Period Ending	Last Statement	Page
042-44287	039	017-30-2729	12/31/98	11/27/98	3 of 5

NITA LOWEY

Portfolio Positions

Account Type	Quantity	Description	Symbol/Cusip	Price	Market Value	Estimated Annual Income Rate	Amount
Municipal Bonds							
CASH	35,000	NEW YORK STATE DORM AUTH REVS INSD SKIDMORE COLLEGE DATED DATE 06/15/93 FSA INSURED DUE 07/01/2000 4.400% JJ 01 RATING MOODY AAA S+P AAA	649834LB2	101.524 Accr Int	35,533 770	4.400%	1,540
CASH	25,000	NASSAU CO N Y GEN IMP G/O JER X DATED DATE 11/01/85 MBIA INSURED DUE 11/01/2000 8.000% MN 01 RATING MOODY AAA S+P AAA	631654PZ7	107.940 Accr Int	26,985 333	8.000%	2,000
CASH	75,000	NEW YORK STATE DORM AUTH REVS INSD SKIDMORE COLLEGE DATED DATE 06/15/93 FSA INSURED DUE 07/01/2001 4.500% JJ 01 RATING MOODY AAA S+P AAA	649834LC0	102.206 Accr Int	76,655 1,688	4.500%	3,375
CASH	10,000	WESTCHESTER CO N Y DATED DATE 12/01/85 DUE 12/01/2001 7.100% JD 01 RATING MOODY AAA S+P AAA	957365SV2	109.660 Accr Int	10,966 59	7.100%	710
CASH	20,000	NEW YORK CITY MUN WTR FIN AUTH WTR & SWR SYS REV SER A DATED DATE 08/16/95 BOOK ENTRY ONLY DUE 06/15/2002 4.900% JD 15 RATING MOODY A2 S+P A-	649706X78	103.529 Accr Int	20,706 44	4.900%	980
CASH	20,000	MUNICIPAL ASSISTANCE CORP NEW YORK CITY N Y SER E DATED DATE 04/01/96 BOOK ENTRY ONLY DUE 07/01/2002 4.700% JJ 01 RATING MOODY AA2 S+P AA	626190G30	103.089 Accr Int	20,618 470	4.700%	940
CASH	25,000	NEW YORK STATE DORM AUTH REVS CONS CITY UNIV SYS-SER A TCRS DATED DATE 06/01/93 BOOK ENTRY ONLY FGIC INSURED DUE 07/01/2002 5.400% JJ 01 RATING MOODY AAA S+P AAA	649834KA5	105.365 Accr Int	26,341 675	5.400%	1,350
CASH	25,000	NEW YORK STATE LOCAL GOVT ASSISTANCE CORP RFDG SER B DATED DATE 03/15/93 BOOK ENTRY ONLY DUE 04/01/2003 5.100% AO 01 RATING MOODY A3 S+P A+	649876HA0	104.772 Accr Int	26,193 319	5.100%	1,275
CASH	30,000	NEW YORK ST MED CARE F/F AGY ST LUKES-ROOSEVELT HSP FHA MTG REV-A DATED DATE 07/01/93 BOOK ENTRY ONLY DUE 02/15/2004 4.900% FA 15 CALL 08/15/03 102.000 RATING MOODY AA2 S+P AAA	64988JDD9	103.798 Accr Int	31,139 555	4.900%	1,470

2247

5D

BEAR STEARNS
Bear, Stearns & Co. Inc.
245 Park Avenue
New York, New York 10167
(212) 272-2000

CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN, NEW YORK 11201-3859
(212) 272-1000

Account	A E	Tax I.D	Period Ending	Last Statement	Page
042-44287	039	017-30-2729	12/31/98	11/27/98	4 of 5

NITA LOWEY

Portfolio Positions

Account Type	Quantity	Description	Symbol/Cusip	Price	Market Value	Estimated Annual Income Rate	Amount
Municipal Bonds							
CASH	50,000	HARRISON N Y G/O BSNK QUALIFIED DATED DATE 05/15/92 BOOK ENTRY ONLY DUE 05/15/2004 5.400% JD 15 RATING MOODY AA1	415360RA6	107.743 Accr Int 120	53,872	5.400%	2,700
CASH	25,000	NEW YORK STATE G/O DATED DATE 02/01/92 BOOK ENTRY ONLY DUE 02/01/2005 6.750% FA 01 PRE-REFUNDED 02/01/02 @ 102.00 RATING MOODY A2 S+P A	649785Y71	110.602 Accr Int 703	27,651	6.750%	1,688
CASH	50,000	NEW YORK ST LOC GOVT ASSISTNCE CORP RFDG SER C DATED DATE 07/01/93 BOOK ENTRY ONLY DUE 04/01/2005 5.100% AO 01 CALL 04/01/03 102.000 RATING MOODY A3 S+P A+	649876JA8	105.571 Accr Int 638	52,786	5.100%	2,550
CASH	25,000	NEW YORK CITY G/O RFDG SER A DATED DATE 07/28/94 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT DUE 08/01/2005 6.000% FA 01 CALL 08/01/04 101.500 RATING MOODY A3 S+P A-	649653NV0	110.535 Accr Int 625	27,634	6.000%	1,500
CASH	30,000	NEW YORK CITY G/O SER H DATED DATE 01/28/97 BOOK ENTRY ONLY AMBAC INSURED DUE 08/01/2005 5.000% FA 01 RATING MOODY AAA S+P AAA	649665V60	105.320 Accr Int 625	31,596	5.000%	1,500
CASH	50,000	NEW YORK STATE LOCAL GOVT ASSISTANCE CORP SER C DATED DATE 12/01/92 BOOK ENTRY ONLY DUE 04/01/2006 6.000% AO 01 PRE-REFUNDED 04/01/02 @ 102.00 RATING MOODY AAA S+P A+	649876GN3	108.815 Accr Int 750	54,408	6.000%	3,000
CASH	25,000	NEW YORK ST DORM AUTH REVS ST JOHNS UNIV DATED DATE 10/15/96 BOOK ENTRY ONLY MBIA INSURED DUE 07/01/2006 4.900% JJ 01 RATING MOODY AAA S+P AAA	649836NP4	105.121 Accr Int 613	26,280	4.900%	1,225
CASH	50,000	NEW YORK N Y G/O SER A DATED DATE 07/15/97 BOOK ENTRY ONLY ORIGINAL ISSUE DISCOUNT FGIC INSURED DUE 08/01/2006 4.600% FA 01 RATING MOODY AAA S+P AAA	649667JU7	102.899 Accr Int 958	51,450	4.600%	2,300

BEAR STEARNS
Bear, Stearns & Co. Inc.
245 Park Avenue
New York, New York 10167
(212) 272-2000

5E

CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY
BEAR, STEARNS SECURITIES CORP.
ONE METROTECH CENTER NORTH
BROOKLYN, NEW YORK 11201-3859
(212) 272-1000

Account	A.E.	Tax I.D.	Period Ending	Last Statement	Page
042-44287	039	017-30-2729	12/31/98	11/27/98	5 of 5

NITA LOWEY

Portfolio Positions

Account Type	Quantity	Description	Symbol/Cusip	Price	Market Value	Estimated Annual Income Rate	Amount
Municipal Bonds							
CASH	30,000	PUERTO RICO ELEC PWR AUTH PWR REV SER X DATED DATE 08/15/95 BOOK ENTRY ONLY MBIA INSURED DUE 07/01/2007 5.200% JJ 01 RATING MOODY AAA S+P AAA	745268TM4	108.051 Accr Int	32,415 780	5.200%	1,560
CASH	15,000	METROPOLITAN TSPTN AUTH N Y EXCESS LOSS FD SPL OBLIG BDS DATED DATE 12/01/89 MBIA INSURED DUE 01/01/2008 6.875% JJ 01 PRE-REFUNDED 01/01/00 @ 102.00 RATING MOODY AAA S+P AAA	59260CAQ7	105.628 Accr Int	15,844 516	6.875%	1,031
		Market Value - Municipal Bonds			826,261		40,357
		******* Your Priced Securities Value ******* *Total Accrued Interest*			881,331 15,072		43,179

There are no "Stop Loss" orders or other pending buy or sell open orders on file for your account.

N KEEPING WITH OUR COMMITMENT TO SUPERIOR CLIENT SERVICE, WE ARE PLEASE TO ANNOUNCE THAT WE NOW ROVIDE CUSTOMERS UNLIMITED ACCOUNT PROTECTION. THIS PROTECTION IS ARRANGED THROUGH THE SECURITIES NVESTOR PROTECTION CORP (SIPC) FOR AMOUNTS UP TO $500,000 INCLUDING UP TO $100,000 FOR CASH. IN DDITION, ALL ACCOUNTS ARE NOW FULLY PROTECTED BY AN EXCESS SECURITIES BOND ISSUED BY THE TRAVELERS ASUALTY AND SURETY COMPANY, PROVIDING PROTECTION FOR THE ACCOUNT'S ENTIRE NET EQUITY (BOTH CASH AND ECURITIES).

**** End of Statement ****

GLICKENHAUS & CO.

Six East 43rd Street
New York, NY 10017-4641
(212) 953-7800

Clearance Agent
Neuberger&Berman LLC
605 Third Avenue
New York, NY 10158-3698

For the period 08/29/98 to 09/25/98

ACCOUNT IDENTIFICATION
065-51621 676

NET EQUITY	OPENING BALANCE	CLOSING BALANCE
Cash	20,850.27	160.94
TOTAL VALUE OF ACCOUNT		161

STEPHEN LOWEY
NITA M LOWEY JT/TEN
105 BEVERLY ROAD
RYE NY 10580-1902

TAX SUMMARY	THIS MONTH	YEAR TO DATE
Non Taxable Muni Int	4,883.47	17,776.67
Interest Credit	160.94	639.94

TRANSACTIONS SETTLED THIS MONTH

	DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
PURCHASES & SALES	09/11/98	SOLD	35,000	NEW YORK ST THRUWAY AUTH LOCAL HIGHWAY & BRIDGE SVC CONTRACT BDS DUE 04/01/2000 04.375% AO 01 AS OF 09/08/98 YIELD 4.783 % TO MATURITY	99.5630 ACCR INT.	34,787.55 680.56
	09/11/98	SOLD	120,000	NEW YORK STATE LOCAL GOVT ASSTNCE CORP SER C DUE 04/01/2018 06.250% AO 01 AS OF 09/08/98 YIELD 4.411 % TO PREREFUND PRE-REF 04/01/02 AT 102.000	107.9490 ACCR INT.	129,238.80 3,333.33
	09/11/98	SOLD	30,000	METROPOLITAN TRANSN AUTH N Y SER A COMMUTER FACS REV DUE 07/01/2010 06.000% JJ 01 AS OF 09/08/98 YIELD 4.463 % TO PREREFUND PRE-REF 07/01/04 AT 101.500	109.3150 ACCR INT.	32,682.00 350.00
	09/14/98	SOLD	100,000	NEW YORK ST MED CARE FACS FIN AGY REV MTG PJ SER B DUE 08/15/2014 06.450% FA 15 AS OF 09/09/98 YIELD 5.132 % TO CALL CALL DATE 08/15/04 AT 102.000	108.5080 ACCR INT.	108,133.00 519.58
DIVIDENDS & INTEREST	09/21/98	INTEREST		INTEREST ON CREDIT BALANCE FROM 08/21/1998 TO 09/20/1998 AT 5.2500% AVBAL 35,601		160.94
MOVEMENTS OF FUNDS	09/14/98	TRF OF FUNDS		TO 051-49660-1 LOWEY STEPHEN &		-330,575.09

SECURITY POSITIONS IN YOUR ACCOUNT

QUANTITY	DESCRIPTION	PRICE	MARKET VALUE
	*** NO POSITIONS HELD ***		

LEBENTHAL 120 Broadway
New York, NY 10271-0005
(212) 425-6116

YOUR BROKERAGE ACCOUNT STATEMENT

2250

Statement Period: November 28, 1998 through: December 31, 1998
Account Number: 4ED-795825
Soc. Sec. Number: 122-24-7677
Phone Number: (914) 967-7742

Statement for the account of:

STEPHEN LOWEY AND
NITA M. LOWEY JTWROS
105 BEVERLY ROAD
RYE NY 10580-1902

Your Account Executive:

James A. Lebenthal
Lebenthal & Co., Inc
120 Broadway 12th FL
New York NY 10271-0005
(212) 748 5555
ID 243

ASSET VALUATION

	This Period Value	This Period % of Assets	Last Period Value	Last Period % of Assets
Money Market Funds	13,402.46	.78	12,127.46	.72
Municipal Bonds	1,383,822.75	80.98	1,380,223.75	81.74
Mutual Funds	296,962.76	17.38	296,112.45	17.54
Total Brokerage Account Assets	**$1,694,187.97**	**99.15**	**$1,688,463.66**	**100.00**
Income/Securities Not Yet Received	14,590.63	.85	.00	.00
Total Assets	**$1,708,778.60**	**100.00**	**$1,688,463.66**	**100.00**

ACTIVITY SUMMARY

	Debits	Credits
Opening Balance		**$.00**
Sec. Withdrawals/Deposits	4,693.49-	.00
Dividends and Interest	.00	2,423.75
Distributions	.00	3,544.74
Money Market Funds	1,275.00-	.00
Closing Balance		**$.00**

2250

MESSAGES

PLEASE NOTE, IF YOU OWNED SHARES OF ANY MONEY MARKET MUTUAL FUND(S) LINKED TO YOUR BROKERAGE ACCOUNT IN 1998, DIVIDENDS EARNED ON THESE FUNDS IN THE MONTH OF DECEMBER 1998 MAY NOT BE REFLECTED ON THIS STATEMENT. THESE DIVIDENDS WILL BE POSTED ON YOUR JANUARY 1999 STATEMENT. SINCE THE FUND MANAGER IS REQUIRED TO REPORT TO THE IRS THE TOTAL DIVIDENDS EARNED ON YOUR MONEY FUND SHARES DURING 1998, YOU SHOULD RECEIVE A 1099-DIV DIRECTLY FROM THE FUND MANAGER. DIVIDENDS EARNED IN A RETIREMENT ACCOUNT ARE TAX-DEFERRED AND A 1099-DIV WILL NOT BE ISSUED.

IMPORTANT MESSAGE ABOUT YOUR MONEY MARKET CHECKS

Clearing Through **Pershing** Division of Donaldson, Lufkin & Jenrette Securities Corporation One Pershing Plaza, Jersey City, N.J. 07399

YOUR ACCOUNT IS PROTECTED UP TO $75,000,000. SEE FOLLOWING PAGE(S) FOR IMPORTANT INFORMATION.

MESSAGES *(continued)*

FOR ALL CLIENTS WHO DO NOT USE THE YELLOW WORKHORSE PLUS PLATINUM ACCOUNT CHECKS, PLEASE NOTE THAT AFTER JANUARY 15, 1999, THE ONLY MONEY MARKET CHECKS YOU MAY USE WILL HAVE "RESOURCE CHECKING" AND BOSTON SAFE DEPOSIT AND TRUST CO. NOTED ON THE BOTTOM LEFT-HAND CORNER OF THE CHECK. PLEASE CONTACT YOUR ACCOUNT REPRESENTATIVE IF YOU HAVE ANY QUESTIONS.

WHAT YOU GET WHEN YOU HIT WWW.LEBENTHAL.COM
* MUNICIPAL BOND INVENTORY UPDATED DAILY.
* EQUITY MARKET DIGEST
* BI-WEEKLY MUNICIPAL BOND NEWSLETTER
* FEATURED BOND
* ECONOMIC OUTLOOK BY JIM GAMMON, PRESIDENT LEBENTHAL ASSET MANAGEMENT.
* E-MAIL ORDER FORM FOR WEBSITE ACCESS TO INFORMATION ABOUT YOUR LEBENTHAL ACCOUNT AND CURRENT MARKET VALUES.
* FREE 30-MINUTE CASSETTE OF JIM LEBENTHAL'S "CRAZY ABOUT NEW YORK," AVAILABLE BY E-MAIL REQUEST ONLY.

TRANSACTIONS BY TYPE OF ACTIVITY

Withdrawals and Deposit of Securities

Process Date	*Transaction Date*	*Activity Type*	*Description*	*Quantity*	*Debit Amount*	*Credit Amount*
12/14/98		REINVEST CASH INCOME	LEBENTHAL NEW YORK MUNICIPAL BOND FUND SHRS PURCH AT $8.5600 FOR ACCRUAL PERIOD ENDING 12/10/98	134.20000	1,148.75-	
12/23/98		REINVEST CASH INCOME	LEBENTHAL NEW YORK MUNICIPAL BOND FUND SHRS PURCH AT $8.41000 FOR ACCRUAL PERIOD ENDING 12/21/98	421.49100	3,544.74-	
Total Withdrawals and Deposit of Securities					**$4,693.49-**	**$.00**

TRANSACTIONS BY TYPE OF ACTIVITY *(continued)*

Dividends and Interest

Process Date	Transaction Date	Activity Type	Description	Debit Amount	Credit Amount
12/14/98		NON-TAXABLE DISTRIBUTION RECEIVED	LEBENTHAL NEW YORK MUNICIPAL BOND FUND FOR ACCRUAL PERIOD ENDING 12/10/98		1,148.75
12/15/98		BOND INTEREST RECEIVED	50000 NEW YORK ST ENVIRONMENTAL FACS CORP POLLUTN CTL REV ST WTR SER B FLT 5.100% 12/15/08 B/E DTD 03/01/97 C I B RD 11/30 PD 12/15/98		1,275.00
Total Dividends and Interest				**$.00**	**$2,423.75**

Money Market Funds Activity

Process Date	Transaction Date	Activity Type	Description	Debit Amount	Credit Amount
12/16/98		MONEY FUND PURCHASE	ALLIANCE MUNI-TR NY	1,275.00-	
Total Money Market Funds Activity				**$1,275.00-**	**$.00**

Distributions

Process Date	Transaction Date	Activity Type	Description	Debit Amount	Credit Amount
2/23/98		LONG TERM CAPITAL GAIN DISTRIBUTION	LEBENTHAL NEW YORK MUNICIPAL BOND FUND FOR ACCRUAL PERIOD ENDING 12/21/98		3,544.74
Total Distributions				**$.00**	**$3,544.74**
Total Value of all Transactions				**$5,968.49-**	**$5,968.49**

2252

PORTFOLIO HOLDINGS

Municipal Bonds *(in alphabetical sequence)*

Quantity	*Description*	*Price/Factor*	*Market Value*	*Accrued Interest*	*Estimated Yield*	*Annual Income*	*Security ID*	*Account Type*	*Location*
75,000	LONG ISLAND PWR AUTH N Y ELEC SYS REV GEN 4.800% 04/01/16 B/E DTD 10/15/98 CALLABLE 04/01/08 @ 101.000 CALLABLE 04/01/10 @ 100.000 MBIA INSURED 1ST CPN DTE 04/01/99 CPN PMT SEMI ANNUAL ON APR 01 AND OCT 01 MOODY RATING AAA S & P RATING AAA	99.067	74,300.25	760.00	4.840%	3,600.00	542690JS2	CASH	
25,000	MUNICIPAL ASSISTANCE CORP FOR CITY NEW YORK N Y SER J 5.125% 07/01/05 B/E DTD 04/15/97 1ST CPN DTE 01/01/98 CPN PMT SEMI ANNUAL ON JAN 01 AND JUL 01 MOODY RATING AA2 S & P RATING AA	106.563	26,640.75	640.63	4.800%	1,281.25	626190V33	CASH	
100,000	NASSAU COUNTY N.Y. SERIES N 6.125% 10/15/09 B/E DTD 10/29/92 PREREFUNDED 10/15/02 @ 103.000 AMBAC INSURED 1ST CPN DTE 04/15/93 CPN PMT SEMI ANNUAL ON APR 15 AND OCT 15 MOODY RATING AAA S & P RATING AAA	110.240	110,240.00	1,293.06	5.550%	6,125.00	6316544V9	CASH	
100,000	NEW YORK CITY SER A 6.000% 08/01/06 B/E DTD 07/11/96 1ST CPN DTE 02/01/97 CPN PMT SEMI ANNUAL ON FEB 01 AND AUG 01 MOODY RATING A3 S & P RATING A-	111.724	111,724.00	2,500.00	5.370%	6,000.00	6496646D6	CASH	
50,000	NEW YORK STATE CROSSOVER REFUNDING 5.800% 11/15/03 B/E DTD 07/15/92 CALLABLE 11/15/02 @ 101.000 1ST CPN DTE 11/15/92 CPN PMT SEMI ANNUAL ON MAY 15 AND NOV 15 MOODY RATING A2 S & P RATING A	107.703	53,851.50	370.56	5.380%	2,900.00	6497853A8	CASH	

2957

2253

PORTFOLIO HOLDINGS *(continued)*

Municipal Bonds *(in alphabetical sequence, continued)*

Quantity	*Description*	*Price/Factor*	*Market Value*	*Accrued Interest*	*Estimated Yield*	*Annual Income*	*Security ID*	*Account Type*	*Location*
100,000	NEW YORK ST DORM AUTH REVS CITY UNIV 3RD GEN RES-SER 2 6.400% 07/01/07 B/E DTD 11/15/94 PREREFUNDED 07/01/04 @ 102.000 MBIA INSURED 1ST CPN DTE 07/01/95 CPN PMT SEMI ANNUAL ON JAN 01 AND JUL 01 MOODY RATING AAA S & P RATING AAA	114.159	114,159.00	3,200.00	5.600%	6,400.00	6498346G8	CASH	
50,000	NEW YORK ST ENVIRONMENTAL FACS CORP POLLUTN CTL REV ST WTR SER B FT 5.100% 12/15/08 B/E DTD 03/01/97 CALLABLE 12/15/07 @ 102.000 1ST CPN DTE 06/15/97 CPN PMT SEMI ANNUAL ON JUN 15 AND DEC 15 MOODY RATING AA1 S & P RATING A-	106.925	53,462.50	113.33	4.760%	2,550.00	649850Q72	CASH	
75,000	NEW YORK ST MED CARE FACS FIN AGY REV N Y DOWNTOWN HOSP SER A 6.500% 08/15/05 B/E DTD 03/01/95 PREREFUNDED 02/15/05 @ 102.000 1ST CPN DTE 08/15/95 CPN PMT SEMI ANNUAL ON FEB 15 AND AUG 15 MOODY RATING AAA S & P RATING AAA	115.737	86,802.75	1,841.67	5.610%	4,875.00	64988KGG6	CASH	
250,000	N Y ST MED CARE FACS FIN AGY REV MENTAL HLTH SVCS FACS-SER D 5.400% 02/15/08 B/E DTD 07/01/95 CALLABLE 08/15/05 @ 102.000 CALLABLE 08/15/07 @ 100.000 MBIA INSURED 1ST CPN DTE 02/15/96 CPN PMT SEMI ANNUAL ON FEB 15 AND AUG 15 MOODY RATING AAA S & P RATING AAA	108.080	270,200.00	5,100.00	4.990%	13,500.00	64988KUA3	CASH	

2254

PORTFOLIO HOLDINGS *(continued)*

Municipal Bonds *(in alphabetical sequence, continued)*

Quantity	Description	Price/Factor	Market Value	Accrued Interest	Estimated Yield	Annual Income	Security ID	Account Type	Location
150,000	NEW YORK ST URBAN DEV CORP REVENUES CORRECTIONAL CAPITAL FACILITIES SERIES 5 6.000% 01/01/09 B/E DTD 08/01/95 PREREFUNDED 01/01/05 @ 102.000 1ST CPN DTE 01/01/96 CPN PMT SEMI ANNUAL ON JAN 01 AND JUL 01 MOODY RATING BAA1 S & P RATING BBB+	112.901	169,351.50	4,500.00	5.310%	9,000.00	650033W90	CASH	
50,000	WESTCHESTER CNTY N Y UT 4.700% 11/15/04 B/E DTD 10/31/96 1ST CPN DTE 05/15/97 CPN PMT SEMI ANNUAL ON MAY 15 AND NOV 15 MOODY RATING AAA S & P RATING AAA	105.071	52,535.50	300.28	4.470%	2,350.00	957365Y29	CASH	
250,000	WESTCHESTER CNTY N Y INDL DEV AGY RESOURCE RECOVERY REV SUBJ TO AMT 5.000% 07/01/05 B/E DTD 12/26/96 AMBAC INSURED 1ST CPN DTE 07/01/97 CPN PMT SEMI ANNUAL ON JAN 01 AND JUL 01 MOODY RATING AAA S & P RATING AAA	104.222	260,555.00	6,250.00	4.790%	12,500.00	957375BJ6	CASH	
Total Municipal Bonds			**$1,383,822.75**	**$26,869.53**		**$71,081.25**			

Mutual Funds

Quantity	Description	Price	Market Value	Div/Cap Gain Option	Estimated Yield	Annual Income	Security ID	Account Type	Location
35,310.67300	LEBENTHAL NEW YORK MUNICIPAL BOND FUND	8.410	296,962.76	REINVEST	4.930%	14,653.92	LENYX	CASH	
Total Mutual Funds			**$296,962.76**			**$14,653.92**			

	Market Value	Accrued Interest	Annual Income
Total -- All Securities	**$1,680,785.51**	**$26,869.53**	**$85,735.17**

Clearing Through Pershing Division of Donaldson, Lufkin & Jenrette Securities Corporation One Pershing Plaza Jersey City N.J. 07399 B00007167

PORTFOLIO HOLDINGS (continued)

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Valuation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund income, or dividends on other securities shown on your statement may have been reinvested into additional shares. You will not receive confirmations for these reinvestment transactions. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

MONEY MARKET FUND SUMMARY

Fund Name	*Account Number*	*Activity Ending*	*Opening Balance*	*Closing Balance*	*Accrued Income*	*Income This Year*	*Current Yield*	*30-Day Yield*
ALLIANCE MUNI TR NY PORT	0004940991	12/31/98	12,127.46	13,402.46	36.76	1,267.68	2.610	2.270
Total Money Market Fund Holdings			**$12,127.46**	**$13,402.46**	**$36.76**	**$1,267.68**		

MONEY MARKET FUND DETAIL

Alliance Muni Tr NY Port **Account Number : 0004940991** **Activity Ending : 12/31/98**

Date	*Activity Type*	*Description*	*Debit Amount*	*Credit Amount*	*Share Balance*
11/28/98	OPENING BALANCE				12,127.46
12/16/98	DEPOSIT	SHARES PURCHASED BY WIRE		1,275.00	13,402.46
12/31/98	CLOSING BALANCE				13,402.46
Total Closing Balance - All Money Market Funds					**$13,402.46**

DIVIDEND, INTEREST AND DISTRIBUTION SUMMARY

	This Period		This Year	
	Taxable	Non Taxable	Taxable	Non Taxable
Municipal Bond Interest	.00	1,275.00	.00	67,751.73
Mutual Fund Dividends - Current Year	.00	1,148.75	.00	14,115.83
Money Fund Income	.00	.00	.00	1,267.68
Total Dividends and Interest	**$.00**	**$2,423.75**	**$.00**	**$83,135.24**
Long Term Capital Gain Distributions - Current Year	3,544.74	.00	3,544.74	.00
Total Distributions	**$3,544.74**	**$.00**	**$3,544.74**	**$.00**

INCOME/SECURITIES NOT YET RECEIVED

Cash Dividends, Interest and Distributions

Security	Type	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment
MUNICIPAL ASSISTANCE CORP FOR CITY NEW YORK N Y SER J	INTEREST	12/17/98	01/01/99	25,000	.025625	640.63
NEW YORK ST DORM AUTH REVS CITY UNIV-3RD GEN RES-SER 2	INTEREST	12/15/98	01/01/99	100,000	.032000	3,200.00
NEW YORK ST URBAN DEV CORP REVENUES CORRECTIONAL CAPITAL	INTEREST	12/17/98	01/01/99	150,000	.030000	4,500.00
WESTCHESTER CNTY N Y INDL DEV AGY RESOURCE RECOVERY	INTEREST	12/15/98	01/01/99	250,000	.025000	6,250.00
Total Payment						**$14,590.63**
Total Value of Unpaid Items						**$14,590.63**

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness

ACCRUED INTEREST SUMMARY *(Interest Paid and Received on Purchase and Sale of Fixed Income Securities)*

	Current Period		*This Year*	
	Taxable	*Non Taxable*	*Taxable*	*Non Taxable*
Municipal Bond Accrued Interest Paid	.00	.00	.00	310.00-
Total Accrued Interest Paid	**$.00**	**$.00**	**$.00**	**$310.00-**

2258

GLICKENHAUS & CO.

Six East 43rd Street
New York, NY 10017-4641
(212) 953-7800

Clearance Agent:
Neuberger&Berman LLC
605 Third Avenue
New York, NY 10158-3698

For the period 08/29/98 to 09/25/98

ACCOUNT IDENTIFICATION
065-51621 676

NET EQUITY	OPENING BALANCE	CLOSING BALANCE
Cash	20,850.27	160.94
TOTAL VALUE OF ACCOUNT		161

STEPHEN LOWEY
NITA M LOWEY JT/TEN
105 BEVERLY ROAD
RYE NY 10580-1902

TAX SUMMARY	THIS MONTH	YEAR TO DATE
Non Taxable Muni Int	4,883.47	17,776.67
Interest Credit	160.94	639.94

TRANSACTIONS SETTLED THIS MONTH

	DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
PURCHASES & SALES	09/11/98	SOLD	35,000	NEW YORK ST THRUWAY AUTH LOCAL HIGHWAY & BRIDGE SVC CONTRACT BDS DUE 04/01/2000 04.375% AO 01 AS OF 09/08/98 YIELD 4.783 % TO MATURITY	99.5630 ACCR INT.	34,787.55 680.56
	09/11/98	SOLD	120,000	NEW YORK STATE LOCAL GOVT ASSTNCE CORP SER C DUE 04/01/2018 06.250% AO 01 AS OF 09/08/98 YIELD 4.411 % TO PREREFUND PRE-REF 04/01/02 AT 102.000	107.9490 ACCR INT.	129,238.80 3,333.33
	09/11/98	SOLD	30,000	METROPOLITAN TRANSN AUTH N Y SER A COMMUTER FACS REV DUE 07/01/2010 06.000% JJ 01 AS OF 09/08/98 YIELD 4.463 % TO PREREFUND PRE-REF 07/01/04 AT 101.500	109.3150 ACCR INT.	32,682.00 350.00
	09/14/98	SOLD	100,000	NEW YORK ST MED CARE FACS FIN AGY REV MTG PJ SER B DUE 08/15/2014 06.450% FA 15 AS OF 09/09/98 YIELD 5.132 % TO CALL CALL DATE 08/15/04 AT 102.000	108.5080 ACCR INT.	108,133.00 519.58
DIVIDENDS & INTEREST	09/21/98	INTEREST		INTEREST ON CREDIT BALANCE FROM 08/21/1998 TO 09/20/1998 AT 5.2500% AVBAL 35,601		160.94
MOVEMENTS OF FUNDS	09/14/98	TRF OF FUNDS		TO 051-49660-1 LOWEY STEPHEN &		-330,575.09

SECURITY POSITIONS IN YOUR ACCOUNT

QUANTITY	DESCRIPTION	PRICE	MARKET VALUE
	*** NO POSITIONS HELD ***		

DIVIDEND, INTEREST AND DISTRIBUTION SUMMARY

	This Period		This Year	
	Taxable	*Non Taxable*	*Taxable*	*Non Taxable*
Municipal Bond Interest	.00	1,275.00	.00	67,751.73
Mutual Fund Dividends - Current Year	.00	1,148.75	.00	14,115.83
Money Fund Income	.00	.00	.00	1,267.68
Total Dividends and Interest	**$.00**	**$2,423.75**	**$.00**	**$83,135.24**
Long Term Capital Gain Distributions - Current Year	3,544.74	.00	3,544.74	.00
Total Distributions	**$3,544.74**	**$.00**	**$3,544.74**	**$.00**

INCOME/SECURITIES NOT YET RECEIVED

Cash Dividends, Interest and Distributions

Security	*Type*	*Record Date*	*Payable Date*	*Quantity Held*	*Rate*	*Amount of Payment*
MUNICIPAL ASSISTANCE CORP FOR CITY NEW YORK N Y SER J	INTEREST	12/17/98	01/01/99	25,000	.025625	640.63
NEW YORK ST DORM AUTH REVS CITY UNIV-3RD GEN RES-SER 2	INTEREST	12/15/98	01/01/99	100,000	.032000	3,200.00
NEW YORK ST URBAN DEV CORP REVENUES CORRECTIONAL CAPITAL	INTEREST	12/17/98	01/01/99	150,000	.030000	4,500.00
WESTCHESTER CNTY N Y INDL DEV AGY RESOURCE RECOVERY	INTEREST	12/15/98	01/01/99	250,000	.025000	6,250.00
Total Payment						**$14,590.63**
Total Value of Unpaid Items						**$14,590.63**

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness.

Clearing Through **Pershing** Division of Donaldson, Lufkin & Jenrette Securities Corporation One Pershing Plaza, Jersey City, N.J. 07399

PORTFOLIO HOLDINGS *(continued)*

Municipal Bonds *(in alphabetical sequence, continued)*

Quantity	Description	Price/Factor	Market Value	Accrued Interest	Estimated Yield	Annual Income	Security ID	Account Type	Location
150,000	NEW YORK ST URBAN DEV CORP REVENUES CORRECTIONAL CAPITAL FACILITIES SERIES 5 6.000% 01/01/09 B/E DTD 08/01/95 PREREFUNDED 01/01/05 @ 102.000 1ST CPN DTE 01/01/96 CPN PMT SEMI ANNUAL ON JAN 01 AND JUL 01 MOODY RATING BAA1 S & P RATING BBB+	112.901	169,351.50	4,500.00	5.310%	9,000.00	650033W90	CASH	
50,000	WESTCHESTER CNTY N Y LT 4.700% 11/15/04 B/E DTD 10/31/96 1ST CPN DTE 05/15/97 CPN PMT SEMI ANNUAL ON MAY 15 AND NOV 15 MOODY RATING AAA S & P RATING AAA	105.071	52,535.50	300.28	4.470%	2,350.00	957365Y29	CASH	
250,000	WESTCHESTER CNTY N Y INDL DEV AGY RESOURCE RECOVERY REV SUBJ TO AMT 5.000% 07/01/05 B/E DTD 12/26/96 AMBAC INSURED 1ST CPN DTE 07/01/97 CPN PMT SEMI ANNUAL ON JAN 01 AND JUL 01 MOODY RATING AAA S & P RATING AAA	104.222	260,555.00	6,250.00	4.790%	12,500.00	957375BJ6	CASH	
Total Municipal Bonds			**$1,383,822.75**	**$26,869.53**		**$71,081.25**			

Mutual Funds

Quantity	Description	Price	Market Value	Div/Cap Gain Option	Estimated Yield	Annual Income	Security ID	Account Type	Location
35,310.67300	LEBENTHAL NEW YORK MUNICIPAL BOND FUND	8.410	296,962.76	REINVEST	4.930%	14,653.92	LENYX	CASH	
Total Mutual Funds			**$296,962.76**			**$14,653.92**			

	Market Value	Accrued Interest	Annual Income
Total -- All Securities	**$1,680,785.51**	**$26,869.53**	**$85,735.17**

PORTFOLIO HOLDINGS

Municipal Bonds *(in alphabetical sequence)*

Quantity	*Description*	*Price/Factor*	*Market Value*	*Accrued Interest*	*Estimated Yield*	*Annual Income*	*Security ID*	*Account Type*	*Location*
75,000	LONG ISLAND PWR AUTH N Y ELEC SYS REV GEN 4.800% 04/01/16 B/E DTD 10/15/98 CALLABLE 04/01/08 @ 101.000 CALLABLE 04/01/10 @ 100.000 MBIA INSURED 1ST CPN DTE 04/01/99 CPN PMT SEMI ANNUAL ON APR 01 AND OCT 01 MOODY RATING AAA S & P RATING AAA	99.067	74,300.25	760.00	4.840%	3,600.00	542690JS2	CASH	
25,000	MUNICIPAL ASSISTANCE CORP FOR CITY NEW YORK N Y SER J 5.125% 07/01/05 B/E DTD 04/15/97 1ST CPN DTE 01/01/98 CPN PMT SEMI ANNUAL ON JAN 01 AND JUL 01 MOODY RATING AA2 S & P RATING AA	106.563	26,640.75	640.63	4.800%	1,281.25	626190V33	CASH	
100,000	NASSAU COUNTY N Y SERIES N 6.125% 10/15/09 B/E DTD 10/29/92 PREREFUNDED 10/15/02 @ 103.000 AMBAC INSURED 1ST CPN DTE 04/15/93 CPN PMT SEMI ANNUAL ON APR 15 AND OCT 15 MOODY RATING AAA S & P RATING AAA	110.240	110,240.00	1,293.06	5.550%	6,125.00	6316544V9	CASH	
100,000	NEW YORK CITY SER A 6.000% 08/01/06 B/E DTD 07/11/96 1ST CPN DTE 02/01/97 CPN PMT SEMI ANNUAL ON FEB 01 AND AUG 01 MOODY RATING A3 S & P RATING A-	111.724	111,724.00	2,500.00	5.370%	6,000.00	6496646D6	CASH	
50,000	NEW YORK STATE CROSSOVER REFUNDING 5.800% 11/15/03 B/E DTD 07/15/92 CALLABLE 11/15/02 @ 101.000 1ST CPN DTE 11/15/92 CPN PMT SEMI ANNUAL ON MAY 15 AND NOV 15 MOODY RATING A2 S & P RATING A	107.703	53,851.50	370.56	5.380%	2,900.00	6497853A8	CASH	

2922

MESSAGES *(continued)*

FOR ALL CLIENTS WHO DO NOT USE THE YELLOW WORKHORSE PLUS PLATINUM ACCOUNT CHECKS, PLEASE NOTE THAT AFTER JANUARY 15, 1999, THE ONLY MONEY MARKET CHECKS YOU MAY USE WILL HAVE "RESOURCE CHECKING" AND BOSTON SAFE DEPOSIT AND TRUST CO. NOTED ON THE BOTTOM LEFT-HAND CORNER OF THE CHECK. PLEASE CONTACT YOUR ACCOUNT REPRESENTATIVE IF YOU HAVE ANY QUESTIONS.

WHAT YOU GET WHEN YOU HIT WWW.LEBENTHAL.COM
* MUNICIPAL BOND INVENTORY UPDATED DAILY.
* EQUITY MARKET DIGEST
* BI-WEEKLY MUNICIPAL BOND NEWSLETTER
* FEATURED BOND
* ECONOMIC OUTLOOK BY JIM GAMMON, PRESIDENT LEBENTHAL ASSET MANAGEMENT.
* E-MAIL ORDER FORM FOR WEBSITE ACCESS TO INFORMATION ABOUT YOUR LEBENTHAL ACCOUNT AND CURRENT MARKET VALUES.
* FREE 30-MINUTE CASSETTE OF JIM LEBENTHAL'S "CRAZY ABOUT NEW YORK," AVAILABLE BY E-MAIL REQUEST ONLY.

TRANSACTIONS BY TYPE OF ACTIVITY

Withdrawals and Deposit of Securities

Process Date	*Transaction Date*	*Activity Type*	*Description*	*Quantity*	*Debit Amount*	*Credit Amount*
12/14/98		REINVEST CASH INCOME	LEBENTHAL NEW YORK MUNICIPAL BOND FUND SHRS PURCH. AT $8.56000 FOR ACCRUAL PERIOD ENDING 12/10/98	134.20000	1,148.75-	
12/23/98		REINVEST CASH INCOME	LEBENTHAL NEW YORK MUNICIPAL BOND FUND SHRS PURCH. AT $8.41000 FOR ACCRUAL PERIOD ENDING 12/21/98	421.49100	3,544.74-	
Total Withdrawals and Deposit of Securities					**$4,693.49-**	**$.00**

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: NITA M. LOWEY | Page 6 of 17

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Neuberger & Berman LLC *****																														P
SP	SB MUNI NY MNY MKT PORT CL A			X									X									X									
SP	AT&T*			X									X									X									
SP	Abbott Laboratories*			X									X									X									
SP	American Express*				X								X									X									
SP	American Greetings*				X								X										X								
SP	American Internat'l Group*				X								X									X									
SP	Amgen Inc.*				X								X								X										
SP	Baker Hughes*			X									X									X									
SP	Bankamerica*			X									X										X								
SP	Bell Atlantic*							X					X														X				
SP	Boeing Co.*			X									X									X									P
SP	Chase Manhattan Bank*			X									X										X								
SP	Citigroup Inc.*				X								X										X								P
SP	Colgate Palmolive*				X								X										X								
SP	Compaq*					X							X									X									P
SP	Corning, Inc.*			X									X									X									
SP	Data Broadcasting*					X							X								X										
SP	Discount Auto Parts*			X									X								X										
SP	W. Disney Holding Co.*				X								X										X								P
SP	Eastman Kodak*			X									X										X								
SP	Fannie Mae*			X									X								X										

*Discretionary A/C at Salomon Smith Barney/AS (in 1997, called "Discretionary account at Smith Barney")

This page may be copied if more space is required. *****Individual holdings listed below with *****designation.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name NITA M. LOWEY

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	GTE Corp.*				X								X										X							
SP	General Electric*				X								X										X							P
SP	General Motors*				X								X										X							
SP	Gillette Co.*			X									X									X								
SP	H.J. Heinz Co.*				X								X										X							
SP	Lucent Technologies*				X								X									X								
SP	Mellon Bank*				X								X										X							
SP	Microsoft*					X							X								X									
SP	Pepsico*			X									X									X								
SP	Pfizer*					X							X										X							P
SP	Procter & Gamble*				X								X										X							
SP	Raytheon*	X											X								X									S
SP	Tricon Global Restaurants*	X															X						X							S
SP	Washington Mutual*			X									X										X							
SP	Apollo Group**	X															X								X					S
SP	AT&T **			X									X									X								
SP	AT&T **			X									X								X									P
SP	Bankamerica Corp**				X								X										X							
SP	Bed Bath & Beyond**			X									X								X									
SP	Chevron Corp.**	X											X				X							X						S
SP	Consolidated Graphics**					X							X								X									
SP	Consolidated Graphics**				X								X								X									P

*Discretionary A/C at Salomon Smith Barney/AS (in 1997, called "Discretionary account at Smith Barney."

This page may be copied if more space is required.

**Discretionary A/C at Prudential Securities (in 1997, same).

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name NITA M. LOWEY

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP	Coram Healthcare Wts.**		X											X								X									
SP	DuPont**			X										X								X									P
SP	Exar Corp.**				X									X								X									
SP	Exxon**			X										X									X								P
SP	General Motors**			X										X								X									
SP	General Motors**		X											X							X										P
SP	International Paper**			X										X							X										
SP	International Paper**		X											X							X										P
SP	KLA Tencor**				X									X							X										P
SP	Lennar Corp.**				X									X								X									
SP	LNR Property Corp.**				X									X								X									
SP	LNR Property Corp. Cl.B **	X												X								X									S
SP	Lucent Technologies**	X												X							X										
SP	Media 100 Inc.**				X									X							X										
SP	Microchip Tech. Inc.**				X									X							X										
SP	Minnesota Mining**			X										X							X										P
SP	NTN Communications, Inc.**					X								X							X										P
SP	Oracle**				X									X							X										
SP	Paychex Inc.**				X									X									X								
SP	Promus Hotel (PRH)**			X										X							X										P
SP	Quaker Fabric**				X									X							X										
SP	Saba Petroleum**			X										X							X										

**Discretionary A/C at Prudential Securities (in 1997, same).

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: NITA M. LOWEY

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Teva Pharm. ADR (TEVIV)**				X								X								X[1]										
SP	Toys R Us**			X									X								X										
SP	Trend Lines Inc.**			X									X								X										P
SP	Lee Cty FL Hosp Bd 8% of 2020 Series 1988**	X														X							X								S (Redeemed)
SP	Prudential Muni MM Fnd**						X									X								X								
SP	OCC Cash Reserves***	X											X								X										S
SP	Cytotherapeutics Inc.***			X									X								X										
SP	United Internat'l Hldgs***					X							X								X										
SP	Newhall LPship***					X							X										X								
SP	Triad Pk LPship***	X											X								X										E (for cash)
SP	Morgan Stanley Asia Fd***			X									X									X									
SP	Scudder New Europe Fd***			X									X									X									
SP	SB Money Funds Govt***				X								X									X									P
SP	US Treas. Notes****				X								X											X							
SP	Avatar Conv. 7%of05****				X								X												X						P
SP	Avatar Holdings****				X								X								X										P
SP	Barrick Gold Corp****				X								X								X										
SP	Calmat Co.****				X								X											X							
SP	Canadian Pac Ltd****	X											X									X									S
SP	Equity Res Ppty Pfd****				X								X											X							
SP	Minorco ADR****	X											X											X							P, S
SP	Newhall LPship DRs****						X						X											X							

[1] X

**Discretionary A/C at Prudential Securities (in 1997, same). ****Discretionary A/C at Oppenheimer & Close (in 1997 same

This page may be copied if more space is required.

***Discretionary A/C at Salomon Smith Barney/PM (in 1997 at CIBC Oppenheimer-broker shows 1 fi

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name	NITA M. LOWEY	Page 10 of 17

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Ogden Corp.****	X											X				X					X			X						S
SP	Saint Joe Corp.****				X								X									X									
SP	Stone & Webster****	X											X				X					X			X						S
SP	Alliance Muni Tr NY****						X						X										X								
JT	Alza Corp.*****				X								X									X									P
JT	American Express*****				X								X									X									P
JT	Amgen Inc.*****				X								X									X									P
JT	American Online*****					X							X									X									P
JT	Anheuser Busch*****				X								X									X									P
JT	Citrix*****				X								X									X									P
JT	Walt Disney*****				X								X									X									P
JT	Gap*****				X								X									X									P
JT	Gillette*****	X											X									X									P, S
JT	Intel Corp.*****				X								X									X									P
JT	LaZBoy*****	X											X									X									P, S
JT	MCI Worldcom*****				X								X									X									P
JT	McDonalds*****				X								X									X									P
JT	Pfizer*****				X								X									X									P
JT	RPM Inc./Ohio*****				X								X									X									P
SP	People's Pfd Cap Corp****				X								X												X						S

****Discretionary A/C at Oppenheimer & Close (same in 1997).

This page may be copied if more space is required.

*****Discretionary A/C at Neuberger [illegible]

SCHEDULE IV — TRANSACTIONS

Name: NITA M. LOWEY | Page 11 of 17

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	Glickenhaus Portfolio of NY Tax-Free Bonds		X		9/10/98					X					
SP	Wisdomtree Associates		X	(Liq.)	6/30/98					X					
SP	Boeing Co.*	X			2/17/98	X									
SP	Citigroup Inc.*	X			9/8/98		X								
					5/4/98	X									
SP	Compaq*	X			9/8/98			X							
SP	W. Disney Holding Co.*	X			9/8/98		X								
SP	General Electric*	X			9/8/98		X								
SP	Pfizer*	X			9/8/98		X								
SP	Raytheon*		X		2/24/98	X									
SP	Tricon Global Restaurants*		X		2/24/98	X									
SP	Apollo Group**		X		12/18/98		X								
SP	AT&T**	X			2/2/98	X									
SP	Chevron Corp.**		X		2/2/98	X									
SP	Consolidated Graphics**	X			1/8/98	X									
SP	DuPont**	X			2/2/98	X									
SP	Exxon**	X			2/2/98	X									

This page may be copied if more space is required.

*Discretionary A/C at Salomon Smith Barney/AS
**Discretionary A/C at Prudential Securities.

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
SP	General Motors**	X			2/2/98	X									
SP	International Paper**	X			2/2/98	X									
SP	KLA Tencor**	X			10/29/98		X								
SP	Lucent Technologies**		X		2/2/98	X									
SP	NTN Communications**	X			9/8/98			X							
SP	Oracle**	X			11/6/98		X								
SP	Trend Lines Inc.**	X			4/16/98	X									
SP	Lee Cty FL Hosp Bd 8% of 20 Series 1988**		X (Redmd)		4/1/98	X									
SP	OCC Cash Reserves***		X		6/15/98		X								
SP	Triad Park LPship***			X (for cash)		X									
SP	SB Money Funds Govt***	X			7/7/98		X								
SP	Avatar Conv. 7% of 05****	X			2/3/98 9/30/98		X								
SP	Avatar Holdings****	X			1/23/98		X								
SP	Canadian Pacific Ltd.****		X		4/21/98		X								
SP	Minorco ADR****	X			8/6/98		X								
SP	Minorco ADR****		X		10/15/98			X							

This page may be copied if more space is required.

**Discretionary A/C at Prudential Securities.
***Discretionary A/C. at Salomon/Smith Barney/PM.

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
SP	Ogden Corp.****		X		4/21/98		X								
SP	Stone & Webster****		X		4/21/98		X								
JT	Alza Corp.*****	X			12/17/98		X								
JT	American Express*****	X			9/15/98		X								
JT	Amgen Inc.*****	X			9/14/98		X								
JT	American Online*****	X			9/14/98		X								
JT	Anheuser Busch*****	X			9/14/98		X								
JT	Citrix*****	X			9/16/98		X								
JT	Walt Disney*****	X			9/15/98		X								
JT	Gap*****	X			9/15/98		X								
JT	Gillette*****	X			9/16/98		X								
JT	Gillette*****		X		12/7/98		X								
JT	Intel*****	X			9/14/98		X								
JT	LaZBoy*****	X			9/14/98		X								
JT	LaZBoy*****		X		12/7/98		X								
JT	MCI Worldcom*****	X			9/15/98		X								

This page may be copied if more space is required.

****Discretionary A/C at Oppenheimer & Close (in 1997, same).
*****Discretionary A/C at Neuberger & Berman (opened in 1998).

Name	NITA M. LOWEY	Page 14 of 17

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	McDonalds*****	X			9/16/98		X								
JT	Pfizer*****	X			9/15/98		X								
JT	RPM Inc./Ohio*****	X			9/14/98		X								
JT	US Treasury Notes		X		9/14/98					X					
SP	Quaker Fabric**	X			3/11/98	X									
SP	People's Pfd Cap Corp****	X			4/22/98			X							

This page may be copied if more space is required.

*****Discretionary A/C at Neuberger & Berman (opened in 1998).

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE.											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE.		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
GLOBE USA - Environmental Confer.	Aug.23-26	Rye, NY - Brewster, MA - Rye, NY (personal auto)	Y	Y	Y	
ITE Asia Pacific - GeneCom98 -Gene Technology Confer.	Nov. 27 - Dec.14	NYC- Adelaide, Australia- NYC	Y	Y	Y	14 Days

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 1999 58-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 AM 10:01
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(4)

Frank D. Lucas
(Full Name)

P.O. Box 1039
(Mailing Address)

Cheyenne OK 73628

Daytime Telephone: 202-225-5565

MC HAND DELIVERED
(Office Use Only)

Filer Status	[X]	Member of the U.S. House of Representatives	State OK District 06	[ ]	Officer or Employee	Employing Office
Report Type	[X]	Annual (May 15)	[ ]	Amendment	[ ]	Termination Date: Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Frank D. Lucas

DATE (Month/Day/Year) MAY 17, 1999

2276

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC JT	*Examples:* Mega Corp. Stock SP 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Panhandle Royalty Co. Stock	$1.001 - $15,000	Dividends	$1 - $200	NA
JT	Security State Bank, Cheyenne, OK	$1.001 - $15,000	Interest	$201 - $1,000	NA
SP	Western Farm Burea IRA	$1.001 - $15,000	Interest	$1 - $200	NA
	Apache Corporation	None	Royalty	$201 - $1,000	NA
	Oryx Energy Co.	None	Royalty	$201 - $1,000	NA
	St Mary Operating Co.	None	Royalty	$1,001 - $2,500	NA
	Crescendo Resourses, LP (formerly Amoco)	None	Royalty	$5,001 - $15,000	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	480 acres - Farmland, House, Equipment, & Cattle; Cheyenne, OK	$100.001 - $250.000	Farm Income	$15.001 - $50.000 (gross)	NA
JT	320 acres - Farmland	$50.001 - $100.000	Farm Income	None	NA
	103 acres - Mineral Rights, Roger Mills, OK	$100.001 - $250.000	See above individual companies	None	NA
	Public Employee Retirement	$15.001 - $50.000	None	None	NA
SP	Oklahoma Teacher Retirement	$1.001 - $15.000	None	None	NA
SP	Texas Teacher Retirement	$1.001 - $15.000	None	None	NA
DC	Security State Bank, Cheyenne, OK	$1.001 - $15.000	Interest	$1 - $200	NA
	American Central Gas Corp	None	Right of Way Easement	$1.001 - $2.500	NA

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
JT	Security State Bank, Cheyenne, OK	Mortgage on Roger Mills Farm	$50,001 - $100,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 14 PM 3:32
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Kenneth Ray Lucas
(Full Name)

10839 Omaha Trace, Union, Ky 41091 606/384-3345
(Mailing Address) (Daytime Telephone)

Filer Status	☑ Member of the U.S House of Representatives	State Kentucky District: Fourth	☐ Officer or Employee	Employing Office
Report Type	☑ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Kenneth R Lucas **DATE** (Month/Day/Year) 5/12/99

2280

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	CIGNA	Commissions - Corp Deferred	59,706
	LINCOLN NATIONAL FINANCIAL GROUP	Commissions	144,832
	Boone County, Ky.	Salary - Judge Ex	24,925
	Commonwealth of Ky.	" " "	1,800
	MANULIFE FINANCIAL	Commissions	3102
	FORTIS	"	7406
	CIGNA Associates	"	1753
	UNUM	"	4679
	Delta Dental	"	1630
	Provident	"	667
	Business Benefits	"	2908
	Southwestern United	"	4072
	Cross + Assoc.	"	3759
	United	"	231
	Manhatten	"	349

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	First Colony	Commissions	372
	CIGNA Group	"	1064
	Drees Company	Directors Fees	4500
	Judge's Office	Wedding Fees	575
	EFS Travel Agency	Spouse's Fees	N/A
	Fifth Third Banks Deferred Comp	Distribution	14,924
	Kentucky Retirement System	Pension	5,434

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
N/A			

This page may be copied if more space is required.

Name Kenneth R. Lucas | Page 5 of 10

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																		P
			Simon & Schuster			Indefinite																Royalties												
			1st Bank of Paducah, KY accounts						X									X									X							
			Fifth Third Bank stock									X				X											X							
SP			" " " "						X							X										X							
			CIGNA IRA								X																N/A							
			IRA: 5th/3rd Bank stock								X											Partial distribution								X				S
			" Fountain Square Equity Fd				X																				N/A							
SP			" " " "				X																				N/A							

For additional assets and unearned income, use next page.

2284

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Kenneth R. Lucas | Page 6 of 10

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	IRA-Premier Asset Mgt Acct							X												Partial distribution								X			S
	CIGNA Qualified Plan				X															Distribution						X					S
	DLW & Associates						X																									
	U.S. 25 Richwood Ky.																			land sale								X			S
	I-71 Interchange-Verona Ky.																															
	Real estate investment																															
JT	Real estate - Gulf of Mexico Dr., Longboat Key, Fla.						X								X									X							
JT	Time share Real estate - Port Royal Plantation, Hilton Head S.C.				X										X								X								
	Fuller Square Inv & Florence Commercial commercial rental real estate Corner - Burlington Pike Houston Rd., Florence, Ky.						X X								X X					DISTRIBUTION				X X							
JT	Ky intangible tax refund			X																				X							

This page may be copied if more space is required.

Name Kenneth R. Lucas | Page 7 of 10

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:* Mega Corporation Common Stock		X			10–12–98		X								
	DL W+ Associates - land sale			✓		3/98			✓							
	DLJ - Premier Managed Acct - IRA distributions			✓		5/98 - 8/98 & 11/98			✓							
	Fifth Third Bank stock IRA distributions			✓		11/98 & 12/98				✓						

This page may be copied if more space is required.

2286

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Hebron Deposit Bank, Hebron, Ky	R.E. mortgage - Gulf of Mexico Dr., Longboat Key, Fla.				X						
JT	Liberty Bank, Hilton Head, S.C.	R.E. mortgage - Time-Share Port Royal Plantation, Hiltonhead SC		X								
	Hebron Deposit Bank, Hebron, Ky	note - campaign debt 1997			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

N/A

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
	N/A						

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Employee	Sagemark Consulting - Lincoln Financial Grp. - formerly CIGNA
Judge-Executive	Boone County, Ky.
Director	The Drees Company
"	Northern Kentucky University Foundation
President & Director	Florence Christian Services, Inc.
Partner	D&W & Associates
Stockholder	Fuller Square, Inc & Florence Commercial

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2/1/67	Kenneth R. Lucas & CIGNA	Payment of vested residual commissions to Mr. Lucas/or spouse for life
1/1/89	" " " "	Payment of deferred comp to Mr. Lucas and/or beneficiaries upon death, retirement or termination

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 21 PM
OFFICE OF THE CLERK

William P. Luther
(Full Name)

6375 St. Croix Trail North #147
(Mailing Address)

Stillwater, Minnesota 55082

Daytime Telephone: 651-730-4949

MAY 17 1999
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State MN District: 06	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL William P. Luther

DATE (Month/Day/Year) 5/17/99

2290

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
William P. Luther, Attorney at Law, 6375 St. Croix Trail N #147, Stillwater MN 55082	Legal Fees for Services Rendered	
	Prior to 1/1/95, Gross	$902.00
	Net	$695.00
State of Minnesota, House of Representatives, State Capitol, St Paul MN 55155 (including related positions)	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

2291

Name William P. Luther | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D										BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock				X								X										X							P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts				X										X									X							
	Former Law Practice (sole proprietorship in Schedule I)				X																See Schedule I											
SP	Checking account, Marquette Bank Brooklyn Park, 7608 Brooklyn Blvd, Brooklyn Park, MN 55443				X												X						X									
	Checking accounts, First Bank, 60 S. 6th St, Mpls, MN 55480				X												X						X									

For additional assets and unearned income, use next page.

2292

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William P. Luther | Page 4 of 7

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
DC	Savings account, First Bank, 90 S. 6th St, Mpls MN 55480		X													X						X									
DC	Savings account, Marquette Bank Brooklyn Park, 7608 Brooklyn Blvd, Brooklyn Park MN 55443		X													X						X									
	Law firm profit sharing and pension trust, Richard L. Luther, Trustee, 601 Lakeshore Pkwy, Suite 750, Minnetonka MN 55305								X											NA	NA										
JT	MN State Legislator's Defined Benefit Retirement Plans, 175 W. Lafayette Frontage Road S., St Paul MN 55107						X													NA	NA										
JT	MN State Deferred Compensation Plans, MN Mutual General Accounts, 400 Robert St N St Paul MN 55101						X							X			X										X				

This page may be copied if more space is required.

2298

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William P. Luther

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Dean Witter Liquid Asset Funds mutual funds, 333 S. 7th St Suite 1300 Mpls MN 55402		X											X								X									
SP	Dean Witter Liquid Asset Funds money market, 333 S. 7th St. Ste 1300 Mpls MN 55402 (short-term swing loan to spouse's parents repaid 5/13/98)			X										X									X								
SP	Putnam Funds mutual funds, 265 Franklin St, Boston MA 02110. c/o Dean Witter 333 S. 7th St Suite 1300, Mpls MN 55402					X								X			X							X							
SP	Voyageur Minnesota Insured Funds mutual funds, 90 S. 7th St Suite 4400, Mpls MN 55402, c/o Dean Witter, 333 S. 7th St. Suite 1300, Mpls MN 55402 (short term swing loan to spouse's parents repaid 5/13/98)				X									X		X							X								

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William P. Luther | Page 6 of 7

SP, DC, H	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	IRA, Dean Witter Liquid Asset Funds money market, Fannie Mae bond, Dean Witter Pacific Growth Fund mutual fund (previously Great Hall Prime Money Market) 333 S. 7th St Suite 1300, Mpls, MN 55402			X										X		X							X							
DC	Trusts dated 2/1/78 and 12/21/82, Zurich Kemper Total Return Fund and Money Market Funds, c/o FSC Securities Corp, 2300 Windy Ridge Pkwy, No. 1100, Marietta, Georgia 30067					X								X			X								X						
SP	Pension, Northwest Airlines, Inc., 5101 Northwest Dr., St Paul MN 55111-3034			X																NA	NA										
1/2 DC	1/2 interest in 7 acres, unimproved real estate, NW corner of Hwy 210 and old 210, Fergus Falls MN						X													NA	X										

This page may be copied if more space is required.

Name William P. Luther | Page 7 of 7

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10-12-98		X								
SP	Putnam Funds mutual funds			X		1/22/98	X									

This page may be copied if more space is required.

2296

55

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 1:07
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(10)

HAND DELIVERED
(Office Use Only)

Carolyn B. Maloney
(Full Name)

49 East 92nd Street
(Mailing Address)

New York, New York 10128

Daytime Telephone: (212) 289-9450

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 14	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Carolyn B. Maloney

DATE (Month/Day/Year) May 14, 1999

22971

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Liberty Pittsburg Systems, Inc., Pittsburg, PA	Spouse	N/A
	Chromium Industries, Inc., Chicago, IL	Spouse	N/A
	CHW Maloney & Co., Incorporated, New York, NY	Spouse	N/A
	The Wall Street Fund, New York, NY	Spouse	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name	Carolyn B. Maloney	Page 3 of 10

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
None			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Carolyn B. Maloney —

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

BLOCK A	BLOCK B												BLOCK C							BLOCK D											BLOCK E
	A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	BUSINESS INCOME	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT *Examples:* SP — Mega Corp. Stock					X								X										X							P
DC — Simon & Schuster			Indefinite																Royalties									X		
JT — 1st Bank of Paducah, KY accounts						X									X										X					
Stock-Chromium Industries, Inc.-Chicago, IL						X											X										X			
CD-First Coastal Bank-Virginia Beach, VA			X												X							X								
Stock-Liberty Pittsburg Systems, Inc.-Pittsburg, PA						X														X										
Rental Property & Residence, 49 East 92 Street, New York, NY									X					X												X				
Rental Property-Single Family, 279 West Road, New Canaan, CT								X						X												X				
Albright & Maloney Partnership, 10 High St., Boston, MA, Rental Real Estate, Jamaica, WI						X								X						X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Carolyn B. Maloney

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	BUSINESS INCOME	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	HPB Enterprises, T/A Albemarle Plantation-Real Estate Dev.-Hertford, NC								X						X	X	X	X									X					
	Stock-Aldo Farms of NC, Inc. 209 6th Street, Virginia Beach, VA				X									X									X									
	Stock-The Wall Street Fund			X										X									X									
	Stock-Roll Finishing, Mt. Vernon, IN				X													X								X						
	Stock-JTP, Inc.-Land Investment; Jonestown Road, Chesapeake, VA				X										X						X											
	Citibank, N.A.		X											X								X										
	Shares-RBB/Bedford Money Market Fund				X									X										X								
	Shares-Dreyfus Liquid Assets		X											X								X										
	Undivided Interest-Land-96 acres-Route 64, Southampton County, VA				X												X				X											
	Stock-Beechtree Park, Inc., T/A Holiday Travel Park, Virginia Beach, VA								X						X	X	X				X											
	Shares-Fidelity Cash Reserve				X									X									X									
	Shares-Fidelity U. S. Government Reserve			X										X									X									
	Stock-CHW Maloney & Co., Inc.			X										X												X						
	Shares-Benhan Capital Preservation Fund, Mountainview, CA			X										X									X									
	Stock-Holiday Village, Inc Real Estate, Virginia Beach, VA				X										X		X				X											

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Carolyn B. Maloney

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	R. G. Bosher Irrevocable Life Ins. Trust - Insurance Trust	X																			X										
DC	HPB Enterprises, T/A Albemarle Plantation-Real estate 1/4 income interest, Hertford, NC			X											X	X	X	X					X								
	Bosher Family Partnership L.P., Virginia Beach, VA				X										X		X				X										
	236 shares El Paso Nat'l Gas Co.				X									X									X								
	Undivided 1/5 interest - real estate-Rose Hall, Jamaica						X								X						X										
	Stock-Birdneck Country Club Apt., Inc.					X									X						X										
SP	Bosher Family Partnership L.P., Virginia Beach, VA				X										X		X				X										
DC	Bosher Family Partnership L.P., Virginia Beach, VA				X										X		X				X										
	Stock-Sea Bay Development Corp			X																	X			(Acquired by Family Gift)							
	Joint owner of land purchase option-Chesapeake, VA					X											X				X			(New for 1998)							

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name	Carolyn B. Maloney	Page 7 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–98		X								
	None.															

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Chase Manhattan Bank, Worthington, OH	Mortgage on 49 East 92nd Street, New York, NY			X							
	Citibank, N.A.	Mortgage on 49 East 92nd Street, New York, NY				X						
	Chase Manhattan Mtg. Corp., Worthington, OH	Mortgage of 279 West Road, Refinancing New Canaan Bank				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
(Panel Discussion) International Sweetener Colloquium	Feb. 22-23	New York, NY-Boca Raton, FL-Wash., DC	Y	Y	N	N
(Child Care Conference) Lifetime Television	May 14-16	Wash., DC-Arlington, VT-New York, NY	Y	Y	N	N
(Fact-Finding-Alaska Wilderness League)	June 27 - July 2	New York, NY-Alaska-New York, NY	Y	Y	Y	N
Alaska Wilderness League/Light-hawk						
(Participate in 150th Anniversary of First Women's Rights)	July 17-19	Wash., DC-Seneca Falls & Rochester, NY-New York, NY	Y	Y	N	N
Alice Paul Centennial Foundation						
Greater Rochester Visitors Assoc.						
Rochester Genesee Regional Transit Association						
(Meeting) Academy of Breastfeeding Medicine	Nov. 6- 8	New York, NY-Kansas City, MO, New York, NY	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	HPB Enterprises, T/A Albemarle Plantation, Hertford, NC
Partner	Bosher Family Partnership, L.P., Virginia Beach, VA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 1999 55-195 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 1:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

6

James H. Maloney
(Full Name)

15 Wooster Heights, Danbury, CT 06810
(Mailing Address)

(202) 225-3822
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: CT District: 05	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL James H. Maloney

DATE (Month/Day/Year) 5/14/99

2307

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Danbury Public Schools, Danbury, CT	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Cong. James H. Maloney | Page 3 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																	P
			Simon & Schuster		Indefinite																		Royalties				X					X		
			1st Bank of Paducah, KY accounts						X								X										X							
	Maloney, Leaphart & Assoc., Loans Receivable and Equity			P.	C.		X												X					X									
	Constitution State Strategic Assoc. (Shares)					X													X					X									
	Maloney Congress Committees (Loans)						X										X							X									

For additional assets and unearned income, use next page.

2309

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Cong. James H. Maloney

Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Nutmeg Federal Savings			X												X						X										
	Bank Accounts																															
	Maloney State Senate Committees (Loans)				X											X					X											
	U.S. Government Bonds			X												X					X											
	First Union Bank Accounts			X												X						X										
	Norwalk Saving Society Acct.			X												X							X									
	1988 Milldale Rd., Cheshire, CT (Partial Interest)				X												X				X											
	Smith Barney IRA Money Market		X													X						X										P,S
	Smith Barney IRA Money Market		X													X						X										P,S

This page may be copied if more space is required.

2310

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	American National Bank, Cheshire, CT	Mortgage on 1988 Milldale Rd. Cheshire, CT		X								
	Francis A. Miniter, Hartford, CT	Mortgage on 1988 Milldale Rd. Cheshire, CT		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Joint Tenancy	1988 Milldale Road, Cheshire, CT

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	Maloney, Leaphart & Associates, P.C.	Dissolved P.C. wrapping up affairs; to distribute distribute any net equity

GPO: 1998 88-195 (mac)

Use additional sheets if more space is

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 14 PM 2:45
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

9

DONALD A. MANZULLO
(Full Name)

792 E. Lights Ville Rd
(Mailing Address)

EGAN IL 61047

202-225-5676
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State: IL District: 16	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL s/Donald A. Manzullo

DATE (Month/Day/Year) May 14, 1999

2313

Name	Page
Donald A. Manzullo	2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	CATTLE OPERATION, EGAN, IL		$7,500

For payments to charity in lieu of honoraria, use Schedule II.

Name Donald MANZULLO | Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
None			

This page may be copied if more space is required.

Name Donald MANZULLO | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

				Block B: Value of Asset												Block C: Type of Income								Block D: Amount of Income											Block E: Transaction
				A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																		P
			Simon & Schuster		Indefinite																	Royalties												
			1st Bank of Paducah, KY accounts						X									X									X							
JT	OFFICE Building 404 WASH. AVE. OREGON, IL								X								X											X					
	MANZULLO PC PENSION TRUST EUEREN SEC. VARIOUS MUTUALS									X						X		X	X							X							
SP	IRA - EUEREN SEC. Mutuals						X									X		X	X						X	X							
	IRA - EUEREN SEC. Mutuals						X											X	X														
JT	SAVINGS, CHEK. ST: Illinois Valley BANK					X												X							X								
JT	FED. CREDIT UNION SAVINGS & CHECKING						X											X							X								
	D.A. MANZULLO PC IRA OFFICE (Dormant) $0 in Shareholder				X																		X										

For additional assets and unearned income, use next page.

2316

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Donald Manzullo | Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000, 001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	RAVEN CORD Stock			X										X								X									
DC	Eaton Vance TAX Mgt BUT FOR Neil, Noel, KATHY MANZULLO, MINOR TOWNERS (Mutual Fund)				X									X		X	X					X									P

This page may be copied if more space is required.

Name Donald Manzullo | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
DC	Purchase by minor children of Eaton Vance Tax Mgt. Acct. (Neil, Noel, Katie) - owners	X			Spring 98		X								

This page may be copied if more space is required.

58-074

2318

Name Donald MANZELLO | Page 7 of 8

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Stillman Valley Nat'l Bank	Mort. on 404 Wash. Ore. Fl. (Office Bldg)			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	None	

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
	NONE						

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	Donald Manzullo PC (dormant)
TRUSTEE	Donald Manzullo Pension Trust (Defined Benefit Plan) Sole Shareholder
TRUSTEE	M.F. Charitable Trust (dormant)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/92	Agreements with various attorneys for Medical Attorneys legal fees on pending cases w/ Donald Manzullo & Law firm as per permission from House Committee on Official Conduct dated 1/1/93	

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 3:32
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

(8)

Edward J. Markey
(Full Name)

2108 Rayburn House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: 202-225-2836

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: MA District 07	[ ] Officer or Employee	Employing Office	A $200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 15)	[ ] Amendment		[ ] Termination Date: Termination	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Edward J. Markey

DATE (Month/Day/Year): May 13, 1999

2322

Name Edward J. Markey	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Spouse: US Public Health Service, Dept. of Health & Human Services	Spouse salary	NA
	Spouse: Private practice of medicine	Fees	NA

For payments to charity in lieu of honoraria, use Schedule II.

2828

Name Edward J. Markey	Page 1 of 2

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, JT *Examples:* SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Cong. Federal Credit Union Accts	$15,001 - $50,000	Interest	$201 - $1,000	
	Medford Bank, Medford, MA	$1 - $1,000	Interest	$1 - $200	
	Salomon Smith Barney, IRA - Fid Ad Gr Opp T	$1,001 - $15,000	Dividends/Capital Gains	$1 - $200	
	Salomon Smith Barney, IRA - Putnam Intl. N op M	$1,001 - $15,000	Capital Gains	$1 - $200	
	Salomon Smith Barney, IRA - Putnam New Value M	$1,001 - $15,000	Dividends/Capital Gains	$201 - $1,000	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Salomon Smith Barney, IRA - SB Money Funds Cash Port Cl. A	$1 - $1,000	Capital Gains	$1 - $200	P
	Salomon Smith Barney, IRA - SB Gv SC A	$1,001 - $15,000	Dividends	$1 - $200	
	Salomon Smith Barney, IRA - SB Inv Gd Bond Fund A	$1,001 - $15,000	Capital Gains and Dividends	$1 - $200	
	Fidelity S&P 500 Market Index Fund (Spartan)	$15,001 - $50,000	Capital Gains and Dividends	$201 - $1,000	P
JT	First Union Bank Acct	$1,001 - $15,000	Interest	$201 - $1,000	
SP	First Union Bank Acct	$1,001 - $15,000	Interest	$201 - $1,000	
SP	Morgan Stanley Dean Witter, IRA - S&P 500 Index B	$1,001 - $15,000	Capital Gains and Dividends	$1 - $200	E
SP	Morgan Stanley Dean Witter, IRA - Liquid Asset Fund	$1 - $1,000	Dividends	$1 - $200	
SP	TIAA-CREFF Retirement Annuity	$250,001 - $500,000	Interest	NA	
SP	TIAA-CREFF Growth & Income Fund	$100,001 - $250,000	Capital Gains and Dividends	$1,001 - $2,500	P
SP	Merrill Lynch Money Market Tax Free Acct.	$15,001 - $50,000	Dividends	$1,001 - $2,500	
SP	Eaton Vance Nat. Municipal Bond Fund	$50,001 - $100,000	Capital Gains and Dividends	$2,501 - $5,000	
SP	Merrill Lynch S&P 500 Fund	$250,001 - $500,000	Capital Gains and Dividends	$15,001 - $50,000	P
SP	Fidelity Municipal Money Market Fund	$100,001 - $250,000	Dividends/Interest	$201 - $1,000	P
SP	Janus Twenty Fund	$100,001 - $250,000	Capital Gains and Dividends	$1,001 - $2,500	P

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
Example:	Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Fidelity S&P 500 Spartan Index Fund	Purchase	6/16/98	$1,001 - $15,000
	Fidelity S&P 500 Spartan Index Fund	Purchase	8/17/98	$1,001 - $15,000
	Fidelity S&P 500 Spartan Index Fund	Purchase	8/28/98	$1,001 - $15,000
	Fidelity S&P 500 Spartan Index Fund	Purchase	8/31/98	$1,001 - $15,000
SP	Fidelity Municipal Money Market Fund	Purchase	6/16/98	$1,001 - $15,000
SP	Janus Twenty Fund	Purchase	6/17/98	$1,001 - $15,000
SP	Fidelity Municipal Money Market Fund	Sold	6/17/98	$1,001 - $15,000
SP	Fidelity Municipal Money Market Fund	Purchase	7/24/98	$15,001 - $50,000
SP	Fidelity Municipal Money Market Fund	Sold	7/27/98	$15,001 - $50,000
SP	Janus Twenty Fund	Purchase	7/27/98	$15,001 - $50,000
SP	Fidelity Municipal Money Market Fund	Purchase	8/17/98	$15,001 - $50,000
SP	Fidelity Municipal Money Market Fund	Sold	8/18/98	$15,001 - $50,000
SP	Janus Twenty Fund	Purchase	8/18/98	$15,001 - $50,000
SP	Janus Twenty fund	Purchase	8/31/98	$15,001 - $50,000
SP	Fidelity Municipal Money Market Fund	Sold	9/1/98	$15,001 - $50,000
SP	Fidelity Municipal Money Market Fund	Purchase	12/17/98	$100,001 - $250,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
Example:	*Mega Corporation Common Stock*	*Purchase*	*10/12/1998*	*$15,001 - $50,000*
SP	Morgan Stanley Dean Witter IRA Value Added Eq. B	Exchange	5/18/98	$1,001 - $15,000
SP	Morgan Stanley Dean Witter IRA - S&P 500 Index B	Exchange	5/18/98	$1,001 - $15,000
SP	Alliance Municipal Fund Mass Port	Sold	5/5/98	$50,001 - $100,000
SP	Merrill Lynch Euro Fund	Purchase	5/5/98	$50,001 - $100,000
SP	Merrill Lynch Euro Fund	Purchase	5/22/98	$15,001 - $50,000
SP	Van Kemp Am Cap High Yield Municipal Fund	Sold	5/27/98	$100,001 - $250,000
SP	Merrill Lynch S&P 500 Index Fund Cl D	Purchase	5/27/98	$50,001 - $100,000
SP	Merrill Lynch Euro Fund	Purchase	5/27/98	$50,001 - $100,000
SP	Eaton Vance Nat. Municipal Fund	Sold	6/12/98	$15,001 - $50,000
SP	Merrill Lynch S&P 500 Index Fund Cl. D	Purchase	8/11/98	$50,001 - $100,000
SP	Merrill Lynch Special Value Fund Cl. C	Sold	8/12/98	$100,001 - $250,000
SP	Merrill Lynch Euro Fund	Sold	12/14/98	$100,001 - $250,000
SP	TIAA CREFF Growth & Income Fund	Purchase	5/26/98	$1,001 - $15,000
SP	TIAA/CREFF Growth & Income Fund	Purchase	6/15/98	$100,001 - $250,000

Name Edward J Markey	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor

Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Event Organization Co. for 2nd World Summit on Television for Children	3/5/98 - 3/10/98	Wash, DC - London - Wash., DC	Y	N	Y	One night
Aspen Institute	5/7/98 - 5/12/98	Wash., DC - Milan - Wash., DC	Y	Y	Y	One night
Aspen Institute	8/7/98 - 8/16/98	Wash., DC - Aspen, CO - Wash., DC	Y	Y	Y	Seven nights

Name Edward J Markey	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Board Member	Boston College Law School Board of Advisors (uncompensated)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 12: 17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Matthew Gilbert Martinez
(Full Name)

2269 Rayburn HOB; Washington, D.C. 20515
(Mailing Address)

(202) 225-5464
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: California District: 31st CD	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [X]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL

Matthew G. Martinez

DATE (Month/Day/Year)
5/14/99

2330

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Matthew Gilbert Martinez

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT																														
Examples: SP																														
Mega Corp Stock					X								X																	P
DC Simon & Schuster		Indefinite																	Royalties											
JT 1st Bank of Paducah, KY accounts						X									X										X					
SP Apartment Units 439 S. New Avenue Monterey Park, CA 91755							X							X												X				
SP Apartment Units 3514 Beverly Blvd. Montebello, CA 90640							X							X												X				
JT Condominium 1080 Wisconsin Avenue Washington, D.C. 20007						X								X						X										

For additional assets and unearned income, use next page.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Trust Savings Bank	Mortgage: 439 S. New Av.				X						
	Monterey Park, CA 91754	& 3514 Beverly, Mtblo.										
JT	Mellon Mortgage Company	Mortgage:										
		1080 Wisconsin Avenue				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Coalition of Black Trade Unionists St. Louis, MO 63115	Jan 19 Jan 20	Wash, DC/St.Louis, MO/Wash,DC	Y	Y	N	N
National Education Knowledge Industry Assoc. (NEKIA) Washington, DC 20036	Nov 14 Nov 15	Wash.,DC/Phoenix, AZ/Wash, DC	Y	Y	N	N

This page may be copied if more space is required.

RECORDS OFFICE CENTER
99 MAY -3 AM 9:37
CLERK OF THE HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

Frank R. Mascara
(Full Name)

831 Lincoln Avenue DC (H) (202) 544-5964
(Mailing Address) Daytime Telephone:

Charleroi PA 15022 (W) (202) 225-4665

MC INSIDE MAIL
(Office Use Only)

(3)

Filer Status	[X] Member of the U S House of Representatives	State: PA District: 20	[ ] Officer or Employee	Employing Office	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment		[ ] Termination Date Termination	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Frank R Mascara

DATE (Month/Day/Year) 4-29-99

2334

Name Frank R. Mascara	Page 1 of 1

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, *Examples:* SP - Mega Corp. Stock JT SP - 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT Charleroi Federal Savings Bank C.D.'s & Checking Account	$50,001 - $100,000	Interest	$2,501 - $5,000	
JT Mellon Bank C.D. and Checking Accounts	$1,001 - $15,000	Interest	$201 - $1,000	
JT Janney, Montgomery, Scott, Inc. Money Market	$1,001 - $15,000	Interest	$1 - $200	
Washington County Retirement Fund	$100,001 - $250,000	None	None	
JT 609-611 Fallowfield Avenue, Charleroi, PA	$100,001 - $250,000	Rent	$15,001-$50,000 (GROSS) None (Net)	

2335

Name Frank R. Mascara	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
JT	Charleroi Federal Savings Bank Charleroi, PA 15022	Mortgage on 609-11 Fallowfield Avenue, Charleroi, PA 15022	$50,001 - $100,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 12 PM 5:20
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC

(7)

(Office Use Only)

ROBERT TAKEO MATSUI
(Full Name)

US House of Representatives, 2308 Rayburn House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: (202) 225-7163

Filer Status
[X] Member of the U.S. House of Representatives — State: CA District: 05
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? If yes, complete and attach Schedule VII.	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If yes, complete and attach Schedule IV.	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Robert T. Matsui **DATE** (Month/Day/Year) May 11, 1999

2337

Name ROBERT TAKEO MATSUI	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calender year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Parvelon	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	DCPS CIVPAY DIRECTORATE (White House)	Spouse Salary	NA
	DCPS CIVPAY DIRECTORDBMSZKE (White House)	Spouse Salary	NA
	Collier, Shannon, Rill & Scott	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name ROBERT TAKEO MATSUI	Page 1 of 3

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT	*Examples:* SP 123 Main St., Dover, Del.	Mega Corp. Stock $15,001 - $50,000 $250,001 - $500,000 1st Bank of Paducah, KY accounts $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT	Wright Patman Congressional Federal Credit Union	$1 - $1,000	Interest	$1 - $200	
JT	Wright Patman Congressional FCU-held in trust for Brian R. Matsui	$1 - $1,000	Interest	$1 - $200	
SP	Bank of America-checking	$1 - $1,000	Interest	$1 - $200	
JT	Nartrov, Inc. stock	$15,001 - $50,000	Interest	$1 - $200	
JT	White House Federal Credit Union	$1,001 - $15,000	Interest	$201 - $1,000	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

JT	Pacific Gas & Electric Common Stock	$1,001 - $15,000	Dividends	$201 - $1,000	
JT	Sierra Pacific Resources Common Stock	$1,001 - $15,000	Dividends	$1 - $200	
JT	KERN CNTY CA CTFS PARTN BEALE MEM LIBR OID BOND	$15,001 - $50,000	Interest	$1,001 - $2,500	S
JT	CALIFORNA ST VAR PURP BOOK ENTRY BOND	$1,001 - $15,000	Interest	$201 - $1,000	
JT	REDDING JT PWRS FING AUTH CA WSTEWTR REV RFDG SER-A-FGIC BOND	$1,001 - $15,000	Interest	$201 - $1,000	
JT	PUTNAM GLOBAL GROWTH FD INC COMMON STOCK	$15,001 - $50,000	Capital Gains	$201 - $1,000	
DC	PUTNAM GLOBAL GROWTH FD INC COMMON STOCK	$1,001 - $15,000	Capital Gains	$201 - $1,000	
JT	CMA Fund - Merrill Lynch	$15,001 - $50,000	Dividends	$201 - $1,000	
DC	CMA Fund - Merrill Lynch	$1 - $1,000	Dividends	$201 - $1,000	
JT	US Treasury Notes	$15,001 - $50,000	Interest	$2,501 - $5,000	
SP	Checking Account-Bank of America, Dinuba, CA (50% interest)	$1,001 - $15,000	Interest	$1 - $200	
SP	Cash Maximizer Account-Bank of America, Dinuba, CA (50% interest)	$1,001 - $15,000	Interest	$201 - $1,000	
SP	Farm and Residence, Dinuba, CA (50% interest)	$250,001 - $500,000	Rent	None	
SP	Okada Family Trust: CD-Bank of America, Dinuba, CA	$1,001 - $15,000	Interest	$1 - $200	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	Sun Financial Group bank account (proceeds from father's life insurance)	$50,001 - $100,000	Interest	$2,501 - $5,000	
SP	Bank of America, Dinuba, CA-Checking (Inherited funds)	$1,001 - $15,000	Interest	$1 - $200	

Name ROBERT TAKEO MATSUI | Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
JT	KERN CNTY CA CTFS PARTN BEALE MEM LIBR OID BOND	Sold	12/1/98	$15,001 - $50,000

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug.6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Japanese American Citizens League	July 4-5	Wash., DC-Phila.-Wash., DC	Y	N	Y	None

ROBERT T. MATSUI
FIFTH DISTRICT, CALIFORNIA

COMMITTEE ON
WAYS AND MEANS

SUBCOMMITTEE ON
SOCIAL SECURITY
RANKING DEMOCRAT
SUBCOMMITTEE ON
HUMAN RESOURCES

WHIP AT LARGE

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515–0505

WASHINGTON OFFICE
2308 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515–0505
(202) 225–7163

DISTRICT OFFICE
12-600 FEDERAL COURTHOUSE
501 I ST
SACRAMENTO, CA 95814
(916) 498–5600

Robert.Matsui@mail.house.gov

May 17, 1999

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 18 AM 5:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Honorable Jeff Trandahl
Clerk of the House
U.S. House of Representatives
Washington, D.C. 20515

Dear Jeff:

Enclosed are original and two copies of my amended Schedule VII, Travel Payments and Reimbursements, to my Financial Disclosure Statement for 1998 to include my February 15 Boston trip for the Harvard University Asian American Association.

Your attention to this matter will be appreciated.

Sincerely,

Robert T. Matsui

ROBERT T. MATSUI
Member of Congress

THIS STATIONERY PRINTED ON PAPER MADE WITH RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 AM 10:39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

Karen McCarthy
(Full Name)

1330 Longworth House Office Building — 202-225-4535
(Mailing Address) — Daytime Telephone

Washington D. C. 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: MO District: 05	[ ] Officer or Employee	Employing Office:	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [XX]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [XX]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [XX]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [XX]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [XXX]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [XX]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [XX]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [XX]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [XX]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [XX]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Karen McCarthy

DATE (Month/Day/Year): May 13, 1999

2345

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Karen McCarthy —

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A	B	C	E	F	G	H	I	J	K	L									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, or E
SP, DC, JT	*Examples* SP Mega Corp Stock					X								X										X							P
	Simon & Schuster			Indefinite																Royalties											
	1st Bank of Paducah, KY accounts					X										X									X						
	Fund, Money Market Intrust, St. Louis					X								X											X						
	IRA/Waddell & Reed, KC, MO			X												-NA-								-NA-							
	Country Club Bank, KC, MO				X											X								X							
	Paine Webber Money Market KC, MO		X													X						X									
	State of MO Ret. System Jefferson City, MO			X												-NA-								-NA-							
	State of MO Pref. Comp. Plan, Jefferson City, MO			X												-NA-								-NA-							

For additional assets and unearned income, use next page.

Name	Karen McCarthy	Page 3 of 3

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Global Legislator Organization For a Balanced Environment	Aug 23-26	KC, MO - Cape Cod, MA - KC, MO	Y	Y	Y	1 Day
Aspen Institute	Aug 13-19	KC, MO - Lanai, HI - KC,MO	Y	Y	N	N

This page may be copied if more space is required.

2347

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

99 MAY 11 PM 1:18

HAND DELIVERED
(Office Use Only)

Bill McCollum a/k/a Ira W. McCollum, Jr.
(Full Name)

600 Thistlewood Court
(Mailing Address)

Longwood FL 32779

Daytime Telephone: 202-225-2176

Filer Status	[X] Member of the U.S. House of Representatives	State: FL District: 08	[ ] Officer or Employee	Employing Office:	A $200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 15)	[ ] Amendment		[ ] Termination Date: Termination	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? If yes, complete and attach Schedule VII.	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If yes, complete and attach Schedule IV.	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ]	No [X]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) May 10, 1999

2348

Name Bill McCollum a/k/a Ira W. McCollum, Jr.	Page 1 of 1

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium.
A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$ 500
Bank Administration Institute	Speech at National Community Bank Loan Administration Conference	March 23, 1998	$1,000.00
Washington Financial Forum Ltd.	Speech at Seminar	October 8, 1998	$1,000.00

Name Bill McCollum a/k/a Ira W. McCollum, Jr.	Page 1 of 2

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC *Examples:* SP JT	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	40 acres real property, Hernando County, Florida (60% interest)	$50,001 - $100,000	None	None	
	Congressional Federal Credit Union Account	$1,001 - $15,000	Dividends	$1 - $200	
	Eubanks, Hilyard, et al, P.A. Profit Sharing Plan - Sun Trust	$100,001 - $250,000	Dividends, Interest and Capital Gains	NA	
DC	SunTrust Stock	$15,001 - $50,000	Dividends	$201 - $1,000	
	IRA-Quick & Reilly - Computer Network Technology Stock	$1,001 - $15,000	None	None	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Diamond Multimedia Stock	$1,001 - $15,000	None	None	
	Genus Inc. Stock	$1,001 - $15,000	None	None	
	Insite Vision Stock	$1,001 - $15,000	None	None	
	Integrated Device Technology Stock	$1,001 - $15,000	Capital Gains	$2,501 - $5,000	P AND S
	Informix Jan 99 Call Options-Strike5	$1,001 - $15,000	None	None	
	Informix Jan 00 Call Options-Strike10	$1,001 - $15,000	None	None	
	Cephalon Inc. Stock	$1,001 - $15,000	None	None	P
	Cor Therapeutics Inc. Stock	$1,001 - $15,000	None	None	P
	Xoma Corp. Stock	$1,001 - $15,000	None	None	
	LSI Logic Corp. Stock	None	Capital Gains	$1,001 - $2,500	S
	Mattson Tech Inc. Stock	None	Capital Gains	$1,001 - $2,500	S
	Vical Inc. Stock	None	Capital Gains	$201 - $1,000	S
	Diamond Multimedia Apr 12.5 Call Options	None	Capital Gains	$1,001 - $2,500	S

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mesa Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Pair Gain Technologies Commom Stock	Purchase	1-6-98	$1,001 - $15,000
	Pair Gain Technologies Common Stock	Sold	2-20-98	$1,001 - $15,000
	LSI Logic Common Stock	Purchase	1-5-98	$1,001 - $15,000
	LSI Logic Common Stock	Sold	2-13-98	$1,001 - $15,000
	Trega Biosciences Common Stock	Purchase	1-6-98	$1,001 - $15,000
	Trega Biosciences Common Stock	Sold	2-26-98	$1,001 - $15,000
	Mattson Technology Common Stock	Purchase	1-5-98	$1,001 - $15,000
	Mattson Technology Common Stock	Sold	2-27-98	$1,001 - $15,000
	Integrated Device Technology Common Stock	Sold	2-20-98	$1,001 - $15,000
	Integrated Device Technology Common Stock	Purchase	6-30-98	$1,001 - $15,000
	Diamond Multimedia Systems Common Stock	Purchase	6-30-98	$1,001 - $15,000
	Vical Inc. Common Stock	Sold	2-9-98	$1,001 - $15,000
	Vical Inc. Common Stock	Sold	3-12-98	$1,001 - $15,000
	Cephalon Inc. Common Stock	Purchase	3-5-98	$1,001 - $15,000
	Cor Therapeutics Inc. Common Stock	Purchase	3-5-98	$1,001 - $15,000
	Diamond Multimedia April 12.5 Call Options	Sold	3-5-98	$1,001 - $15,000

Name Bill McCollum a/k/a Ira W. McCollum, Jr.	Page 2 of 2

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mace Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Cephalon May 15 Call Options	Purchase	5-8-98	$1,001 - $15,000
	OEX April 490 Put Option	Purchase	3-6-98	$1,001 - $15,000

Name Bill McCollum a/k/a Ira W. McCollum, Jr.	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Excludes: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 8-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Pfizer Inc.	January 26, 1998	Orlando-West Palm-Orlando	N	Y	N	No
Investment Company Institute	February 8-9, 1998	Orlando-Miami-WDC	Y	Y	N	No
American Bankers Association	February 12-13, 1998	WDC-Miami-Orlando	Y	Y	N	No
The Leadership Institute	March 21-22, 1998	WDC-Miami-Orlando	Y	Y	N	No
University of North Carolina	April 3, 1998	Orlando-Raleigh/Durham-Orlando	N	Y	N	No
Congressional Study Group on Germany	April 7-9, 1998	Orlando-Norfolk-Orlando	Y	Y	N	No
NBC News	August 29-30, 1998	Orlando-WDC-Seattle	N	N	N	No

Name Bill McCollum a/k/a Ira W. McCollum, Jr.	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
President	Bill McCollum Intern Program, Inc. (non profit for high school students)
President	Committee on Limiting Terms, Inc. (non profit educational)
President	Committee on Limiting Terms Lobby, Inc. (non profit educational)

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
01-03-81	Eubanks, Hilyard, et al, P.A.	There is no formal agreement, but my former law firm and its successor (names in "Parties To") have allowed me to keep the principal accumulated before my employment terminated in the firm's profit sharing plan and to share proportionately in the earnings
01-03-81	Eubanks, Hilyard, et al, P.A. (continued)	of the plan.

RECEIVED
LEGISLATIVE RESOURCE CENTER

99 MAY 11 PM 4:05

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

(4)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

James O. McCrery, III
(Full Name)

2104 Raybum House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: 202.225.2777

MC
(Office Use Only)

Filer Status
[X] Member of the U.S. House of Representatives
State: LA District: 04
[ ] Officer or Employee
Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination
Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS-Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION-Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL

J. M. McCrery, M.C.

DATE (Month/Day/Year) 5/11/99

2357

Name James O. McCrery, III	Page 1 of 1

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Bossier Parish Community College	Spouse Salary	NA
	State of Maryland	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a *fair* market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, *or* by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC, *Examples:* SP Mega Corp. Stock JT SP 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
IRA, Commercial National Bank, Shreveport, Louisiana	$15,001 - $50,000	NA	None	NA
Land, Rapides Parish, Louisiana (A 1/6 undivided interest in approximately 62 acres.)	$1,001 - $15,000	NA	None	NA
Land, Vernon Parish, (An undivided 1/2 interest in approximately 36 acres including residence and 12 unit apartment complex.)	$100,001 - $250,000	NA	None	NA
Land, DeSoto Parish, Louisiana (An undivided interest in approximately 160 acres.)	$1,001 - $15,000	NA	None	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Money Market Account, Commercial National Bank, Shreveport, Louisiana	$1,001 - $15,000	Dividends	$201 - $1,000	
	Fidelity Advisor Growth Opportunity Mutal Fund, Commercial National Bank, Shreveport, Louisiana	$1,001 - $15,000	Dividends (None -$200) and Capital Gains (None -$200)	$1 - $200	
	Fidelity Advisor Equity Growth Mutual Fund, Commercial National Bank, Shreveport, Louisiana	$1,001 - $15,000	Dividends (None -$200) and Capital Gains (None -$200)	$1 - $200	
	Templeton FDS Inc., Mutal Fund, Commercial National Bank, Shreveport, Louisiana	$1,001 - $15,000	Dividends (None -$200) and Capital Gains (None -$200)	$1 - $200	
	GAM FES Inc., International Mutual Fund, Commercial National Bank, Shreveport, Louisiana	$1,001 - $15,000	Dividends (None -$200) and Capital Gains (None -$200)	$1 - $200	
	10745 Longfellow Trace, Shreveport, Louisiana	$100,001 - $250,000	Rent	$5,001 - $15,000	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 2:25
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

James A. McDermott
(Full Name)

1820 9th Avenue West
(Mailing Address)

Seattle WA 98119
Daytime Telephone 202-225-3106

Filer Status [X] Member of the U S House of Representatives — State WA, District 07 — [ ] Officer or Employee — Employing Office

Report Type [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL — DATE (Month/Day/Year) 5/17/99

2361

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Soha & Lang, Attorneys at Law	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP DC JT	*Examples* SP	Mega Corp. Stock 123 Main St., Dover, Del 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
		State of Washington Deferred Compensation Program	$15,001 - $50,000	Interest	$201 - $1,000	
		State of Washington Retirement Account	$15,001 - $50,000	Interest	$1,001 - $2,500	
		TIAA/CREF Annuity	$15,001 - $50,000	Interest	$1 - $200	
		Fidelity Bankers Life Annuity	$1,001 - $15,000	Interest	$201 - $1,000	
		Carlyle Real Estate Limited Partnership XIII	$1,001 - $15,000	Dividends	$1 - $200	
SP		Soha & Lang, P.S. 401 (k) Retirement Plan	$15,001 - $50,000	Interest	$5,001 - $15,000	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	SSB IRA Rollover Custodian, #443-65136-10 130 (see attached)	$100,001 - $250,000	Dividends	$201 - $1,000	
	SSB IRA Custodian, #443-65137-19 130 (see attached)	$100,001 - $250,000	Dividends	$201 - $1,000	
SP	SSB IRA Custodian, Therese Hansen (formerly Columbia Funds Investment Account & Aiken, St. Louis & Siljeg, P.S. Profit Sharing Plan), #519-65946-17 144 (see attached)	$50,001 - $100,000	Capital Gains and Dividends	$2,501 - $5,000	
SP	SSB Seperate Property, Therese Hansen, #519-35651-15 144 (see attached)	$1,001 - $15,000	Capital Gains and Dividends	$1 - $200	

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT — PAGE 1 OF 5
DECEMBER 1 - DECEMBER 31, 1998 NAME SORT:MCDERMOTT JAMES A

REF: 00004341 00019233 298365AH01 FCS00443

ACCOUNT NUMBER 443-65136-10 130

JAMES A MCDERMOTT
SSB IRA ROLLOVER CUSTODIAN
710 4TH ST SE
WASHINGTON DC 20003-2804

CLIENT HOME PHONE: 202 546 0805
CLIENT BUS. PHONE: 202 225 3104
CLIENT DATE OF BIRTH: 12/20/36

SALOMON SMITH BARNEY INC.
YOUR FINANCIAL CONSULTANT

WILLIAM L BONNELLY
1900 UNION SQUARE
600 UNION ST STE 5401
SEATTLE WA 98101
(206) 628-6400

PREFERRED CLIENT SERVICE CENTER: 800-232-4454

MTD COMM: $ 0.00 YTD COMM: $ 1,462.63
MTD FEES: $ 0.00 YTD FEES: $ 1,462.63

ACCOUNT VALUE

	LAST PERIOD	THIS PERIOD	%
MONEY FUNDS	$ 9,317.62	$ 10,250.16	8.51
COMMON STOCKS & OPTIONS	97,458.29	110,332.06	91.49
NET VALUE	$ 106,775.91	$ 120,582.22	100

FAIR MARKET VALUE 12/31/98 $ 120,582.22

EARNINGS SUMMARY

	THIS PERIOD	THIS YEAR
DIVIDENDS	$ 17.99	$ 347.57
MONEY FUNDS EARNINGS	19.76	131.94
TOTAL	$ 37.75	$ 481.51

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
REALIZED GAIN OR (LOSS)	($ 1,170.42)	$ 494.79 LT ($ 5,817.98) ST
UNREALIZED GAIN OR (LOSS)	57,623.01	NOT APPLICABLE

This information is being provided at your request and does not replace or supersede your monthly Smith Barney statement.

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT — PAGE 2 OF 5
DECEMBER 1 - DECEMBER 31, 1998 JAMES A MCDERMOTT

REF: 00004341 00019234 298365AH01 FCS00443

ACCOUNT NUMBER 443-65136-10 130

CASH AND MONEY FUNDS ACTIVITY

	THIS PERIOD	THIS YEAR
OPENING BALANCE	$ 9,317.62	
SECURITIES BOUGHT AND OTHER SUBTRACTIONS	0.00	
SECURITIES SOLD AND OTHER ADDITIONS	1,494.84	
WITHDRAWALS	0.00	-1,462.63
DIVIDENDS CREDITED	17.79	
MONEY FUNDS EARNINGS CREDITED	19.76	
CLOSING BALANCE	$ 10,250.16	

SUMMARY OF KEY TO SECURITIES

	THIS PERIOD	YEAR-TO-DATE
OPENING VALUE OF SECURITIES	106,575.91	81,469.76
+ OPENING CASH BALANCE	0.00	0.00
= OPENING PORTFOLIO VALUE	106,575.91	81,469.76
SECURITIES ACQUIRED/WITHDRAWN NET	0.00	0.00
CAPITAL DEPOSITED/WITHDRAWN NET	0.00	-1,462.63
ADJUSTED OPENING VALUE	106,575.91	79,997.11
CLOSING VALUE OF SECURITIES	120,582.22	120,582.22
+ CLOSING CASH BALANCE	0.00	0.00
= CLOSING PORTFOLIO VALUE	120,582.22	120,582.22

NOTE: SECURITIES ACQUIRED/WITHDRAWN NET = THE VALUE OF SECURITIES ON THE DAY THEY WERE CREDITED TO THE ACCOUNT AS REFLECTED IN THE "OTHER SECURITY ACTIVITY" SECTION.
CAPITAL DEPOSITED/WITHDRAWN NET = CASH WITHDRAWALS AND DEPOSITS, GOLD CARD PAYMENTS, FMA MONEY CARD ACTIVITY, CHECKS WRITTEN, AND MONEY FUNDS/TREASURES DEPOSIT ACCOUNT TRANSFERS.

IRA ACTIVITY SUMMARY

FOR YOUR CONVENIENCE, THE SALOMON SMITH BARNEY STATEMENT TRACKS YOUR BENEFICIARIES. CONTACT YOUR FINANCIAL CONSULTANT FOR THE APPROPRIATE DOCUMENTATION AND NON-DEDUCTIBLE IRA CONTRIBUTIONS BASED UPON INFORMATION YOU PROVIDED TO YOUR FINANCIAL CONSULTANT. HOWEVER, SALOMON SMITH BARNEY REPORTS TO THE IRS ONLY THE TOTAL AMOUNT YOU CONTRIBUTE TO YOUR IRA.

PRIMARY BENEFICIARIES

	1998	1997
		JAMES A C MCDERMOTT
ROLLOVERS AND TRANSFERS TRANSFERS INTO YOUR IRA (DOES NOT INCLUDE VALUE OF DIV. INVSTS)	$ 0.00	$ 62.50

	KATHERINE E MCDERMOTT

PORTFOLIO DETAILS

THE VALUES OF YOUR HOLDINGS ARE AS OF 12/31/98. PLEASE NOTE: UNREALIZED GAIN/(LOSS) IS NOT PREPARED FOR INFORMATIONAL PURPOSES ONLY AND SHOULD NOT BE USED FOR TAX PREPARATION WITHOUT THE ASSISTANCE OF YOUR TAX ADVISOR.

ASSETS HELD IN ACCOUNT

NUMBER OF SHARES	DESCRIPTION	CURRENT VALUE	ACCRUED DIVIDENDS	ANNUALIZED DIVIDEND YIELD/ANTICIPATED INCOME (ANNUALIZED)
10,250.16	DREYFUS LIQUID ASSETS INC	$ 10,250.16	$ 0.00	4.32 $ 443.00
TOTAL MONEY FUNDS		$ 10,250.16	$ 0.00	4.32 $ 443.00

5 of 29

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT
DECEMBER 1 - DECEMBER 31, 1998

JAMES A MCDERMOTT

REF: 00804341 00819235 298365AH01 FC880443 ACCOUNT NUMBER 443-65136-10 130

COMMON STOCKS & OPTIONS

QUANTITY	DESCRIPTION	DATE ACQUIRED	COST	SHARE COST	CURRENT SHARE PRICE	CURRENT VALUE	UNREALIZED GAIN/(LOSS)		AVERAGE 2 YIELD/ANTICIPATED INCOME (ANNUAL)
90	ALTERA CORP SYMBOL: ALTR/RATING: B	02/23/98	$ 5,577.50	$ 39.75	$ 60.875	$ 5,478.75	$ 1,901.25	ST	
10		04/15/98	427.35	42.735	60.875	608.75	181.40	ST	
100			4,004.85	40.049		6,087.50	2,082.65		
150	AMERICAN EXPRESS CO SYMBOL: AXP/RATING: B+	03/12/96	6,702.65	44.684	102.50	15,375.00	8,672.35	LT	
66	BARNES & NOBLE INC SYMBOL: BKS RESEARCH RATING:1/M	10/24/97	1,786.42	27.912	42.50	2,720.00	933.58	LT	
125.1342	CISCO SYS INC SYMBOL: CSCO/RATING: B+	01/10/97	5,721.39	50.222	92.813	11,628.45	7,707.06	LT	
4.4775	RESEARCH RATING:1/M	02/05/97	125.37	28.00	92.813	415.57	290.28	LT	
22.5683		05/01/97	584.88	25.444	92.813	2,077.95	1,553.05	LT	
150			4,371.64	29.144		13,921.95	9,550.31		
100	DELL COMPUTER CORP SYMBOL: DELL/RATING: B RESEARCH RATING:3/M	07/28/97	2,118.99	19.414	73.188	7,904.30	5,785.91	LT	
48		12/19/97	929.20	19.358	73.188	3,513.02	2,583.82	LT	
156			3,047.59	19.536		11,417.32	8,369.73		
52	INTEL CORP SYMBOL: INTC/RATING: A-	01/10/97	3,714.75	71.457	118.563	6,165.28	2,450.53	LT	.15A
36	INTL BUSINESS MACHINES CORP SYMBOL: IBM/RATING: B RESEARCH RATING:1/M	04/20/98	3,942.00	109.50	184.375	6,637.50	2,695.50	ST	8.52 .477 51.68
44	LUCENT TECHNOLOGIES INC SYMBOL: LU RESEARCH RATING:1/M	09/03/98	3,417.55	77.671	109.938	4,837.27	1,419.72	ST	
12		09/30/98	826.50	68.875	109.938	1,319.26	492.76	ST	
56			4,244.05	75.787		6,156.53	1,912.48		.145
68	MEDTRONIC INC SYMBOL: MDT/RATING: A+ RESEARCH RATING:1/M	01/10/97	2,597.00	35.25	74.281	5,051.11	2,454.11	LT	8.96 .52 17.68

This information is being provided at your request and does not replace or supersede your monthly Smith Barney statement.

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT
DECEMBER 1 - DECEMBER 31, 1998

JAMES A MCDERMOTT

REF: 00804341 00819236 298365AH01 FC880443 ACCOUNT NUMBER 443-65136-10 130

COMMON STOCKS & OPTIONS (CONTINUED)

QUANTITY	DESCRIPTION	DATE ACQUIRED	COST	SHARE COST	CURRENT SHARE PRICE	CURRENT VALUE	UNREALIZED GAIN/(LOSS)		AVERAGE YIELD/ANTICIPATED INCOME (ANNUAL)
75	MICROSOFT CORP SYMBOL: MSFT/RATING: B+ RESEARCH RATING:1/M	07/30/97	$ 3,781.00	$ 50.75	$ 138.688	$ 10,401.75	$ 6,759.25	LT	
100	PHOTRON INC SYMBOL: PTRN/RATING: A RESEARCH RATING:2/M	01/10/97	2,194.65	22.15	51.438	5,143.80	2,949.35	LT	.629 56.00
50	PFIZER INC SYMBOL: PFE/RATING: A RESEARCH RATING:1/M	07/31/98	3,303.63	110.121	125.00	5,750.00	446.37	ST	.704 26.40
171	STAPLES INC SYMBOL: SPLS/RATING: B RESEARCH RATING:1/M	01/10/97	2,280.00	15.333	43.688	7,470.65	5,190.65	LT	
125	STARBUCKS CORP SYMBOL: SBUX	01/10/97	3,167.25	30.75	56.125	5,780.06	2,613.63	LT	
102	WASHINGTON MUTUAL INC SYMBOL: WM/RATING: B+ RESEARCH RATING:1/M	03/14/97	3,571.77	35.017	38.375	3,914.25	342.48	LT	.295 99.76
TOTAL COMMON STOCKS AND OPTIONS			$ 52,509.05			$ 110,132.06	$ 7,137.00	ST	$ 552.80
							$ 50,486.01	LT	$ 816.15
TOTAL PORTFOLIO VALUE			$ 62,759.21			$ 120,382.22	$ 7,137.00	ST	$ 552.80
							$ 50,486.01	LT	$ 816.15

*BASED ON INFORMATION SUPPLIED BY CLIENT, NOT VERIFIED BY SALOMON SMITH BARNEY.

TRANSACTION DETAIL

INVESTMENT ACTIVITY

DATE	ACTIVITY DESCRIPTION	DESCRIPTION	QUANTITY	PRICE	AMOUNT
12/14/98	SOLD	SCHLUMBERGER LTD	-40	$ 42.372	$ 1,694.84
TOTAL SECURITIES BOUGHT AND OTHER SUBTRACTIONS					$ 1,694.84
TOTAL SECURITIES SOLD AND OTHER ADDITIONS					$ 1,694.84

MONEY FUND ACTIVITY

DATE	ACTIVITY DESCRIPTION	DESCRIPTION	AMOUNT	DATE	ACTIVITY	DESCRIPTION	AMOUNT
	BEGINNING MONEY FUND BALANCE		$ 8,517.69	ALL TRANSACTIONS ARE TRADED AT $1.00 PER SHARE.			
12/10/98	AUTOINVEST	SHEARSON LEHMAN ASSETS INC	24.00	12/15/98	AUTOINVEST	SHEARSON LEHMAN ASSETS INC	1,694.84
12/31/98	AUTOINVEST	SHEARSON LEHMAN ASSETS INC	13.62			CLOSING BALANCE	$ 10,250.15

6729

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT
DECEMBER 1 - DECEMBER 31, 1998

JAMES A MCDERMOTT

REF: 00004341 00019237 298365AM01 FCS00443

ACCOUNT NUMBER 443-65136-10 130

EARNINGS DETAILS

THE TAX STATUS OF EARNINGS IS RELIABLE TO THE BEST OF OUR KNOWLEDGE. TAXABLE AND NON-TAXABLE DESIGNATIONS REFER TO THE FEDERAL INCOME TAX STATUS OF YOUR SECURITIES, NOT OF YOUR ACCOUNT.

DIVIDENDS

DATE	DESCRIPTION	COMMENT			TAXABLE	NON-TAXABLE	AMOUNT
12/01/98	INTEL CORP	CASH DIV	ON	$2.0000 SHS	$ 2.00		$ 2.00
12/01/98	LUCENT TECHNOLOGIES INC	CASH DIV	ON	56.0000 SHS	7.96		7.96
12/10/98	INTL BUSINESS MACHINES CORP	CASH DIV	ON	56.0000 SHS	7.92		7.92
12/10/98	PFIZER INC	CASH DIV	ON	50.0000 SHS	5.70		5.70
TOTAL DIVIDENDS EARNED					$ 17.96	$ 0.00	$ 17.96

MONEY FUNDS EARNINGS

DATE	DESCRIPTION	COMMENT	TAXABLE	NON-TAXABLE	AMOUNT
12/01/98	DREYFUS LIQUID ASSETS INC	CASH DIV RECORD 11/30/98 PAY 11/30/98	$ 19.76		$ 19.76
TOTAL EARNINGS FROM MONEY FUNDS			$ 19.76	$ 0.00	$ 19.76

CAPITAL GAIN DETAILS

PLEASE NOTE, THIS MATERIAL IS BEING PREPARED FOR INFORMATIONAL PURPOSES ONLY AND SHOULD NOT BE USED FOR TAX PREPARATION WITHOUT THE ASSISTANCE OF YOUR TAX ADVISOR.

REALIZED GAIN OR LOSS

DESCRIPTION	ORIGINAL TRADE DATE	CLOSING TRADE DATE	QUANTITY	PURCHASE PRICE	SALE PRICE	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
SCHLUMBERGER LTD	01/13/98	12/09/98 SOLD	40	$ 71.651	$ 42.572	$ 2,865.26	$ 1,694.84	($ 1,170.42)ST
TOTAL SHORT TERM THIS PERIOD								($ 1,170.42)
TOTAL REALIZED GAIN OR (LOSS) - THIS PERIOD						$ 2,865.26	$ 1,694.84	($ 1,170.42)
TOTAL LONG TERM - YEAR-TO-DATE								$ 604.79
TOTAL SHORT TERM - YEAR-TO-DATE								($ 5,812.98)
TOTAL REALIZED GAIN OR (LOSS) - YEAR-TO-DATE						$ 46,910.23	$ 41,581.95	($ 5,328.28)

MESSAGE-PLEASE NOTE THAT SALOMON SMITH BARNEY IS REQUIRED BY LAW TO REPORT THE DECEMBER 31, 1998 FAIR MARKET VALUE OF THIS ACCOUNT TO THE INTERNAL REVENUE SERVICE. SALOMON SMITH BARNEY WILL FORWARD THIS INFORMATION TO THE IRS ON OR BEFORE MAY 31, 1999 ON IRS FORM 5498.

YOUR SALOMON SMITH BARNEY PERFORMANCE MONITOR, REVIEWING YOUR MANAGED ACCOUNT, IS NORMALLY AVAILABLE WITHIN 30 DAYS OF THE END OF THE QUARTER. THIS MONITOR INCLUDES A DESCRIPTION OF YOUR PORTFOLIO RETURNS, AN ASSET ALLOCATION SUMMARY AND OTHER DETAILS CONCERNING YOUR INVESTMENTS. FOR FURTHER INFORMATION ON THE MONITOR, PLEASE CONTACT YOUR FINANCIAL CONSULTANT.

SALOMON SMITH BARNEY
A member of citigroup

This information is being provided at your request and does not replace or supersede your monthly Smith Barney statement.

5- 2-'999 1:39PM FROM P.5

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT PAGE 1 OF 5
DECEMBER 1 - DECEMBER 31, 1998 NAME SORT:MCDERMOTT JAMES A

REF: 00004342 00019238 298365AH01 FC000443 ACCOUNT NUMBER 443-65137-19 130

JAMES A MCDERMOTT
SSB IRA CUSTODIAN
710 9TH ST SE
WASHINGTON DC 20003-2504

CLIENT HOME PHONE: 202 546 0905
CLIENT BUS. PHONE: 202 225 3106
CLIENT DATE OF BIRTH: 12/20/56

SALOMON SMITH BARNEY INC.
YOUR FINANCIAL CONSULTANT

WILLIAM L DONNELLY
1100 UNION SQUARE
601 UNION ST STE3400
SEATTLE WA 98101
206-628-6400

PREFERRED CLIENT SERVICE CENTER: 800-232-4454

MTD COMM: $ 0.00 YTD COMM: $ 1,751.19
MTD FEES: $ 0.00 YTD FEES: $ 1,751.19

ACCOUNT VALUE

	LAST PERIOD	THIS PERIOD	%
MONEY FUNDS	$ 9,366.16	$ 11,529.37	8.96
COMMON STOCKS & OPTIONS	103,720.18	116,650.90	91.16
NET VALUE	$ 113,100.34	$ 128,180.27	100

FAIR MARKET VALUE 12/31/98 $ 128,180.27

EARNINGS SUMMARY

	THIS PERIOD	THIS YEAR
DIVIDENDS	$ 20.42	$ 419.80
MONEY FUNDS EARNINGS	22.09	204.00
TOTAL	$ 42.51	$ 623.80

GAIN/LOSS SUMMARY

	THIS PERIOD	THIS YEAR
REALIZED GAIN OR (LOSS)	($ 1,617.68)	$ 599.53 LT ($ 6,[illegible]) ST
UNREALIZED GAIN OR (LOSS)	62,212.58	NOT APPLICABLE

This information is being provided at your request and does not replace or supersede your monthly Smith Barney statement

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT PAGE 2 OF 5
DECEMBER 1 - DECEMBER 31, 1998 JAMES A MCDERMOTT

REF: 00004342 00019239 298365AH01 FC000443 ACCOUNT NUMBER 443-65137-19 130

CASH AND MONEY FUNDS ACTIVITY

	THIS PERIOD	THIS YEAR
OPENING BALANCE	$ 9,380.16	
SECURITIES BOUGHT AND OTHER SUBTRACTIONS	0.00	
SECURITIES SOLD AND OTHER ADDITIONS	1,906.70	
WITHDRAWALS	0.00	-1,751.19
DIVIDENDS CREDITED	20.42	
MONEY FUNDS EARNINGS CREDITED	22.09	
CLOSING BALANCE	$ 11,329.37	

PORTFOLIO SUMMARY

	THIS PERIOD	YEAR-TO-DATE
OPENING VALUE OF SECURITIES	113,100.34	86,148.42
+ OPENING CASH BALANCE	0.00	0.00
= OPENING PORTFOLIO BALANCE	113,100.34	86,148.42
SECURITIES DEPOSITED/WITHDRAWN NET	0.00	0.00
CAPITAL DEPOSITED/WITHDRAWN NET	0.00	-1,751.19
ADJUSTED OPENING VALUE	113,100.34	84,432.47
CLOSING VALUE OF SECURITIES	128,180.27	128,180.27
+ CLOSING CASH BALANCE	0.00	0.00
= CLOSING PORTFOLIO VALUE	128,180.27	128,180.27

NOTE: SECURITIES DEPOSITED/WITHDRAWN NET = THE VALUE OF SECURITIES ON THE DAY THEY WERE DEBITED FROM OR CREDITED TO THE ACCOUNT AS REFLECTED IN THE "OTHER SECURITY ACTIVITY" SECTION.
CAPITAL DEPOSITED/WITHDRAWN NET = CASH WITHDRAWALS AND DEPOSITS, GOLD CARD PAYMENTS, TRA MONEY CARD ACTIVITY, CHECKS WRITTEN, AND MONEY FUNDS INSURED DEPOSIT ACCOUNT TRANSFERS.

IRA ACTIVITY SUMMARY

FOR YOUR CONVENIENCE THE SALOMON SMITH BARNEY STATEMENT TRACKS YOUR DEDUCTIBLE AND NON-DEDUCTIBLE IRA CONTRIBUTIONS BASED UPON INFORMATION YOU PROVIDED TO YOUR FINANCIAL CONSULTANT. HOWEVER, SALOMON SMITH BARNEY REPORTS TO THE IRS ONLY THE TOTAL AMOUNT YOU CONTRIBUTE TO YOUR IRA.

	1998	1997
		JAMES A MCDERMOTT
ROLLOVERS AND TRANSFERS TRANSFERS INTO YOUR IRA (DOES NOT INCLUDE VALUE OF DIR. TRNSFRS)	$ 0.00	$ 150.00

PRIMARY BENEFICIARIES

CONTACT YOUR FINANCIAL CONSULTANT FOR THE APPROPRIATE DOCUMENTATION TO CHANGE OR UPDATE YOUR BENEFICIARY INFORMATION.

KATHERINE E MCDERMOTT

FOR PROXY STATEMENTS

THE VALUES OF YOUR HOLDINGS ARE AS OF 12/31/98. PLEASE NOTE: UNREALIZED GAIN/(LOSS) IS BEING PROVIDED FOR INFORMATIONAL PURPOSES ONLY AND SHOULD NOT BE USED FOR TAX PREPARATION WITHOUT THE ASSISTANCE OF YOUR TAX ADVISOR.

MONEY FUNDS

NUMBER OF SHARES	DESCRIPTION	CURRENT VALUE	ACCRUED DIVIDENDS	ANNUALIZED 7 DAY YIELD/ANTICIPATED INCOME (ANNUALIZED)
11,329.37	DREYFUS LIQUID ASSETS INC	$ 11,329.37		4.56% $ 514.33
TOTAL MONEY FUNDS		$ 11,329.37	$ 0.00	4.56% $ 514.33

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT
DECEMBER 1 - DECEMBER 31, 1998

JAMES A MCDERMOTT

REF: 00004342 00019240 298365AH01 FC000443

ACCOUNT NUMBER 443-65137-19 130

COMMON STOCKS - CONTINUED

QUANTITY	DESCRIPTION	DATE ACQUIRED	COST	SHARE COST	CURRENT SHARE PRICE	CURRENT VALUE	UNREALIZED GAIN/(LOSS)		AVERAGE 2 YIELD/ANTICIPAT INCOME (ANNUAL)
97	ALTERA CORP SYMBOL: ALTR/RATING: B BANKS & MOBILE INC SYMBOL: BES RESEARCH RATING:1/M	02/25/98	$ 3,855.75	$ 39.75	$ 60.875	$ 5,904.88	$ 2,049.13	ST	
66		10/24/97	1,842.24	27.912	42.50	2,805.00	962.76	LT	
78		09/08/98	2,046.53	26.237	42.50	3,315.00	1,268.47	ST	
144			3,888.77	27.005		6,120.00	2,231.23		
153.7705	CISCO SYS INC SYMBOL: CSCO/RATING: B+ 2.225/RESEARCH RATING:1/M	01/10/97	4,035.41	33.277	92.813	12,415.62	8,380.21	LT	
		02/05/97	62.43	28.102	92.813	206.95	144.52	LT	
136			4,097.84	30.131		12,622.57	8,524.73		
112	DELL COMPUTER CORP SYMBOL: DELL/RATING: B RESEARCH RATING:3/M	07/28/97	2,196.85	19.614	75.188	8,197.06	6,000.21	LT	
56		12/19/97	1,084.06	19.358	75.188	4,098.53	3,014.47	LT	
168			3,280.91	19.529		12,295.59	9,014.68		
200	FEDERAL NATIONAL MORTGAGE ASSN SYMBOL: FNM/RATING: A RESEARCH RATING:1/M	01/24/95	3,571.75	17.596	74.00	14,800.00	11,228.25	LT	1.297 192.00
56	INTEL CORP SYMBOL: INTC/RATING: A+	01/10/97	4,807.50	71.562	118.563	6,639.53	2,832.03	LT	.154 8.96
57	INTL BUS MCHS MACHINES CORP SYMBOL: IBM/RATING: B RESEARCH RATING:1/M	04/20/98	4,851.50	109.50	106.375	6,821.88	2,770.38	ST	.477 32.56
47	LUCENT TECHNOLOGIES INC SYMBOL: LU RESEARCH RATING:1/M	09/05/98	3,650.56	77.671	109.938	5,167.09	1,516.53	ST	
14		09/30/98	964.25	68.875	109.938	1,539.13	574.88	ST	
61			4,614.81	75.653		6,706.22	2,091.41		.165 9.76
72	MERRIMAC INC SYMBOL: MRT/RATING: A+ RESEARCH RATING:1/M	01/10/97	2,533.50	35.187	74.281	5,348.23	2,814.75	LT	.55 10.72
80	MICROSOFT CORP SYMBOL: MSFT/RATING: B+ RESEARCH RATING:1/M	01/30/97	5,980.00	49.75	138.688	11,095.04	7,115.04	LT	

This information is being provided at your request and does not replace or supersede your monthly Smith Barney statement.

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT
DECEMBER 1 - DECEMBER 31, 1998

JAMES A MCDERMOTT

REF: 00004342 00019241 298365AH01 FC000443

ACCOUNT NUMBER 443-65137-19 130

COMMON STOCKS - CONTINUED

QUANTITY	DESCRIPTION	DATE ACQUIRED	COST	SHARE COST	CURRENT SHARE PRICE	CURRENT VALUE	UNREALIZED GAIN/(LOSS)		AVERAGE 2 YIELD/ANTICIPATED INCOME (ANNUAL)
100	MICROSOFT INC SYMBOL: MSFT/RATING: A RESEARCH RATING:1/M	01/10/97	$ 2,575.00	$ 22.052	$ 51.459	$ 5,535.50	$ 3,185.50	LT	.459% $ 58.00
40	MYLAN LABS SYMBOL: MYL/RATING: A RESEARCH RATING:1/M	07/31/98	4,404.84	110.121	125.00	5,000.00	595.16	ST	.704 35.20
181	STARBUCKS CORP SYMBOL: SBUX/RATING: B RESEARCH RATING:1/M	01/10/97	2,628.42	15.441	63.688	7,907.55	5,479.11	LT	
100	STARBUCKS CORP SYMBOL: SBUX	01/10/97	3,294.00	50.50	56.125	6,061.50	2,767.50	LT	
105	WASHINGTON MUTUAL INC SYMBOL: WM/RATING: B+ RESEARCH RATING:1/M	06/30/97	4,238.93	41.354	38.375	3,952.63	(286.30)	LT	2.293 90.64
TOTAL COMMON STOCKS AND OPTIONS			$ 54,618.52			$ 116,850.90	$ 8,776.55	ST	.36
							$ 53,557.83	LT	$ 426.72
							$ 8,776.55	ST	.75
							$ 53,557.83	LT	$ 961.07
TOTAL PORTFOLIO VALUE			$ 65,947.89			$ 128,160.27			

*BASED ON INFORMATION SUPPLIED BY CLIENT, NOT VERIFIED BY SALOMON SMITH BARNEY.

TRANSACTION DETAIL

DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE	AMOUNT
12/14/98	BUY	ISPAT INTERNATIONAL LTD	45	$ 42.572	$ 1,906.70
TOTAL SECURITIES BOUGHT AND OTHER SUBTRACTIONS					$ 1,906.70
TOTAL SECURITIES SOLD AND OTHER ADDITIONS					$ 1,906.70

CASH ACCOUNT DETAIL

DATE	ACTIVITY	DESCRIPTION	AMOUNT	DATE	ACTIVITY	DESCRIPTION	AMOUNT
		OPENING MONEY CLOSE BALANCE	$ 9,340.16	ALL TRANSACTIONS ARE TRADED AT $1.00 PER SHARE.			
12/09/98	AUTOINVEST	BREWSTER LIMITED ASSETS INC	14.77	12/15/98	AUTOINVEST	BREWSTER LIMITED ASSETS INC	$ 11.
12/11/98	AUTOINVEST	BREWSTER LIMITED ASSETS INC	15.74			CLOSING BALANCE	

9/29

SALOMON SMITH BARNEY
A member of citigroup

PREFERRED CLIENT IRA STATEMENT
DECEMBER 1 - DECEMBER 31, 1998

JAMES A MCDERMOTT

REF: 00004342 00019242 298365AH01 FCG00443

ACCOUNT NUMBER 443-65137-19 130

EARNINGS DETAILS

THE TAX STATUS OF EARNINGS IS RELIABLE TO THE BEST OF OUR KNOWLEDGE. TAXABLE AND NON-TAXABLE DESIGNATIONS REFER TO THE FEDERAL INCOME TAX STATUS OF YOUR SECURITIES, NOT OF YOUR ACCOUNT.

DIVIDENDS

DATE	DESCRIPTION	COMMENT			TAXABLE	NON-TAXABLE	AMOUNT
12/01/98	INTEL CORP	CASH DIV	ON	56.0000 SHS	$ 2.24		$ 2.24
12/01/98	LUCENT TECHNOLOGIES INC	CASH DIV	ON	61.0000 SHS	2.44		2.44
12/10/98	INTL BUSINESS MACHINES CORP	CASH DIV	ON	57.0000 SHS	8.14		8.14
12/10/98	PFIZER INC	CASH DIV	ON	40.0000 SHS	7.60		7.60
TOTAL DIVIDENDS EARNED					$ 20.42	$ 0.00	$ 20.42

MONEY FUNDS EARNINGS

DATE	DESCRIPTION	COMMENT	TAXABLE	NON-TAXABLE	AMOUNT
12/01/98	DREYFUS LIQUID ASSETS INC	CASH DIV RECORD 11/30/98 PAY 11/30/98	$ 22.09		$ 22.09
TOTAL EARNINGS FROM MONEY FUNDS			$ 22.09	$ 0.00	$ 22.09

CAPITAL GAINS DETAILS

PLEASE NOTE, THIS MATERIAL IS BEING PREPARED FOR INFORMATIONAL PURPOSES ONLY AND SHOULD NOT BE USED FOR TAX PREPARATION WITHOUT THE ASSISTANCE OF YOUR TAX ADVISOR.

REALIZED GAIN/LOSS

DESCRIPTION	ORIGINAL TRADE DATE	CLOSING TRADE DATE	QUANTITY	PURCHASE PRICE	SALE PRICE	COST BASIS	PROCEEDS	REALIZED GAIN OR (LOSS)
SCHLUMBERGER LTD	01/08/98	12/09/98 SOLD	45	$ 73.875	$ 42.372	$ 3,324.58	$ 1,906.70	($ 1,417.68)ST
TOTAL SHORT TERM THIS PERIOD								($ 1,417.68)
TOTAL REALIZED GAIN OR (LOSS) - THIS PERIOD						$ 3,324.58	$ 1,906.70	($ 1,417.68)
TOTAL LONG TERM - YEAR-TO-DATE								$ 595.33
TOTAL SHORT TERM - YEAR-TO-DATE								($ 6,729.29)
TOTAL REALIZED GAIN OR (LOSS) - YEAR-TO-DATE						$ 49,985.11	$ 43,851.35	($ 6,133.76)

MESSAGE:PLEASE NOTE THAT SALOMON SMITH BARNEY IS REQUIRED BY LAW TO REPORT THE DECEMBER 31, 1998 FAIR MARKET VALUE OF THIS ACCOUNT TO THE INTERNAL REVENUE SERVICE. SALOMON SMITH BARNEY WILL FORWARD THIS INFORMATION TO THE IRS ON OR BEFORE MAY 31, 1999 ON IRS FORM 5498.

YOUR SALOMON SMITH BARNEY PERFORMANCE MONITOR, REVIEWING YOUR MANAGED ACCOUNT, IS NORMALLY AVAILABLE WITHIN 30 DAYS OF THE END OF THE QUARTER. THIS MONITOR INCLUDES A DESCRIPTION OF YOUR PORTFOLIO RETURNS, AN ASSET ALLOCATION SUMMARY AND OTHER DETAILS CONCERNING YOUR INVESTMENTS. FOR FURTHER INFORMATION ON THE MONITOR, PLEASE CONTACT YOUR FINANCIAL CONSULTANT.

SALOMON SMITH BARNEY
A member of citigroup

This information is being provided at your request and does not replace or supersede your monthly Smith Barney statement.

SALOMON SMITH BARNEY

A member of citigroup

INDIVIDUAL RETIREMENT ACCOUNT
NOVEMBER 30 - DECEMBER 31, 1998 NAME SORT:HANSEN,THERESE

REF: 00009531 00035494 298365A001 FC600519

ACCOUNT NUMBER 519-65946-17 144

CLIENT HOME PHONE: 206 624 1800
CLIENT BUS. PHONE: 206 624 1800
CLIENT DATE OF BIRTH: 06/05/55

THERESE HANSEN
SSB IRA CUSTODIAN
1820 9TH AVE W
SEATTLE WA 98119-2946

SALOMON SMITH BARNEY INC.
YOUR FINANCIAL CONSULTANT

SAM DAHL
999 3RD AVENUE
SUITE 4500
SEATTLE, WA 98104
206-344-3558

ACCOUNT VALUE

	LAST PERIOD	THIS PERIOD
MONEY FUNDS	$ 53.66	$ 54.06
ACCRUED MONEY FUND DIVIDENDS	.40	0.00
STOCKS	25,760.00	28,212.35
MUTUAL FUNDS	45,142.91	48,142.55
NET VALUE	$ 70,957.42	$ 76,408.96
FAIR MARKET VALUE 12/31/98		$ 76,408.96

EARNINGS SUMMARY

	THIS PERIOD	THIS YEAR
DIVIDENDS	$ 3.00	$ 124.00
MONEY FUNDS EARNINGS	.40	63.15
CAP. GAINS DISTRIBUTIONS	3,337.00	3,337.37
TOTAL	$ 3,337.40	$ 3,515.52

CASH AND MONEY FUNDS BALANCE

	THIS PERIOD	THIS YEAR
OPENING BALANCE	$ 53.66	
SECURITIES BOUGHT AND OTHER SUBTRACTIONS	-3,337.00	
SECURITIES SOLD AND OTHER ADDITIONS	0.00	
DEPOSITS	0.00	68,467.62
WITHDRAWALS	0.00	-40.00
MONEY FUNDS EARNINGS REINVESTED	.40	
CAPITAL GAINS DISTRIBUTIONS CREDITED	3,337.00	
CLOSING BALANCE	$ 54.06	

IRA ACTIVITY SUMMARY

FOR YOUR CONVENIENCE THE SALOMON SMITH BARNEY STATEMENT TRACKS YOUR DEDUCTIBLE AND NON-DEDUCTIBLE IRA CONTRIBUTIONS BASED UPON INFORMATION YOU PROVIDED TO YOUR FINANCIAL CONSULTANT. HOWEVER, SALOMON SMITH BARNEY REPORTS TO THE IRS ONLY THE TOTAL AMOUNT YOU CONTRIBUTE TO YOUR IRA.

PRIMARY BENEFICIARIES

CONTACT YOUR FINANCIAL CONSULTANT FOR THE APPROPRIATE DOCUMENTATION TO CHANGE OR UPDATE YOUR BENEFICIARY INFORMATION.

JON MCBERNOTT

	1998	1997
CONTRIBUTIONS		
DEDUCTIBLE	$ 1,500.00	$ 0.00
TOTAL CONTRIBUTIONS	$ 1,500.00	$ 0.00
ROLLOVERS AND TRANSFERS		
TOTAL AMOUNT ROLLED OVER	66,467.62	0.00

PORTFOLIO DETAILS

THE VALUES OF YOUR HOLDINGS ARE AS OF 12/31/98.

MONEY FUNDS

DESCRIPTION	NO. OF SHARES	MARKET VALUE	ACCRUED DIVIDENDS	ANNUAL YIELD DIVIDEND YIELD	COMMENT
SB MONEY FUNDS CASH PORT CL A	54.06	$ 54.06		4.66%	
TOTAL MONEY FUNDS		$ 54.06	$ 0.00		

STOCKS

COMMON STOCKS	EXCHANGE	NO. OF SHARES	PRICE	YIELD	ANTICIPATED INCOME U.S. DOLLAR (ANNUAL/YIELD)	MARKET VALUE	RESEARCH RATING	COMMENT
ICOS CORP	OTC	100	$ 27.75			$ 2,775.00		SYMBOL: ICOS
MICROSOFT CORP	OTC	100	131.188			13,968.88	1/99	SYMBOL: MSFT(UPDATED: B+
STARBUCKS CORP	OTC	1000	44.125			4,412.50		SYMBOL: SBUX
WASHINGTON MUTUAL INC	NYSE	150	50.375	2.29%	132.00	7,556.25	1/99	SYMBOL: UPDATED: B+
TOTAL STOCKS					$ 132.00	$ 28,212.55		

PLEASE REFER TO THE BACK OF PAGE 1 FOR DEFINITIONS OF RESEARCH RATINGS.

MUTUAL FUNDS

DESCRIPTION	NUMBER OF SHARES	PRICE	DIVIDEND YIELD	ANTICIPATED INCOME (ANNUAL/YIELD)	MARKET VALUE	COMMENT
SB VALUE FUND CL B	474.385	$ 39.75			$ 18,856.15	
SCH MT SMALL CAPITALIZATION WTFOLIO CLASS B	475.285	9.31	.27%	$ 90.19	4,408.87	

SALOMON SMITH BARNEY
A member of citigroup

INDIVIDUAL RETIREMENT ACCOUNT
NOVEMBER 30 - DECEMBER 31, 1998 THERESE HANSEN

REF: 00009531 00033496 298365A001 FDC00519 ACCOUNT NUMBER 519-65946-17 144

MUTUAL FUNDS - CONTINUED

DESCRIPTION	NUMBER OF SHARES	PRICE	DIVIDEND YIELD	ANTICIPATED INCOME (ANNUALIZED)	MARKET VALUE	COMMENT
ALLIANCE GROWTH FUND CL B	490.49	$ 40.32	.02%	$ 4.88	$ 19,733.61	
SOGEN INTERNATIONAL FUND	231.222	25.05	10.551	561.06	5,525.04	
TOTAL MUTUAL FUNDS				$ 644.93	$ 48,142.55	

ANTICIPATED ANNUAL INCOME

THIS VALUE IS A GRAND TOTAL OF ALL THE ANTICIPATED ANNUAL INCOME AMOUNTS THAT ARE SHOWN ON THE STATEMENT.

TOTAL ANTICIPATED INCOME (ANNUALIZED) $ 796.93

TRANSACTION DETAILS

INVESTMENT ACTIVITY

DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE	AMOUNT
12/15/98	REINVEST	ALLIANCE GROWTH FUND CL B	5.583	$ 37.85	$ -125.01
12/15/98	REINVEST	ALLIANCE GROWTH FUND CL B	40.315	37.85	-1,525.91
12/17/98	REINVEST	ALGER FD SMALL CAPITALIZATION PORTFOLIO CLASS B	51.767	8.52	-430.20
12/21/98	REINVEST	AIM VALUE FUND CL B	2.057	37.17	-76.46
12/21/98	REINVEST	AIM VALUE FUND CL B	29.107	37.17	-1,081.90
12/23/98	REINVEST	SOGEN INTERNATIONAL FUND	5.216	22.55	-117.52
TOTAL SECURITIES BOUGHT AND OTHER SUBTRACTIONS					$ -3,357.00
TOTAL SECURITIES SOLD AND OTHER ADDITIONS					$ 0.00

EARNINGS DETAILS

THE TAX STATUS OF EARNINGS IS RELIABLE TO THE BEST OF OUR KNOWLEDGE. TAXABLE AND NON-TAXABLE DESIGNATIONS REFER TO THE FEDERAL INCOME TAX STATUS OF YOUR SECURITIES, NOT OF YOUR ACCOUNT.

MONEY FUNDS EARNINGS

DATE	DESCRIPTION	COMMENT	TAXABLE	NON-TAXABLE	AMOUNT
12/11/98	SB MONEY FUNDS CASH PORT CL A	REINVESTED	$ .22		$ .22
		FOR PERIOD 11/16/98-12/15/98			
		28 DAYS AVERAGE YIELD 4.75 %			
12/31/98	SB MONEY FUNDS CASH PORT CL A	REINVESTED	.18		.18
		FOR PERIOD 12/16/98-01/15/99			
		31 DAYS AVERAGE YIELD 4.68 %			
TOTAL EARNINGS FROM MONEY FUNDS			$ .40	$ 0.00	$ .40

SALOMON SMITH BARNEY
A member of citigroup

INDIVIDUAL RETIREMENT ACCOUNT
NOVEMBER 30 - DECEMBER 31, 1998 THERESE HANSEN

REF: 00009531 00033496 298365A001 FDC00519 ACCOUNT NUMBER 519-65946-17 144

CAPITAL GAINS DISTRIBUTIONS

DATE	DESCRIPTION	COMMENT	LONG TERM	SHORT TERM	AMOUNT
12/15/98	ALLIANCE GROWTH FUND CL B	SPECIAL DIST ON 485.187 SHS		$ 125.01	$ 125.01
		RELINV CAP GAIN			
		RECORD 12/10/98 PAY 12/16/98			
		S/T CAP GAIN 485.187 SHS			
12/15/98	ALLIANCE GROWTH FUND CL B	SPECIAL DIST ON 444.175 SHS	1,525.91		1,525.91
		RELINV CAP GAIN			
		RECORD 12/10/98 PAY 12/16/98			
12/17/98	ALGER FD SMALL CAPITALIZATION PORTFOLIO CLASS B	ANNUAL DIST ON 421.540 SHS	430.20		430.20
		RELINV CAP GAIN			
		RECORD 12/15/98 PAY 12/16/98			
12/21/98	AIM VALUE FUND CL B	PERIODIC DIST ON 472.527 SHS		76.46	76.46
		RELINV CAP GAIN			
		RECORD 12/17/98 PAY 12/18/98			
		S/T CAP GAIN 472.527 SHS			
12/21/98	AIM VALUE FUND CL B	PERIODIC DIST ON 445.277 SHS	1,081.90		1,081.90
		RELINV CAP GAIN			
		RECORD 12/17/98 PAY 12/18/98			
12/23/98	SOGEN INTERNATIONAL FUND	SPECIAL DIST ON 236.006 SHS	117.52		117.52
		RELINV CAP GAIN			
		RECORD 12/18/98 PAY 12/21/98			
TOTAL INCOME FROM CAPITAL GAINS DISTRIBUTIONS			$ 3,155.53	$ 201.47	$ 3,357.00

MESSAGE:PLEASE NOTE THAT SALOMON SMITH BARNEY IS REQUIRED BY LAW TO REPORT THE DECEMBER 31, 1998 FAIR MARKET VALUE OF THIS ACCOUNT TO THE INTERNAL REVENUE SERVICE. SALOMON SMITH BARNEY WILL FORWARD THIS INFORMATION TO THE IRS ON OR BEFORE MAY 31, 1999 ON IRS FORM 5498.

Best efforts have been made to reflect the true values of the securities listed, due to the element of human error, the exact accuracy cannot be guaranteed.

SALOMON SMITH BARNEY

A member of citigroup

CLIENT STATEMENT

PAGE 1 OF 2

SEPTEMBER 28 - DECEMBER 31, 1998 THERESE HANSEN

REF: 00009334 00034650 294365A091 FC600519

ACCOUNT NUMBER 519-35651-15 144

THERESE HANSEN
SEPARATE PROPERTY
1801 9TH AVE
SEATTLE WA 98122-2946

CLIENT HOME PHONE: 206 624 1800
CLIENT BUS. PHONE: 206 525 1800
CLIENT DATE OF BIRTH 06/05/55

SALOMON SMITH BARNEY INC.
YOUR FINANCIAL CONSULTANT

SAN DAEL
999 3RD AVENUE
SUITE 3500
SEATTLE WA 98104
206-344-3550

ACCOUNT VALUE

	LAST PERIOD	THIS PERIOD
CASH BALANCE	$ 1.13	$ 1.13
MUTUAL FUNDS	1,221.12	1,521.04
NET VALUE	$ 1,222.25	$ 1,522.17

EARNINGS SUMMARY

	THIS PERIOD	THIS YEAR	
		TAXABLE	NON-TAXABLE
MONEY FUNDS EARNINGS	$ 0.00	$ 1.13	$ 0.00
CAP. GAINS DISTRIBUTIONS	126.87	126.87	0.00
TOTAL	$ 126.87	$ 128.00	$ 0.00

CASH BALANCE

	THIS PERIOD	THIS YEAR
OPENING BALANCE	$ 1.13	
SECURITIES BOUGHT AND OTHER SUBTRACTIONS	-126.87	
SECURITIES SOLD AND OTHER ADDITIONS	0.00	
DEPOSITS	0.00	1,557.68
CAPITAL GAINS DISTRIBUTIONS CREDITED	126.87	
CLOSING BALANCE	$ 1.13	

SECURITY DETAILS

THE VALUES OF YOUR HOLDINGS ARE AS OF 12/31/98.

SECURITIES

DESCRIPTION	NUMBER OF SHARES	PRICE	DIVIDEND YIELD	ANTICIPATED INCOME (ANNUALIZED)	MARKET VALUE	COMMENT
ALLIANCE GROWTH FUND CL B	37.534	$ 40.52	.62%	$ .57	$ 1,521.04	
TOTAL MUTUAL FUNDS				$ .57	$ 1,521.04	

SALOMON SMITH BARNEY

A member of citigroup

CLIENT STATEMENT

PAGE 2 OF 2

SEPTEMBER 28 - DECEMBER 31, 1998 THERESE HANSEN

REF: 00009334 00034651 294365A091 FC600519

ACCOUNT NUMBER 519-35651-15 144

ANTICIPATED INCOME

THIS VALUE IS A GRAND TOTAL OF ALL THE ANTICIPATED ANNUAL INCOME AMOUNTS THAT ARE SHOWN ON THE STATEMENT.

TOTAL ANTICIPATED INCOME (ANNUALIZED) $ .57

SECURITIES BOUGHT AND SOLD

DATE	ACTIVITY	DESCRIPTION	QUANTITY	PRICE	AMOUNT
12/15/98	REINVEST	ALLIANCE GROWTH FUND CL B	.254	$ 37.85	$ -9.61
12/15/98	REINVEST	ALLIANCE GROWTH FUND CL B	3.090	37.85	-117.26
TOTAL SECURITIES BOUGHT AND OTHER SUBTRACTIONS					$ -126.87
TOTAL SECURITIES SOLD AND OTHER ADDITIONS					$ 0.00

INCOME AND DISTRIBUTIONS

THE TAX STATUS OF EARNINGS IS RELATIVE TO THE BEST OF OUR KNOWLEDGE. TAXABLE AND NON-TAXABLE DESIGNATIONS REFER TO THE PERSONAL INCOME TAX STATUS OF SECURITIES, NOT OF YOUR ACCOUNT.

DATE	DESCRIPTION	COMMENT	LONG TERM	SHORT TERM	AMOUNT
12/15/98	ALLIANCE GROWTH FUND CL B	SPECIAL 91ST ON 37.294 SHS REINV CAP GAIN RECORD 12/10/98 PAY 12/16/98 S/T CAP GAIN 37.294 SHS		$ 9.61	$ 9.61
12/15/98	ALLIANCE GROWTH FUND CL B	SPECIAL 91ST ON 54.440 SHS REINV CAP GAIN RECORD 12/10/98 PAY 12/16/98	117.26		117.26
TOTAL INCOME FROM CAPITAL GAINS DISTRIBUTIONS			$ 117.26	$ 9.61	$ 126.87

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	SSB IRA Rollover Custodian -- Pfizer Inc	Purchase	7/31/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Altera Corp	Purchase	2/23/98 & 4/15/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Intl Business Machines Corp	Purchase	4/20/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Lucent Technologies	Purchase	9/3/98 & 9/30/98	$1,001 - $15,000
	SSB IRA Custodian -- Barnes & Noble Inc	Purchase	9/8/98	$1,001 - $15,000
	SSB IRA Custodian -- Pfizer Inc	Purchase	7/31/98	$1,001 - $15,000
	SSB IRA Custodian -- Altera Corp	Purchase	2/23/98	$1,001 - $15,000
	SSB IRA Custodian -- Intl Business Machines Corp	Purchase	4/20/98	$1,001 - $15,000
	SSB IRA Custodian -- Lucent Technologies Inc	Purchase	9/3/98 & 9/30/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- United States Filter Corp	Sold	4/29/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Ascend Communications Inc	Sold	4/1/98 & 6/29/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Worldcom Inc GA	S	7/13/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Tellabs Inc	Sold	9/1/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Advanced Fibre Communications	Sold	9/2/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Peoplesoft Inc	Sold	11/11/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Schlumberger LTD	Sold	12/9/98	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	SSB IRA Rollover Custodian -- Paychex Inc	Sold	1/13/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Amgen Inc	Sold	1/14/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- 3 Com Corp	Sold	1/29/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Staples Inc	Sold	4/1/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Oracle Corp	Sold	4/15/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- Stryker Corp	Sold	4/1/98 & 10/13/98	$1,001 - $15,000
	SSB IRA Rollover Custodian -- HBO & Co	Sold	11/11/98	$1,001 - $15,000
	SSB IRA Custodian -- United States Filter Corp	Sold	4/29/98	$1,001 - $15,000
	SSB IRA Custodian -- Ascend Communications Inc	Sold	4/1/98 & 6/29/98	$1,001 - $15,000
	SSB IRA Custodian -- Worldcom Inc GA	Sold	7/13/98	$1,001 - $15,000
	SSB IRA Custodian -- Tellabs Inc	Sold	9/1/98	$1,001 - $15,000
	SSB IRA Custodian -- Advanced Fibre Communications	Sold	9/2/98	$1,001 - $15,000
	SSB IRA Custodian -- Peoplesoft Inc	Sold	11/11/98	$1,001 - $15,000
	SSB IRA Custodian -- Schlumberger Ltd	Sold	12/9/98	$1,001 - $15,000
	SSB IRA Custodian -- Paychex Inc	Sold	1/13/98	$1,001 - $15,000
	SSB IRA Custodian -- Amgen Inc	Sold	1/14/98	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	SSB IRA Custodian -- 3 Com Corp	Sold	1/29/98	$1,001 - $15,000
	SSB IRA Custodian -- Staples Inc	Sold	4/1/98	$1,001 - $15,000
	SSB IRA Custodian -- Stryker Corp	Sold	4/1/98 & 10/13/98	$1,001 - $15,000
	SSB IRA Custodian -- Oracle Corp	Sold	4/15/98	$1,001 - $15,000
	SSB IRA Custodian -- HBO & Co	Sold	11/11/98	$1,001 - $15,000
SP	SSB IRA Custodian, Therese Hansen -- ICOS Corp	Purchase	4/3/98	$1,001 - $15,000
SP	SSB IRA Custodian, Therese Hansen -- Microsoft Corp	Purchase	4/3/98	$1,001 - $15,000
SP	SSB IRA Custodian, Therese Hansen -- Starbucks Corp	Purchase	7/27/98	$1,001 - $15,000
SP	SSB IRA Custodian, Therese Hansen -- Washington Mutual Inc	Purchase	4/3/98	$1,001 - $15,000
SP	SSB IRA Custodian, Therese Hansen -- Alliance Growth Fund CL B	Purchase	4/3, 7/27, 12/15/98	$15,001 - $50,000
SP	SSB IRA Custodian, Therese Hansen -- Alger FD Small Capitalization	Purchase	4/3/98 & 12/17/98	$1,001 - $15,000
SP	SSB IRA Custodian, Therese Hansen -- Aim Value Fund CL B	Purchase	4/3, 4/16, 6/1, 7/27, 10/19, 12/21/98	$15,001 - $50,000
SP	SSB IRA Custodian, Therese Hansen -- Sogen International Fund	Purchase	4/3, 8/4, 12/23/98	$1,001 - $15,000
SP	SSB Seperate Property, Therese Hansen -- Alliance Growth Fund CL B	Purchase	4/17/98 & 12/15/98	$1,001 - $15,000

SALOMON SMITH BARNEY
A member of citigroup

Quarterly Review

Fourth Quarter 1998

MCDERMOTT JAMES A
443-65136-10 130
WILLIAM L DONNELLY

01081999A
Ref 00001416 00020351

Purchases - IRA Rollover Custodian

Investment Portfolio

Quantity	Description	Date Acquired	Unit Cost	Total Cost	Current Price	Total Value	Pct of Portfolio	Annual Income	Curr Yld
Equities and Related Investments									
Common Stocks									
Consumer Cyclicals									
64	BARNES & NOBLE INC	10/24/97	$ 27 912	$ 1,786 42	$ 42 50	$ 2,720 00	2 25%		
171	STAPLES INC	1/10/97	13 333	2,280 00	43 68	7,470 65	6 20		
103	STARBUCKS CORP	1/10/97	30 750	3,167 25	56 12	5,780 88	4 80		
Total Consumer Cyclicals				$ 7,233.67		$ 15,971.52	13.26%		
Health Care									
68	MEDTRONIC INC	1/10/97	35 250	2,397 00	74 28	5,051 11	4 19	18	35
30	PFIZER INC	7/31/98	110 121	3,303 63	125 00	3,750 00	3 11	26	70
Total Health Care				$ 5,700.63		$ 8,801.11	7.31%	$ 44	.50
Financials									
150	AMERICAN EXPRESS CO	3/12/96	44 684	6,702 65	102 50	15,375 00	12 77	135	88
102	WASHINGTON MUTUAL INC	3/14/97	35 017	3,571 77	38 37	3,914 25	3 25	90	2 29
Total Financials				$ 10,274.42		$ 19,289.25	16.02%	$ 225	1 17
Technology									
90	ALTERA CORP	2/23/98	39 750	3,577.50	60 87	5,478 75	4 55		
10	ALTERA CORP	4/15/98	42 735	427 35	60 87	608 75	50		
100	** Security Total			$ 4,004.85		$ 6,087.50	5.05%		
108	DELL COMPUTER CORP	7/28/97	19 614	2,118 39	73 18	7,904 30	6 56		
48	DELL COMPUTER CORP	12/19/97	19 358	929 20	73 18	3,513 02	2 91		
156	** Security Total			$ 3,047.59		$ 11,417.33	9 48%		
52	INTEL CORP	1/10/97	71 437	3,714 75	118 56	6,165 28	5 12	8	13
36	INTL BUSINESS MACHINES CORP	4/20/98	109 500	3,942 00	184 37	6,637 50	5 51	32	48
76	MICROSOFT CORP	1/30/97	49 750	3,781 00	138 68	10,540 29	8 75		

2377

SALOMON SMITH BARNEY
A member of citigroup

Quarterly Review

Fourth Quarter 1998

MCDERMOTT JAMES A
443-65136-10 130
WILLIAM L DONNELLY

010818998A
Ref: 00001416 00020352

Financial - IRA Rollover Certificates

Investment Portfolio

Quantity	Description	Date Acquired	Unit Cost	Total Cost	Current Price	Total Value	Pct of Portfolio	Annual Income	Curr Yiel
Equities and Related Investments									
100	PAYCHEX INC	1/10/97	$ 22.150	$ 2,215.01	$ 51.43	$ 5,143.80	4.27%	$ 36	.7
Total Technology				$ 20,705.20		$ 45,991.69	38.20%	$ 76	.1
Telecommunications									
123.13	CISCO SYS INC	1/10/97	30.373	3,739.99	92.81	11,428.46	9.49		
4.47	CISCO SYS INC	2/05/97	28.139	126.00	92.81	415.59	.34		
22.38	CISCO SYS INC	5/01/97	23.561	527.50	92.81	2,077.91	1.72		
150	** Security Total			$ 4,393.49		$ 13,921.95	11.56%		
44	LUCENT TECHNOLOGIES INC	9/03/98	77.671	3,417.55	109.93	4,837.27	4.01	7	.15
12	LUCENT TECHNOLOGIES INC	9/30/98	68.875	826.50	109.93	1,319.26	1.09	2	.15
56	** Security Total			$ 4,244.05		$ 6,156.53	5.11%	$ 9	.15
Total Telecommunications				$ 8,637.54		$ 20,078.48	16.67%	$ 9	.04
Total Common Stocks				$ 52,551.46		$ 110,132.05	91.48%	$ 354	.32
Total Equities and Related Investments				$ 52,551.46		$ 110,132.05	91.48%	$ 354	.32
Cash and Short Term Investments									
Money Market Funds - Taxable									
10,250.16	DREYFUS LIQUID ASSETS INC		1.000	10,250.16	1.00	10,250.16	8.51	465	4.54
Total Cash and Short Term Investments				$ 10,250.16		$ 10,250.16	8.51%	$ 465	4.54%
Total Portfolio				$ 62,801.62		$ 120,382.21	100.00%	$ 819	.68%

2378

SALOMON SMITH BARNEY
A member of citigroup

Quarterly Review

Fourth Quarter 1998

MCDERMOTT JAMES A
443-65137-19 130
WILLIAM L DONNELLY

01081999A
Ref 00001417 0002C382

Rollover - IRA Certificate

Investment Portfolio

Quantity	Description	Date Acquired	Unit Cost	Total Cost	Current Price	Total Value	Pct of Portfolio	Annual Income	Curre Yield
Equities and Related Investments									
Common Stocks									
Consumer Cyclicals									
66	BARNES & NOBLE INC	10/24/97	$ 27 912	$ 1,842 24	$ 42 50	$ 2,805 00	2 18%		
78	BARNES & NOBLE INC	9/08/98	26 237	2,046 53	42 50	3,315 00	2 58		
144	**** Security Total**			**$ 3,888.77**		**$ 6,120.00**	**4.77%**		
181	STAPLES INC	1/10/97	13 441	2,432 90	43 68	7,907 53	6 17		
108	STARBUCKS CORP	1/10/97	30 500	3,294 00	56 12	6,061 50	4 72		
Total Consumer Cyclicals				**$ 9,615.67**		**$ 20,089.03**	**15.67%**		
Health Care									
72	MEDTRONIC INC	1/10/97	35 187	2,533 50	74 28	5,348 23	4 17	19	35
40	PFIZER INC	7/31/98	110 121	4,404 84	125 00	5,000 00	3 90	35	70
Total Health Care				**$ 6,938.34**		**$ 10,348.23**	**8.07%**	**$ 54**	**52%**
Financials									
200	FEDERAL NATIONAL MORTGAGE ASSN	1/24/95	17 858	3,571 75	74 00	14,800 00	11 54	192	1 30
103	WASHINGTON MUTUAL INC	6/30/97	41 354	4,259 51	38 37	3,952 63	3 08	91	2 29
Total Financials				**$ 7,831.26**		**$ 18,752.63**	**14.63%**	**$ 283**	**1 51%**
Technology									
97	ALTERA CORP	2/23/98	39 750	3,855 75	60 87	5,904 88	4 60		
112	DELL COMPUTER CORP	7/20/97	19 614	2,196 85	73 18	8,197 06	6 39		
56	DELL COMPUTER CORP	12/19/97	19 358	1,084 06	73 18	4,098 53	3 19		
168	**** Security Total**			**$ 3,280.91**		**$ 12,295.58**	**9.59%**		
56	INTEL CORP	1/10/97	71 562	4,007 50	118 56	6,639 53	5 18	9	13
37	INTL BUSINESS MACHINES CORP	4/20/98	109 500	4,051 50	184 37	6,821 88	5 32	33	48
80	MICROSOFT CORP	1/30/97	49 750	3,980 00	138 68	11,095 04	8 65		

SALOMON SMITH BARNEY
A member of citigroup

Quarterly Review

Fourth Quarter 1998

MCDERMOTT JAMES A
443-65137-19 130
WILLIAM L DONNELLY

01081999A
Ref: 00001417 00020363

Purchased IRA Rollover

Investment Portfolio

Quantity	Description	Date Acquired	Unit Cost	Total Cost	Current Price	Total Value	Pct of Portfolio	Annual Income	Curr Yiel
Equities and Related Investments									
108	PAYCHEX INC	1/10/97	$ 22.032	$ 2,379.56	$ 51.43	$ 5,555.30	4.33%	$ 39	.70
Total Technology				$ 21,555.22		$ 48,312.21	37.69%	$ 80	.17
Telecommunications									
133.77	CISCO SYS INC	1/10/97	30.443	4,072.50	92.81	12,415.64	9.68		
2.22	CISCO SYS INC	2/05/97	28.257	63.00	92.81	206.93	.16		
136	** Security Total			$ 4,135.50		$ 12,622.57	9.84%		
47	LUCENT TECHNOLOGIES INC	9/03/98	77.671	3,650.56	109.93	5,167.09	4.03	8	.15
14	LUCENT TECHNOLOGIES INC	9/30/98	68.875	964.25	109.93	1,539.13	1.20	2	.15
61	** Security Total			$ 4,614.81		$ 6,706.22	5.23%	$ 10	.15%
Total Telecommunications				$ 8,750.31		$ 19,328.79	15.08%	$ 10	.05%
Total Common Stocks				$ 54,690.80		$ 116,830.88	91.16%	$ 427	.37%
Total Equities and Related Investments				$ 54,690.80		$ 116,830.88	91.16%	$ 427	.37%
Cash and Short Term Investments									
Money Market Funds - Taxable									
11,329.37	DREYFUS LIQUID ASSETS INC		1.000	11,329.37	1.00	11,329.37	8.84	514	4.54
Total Cash and Short Term Investments				$ 11,329.37		$ 11,329.37	8.84%	$ 514	4.54%
Total Portfolio				$ 66,020.17		$ 128,160.25	100.00%	$ 941	.73%

21 of 29

SALOMON SMITH BARNEY
A member of citigroup

Quarterly Review

Fourth Quarter 1998

MCDERMOTT JAMES A
443-65136-10 130
WILLIAM L DONNELLY

01081999A
Ref 00001416 00020353

Sales - IRA Rollover Liquidation

Year-to-Date Realized Gains and Losses

Quantity	Description	Date Opened	Date Closed	Total Proceeds	Total Cost	Gain/L
Short Term Gain/Loss						
46	UNITED STATES FILTER CORP NEW	11/07/1997	4/29/1998	$ 1,456 81	$ 1,517 77	$ 60 9
55	UNITED STATES FILTER CORP NEW	12/03/1997	4/29/1998	1,741 84	1,794 38	52 5
56	ASCEND COMMUNICATIONS INC	10/09/1997	6/29/1998	2,742 17	1,883 78	858 3
90	WORLDCOM INC GA	4/02/1998	7/13/1998	4,547 01	3,948 75	598 2
59	TELLABS INC	12/29/1997	9/01/1998	2,598 82	3,075 32	476 5
180	ADVANCED FIBRE COMMUNICATIONS	7/15/1998	9/02/1998	1,132 32	4,138 00	3,005 6
88	PEOPLESOFT INC	7/10/1998	11/11/1998	1,962 86	4,309 89	-2,347 0
14	PEOPLESOFT INC	9/08/1998	11/11/1998	312 27	468 77	-156 5
40	*** SCHLUMBERGER LTD	1/13/1998	12/09/1998	1,694 84	2,865 26	-1,170 4
Short Term Gain/Loss Sub Total				**$ 18,188.94**	**$ 24,001.92**	**$ -5,812.98**
Long Term Gain/Loss						
48	PAYCHEX INC	1/10/1997	1/13/1998	$ 2,228 92	$ 1,586 87	$ 642 0
66	AMGEN INC	1/09/1997	1/14/1998	3,286 62	3,828 00	541 38
74	3 COM CORP	1/10/1997	1/29/1998	2,392 19	3,086 86	-694 67
30	ASCEND COMMUNICATIONS INC	1/10/1997	4/01/1998	1,154 96	1,991 25	-836 29
90	STAPLES INC	1/10/1997	4/01/1998	2,069 93	1,200 00	869 93
20	STRYKER CORP	1/10/1997	4/01/1998	937 46	620 00	317 46
92	ORACLE CORP	1/10/1997	4/15/1998	2,598 91	2,547 00	51 91
27	ASCEND COMMUNICATIONS INC	1/10/1997	6/29/1998	1,322 12	1,792 13	-470 01
6	ASCEND COMMUNICATIONS INC	2/12/1997	6/29/1998	293 80	372 75	-78 95
13	ASCEND COMMUNICATIONS INC	5/01/1997	6/29/1998	636 58	606 18	30 40
87	STRYKER CORP	1/10/1997	10/13/1998	2,800 21	2,697 00	103 21
120	H B O & CO	1/10/1997	11/11/1998	2,898 40	2,103 75	794 65

2981

SALOMON SMITH BARNEY
A member of citigroup

Quarterly Review

Fourth Quarter 1998

MCDERMOTT JAMES A
443-65137-19 130
WILLIAM L DONNELLY

01081999A
Ref 00001417 00020364

Acct - TRM Certificates

Year-to-Date Realized Gains and Losses

Quantity	Description	Date Opened	Date Closed	Total Proceeds	Total Cost	Gain/Lo
Short Term Gain/Loss						
49	UNITED STATES FILTER CORP NEW	11/07/1997	4/29/1998	$ 1,551.82	$ 1,616.76	$ 64.94
59	UNITED STATES FILTER CORP NEW	12/03/1997	4/29/1998	1,868.52	1,924.88	56.36
57	ASCEND COMMUNICATIONS INC	10/09/1997	6/29/1998	2,791.14	1,917.42	873.72
89	WORLDCOM INC GA	3/20/1998	7/13/1998	4,496.50	3,904.88	591.62
63	TELLABS INC	12/29/1997	9/01/1998	2,775.01	3,283.81	508.80
190	ADVANCED FIBRE COMMUNICATIONS	7/15/1998	9/02/1998	1,195.23	4,367.89	-3,172.66
79	PEOPLESOFT INC	4/22/1998	11/11/1998	1,762.11	4,157.38	-2,395.27
15	PEOPLESOFT INC	7/10/1998	11/11/1998	334.58	734.64	-400.06
16	PEOPLESOFT INC	9/08/1998	11/11/1998	356.88	535.74	-178.86
45	*** SCHLUMBERGER LTD	1/08/1998	12/09/1998	1,906.70	3,324.38	-1,417.68
Short Term Gain/Loss Sub Total				**$ 19,038.49**	**$ 25,767.78**	**$ -6,729.29**
Long Term Gain/Loss						
52	PAYCHEX INC	1/10/1997	1/13/1998	$ 2,414.66	$ 1,718.57	$ 696.09
70	AMGEN INC	1/09/1997	1/14/1998	3,485.81	4,060.00	-574.19
79	3 COM CORP	1/10/1997	1/29/1998	2,553.82	3,295.43	-741.61
30	ASCEND COMMUNICATIONS INC	1/10/1997	4/01/1998	1,154.96	1,980.00	-825.04
90	STAPLES INC	1/10/1997	4/01/1998	2,069.93	1,209.73	860.20
20	STRYKER CORP	1/10/1997	4/01/1998	937.46	620.00	317.46
100	ORACLE CORP	1/10/1997	4/15/1998	2,824.90	2,775.00	49.90
32	ASCEND COMMUNICATIONS INC	1/10/1997	6/29/1998	1,566.95	2,112.00	-545.05
10	ASCEND COMMUNICATIONS INC	3/31/1997	6/29/1998	489.67	403.75	85.92
9	ASCEND COMMUNICATIONS INC	5/01/1997	6/29/1998	440.71	419.66	21.05
92	STRYKER CORP	1/10/1997	10/13/1998	2,961.15	2,852.00	109.15
134	H B O & CO	1/10/1997	11/11/1998	3,236.55	2,349.19	887.36

Permanent

F.2/5

SALOMON SMITH BARNEY
A member of citigroup

••• Therese Hansen
SSB IRA Custodian
1820 9th Ave W
Seattle WA 98119-2946

Prepared by SAM DAHL
206-344-3558

Unrealized Gain/Loss - Detail

As of 05/04/1999

Acct 519-65946-17

Equity Investments:									
ICOS CORP	ICOS	100.000	4/ 3/98	16.281	1,633.13	39.875	3,987.50	2,354.37 LT	144.16
MICROSOFT CORP	MSFT	200.000	4/ 3/98	46.515	9,308.13	78.063	15,612.60	6,304.47 LT	67.73
STARBUCKS CORP	SBUX	200.000	7/27/98	24.859	4,976.88	36.375	7,275.00	2,298.12 ST	46.18
WASHINGTON MUTUAL INC	WM	150.000	4/ 3/98	50.395	7,564.38	40.313	6,046.95	(1,517.43) LT	(20.06)
Other Investments:									
ALLIANCE GROWTH FUND CL B	AGBBX	297.177	4/ 3/98	40.380	12,000.00	42.740	12,701.34	701.34 LT	5.84
	AGBBX	147.695	7/27/98	41.640	6,150.00	42.740	6,312.48	162.48 ST	2.64
	AGBBX	3.303	12/15/98	37.850	125.01	42.740	141.17	16.16 ST	12.93
	AGBBX	40.315	12/15/98	37.850	1,525.91	42.740	1,723.06	197.15 ST	12.92
	Totals:	488.490		40.535	19,800.92	42.740	20,878.05	1,077.13	5.44
ALGER FD SMALL CAPITALIZATION	ALSCX	421.348	4/ 3/98	10.680	4,500.00	9.810	4,133.42	(366.58) LT	(8.15)
	ALSCX	51.707	12/17/98	8.320	430.20	9.810	507.25	77.05 ST	17.91

MAY 05 '99 01:57PM SALOMON SMITH BARNEY 519

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in monthly account statements and confirmations reflects all transactions processed by Salomon Smith Barney Inc., and as such supersedes all other reports for financial and tax purposes.
Salomon Smith Barney is a service mark of Salomon Smith Barney Inc.

SALOMON SMITH BARNEY
A member of citigroup

••• Therese Hansen
SSB IRA Custodian
1820 9th Ave W
Seattle WA 98119-2946

Prepared by SAM DAHL
206-344-3558

Unrealized Gain/Loss - Detail

As of 05/04/1999

Acct. 519-65946-17

	Totals:	473.055		10 422	4,930 20	9 810	4,640 67	(289.53)	(5 87)
AIM VALUE FUND CL B	AVLBX	205 761	4/ 3/98	36 450	7,500.00	42 450	8,734.55	1,234.55 LT	16 46
	AVLBX	20 622	4/16/98	36 310	748 80	42.450	875.40	126.60 LT	16.91
	AVLBX	42.182	6/ 1/98	35.560	1,500.00	42 450	1,790 63	290 63 ST	19 38
	AVLBX	160 031	7/27/98	38.430	6,150.00	42.450	6,793.32	643.32 ST	10.46
	AVLBX	14 624	10/19/98	34.190	500.00	42.450	620.79	120 79 ST	24 16
	AVLBX	2 057	12/21/98	37 170	76.46	42.450	87.32	10 86 ST	14 20
	AVLBX	29 107	12/21/98	37 170	1,081.90	42.450	1,235 59	153 69 ST	14 21
	AVLBX	52 228	1/12/99	39 330	2,054.13	42.450	2,217.08	162.95 ST	7 93
	Totals:	526 612		37.240	19,611.29	42.450	22,354.68	2,743 39	13 99
SOGEN INTERNATIONAL FUND	SGENX	209 790	4/ 3/98	28.600	6,000.00	24.750	5,192.30	(807.70) LT	(13.46)
	SGENX	9 133	8/ 4/98	24 580	224.48	24.750	226.04	1 56 ST	0 69
	SGENX	0.341	8/ 4/98	24.580	8.39	24.750	8.44	0.05 ST	0.60
	SGENX	6.742	8/ 4/98	24.580	165.73	24 750	166.86	1.13 ST	0 68
	SGENX	5 216	12/23/98	22 530	117 52	24 750	129.10	11.58 ST	9 85
	Totals:	231.222		28.181	6,516.12	24.750	5,722.74	(793.38)	(12 18)

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in monthly account statements and confirmations reflects all transactions processed by Salomon Smith Barney Inc., and as such supersedes all other reports for financial and tax purposes
Salomon Smith Barney is a service mark of Salomon Smith Barney Inc

2384

SALOMON SMITH BARNEY
A member of citigroup

*** Therese Hansen
SSB IRA Custodian
1820 9th Ave W
Seattle WA 98119-2946

Prepared by SAM DAHL
206-344-3558

Unrealized Gain/Loss - Detail

As of 05/04/1999

Acct 519-65946-17

Total Holdings:	$74,341.05	$86,518.19	$12,177.14	16.38

2385

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in monthly account statements and confirmations reflects all transactions processed by Salomon Smith Barney Inc., and as such supersedes all other reports for financial and tax purposes.
Salomon Smith Barney is a service mark of Salomon Smith Barney Inc

Unrelated

SALOMON SMITH BARNEY
A member of citigroup

Therese Hansen
Seperate Property
1820 9th Ave W
Seattle WA 98119-2946

Prepared by SAM DAHL
206-344-3558

Unrealized Gain/Loss - Detail

As of 05/04/1999

Acct. 519-35651-15

Other Investments:									
ALLIANCE GROWTH FUND CL B	AGBBX	34.186	4/17/98	39.720	1,357.88	42.740	1,461.11	103.23 LT	7.60
	AGBBX	0.254	12/15/98	37.850	9.61	42.740	10.86	1.25 ST	13.01
	AGBBX	3.098	12/15/98	37.850	117.26	42.740	132.41	15.15 ST	12.92
	AGBBX	36.462	1/12/99	41.170	1,501.13	42.740	1,558.39	57.26 ST	3.81
	AGBBX	23.964	1/28/99	41.730	1,000.00	42.740	1,024.22	24.22 ST	2.42
	Totals:	97.964		40.687	3,985.88	42.740	4,186.99	201.11	5.05
Total Holdings:					$3,985.88		$4,186.99	$201.11	5.05

The above summary/prices/quotes/statistics have been obtained from sources believed reliable but are not necessarily complete and cannot be guaranteed. The information contained in monthly account statements and confirmations reflects all transactions processed by Salomon Smith Barney Inc., and as such supersedes all other reports for financial and tax purposes.
Salomon Smith Barney is a service mark of Salomon Smith Barney Inc.

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. **Exclude:** Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce Mega Corporation	Mar.2 Aug.6-13	Wash., D.C.-Chicago-Wash., D.C Wash., D.C.-Los Angeles-Cleveland	N Y	N Y	N Y	N 4 Days
Japan Center for International Exchange, Inc., The Brookings Inst & Freeman Foundation, Joint Parliamentary Study and Dialogue Project on US-Japan Security Relations Asia Pacific	1/17-1/23/98	SEA-SFO-NRT-SFO-SEA	Y	Y	N	N
The Aspen Institute, Conference on the Convergence of US National Security and Global Environment	11/11-11/15/98	SEA-ATL-BDA-DCA	Y	Y	N	N
India Medical Association	11/20-11/22/98	DCA-ORD-SEA	Y	Y	N	N
Congressional Economic Leadership Institute Transatlantic Policy Network (See Attachment)	12/3/98-1/1/99	IAD-LHR-SICILY-SEA-IAD-SICILY-SEA	Y	Y	Y	25 Days

2387

2387

Attachment

1 25 days at own expense -- all expenses incurred after 12/6/98 were paid for personally except for return flight to Seattle on January 1, 1999
2 On 12/9/98, I had to return to the United States (Seattle, WA) because of a death in the family. I paid for this ticket personally.
3 On 12/15/98, I traveled to Washington, DC to cast impeachment votes
4 On 12/19/98, I returned to Sicily at my own expense
5 Spouse accompanied me, but her expenses were paid for personally.

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Member, Board of Sponsors	Washington Physicians for Social Responsibility
Member, Visiting Committee	The Henry M Jackson School of International Studies
Member (ex officio), Board of Directors	Washington State China Relations Council
Member, Advisory Council	Greater Seattle Vietnam Association
Member, Advisory Committee	Seattle-Chongqing Sister City Association
Member, Foundation Associates	Pacific Science Center
Member, Advisory Board	Childhaven
Member, Advisory Committee	Home Instruction Program for Preschool Youngsters (HIPPY)
Co-Chair, Advisory Committee	Centurions of Seattle Vocational Institute
EarthWork Northwest Advisory Board	The Student Conservation Association
Honorary Member	Seattle-Sihanoukville Sister City Association
Honorary Co-Chair	Lawyers Helping Hungry Children
Member, Millennium Committee	The Spirit of 2000
Honorary Member	World Trade Center Seattle

2389

2389

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 9:35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(6)
HC HAND DELIVERED
(Office Use Only)

James P. McGovern
(Full Name)

393 Burncoat St.
(Mailing Address)

Worcester MA 01606

Daytime Telephone: 508-831-7356

Filer Status: [X] Member of the U.S. House of Representatives — State: ____ District: ____ [ ] Officer or Employee — Employing Office: ____

Report Type: [X] Annual (May 17) [ ] Amendment [ ] Termination — Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [X]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL James P. McGovern

DATE (Month/Day/Year) May 14, 1999

2390

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
General Services Administration (spouse)		Salary (spouse)	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name James P. McGovern | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X									X								P
			Simon & Schuster			Indefinite																Royalties												
			1st Bank of Paducah, KY accounts						X								X										X						
JT	25% interest in 37 Webster Rd, Yarmouth MA (family vacation home)					X										X									X								
SP	Janus Funds				X										X										X								
SP	Janus Funds				X										X								X										
SP	Partner's Funds				X										X									X									

For additional assets and unearned income, use next page.

2392

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Fleet Mortage, Providence	25% of Mortage on 37 Webster Rd. Yarmouth MA		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name James P. McGovern | Page 5 of 5

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Aspen Institute	3/19-21	DC - Hershey - DC	Y	Y	Y	NO

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 2:26
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

9

JOHN M. McHugh
(Full Name)

PO Box 863, Plattsburgh Manor, N.Y. 13674
(Mailing Address)

(315)-465-5261
Daytime Telephone

MC HAND DELIVERED
(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State: N.Y. District: 24 C.D.	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL John M. McHugh

DATE (Month/Day/Year) MAY 15, 1999

2395

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
NONE			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOHN McHugh | Page 4 of 9

2398

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B													BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X										X									P
			Simon & Schuster		Indefinite																Royalties														
			1st Bank of Paducah, KY accounts					X								X										X									
	KEY BANK NA STOCK				X									X									X											
	KEY BANK NA CD				X											X							X											
	NORSTAR BANK WATERTOWN CD				X											X							X											
	MARINE MIDLAND BANK SAVINGS			X												X							X											
	CONGRESSIONAL FEDERAL CREDIT UNION				X											X							X											
	STATE EMPLOYEES FEDERAL CREDIT UNION			X												X							X											

For additional assets and unearned income, use next page

2398

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN McHugh | Page 5 of 9

	BLOCK A	BLOCK B												BLOCK C							BLOCK D											BLOCK E
	Asset and/or Income Source	Year-End Value of Asset												Type of Income							Amount of Income											Transaction
SP, DC, JT		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	CONGRESSIONAL FEDERAL CREDIT UNION - SAVINGS ACCOUNT			X												[redacted]					X											
	KEY BANK STOCK			X													X							X								S

This page may be copied if more space is required.

2399

Name JOHN McHugh | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
	KEY BANK NA. STOCK		X		3-24-98	X									

This page may be copied if more space is required.

2400

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	KEY BANK, WATERTOWN, N.Y.	LOAN on RECREATIONAL BOAT	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

Name JOHN McHUGH | Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
NONE							

This page may be copied if more space is required.

2402

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER OF BOARD OF VISITORS	UNITED STATES MILITARY ACADAMY AT WEST POINT

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 2:44
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Scott McInnis
(Full Name)

320 Cannon House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: 202/225-4761

Filer Status	[X] Member of the U.S. House of Representatives	State: CO District: 03	[ ] Officer or Employee	Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment		[ ] Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5/13/99

2404

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, *Examples:* JT	Mega Corp. Stock SP 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT	Alpine Bank of Colorado Savings	$15,001 - $50,000	Interest	$1,001 - $2,500	
JT	Mutual of Omaha	$15,001 - $50,000	Interest	$2,501 - $5,000	S
JT	Bank of Colo (f.k.a. Colorado National Bank) IRAs	$15,001 - $50,000	Interest	$1,001 - $2,500	
	1st Federal Savings (deferred income)	$50,001 - $100,000	Interest/dividends	$5,001 - $15,000	
SP	David Smith Ranch Stock	$15,001 - $50,000	Dividends	$1 - $200	
	Alpine Bank IRA	$1,001 - $15,000	Interest	$201 - $1,000	

2405

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

JT	Invest Partnership (Own 50% of value listed)	$50,001 - $100,000	Interest	$5,001 - $15,000	
	Alpine Savings	$50,001 - $100,000	Interest	$5,001 - $15,000	
	AG Edwards Savings	$1,001 - $15,000	Dividends	$201 - $1,000	
	US Bank Corporation Savings	$15,001 - $50,000	Interest	$1,001 - $2,500	
	Natural Gas Investors	None	None	None	Written Off
	Superior Industrial Stock	$1,001 - $15,000	None	None	
	LTIC, Inc.	$15,001 - $50,000	None	None	
	Applewood MHP	$100,001 - $250,000	None	None	
JT	Townhome-Lot 1, Hills #1, Washington County (St. George, Utah) 1/6 ownership	$1,001 - $15,000	None	None	
JT	S & L McInnis LLP 1%	$15,001 - $50,000	Interest/dividends	$201 - $1,000	
	Daxon McInnis Irrevocable Trust	$500,001 - $1,000,000	Interest/dividends	$2,501 - $5,000	
	Tessa McInnis Irrevocable Trust	$500,001 - $1,000,000	Interest/dividends	$2,501 - $5,000	
	Andrea McInnis Irrevocable Trust	$500,001 - $1,000,000	Interest/dividends	$2,501 - $5,000	
JT	Alpine Bank IRAs	$15,001 - $50,000	Interest	$2,501 - $5,000	P

Name Scott McInnis	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
JT	Natural Gas Investors, LLC	Exchange	12/98	$1,001 - $15,000
JT	Applewood MHP	Purchase	1/30/98, 6/4/98, 11/2/98	$50,001 - $100,000
JT	Mutual of Omaha IRA (Exchange see Alpine Bank IRA-listed below)	Sold	12/98	$15,001 - $50,000
JT	Alpine Bank IRA (Exchange - see Mutual of Omaha IRA - listed above)	Purchase	12/98	$15,001 - $50,000

Name Scott McInnis	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
JT	Alpine Bank	Line of Credit	$50,001 - $100,000
JT	Bank of Colorado (debtor invest partnership)	Loan	$50,001 - $100,000

UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 15 PM 3:35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Scott McInnis
(Full Name)

320 Cannon House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone 202/225-4761

MC
(Office Use Only)

Filer Status	[X]	Member of the U S House of Representatives	State CO District 03	[ ]	Officer or Employee	Employing Office
Report Type	[ ]	Annual (May 15)	[X]	Amendment	[ ]	Termination Date: Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [ ] No [ ]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [ ] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [ ]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [ ] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [ ] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001)

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 6/15/99

2409

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

JT	Invest Partnership (Own 50% of value listed)	$50,001 - $100,000	Interest	$5,001 - $15,000	
	Alpine Savings	$50,001 - $100,000	Interest	$5,001 - $15,000	
	AG Edwards Savings	$1,001 - $15,000	Dividends	$201 - $1,000	
	US Bank Corporation Savings	$15,001 - $50,000	Interest	$1,001 - $2,500	
	Natural Gas Investors	None	None	None	Written Off
	Superior Industrial Stock	$1,001 - $15,000	None	None	
	LTIC, Inc.	$15,001 - $50,000	None	None	
	Applewood MHP (Sterling)	$100,001 - $250,000	None	None	
JT	Townhome-Lot 1, Hills #1, Washington County (St. George, Utah) 1/6 ownership	$1,001 - $15,000	None	None	
JT	S & L McInnis LLP 1%	$15,001 - $50,000	Interest/dividends	$201 - $1,000	
	Daxon McInnis Irrevocable Trust	$500,001 - $1,000,000	Interest/dividends	$2,501 - $5,000	
	Tessa McInnis Irrevocable Trust	$500,001 - $1,000,000	Interest/dividends	$2,501 - $5,000	
	Andrea McInnis Irrevocable Trust	$500,001 - $1,000,000	Interest/dividends	$2,501 - $5,000	

Name Scott McInnis	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
JT	Natural Gas Investors, LLC	WRITTEN OFF	12/98	$1,001 - $15,000
JT	Applewood MHP	Purchase	1/30/98, 6/4/98, 11/2/98	$50,001 - $100,000
JT	Mutual of Omaha IRA (Exchange see Alpine Bank IRA-listed below)	Sold	12/98	$15,001 - $50,000
JT	Alpine Bank IRA (Exchange - see Mutual of Omaha IRA - listed above)	Purchase	12/98	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 5:01
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

David Martin McIntosh
(Full Name)

1401 West University Avenue, Muncie, IN 47303
(Mailing Address)

Daytime Telephone: (202) 225-3021

Filer Status	[X] Member of the U.S. House of Representatives	State: IN District: 02	[ ] Officer or Employee	Employing Office:	**A $200 penalty shall be assessed against any new filer more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION—ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION—ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION—THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

SIGNATURE OF REPORTING INDIVIDUAL David McIntosh

DATE (Month / Day / Year) 05/17/99

Name David Martin McIntosh	Page 1 of 1

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	N/A		

For payments to charity in lieu of honoraria, use Schedule II.

Name David Martin McIntosh	Page 1 of 1

SCHEDULE II—PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
N/A			

This page may be copied if more space is required.

Name David Martin McIntosh | Page 1 of 2

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. **If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.**	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income**	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP DC JT	Examples:	SP MegaCorp. Stock Simon Schuster Indefinite 1st Bank of Podunk Checking Account	DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPT E TRUST QUALIFIED BLIND TRUST Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None I $1–$200 II $201–$1,000 III $1,001–$2,500 IV $2,501–$5,000 V $5,001–$15,000 VI $15,001–$50,000 VII $50,001–$100,000 VIII $100,001–$1,000,000 IX $1,000,001–$5,000,000 X Over $5,000,000 XI	P, S, E
JT	Charles Schwab One Account	X	X	X	P
DC	Stein Roe Young Investors Mutual Fund (now held in Schwab Custodial Account)	X	X	X	E
JT	Invesco Strategies Technology Mutual Fund	X	X	X	
JT	Ball State Federal CU	X	X	X	

None | $1–$1,000 | $1,001–$15,000 | $15,001–$50,000 | $50,001–$100,000 | $100,001–$250,000 | $250,001–$500,000 | $500,001–$1,000,000 | $1,000,001–$5,000,000 | $5,000,001–$25,000,000 | $25,000,001–$50,000,000 | Over $50,000,000

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name David Martin McIntosh

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1–$1,000	C $1,001–$15,000	D $15,001–$50,000	E $50,001–$100,000	F $100,001–$250,000	G $250,001–$500,000	H $500,001–$1,000,000	I $1,000,001–$5,000,000	J $5,000,001–$25,000,000	K $25,000,001–$50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPT ED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1–$200	III $201–$1,000	IV $1,001–$2,500	V $2,501–$5,000	VI $5,001–$15,000	VII $15,001–$50,000	VIII $50,001–$100,000	IX $100,001–$1,000,000	X $1,000,001–$5,000,000	XI Over $5,000,000	P, S, E
	Charles Schwab IRA (money market)		X													X						X								
	The following is also held in Rep. McIntosh's Schwab IRA:																													
	Lucent Technologies Inc.			X										X								X								P
SP	Charles Schwab IRA (money market)		X													X							X							
	The following are also held in Mrs. McIntosh's Schwab IRA:																													
	Freemont U.S. Micro Cap Fund			X										X								X								P
	NI Growth & Value Fund			X										X			X						X								P
	White Oak Growth Stock Mutual Fund			X										X								X									P
	PBHG Technology & Communications Fund			X													X					X									P
JT	Barron Small Capital Fund			X													X					X									
DC	Charles Schwab Custodial Account			X												X							N/A								P

This page may be copied if more space is required.

SCHEDULE IV—TRANSACTIONS

Name: David Martin McIntosh | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* MegaCorporation Common Stock	X			10–12–98		X								
	Lucent Technologies Inc./common stock	X			3/3/98	X									
SP	Freemont U.S. Micro Cap Fund/mutual fund	X			5/29/98	X									
SP	NI Growth & Value Fund/mutual fund	X			5/29/98	X									
SP	White Oak Growth Stock/mutual fund	X			5/29/98	X									
SP	PBHG Technology & Communications Fund/mutual fund	X			5/29/98	X									
DC	Charles Schwab Custodial Account/mutual fund (created with transfer of shares of Stein Roe Young Investors Mutual Fund			X	7/14/98	X									

This page may be copied if more space is required.

Name David Martin McIntosh | Page 1 of 1

SCHEDULE V—LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI—GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII—TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Koch Industries	Feb. 27	DC - Wichita, KS - DC	N	Y	N	N

This page may be copied if more space is required.

Name David Martin McIntosh	Page 1 of 1

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
National Co-Chairman	Federalist Society for the Law and Public Policy
Visiting Board Member	Regent University

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO 1999 55–195(mac)

Use additional sheets if more space is required.

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 10: 32
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC HAND DELIVERED (8)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

Mike McIntyre (Douglas Carmichael McIntyre, II)
(Full Name)

1701 North Chestnut Street
(Mailing Address)

Lumberton NC 28358

Daytime Telephone: (202) 225-2731

(Office Use Only)

Filer Status
[X] Member of the U.S. House of Representatives — State: NC, District: 07
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [X] No [ ]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001)

SIGNATURE OF REPORTING INDIVIDUAL: Mike McIntyre (Douglas Carmichael McIntyre II)

DATE (Month/Day/Year): May 13, 1999

2421

Name Mike McIntyre (Douglas Carmichael McIntyre, II)	Page 1 of 1

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$ 500
Kmart Corporation	Appearance	September 16	$500.00

Name Mike McIntyre (Douglas Carmichael McIntyre, II)	Page 1 of 1

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20			BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC,	*Examples:*	Mega Corp. Stock	$15,001 - $50,000	Dividends	$201 - $1,000	P
	SP	123 Main St., Dover, Del.	$250,001 - $500,000	Rent	$1,001 - $2,500	
JT		1st Bank of Paducah, KY accounts	$50,001 - $100,000	Interest	$5,001 - $15,000	
JT	102 Elizabethtown Road, Lumberton, NC		$50,001 - $100,000	Rent	None	
	IRA, BB&T, Lumberton, NC		$15,001 - $50,000		NA	
SP	IRA, BB&T, Lumberton, NC		$1,001 - $15,000		NA	
JT	Checking Account, BB&T, Lumberton, NC		$1,001 - $15,000	Interest	$1 - $200	

Name Mike McIntyre (Douglas Carmichael McIntyre, II)	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	BB&T, Lumberton, NC	Mortgage on 102 Elizabethtown Road, Lumberton, NC	$15,001 - $50,000
	Douglas & Thelma McIntyre, Lumberton, NC	Mortgage on 102 Elizabethtown Road, Lumberton, NC	$15,001 - $50,000

Name Mike McIntyre (Douglas Carmichael McIntyre, II)	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Reclaiming America for Christ	February 27 - March 1	Washington DC - Ft. Lauderdale, FL - Washington, DC	Y	Y	Y	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 5:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

9

Howard P. "Buck" McKeon
(Full Name)

2242 Rayburn H.O.B., Wa. D.C.
(Mailing Address)

202-225-1956
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State: Ca. District: 25	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Howard P. "Buck" McKeon

DATE (Month/Day/Year) May 14, 1999

2426

Name Howard P. "Buck" McKeon | Page 1 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
Image Vinyl Fencing		Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Howard P. "Buck" McKeon | Page 2 of 8

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster			Indefinite																Royalties												
			1st Bank of Paducah, KY accounts						X									X									X							
JT	McKeon Bros., a Partnership (1% Interest)															X							X										
	Rental Home - 30007 Grand, Flora, CO.						X									X											X						
	Howard & Phil Ent., Inc - Stock			X																			X										
	Charles Schwab & Co - MM Fund Acct.					X												X								X							
	Nat. Health PPTYS - Common Stock						X									X										X							
	Fidelity Conta Fund					X													X					X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Howard P. "Buck" McKeon | Page 3 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Fidelity OTC Fund			X													X					X									
"	Fid. Sel. Electronics Fund			X													X					X									
"	Dean Witter IRA (42904)																														
"	Nat. Health PPTYS - Common Stock			X										X									X								
"	Baker Hughes "			X										X			X					X									P
"	Nat. Tech. Syst. "			X													X				X										P
"	Plum Creek Timber "			X										X									X								
"	Schlumberger Ltd "			X										X								X									
"	Novell "	X															X				X										S
"	Ford Motor Co "			X										X									X								
"	Franklin Covey "	X															X				X										S
"	Pepsico Inc "			X										X								X									
"	Tricon Global Rest "	X															X						X								S
"	Dean Witter IRA (42905																														
"	Baker Hughes Common Stock			X										X			X					X									P
"	House of Fabrics "	X															X				X										S
"	Nat. Health PPTYS "			X										X									X								
"	Schlumberger Ltd "			X										X								X									P
"	Franklin Covey "	X															X							X							S
"	Novell "	X															X							X							S
"	Pepsico "			X										X								X									
"	Tricon Global Rest "	X															X						X								S

This page may be copied if more space is required.

2429

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Howard P. "Buck" McKeon | Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	DeanWitter - M.M. Fund			X												X						X										
	Franklin Covey - Common Stock	X															X						X									5
	Baker Hughes Inc "			X										X								X										
	Val. Bank + Trust - Checking				X											X						X										
	Cong. Fed Credit Union - Checking			X												X						X										
	" " " " - Savings			X												X						X										
	McKeon Bros. a Partnership (cont.)																															
	Rental Condo - 21448 Hampton Yo	X															X				X											5
	" Home - Parada Val.	X															X				X											5
	Leasehold - 19415 Soledad Cyn Rd	X													X						X											5

This page may be copied if more space is required.

Name Howard P. "Buck" McKeon | Page 5 of 8

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	Novell, Inc. Common Stock		X		9-22-98	X									
	Franklin Covey " "		X		4-22-98	X									
	Baker Hughes " "	X			10-5-98	X									
	Nat. Tech. Syst. " "	X			4-14-98	X									
	" " " " "	X			9-23-98	X									
	Tricon Global Rest. " "		X		4-14-98	X									
	Baker Hughes " "	X			12-18-98	X									
	House of Fabrics " "		X		3-27-98	X									
	Schlumberger Ltd " "	X			12-18-98	X									
	Franklin Covey " "		X		4-22-98	X									
	Novell " "		X		9-22-98		X								
	Rental Condo - 24444 B Hampton, Val.		X						X						
	" Home Parada, Val.		X						X						

This page may be copied if more space is required.

2431

Name Howard P. "Buck" McKeon | Page 6 of 8

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Val. Nat. Bank, 23920 Valencia Blvd., Val., Ca. 91355	Mort. 30007 Grandiflloras, Canyon Country, Ca					X					
	First Fed., Ca.	" 24444 B. Hampton Dr., Valencia, Ca.				X						
	First Fed, Ca.	" 25830 Parada Dr., " "				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name Howard P. "Buck" McKeon | Page 7 of 8

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
USA Group	3-2-98	Wa. D.C.- Indianapolis Ind-Wa D.C.	N	Y	N	N
Diversified Collection Systems	10-19-98	Burbank, Ca - Oakland - Burbank	N	Y	Y	N
Am. Mathematical Assoc.-2Yr. Colleges	Nov 6-9	L.A. Ca - Portland, Or.	Y	N	Y	2days

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Dec '92	McKeon Brothers - Howard + Phil Ent., Inc.	Reduced salary and responsibilities with regard to family business as approved by Committee on Standards of Official Conduct.

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 1:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HC HAND DELIVERED (3)
(Office Use Only)

CONGRESSWOMAN CYNTHIA A MCKINNEY
(Full Name)

124 CANNON BLDG.
(Mailing Address)

WASHINGTON, DC 20515

Daytime Telephone: 202-225-1605

Filer Status
[X] Member of the U.S. House of Representatives — State: GEORGIA District: 4-H
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Cynthia McKinney

DATE (Month/Day/Year): 5/13/99

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
NATIONAL NEWSPAPER PUBLISHERS ASSOCIATION		1/21 - 1/23	ATLANTA - JAMAICA - ATLANTA	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER - BOARD OF DIRECTORS	DIKEMBE MUTOMBO FOUNDATION, INC.
ADVISORY BOARD MEMBER	SHAKUR FOUNDATION

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 1990 35-195 (misc)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 12 AM 10:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Michael Robert McNulty
(Full Name)

86 West Street
(Mailing Address)

Green Island, New York 12183

Daytime Telephone
(202) 225-5076

Filer Status	[X] Member of the U.S. House of Representatives	State: New York District: 21 CD	[ ] Officer or Employee	Employing Office:	
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Michael R. McNulty **DATE** (Month/Day/Year) 5/11/99

2438

Name Michael Robert McNulty	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Green Island Insurance (Commissions)	Renewal Commissions	$1999.13
Albany County Family Court	Spouse Salary	$10,426.75

For payments to charity in lieu of honoraria, use Schedule II.

Name Michael Robert McNulty	Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
Association of American Railroads	Speech	Jan 16-19, 1998	$1,000.00
The Connell Company	Speech	Apr 28, 1998	$2,000.00

This page may be copied if more space is required.

Name Michael Robert McNulty | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
				A None	DIVIDENDS	I None	
				B $1 – $1,000	RENT	II $1 – $200	
				C $1,001 – $15,000	INTEREST	III $201 – $1,000	
				$15,001 – $50,000	CAPITAL GAINS	IV $1,001 – $2,500	
				E $50,001 – $100,000	EXCEPTED TRUST	V $2,501 – $5,000	
				F $100,001 – $250,000	QUALIFIED BLIND TRUST	VI $5,001 – $15,000	
				G $250,001 – $500,000	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	VII $15,001 – $50,000	
				H $500,001 – $1,000,000		VIII $50,001 – $100,000	
				I $1,000,001 – $5,000,000		IX $100,001 – $1,000,000	
				J $5,000,001 – $25,000,000		X $1,000,001 – $5,000,000	
				K $25,000,001 – $50,000,000		XI Over $5,000,000	
				L Over $50,000,000			P, S, E
SP		SP	Mega Corp. Stock		X		P
DC	*Examples:*		Simon & Schuster	Indefinite			
JT			1st Bank of Paducah, KY accounts	X	X	X	
			Green Island Insurance 5501 Seminary Rd, Apt 201 S Falls Church, VA ~~(purchased in 1989 (own)~~ leased in 1997	X	X	X	

For additional assets and unearned income, use next page.

2441

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Robert McNulty | Page 5 of 9

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Fleet Financial Group			X										X								X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: Michael Robert McNulty

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example*	Mega Corporation Common Stock	X			10–12–98		X								
	NONE															

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Chase Manhattan Mortgage	mortgage on 5501 Seminary Rd, Apt. 201-S, Falls Church, VA			X							
	Wright Patman Congressional Federal Credit Union	Personal Line of Credit	X									
	Wright Patman Congressional Federal Credit Union	VISA	X									
	C.L. King Associates, Inc. Albany, NY	SECURED LOAN			X							
	MBNA	Mastercard	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Assoc. of American Railroads	Jan 16-19	Albany, NY - San Diego - Albany, NY	Y	Y	N	N
The Connell Company	Apr 28	Albany - Newark - Washington,DC	N	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Capital Region Technology Development Council (not compensated)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 4:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Martin T. Meehan
(Full Name)

320 Clark Road
(Mailing Address)

202-225-3411
Daytime Telephone:

Lowell, MA 01852-3124

Filer Status
[X] Member of the U.S. House of Representatives — State: MA District: 5th
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Martin T. Meehan

DATE (Month/Day/Year): May 17, 1999

2447

Name	Martin T. Meehan	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
Lawrence General Hospital		Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
Plumbing, Heating & Cooling Contractors of -- Greater Boston	Speech	Nov. 23, 1998	$250

This page may be copied if more space is required.

Name Martin T. Meehan | Page 4 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster			Indefinite															Royalties												
			1st Bank of Paducah, KY accounts					X									X									X							
	Congressional FCU Savings					X											X						X										
	New York Life Ins. Co.				X												X						X										
SP	Fleet Bank Savings				X												X						X										
SP	Fleet Bank IRA					X											X						NA										
SP	Fidelity IRA				X												X						NA										
	Legg Mason Wood Walker IRA				X												X									X							

For additional assets and unearned income, use next page.

2450

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Martin T. Meehan

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Legg Mason Wood Walker IRA			X												X							X							

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 1:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED (Office Use Only)

Carrie P. Meek (Full Name)

401 Cannon House Office Bldg (Mailing Address) 202-225-4506 (Daytime Telephone)

Washington D.C. 20515

Filer Status	☒ Member of the U.S. House of Representatives	State: Florida District: 17	☐ Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	☐ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Carrie P. Meek **DATE (Month/Day/Year)** May 14, 1999

2452

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Florida	Teachers Pension	55,834

For payments to charity in lieu of honoraria, use Schedule II.

Name Carrie P. Meek | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D	B: E $15,001 – $50,000	B: F $50,001 – $100,000	B: G $100,001 – $250,000	B: H $250,001 – $500,000	B: I $500,001 – $1,000,000	B: J $1,000,001 – $5,000,000	B: K $5,000,001 – $25,000,000	B: L $25,000,001 – $50,000,000	B: Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, or E
SP		SP	Mega Corp. Stock						X								X																	P
DC	*Examples:*		Simon & Schuster			Indefinite																	Royalties												
JT			1st Bank of Paducah, KY accounts							X								X										X							
	Republic Bank (formerly Bankers Savings Bank)							X										X								X								
	Travelers Tax Shelter Annuity								X									X								X								
	Credit Unions: Fla. & Congressional								X									X								X								
	Met Life Asset Annuity					X												X							X									
	Renex Corporation						X									X								X										

For additional assets and unearned income, use next page.

2454

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carrie P. Meek | Page 4 of 6

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, - E
	National Bank Checking			X												N/A					X										
	National Bank Trust			X												X						X									
	National Bank Money Mkt			X												X						X									
	2nd Home 1830 Lafayette Tallahassee, Fla.					X									X										X						

This page may be copied if more space is required.

2455

Name Carrie P. Meek | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	PNC Mortgage (formerly Ryland Mortgage Co.)	mortgage, 1830 Lanette Tallahassee, Fla		X								
	MBNA America (also Known as Maryland Bank N.A) + Citicorp	credit cards			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	None	

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Community Action Agency Dade County, Fla

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 PM 12:44
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

GREGORY W. MEEKS
(Full Name)

660 Grassmere Terrace — 202-225-3461
(Mailing Address) — Daytime Telephone

Far Rockaway, New York 11691

Filer Status	[X] Member of the U.S. House of Representatives	State: NY District: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL — **DATE** (Month/Day/Year) 5/13/99

2458

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
New York City	Spouse Salary	$55,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
NONE			

This page may be copied if more space is required.

Name Gregory W. Meeks | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X																	P
			Simon & Schuster			Indefinite															Royalties											
			1st Bank of Paducah, KY accounts					X								X										X						
	Merrill Lynch Global					X																										
	Merrill Lynch Latin America Fund			X																												
	Nicolas Applegate Core Growth					X																										

For additional assets and unearned income, use next page.

2461

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name GREGORY W. MEEKS

Page 5 of 9

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	NONE																														

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
	NONE														

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Bridges Youth Group		2/27-3/2	NY-San Juan-St. Croix-NY	Y	Y	Y	N
Port Authority & Bombardier		6/14-15	NY-Vancouver-NY	Y	Y	N	N
Alaska Wilderness League & the Wilderness Society		5/27-7/2	NY-Fairbanks,AK-NY	Y	Y	N	N
Port Authority & Bombardier		7/12-13	NY - Vancouver-NY	Y	Y	N	N
Institute of Politics		12/9-12	NY-Boston-NY	Y	Y	Y	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 17 PM 4:29

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

(Office Use Only)

Robert Menendez
(Full Name)

517 15th Street, Union City, NJ 07087
(Mailing Address)

201-222-2828/202-225-7919
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: NJ District: 13	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5/14/99

2467

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
Union City Board of Education, 3912 Bergen Turnpike,	Spouse Salary		NA
Union City, NJ			

This page may be copied if more space is required.

Name Robert Menendez | Page 3 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A			BLOCK B												BLOCK C							BLOCK D											BLOCK E
				A None	B $1 – $1,000	C $1,001 – $15,000		$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock						X								X																	P
			Simon & Schuster			Indefinite																	Royalties								X			
			1st Bank of Paducah, KY accounts							X								X										X						
JT	1806 West St. Union City									X							X											X					
JT	535 41st St. Union City									X							X											X					
JT	Union City Savings-Sav acct					X												X							X								
JT	Union City Savings-checking					X												X						X									
JT	Congressional Credit Union					X												X						X									
SP	Fidelity Growth Portfolio (annuity)									X								X									X						

For additional assets and unearned income, use next page.

2469

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert Menendez

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income								BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Advanced Micro Devel			X																	X											
JT	Alydaar Soft			X																	X											P
JT	AT & T Corp				X									X								X										E
DC	Compaq Comp			X										X								X										P
JT	Hudson United Bank (Hubco)			X										X								X										
DC	Hudson United Bank (Hubco)			X										X								X										
JT	Infoseek Corp			X																	X											P
DC	Infoseek Corp			X																	X											P
DC	K-Mart Corp			X																	X											
JT	Le Croy Corp			X																	X											
DC	Merck & Co				X									X								X										
JT	Micron Tech			X																	X											P
DC	Micron Tech			X																	X											P
DC	Microchip Tech Inc			X																	X											
JT	Morgan Stanley			X										X								X										
JT	Motorola Inc.			X										X								X										
JT	National Semicond			X																	X											
DC	Pepsi Inc				X									X								X										
DC	Prudential Jennison Fund Class B			X										X								X										
DC	Prudential Pacific Fund			X										X								X										
JT	Sentex Tech		X																		X											
DC	Tricon Global			X										X								X										

This page may be copied if more space is required.

2470

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert Menendez

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Vitesse Semiconductor			X																	X										P
JT	Xetel Corp			X																	X										
DC	Warner Lambert			X										X								X									P
DC	Zenith Electronics		X																		X										
	State of N.J. - Pension			INDEFINITE																											
SP	Oppenheimer (annuity)			X												X						X									
SP	Oppenheimer (annuity)			X												X						X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: Robert Menendez

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example.* Mega Corporation Common Stock	X			10-12-98		X								
DC	Bay Networks		X		8-11-98		X								
JT	LSI Logic Corp		X		8-18-98	X									
JT	Ascend Communications		X		6-23-98	X									
DC	Paging Network Inc		X		8-12-98	X									
DC	Hudson United Bankcorp		X		2-4-98	X									
JT	Teleport (AT&T)			X	7-27-98		X								
JT	Alydaar software corp	X			12-10-98	X									
DC	Compaq Computer	X			2-4-98	X									
JT	Infoseek Corp	X			8-12-98	X									
DC	Infoseek Corp	X			8-12-98	X									
DC	Micron Tech Inc.	X			8-11-98	X									
JT	Micron Tech, Inc.	X			8-19-98	X									
JT	Vitesse Semiconductor	X			6-24-98	X									
DC	Warner Lambert Co	X			8-11-98	X									

This page may be copied if more space is required.

2472

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Union City Savings	Mortgage on 1806 West St. Union City	X									
JT	Union City Savings	" on 41st St, Union City				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

Name	Page 8 of 9
Robert Menendez	

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
American Israel Education Found	May 23-29	NY-Tel Aviv-NY	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
5-10-93	Henry Furst, Esq.	Buyout of law practice & deferred compensation for
		legal services prior to entering Congress. To date,
		full compensation has not been made.

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 14 AM 10:54
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

INSIDE MAIL

(4)

Jack Metcalf
(Full Name)
4693 Saratoga Road
(Mailing Address)
Langley, WA 98260
Daytime Telephone 202.225.2605

MC
(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives — State: ____ District: ____	☐ Officer or Employee	Employing Office: ____
Report Type	☒ Annual (May 17)	☐ Amendment	☐ Termination — Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Jack Metcalf

DATE (Month/Day/Year): May 13, 1999

2476

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse's Salary	NA
Washington State (not subject to outside earned income limit)		Pension	$13,200.00

For payments to charity in lieu of honoraria, use Schedule II.

Name Metcalf | Page 3 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset (at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None.")

BLOCK C — Type of Income

BLOCK D — Amount of Income (For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.)

BLOCK E — Transaction (Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.)

				A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, or E
SP.	*Examples:*	SP	Mega Corp Stock					X								X										X							P
DC.			Simon & Schuster			Indefinite																Royalties				X								
JT			1st Bank of Paducah, KY accounts						X																		X							
	IRA		Whidbey Island Bank			X												X						N/A										
SP	IRA,		Whidbey Island Bank			X												X						N/A										
JT	Coin Collection						X																	X										
JT	Checking,		Whidbey Isl. Bank				X											X							X									
JT	Checking,		Whidbey Isl. Bank			X																		X										
JT	Savings,		Teachers CU NW			X												X							X									

For additional assets and unearned income, use next page.

2478

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Metcalf | Page 4 of 4

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset — A: None	B: $1 – $1,000	C: $1,001 – $15,000	D: $15,001 – $50,000	E: $50,001 – $100,000	F: $100,001 – $250,000	G: $250,001 – $500,000	H: $500,001 – $1,000,000	I: $1,000,001 – $5,000,000	J: $5,000,001 – $25,000,000	K: $25,000,001 – $50,000,000	L: Over $50,000,000	BLOCK C: Type of Income — DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D: Amount of Income — I: None	II: $1 – $200	III: $201 – $1,000	IV: $1,001 – $2,500	V: $2,501 – $5,000	VI: $5,001 – $15,000	VII: $15,001 – $50,000	VIII: $50,001 – $100,000	IX: $100,001 – $1,000,000	X: $1,000,001 – $5,000,000	XI: Over $5,000,000	BLOCK E: Transaction — P, S, E
JT	Checking, US Bank Langley			X																		X										
JT	Savings, US Bank Langley			X												X						X										
JT	Checking, CFCU WP			X																		X										
JT	Savings, CFCU WP			X												X							X									
	Checking, CFCU WP		X													X						X										
	Savings, CFCU WP		X													X						X										
SP	Log Castle Bed & Breakfast							X																			X						
	Trust Asset:																																
	54 Acres (1/5 share)						X															X										
	7 Acres (1/5 share)							X						X										X								

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

99 MAY 14 AM 10:54
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

INSIDE MAIL

(Office Use Only)

MC (6)

John L. Mica
(Full Name)

2195 Via Tuscany
(Mailing Address)

Winter Park FL 32789

Daytime Telephone: 202--225-4035

Filer Status
[X] Member of the U S House of Representatives — State: FL District: 07
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [X] No [ ]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [ ] No [X]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5/12/99

2480

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$ 500
Railway Progress Institute, Alexandria, VA	Speech	Feb. 20, 1998	$500.00

Name John L. Mica	Page 1 of 2

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT	*Examples:* SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	15 3rd Street, NE, Wash. DC, Units 2,4,5 & two garage units	$250,001 - $500,000	Rent	$5,001 - $15,000	
	305 East Capitol Street, SE, Washington DC, 50% interest, rental unit	$100,001 - $250,000	Rent	$5,001 - $15,000	
	Park Lake Woods, Condo Rental, units 8B, 9B, Maitland, FL	$100,001 - $250,000	Rent	$5,001 - $15,000	
	Park Lake Woods, Condo Rental, unit 5A, Maitland, FL, 50% partnership	$15,001 - $50,000	Rent	$2,501 - $5,000	
	2195 Via Tuscany, Winter Park, FL	$500,001 - $1,000,000	None, personal residence	None	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Lot 21, Chetola Estates, Blowing Rock, NC	$250,001 - $500,000	None, personal residence	None	
	1305 S Atlantic Ave , Unit 130, Cocoa Beach, FL	$100,001 - $250,000	Rent	$2,501 - $5,000	
	Bank of Winter Park/Huntington Bank, Winter Park, FL	$500,001 - $1,000,000	Interest	$15,001 - $50,000	
	National Bank of Commerce, Winter Park, FL	$250,001 - $500,000	Interest	$15,001 - $50,000	
	United Bank, Washington, DC	$15,001 - $50,000	Interest	$201 - $1,000	
	U S Senate Federal Credit Union, Wash , DC (IRA and Savings)	$15,001 - $50,000	Interest	$201 - $1,000	
	Wright Patman Congressional Federal Credit Union, Wash , DC	$1 - $1,000	Interest	$1 - $200	
	Delta Chi Alumni Assoc Loan to Housing Fund	$1,001 - $15,000	None, Principal only	None	Mortgage Satisfied
	First Citizens Bank, Blowing Rock, NC	$1 - $1,000	Non-interest checking	None	
	Metropolitan Life (IRA)	$100,001 - $250,000	Interest	None	
	Townhouse lots 9,10,11,12, Temple Trail Village Subdivision, Winter Park, FL	$100,001 - $250,000	None	None	
	Mortgage Note, Temple Trail Village Partnership, Robert A Koch, Partner	$100,001 - $250,000	Interest	None	

Name John L. Mica	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child.
amount owed during the year
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	First Union Bank, Raleigh, NC	Mortgage on Unit 130, Cocoa Beach Condominium, Paid in full in 1998.	$15,001 - $50,000
	American Savings Bank	Mortgage on Units 8 & 9, Park Lake Woods Condominium, Maitland, FL	$15,001 - $50,000
	GMAC Mortgage Corp., Waterloo, IA	Mortgage on Unit 5A, Park Lake Woods Condominium, Maitland, FL	$15,001 - $50,000

Name John L. Mica	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Partner	Mica/Temple Trail Properties, Unit 5A, Park Lake Woods Condominium, Winter Park, FL

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 3:13
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(7)

CONGRESSWOMAN JUANITA MILLENDER McDONALD
(Full Name)

419 Cannon House Office Building
(Mailing Address)

Washington, DC 20515

Daytime Telephone: 202/225-7924

HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: California District: 37	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [x]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [x]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [x]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [x]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

Juanita Millender-McDonald

DATE (Month/Day/Year)

5-14-99

2486

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Los Angeles County (Retired 3/28/97)	Spouse's Salary	N/A
	Los Angeles Office of Education (Retired 6/30/97)	Spouse's Salary	N/A
	Alpha 2 Omega Computer Systems	Spouse's Business Income	N/A

For payments to charity in lieu of honoraria, use Schedule II

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Juanita McDonald — Page 3 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B													BLOCK C							BLOCK D										BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock				X								X																		P
			Simon & Schuster	Indefinite																		Royalties				X				X			
			1st Bank of Paducah, KY accounts				X										X										X						
JT	Chatfield Dean & Company				X												X					X										
JT	Mark Johnson Racing Stable						X										X					X										
JT	The Fox Group/Century Prop. Accts.				X																	X										
	Los Angeles Teachers Credit Union					X											X								X							
	Holden Group-Deferred Comp						X															N/A										
SP	County Employees Credit Union Accounts						X										X									X						

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Juanita McDonald

Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income								BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Bank of America Accounts			X												X					X											
JT	Union Bank Accounts				X											X								X								
JT	850-856 W. 129th Street Gardena							X							X										X							
JT	1236 W. 64th Street Los Angeles						X								X						X											
JT	803 N. Grandee, Compton						X								X										X							
SP	1st Federal Credit Union						X									X																
SP	Horizons - Deferred Comp. Account						X									X																
	Security First Group IRA Account			X																												
SP	Security First Group IRA Account			X																												
JT	Unimproved land near Lancaster, CA						X														X											
JT	U.S. Savings Bond (since '84 or '85)				X																X											
JT	Alpha 2 Omega Computer Systems							X													X											
	Thrift Savings Plan 401 (K)				X											X							X									
	Gov't Securities, bonds & Stocks																															
	225B "C" Street, NE Washington, DC Condominium						X														X											P

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	California Credit Union (formerly Los Angeles Teacher's Credit Union)	Loan		X								
JT	Nationsbank	Mortgage on W.129th Street		X								
JT	Cal Fed	Mortgage on W.64th Street		X								
SP	County Employee's Credit Union	Loan			X							
	Mellon Mortgage Co., Pasadena, CA	Mortgage on 225B "C" Street				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Mbr/Board of Directors	Southern Christian Leadership Conference
Mbr/Board of Directors	National Com. on Teaching and America's Future
Panel Member	National Education Goals Panel
Ambassador	University of Southern California
	*** All Positions are Uncompensated ***

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

JUANITA MILLENDER-McDONALD
37TH DISTRICT, CALIFORNIA

419 CANNON BUILDING
WASHINGTON, DC 20515-0537
(202) 225-7924
FAX (202) 225-7926

970 WEST 190TH STREET
EAST TOWER, SUITE 900
TORRANCE, CA 90502
(310) 538-1190
FAX (310) 538-9672

E-MAIL Millender McDonald@mail house gov
www house gov/millender-mcdonald

Congress of the United States
House of Representatives
Washington, DC 20515-0537

COMMITTEE ON
TRANSPORTATION AND
INFRASTRUCTURE
SUBCOMMITTEES ON
AVIATION
SURFACE TRANSPORTATION

COMMITTEE ON
SMALL BUSINESS
RANKING MEMBER
SUBCOMMITTEE ON TAX, FINANCE AND EXPORTS
SUBCOMMITTEE ON
EMPOWERMENT

DEMOCRATIC REGION ONE WHIP
CONGRESSIONAL WOMEN'S CAUCUS
CO-CHAIR WOMEN OWNED BUSINESS
LEGISLATIVE TEAM

May 17, 1999

To: Committee on Standards of Official Conduct

From: Congresswoman Juanita Millender-McDonald

Re: Financial Disclosure Statement for Calendar Year 1998

Enclosed is the original plus two copies of my "Financial Disclosure Statement for Calendar Year 1998 "

Enclosures (3)

UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

99 MAY 17 PM 4:00
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
17
HAND DELIVERED
(Office Use Only)

Dan Miller
(Full Name)

102 Cannon HOB
(Mailing Address)

Washington DC 20515
Daytime Telephone 202-225-5015

Filer Status
[X] Member of the U.S. House of Representatives — State: FL District: 13
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL — DATE (Month/Day/Year) May 17, 199

2493

Name Dan Miller	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Kathryn Polk Darsey Trust	Spouse Trustee Fee	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Dan Miller	Page 1 of 1

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium.
A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$ 500

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT	*Examples.* SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	P
	Coastal Corp	$1,001 - $15,000	Dividends	None	P
	Compaq Computer	$1,001 - $15,000	Dividends	None	P
	Dayton Hudson Corp	$1,001 - $15,000	Dividends	$1 - $200	P
	EMC Corp-Mass	$15,001 - $50,000	Dividends	None	P
	Gillette Co	$1,001 - $15,000	Dividends	$1 - $200	P
	The Gap Inc	$1,001 - $15,000	Dividends	$1 - $200	P
	Marriott Intl Inc	$1,001 - $15,000	Dividends	$1 - $200	P

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Schlumberger Ltd	$15,001 - $50,000	Dividends	$1 - $200	P
	Mary A. Miller Family Limited Partnership	$50,001 - $100,000	Dividends	None	Other
	Oracle Corp	None	Dividends	None	S
	Pepsico Inc Notes	None	Interest	$201 - $1,000	S
	US Treasury Bill	$15,001 - $50,000	Interest	None	P
	Fannie Mae	$15,001 - $50,000	Dividends	$201 - $1,000	P
	Lilly Eli & Co	$15,001 - $50,000	Dividends	$1 - $200	P
	MCI WorldCom, Inc.	$15,001 - $50,000	Dividends	None	P
	Huntington Banks	$1,001 - $15,000	Interest	$1 - $200	
	Manatech Plastics, Inc.	$50,001 - $100,000	Dividends	$5,001 - $15,000	
	CATS Corp Bonds IRA	None	Interest	$5,001 - $15,000	S
	American Century Ultra Fund - IRA	$50,001 - $100,000	Dividends	$5,001 - $15,000	P
	Nationsbank	$15,001 - $50,000	Interest	$1,001 - $2,500	
SP	210 acres Louisiana	$250,001 - $500,000	Capital Gains	$100,001 - $1,000,000	
	U S Treasury Notes	None	Interest	$15,001 - $50,000	S
	Smith-Barney Money Market	$15,001 - $50,000	Interest	$2,501 - $5,000	
	Segrest-Miller Corp.	$1,000,001 - $5,000,000	Dividends	$100,001 - $1,000,000	
	Miller Brothers of Manatee	$100,001 - $250,000	Dividends	None	
	Barnett Bank	$1,001 - $15,000	Interest	$1 - $200	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Federal National Mortgage	$15,001 - $50,000	Interest	$2,501 - $5,000	S
	Pimco Mutual Fund	$15,001 - $50,000	Capital Gains and Dividends	$15,001 - $50,000	P
	Miller Real Estate	None	Dividends	$1,001 - $2,500	S
	Miller Enterprises of Manatee	$1,000,001 - $5,000,000	Dividends	None	
	Suncoast of Manatee, Inc	$1,000,001 - $5,000,000	Dividends	$100,001 - $1,000,000	
	American Express Notes	$1,001 - $15,000	Interest	$201 - $1,000	
	Don Miller Development Corp	$250,001 - $500,000	Dividends	$1,001 - $2,500	
	Millers Pier Corp	$100,001 - $250,000	Dividends	None	
	St Louis Revenue Bonds	$15,001 - $50,000	Interest	$1,001 - $2,500	
	Suncoast Deliveries, Inc	$1,001 - $15,000	Dividends	None	
	First Commerce Corp	$250,001 - $500,000	Dividends	$5,001 - $15,000	
	Congressional Credit Union	$1,001 - $15,000	Interest	$1 - $200	
	Norwest Corp notes	$15,001 - $50,000	Interest	$1,001 - $2,500	S
	AT&T notes	$15,001 - $50,000	Interest	$1,001 - $2,500	S
	IBM notes	$15,001 - $50,000	Interest	$1,001 - $2,500	
	Fed Home Loan Bank notes	$50,001 - $100,000	Interest	$2,501 - $5,000	
	AFLAC Inc	$15,001 - $50,000	Dividends	$1 - $200	P
	Abbott Laboratories	$15,001 - $50,000	Dividends	$201 - $1,000	P
	Electronic Data System	$15,001 - $50,000	Dividends	$201 - $1,000	P

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	General Electric	$15,001 - $50,000	Dividends	$201 - $1,000	P
	McDonalds	$15,001 - $50,000	Dividends	$1 - $200	
	Oracle	$1,001 - $15,000	Dividends	None	P AND S
	Pepsico	$15,001 - $50,000	Dividends	$201 - $1,000	P
	Pitney-Bowes	$15,001 - $50,000	Dividends	$201 - $1,000	
	Smith Kline Beecham	$15,001 - $50,000	Dividends	$201 - $1,000	P
	Stryker Corp	$15,001 - $50,000	Dividends	$1 - $200	P
	Walgreen Company	$15,001 - $50,000	Dividends	$1 - $200	
	Disney (Walt) Holding Co	$15,001 - $50,000	Dividends	$1 - $200	P
	Wachovia Corp notes	$1,001 - $15,000	Interest	$201 - $1,000	
	Franklin Small Cap Growth (Formerly Franklin Strategic Mutual Fund)	$15,001 - $50,000	Capital Gains and Dividends	$201 - $1,000	
	Putman Cap Mutual Fund	$50,001 - $100,000	Capital Gains and Dividends	$1,001 - $2,500	P
	Putman New Op Mutual Fund	$50,001 - $100,000	Capital Gains and Dividends	$1,001 - $2,500	P
	American Bank	$1 - $1,000	Interest	None	
	Automatic Data Processing	$15,001 - $50,000	Dividends	$1 - $200	
	Banc One Corp (Acquired First Commerce Corp)	$250,001 - $500,000	Dividends	$5,001 - $15,000	P
	Chubb Corp	$1,001 - $15,000	Dividends	$201 - $1,000	
	First Data	$15,001 - $50,000	Dividends	$1 - $200	P
	Home Depot	$15,001 - $50,000	Dividends	$1 - $200	P

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Intel Corp	$15,001 - $50,000	Dividends	$1 - $200	P
	Sunamerica Inc.	$15,001 - $50,000	Dividends	$1 - $200	P
	Thermo Electronic Corp	$1,001 - $15,000	Dividends	None	
	Oakmark Fund IRA	$15,001 - $50,000	Dividends	$2,501 - $5,000	P
	Travelers Property Casualty Corp	$1,001 - $15,000	Interest	$201 - $1,000	
	American Century Growth Investors IRA	$1,001 - $15,000	Dividends	$1,001 - $2,500	P AND S
	Schwab One Money Market	$1,001 - $15,000	Interest	$1,001 - $2,500	
	Prime Fund Cap Reserves (Brookstreet Money Market)	$1,001 - $15,000	Interest	$201 - $1,000	
	Mutual Series Mutual Discovery	$15,001 - $50,000	Capital Gains and Dividends	$1,001 - $2,500	P
	Seligman Henderson Global Tech	$15,001 - $50,000	Capital Gains	$201 - $1,000	P
	American General Cap Preferred Stock	$1,001 - $15,000	Interest	$1 - $200	P
	GTE Delaware Preferred Stock	$1,001 - $15,000	Interest	$1 - $200	P
	IBM Corp. Preferred Stock	$1,001 - $15,000	Interest	None	P
	American Express Co	$1,001 - $15,000	Dividends	$1 - $200	P
	American Home Products	$1,001 - $15,000	Dividends	$1 - $200	P
	Cisco Systems Inc	$50,001 - $100,000	Dividends	None	P
	Citigroup, Inc(formerl Travelers Group, Inc.)	$1,001 - $15,000	Dividends	$1 - $200	P

2500

Name Dan Miller	Page 1 of 4

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	The Gap Inc	Purchase	8/4/98	$1,001 - $15,000
	Travelers Group, Inc (named changed to Citigroup, Inc)	Purchase	8/4/98,8/28/98	$1,001 - $15,000
	AT & T Corp Notes	Sold	5/5/98	$1,001 - $15,000
	Norwest Corp Notes	Sold	6/18/98	$1,001 - $15,000
	Oracle Corp	Sold	6/17/98,8/4/98	$15,001 - $50,000
	Pepsico Inc Notes	Sold	4/27/98	$15,001 - $50,000
	U S Treasury Bill	Purchase	4/27/98	$15,001 - $50,000
	US Treasury Notes	Sold	7/14/98,10/15/98	$15,001 - $50,000
	Intel Corp	Purchase	3/9/98	$1,001 - $15,000
	Fannie Mae	Purchase	4/28/98	$1,001 - $15,000
	Marriott Intl Inc	Purchase	4/28/98	$1,001 - $15,000
	Oracle Corporation	Purchase	8/4/98	$1,001 - $15,000
	Oracle Corporation	Sold	6/17/98	$1,001 - $15,000
	Norwest Corp notes	Sold	6/18/98	$1,001 - $15,000
	Electronic Data System	Purchase	8/4/98	$1,001 - $15,000
	Pepsico	Purchase	8/4/98	$1,001 - $15,000

2501

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Banc One Corp	Purchase	8/4/98,8/28/98	$1,001 - $15,000
	Cisco Systems, Inc	Purchase	8/28/98	$1,001 - $15,000
	First Data	Purchase	12/3/98	$1,001 - $15,000
	Home Depot	Purchase	8/4/98	$1,001 - $15,000
	Sunamerica	Purchase	8/4/98	$1,001 - $15,000
	Federal National Mortgage Assoc	Sold	11/30/98	$1,001 - $15,000
	Oakmark Fund	Purchase	8/5/98,11/23/98	$1,001 - $15,000
	CATS Bonds	Sold	7/14/98	$50,001 - $100,000
	Putman Capital Appreciation	Purchase	3/25/98,4/28/98,5/28/98,12/22/98	$15,001 - $50,000
	Mutual Series Mutual Discovery	Purchase	3/25/98,4/28/98,5/28/98,7/20/98,12/28/98	$15,001 - $50,000
	Seligman Henderson Global Tech	Purchase	3/25/98,4/28/98,5/28/98,6/25/98,11/25/98	$15,001 - $50,000
	Pimco Mutual Fund	Purchase	12/15/98	$1,001 - $15,000
	Putman New Op Mutual Fund	Purchase	12/21/98	$1,001 - $15,000
	American Century Growth	Sold	5/8/98	$1,001 - $15,000
	American Century Growth	Purchase	12/18/98	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	American Century Ultra	Purchase	5/12/987/20/98,12/20/98	$50,001 - $100,000
	American General Cap Prefered Stock	Purchase	12/1/98	$1,001 - $15,000
	GTE Delaware Preferred Stock	Purchase	12/1/98	$1,001 - $15,000
	IBM Corp Preferred Stock	Purchase	12/1/98	$1,001 - $15,000
	AFLAC Inc	Purchase	8/4/98,8/28/98	$1,001 - $15,000
	Abbott Laboratories	Purchase	8/4/98	$1,001 - $15,000
	American Express Co.	Purchase	8/28/98	$1,001 - $15,000
	American Home Products	Purchase	8/4/98	$1,001 - $15,000
	Coastal Corp	Purchase	12/3/98	$1,001 - $15,000
	Compaq Computer	Purchase	12/3/98	$1,001 - $15,000
	Dayton Hudson Corp	Purchase	8/28/98	$1,001 - $15,000
	Disney Holding Co	Purchase	8/4/98	$1,001 - $15,000
	EMC Corp-Mass	Purchase	8/4/98	$1,001 - $15,000
	General ElectricCo	Purchase	8/28/98	$1,001 - $15,000
	Gillette Co.	Purchase	8/28/98	$1,001 - $15,000
	HBO & Company of Georgia	Purchase	8/28/98	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	HBO & Company of Georgia	Sold	10/26/98	$1,001 - $15,000
	Lilly Eli & Co.	Purchase	3/9/98,4/28/988/4/98	$15,001 - $50,000
	MCI WorldCom, Inc	Purchase	8/4/98,6/17/98,12/3/98	$15,001 - $50,000
	Schlumberger Ltd.	Purchase	3/9/98,8/4/98,8/28/98	$15,001 - $50,000
	SmithKline Beecham	Purchase	12/3/98	$1,001 - $15,000
	Stryker Corp.	Purchase	12/3/98	$1,001 - $15,000

Name Dan Miller	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. amount owed during the year.

Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	Miller Enterprises	Loan	$100,001 - $250,000

Name Dan Miller	Page 1 of 1

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship. to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered to clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270

2506

Name Dan Miller	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash. D.C.-Chicago-Wash. D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash. D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Western States Coalition	Aug 25-29, 1998	Wash, DC - Portland, OR - Hood River, OR - Lake Chelan, WA- Anchorage, AK - Fairbanks, AK- Tampa, FL	Y	Y	Y	None
American Israel Education Foundation	May 23-29, 1998	Wash, DC - Jerusalem, Israel - Golan Heights, Israel - Tel Aviv, Israel - Tampa, FL	Y	Y	Y	None

Name Dan Miller	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Director	Miller Enterprises
Director	Miller Brothers
Director	Don Miller Development
Director	Miller's Pier
Director	Segrist-Miller Corp
Director	Manatech Plastics
General Partner	Mary A, Miller Family Limited Partnership

2508

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 21 PM 1:
OFFICE OF THE
U.S. HOUSE OF REPRES

MC MAY - 5 1999 (10)
MAY 15 1999 (Date Rec'd Only)

Gary G. Miller
(Full Name)

721 S. Brea Canyon Road, Suite 7 — 909 444-1761
(Mailing Address) — Daytime Telephone

Diamond Bar, CA 91789

Filer Status	[X] Member of the U.S. House of Representatives	State California Distrct. 41	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL — **DATE** (Month/Day/Year) 5/14/99

2510

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of California	Legislative Salary	70,768.
	Consulting - Self Employed	Spouse Salary	

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Gary G. Miller

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																	P
			Simon & Schuster			Indefinite																Royalties											
			1st Bank of Paducah, KY accounts						X								X										X						
			State of California-401K					X																										
			California PERS			X																												
			Annunity - Bankers United Life Assurance Company				X																											
			Gary IRA - Salomon Smith Barney	SEE LINE BELOW																														
			MAINSTAY EQUITY INDEX FD CL A				X																											
			MAINSTAY FDS TOTAL RETURN FD				X																											
DC			SALOMON SMITH BARNEY MONEY FUNDS CASH PORTFOLIO CLASS A			X										X								X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name GARY G. MILLER | Page 4 of 10

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	IRA - SALOMON SMITH BARNEY	SEE NEXT 2 LINES																												
	MAINSTAY EQUITY INDEX FD CL A			X										X								X								
	MAINSTAY FDS TOTAL RETURN RD			X										X								X								
	SEP IRA-SALAMON SMITH BARNEY	SEE NEXT 9 LINES																												
	SB MONEY FDS RETIRE PORT CL A			X										X								X								
	BANKAMERICA CORP NEW			X										X									X							
	BANKERS TRUST CORP			X										X									X							
	GENERAL ELECTRIC CO			X										X								X								
	GILLETTE CO			X										X								X								
	HOME DEPOT INC			X										X								X								
	INTEL CORP			X										X								X								
	MICROSOFT CORP				X									X							X									
	MOTOROLA INC DE			X										X								X								
	STOCK HOLDINGS:																													
	SB MONEY FUNDS CASH PORT CL A					X								X											X					
	ATLANTIC RICHFIELD CO DE			X										X								X								
	CIT GROUP INC CLASS A			X										X								X								
	CISCO SYS INC			X										X							X									
	E I DU PONT DE NEMOURS & CO			X										X								X								
	GOLDEN WEST FINL COROP-DEL-			X										X								X								
	HRPT PPTYS TR SH BEN INT			X										X									X							
	HEWLETT PACKARD CO			X										X								X								

This page may be copied if more space is required.

2513

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name GARY G MILLER

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	INGERSOLL RAND CO			X										X								X									
	ELI LILLY & CO			X										X								X									
	MCI WORLDCOM INC			X										X							X										
	MOBIL CORP			X										X								X									
	MONSANTO CO			X										X								X									
	PACIFICORP			X										X									X								
	TEMPLE INLAND INC			X										X								X									
	WAL-MART STORES INC			X										X								X									
	WASHINGTON MUTUAL INC			X										X								X									
	COMMON STOCK,G.MILLER DEV.CO.								X												X										
	COMMON STOCK,CAROLINE PACIFIC						X														X										
	Bank of America					X										X						X										
	Quaker City Federal Savings							X								X										X					
	Pomona First Federal								X							X									X						
	SouthCoast Commercial Bank						X									X									X						
	Fidelity Federal	X														X										X					
	APN - STANDS FOR ASSESSOR PARCEL NUMBER																															
	APN 8503 003 006 VACANT LAND 88+ ACRE IN MONROVIA,CA										X										X										
	APN 8503 015 005 VACANT LAND 2.53 ACRE IN MONROVIA, CA							X													X										
	APN 8501 009 008 VACANT LAND 75 ACRE IN MONROVIA, CA										X										X										
	APN 239 182 64 VACANT LAND RESIDENTIAL, 6+ ACRE IN RIALTO,CA								X												X										
	APN 239 182 62 VACANT LAND RESIDENTIAL, 3+ ACRE IN RILATO,CA							X													X										

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name GARY G MILLER

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	APN 239 301 01 VACANT LAND RESIDENTIAL 2+ACRE IN RIALTO,CA							X													X									
	APN 239 301 02 VACANT LAND RESIDENTIAL 1+ ACRE IN RIALTO,CA						X														X									
	APN 239 193 11 VACANT LAND INDUSTRIAL 5 ACRE IN RIALTO, CA								X												X									
	APN 8713 013 009 VACANT LAND COMMERCIAL 1 ACRE IN DIAMOND BAR, CA								X												X									
	LOT 15 TRACT NO.23483 DIAMOND BAR,CA IMPROVED 1+ ACRE RESIDENTIAL								X												X									
	LOT 16 TRACT NO.23483 DIAMOND BAR,CA IMPROVED 1+ ACRE RESIDENTIAL								X												X									
	LOT 91 TRACT NO.23483 DIAMOND BAR,CA IMPROVED 1.4 ACRE RESIDENTIAL								X												X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name GARY G MILLER | Page 7 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
	ZITEL CORP		X		09/04/98	X									
	ZITEL CORP		X		09/04/98		X								
	TREEV INC		X		09/04/98	X									
	FORE SYSTEMS INC		X		09/04/98				X						
	CROWN CORK & SEAL CO INC	X			10/12/98	X									
	WASHINGTON MUTUAL	X			09/29/98	X									
	WAL-MART STORES INC	X			09/29/98	X									
	TEMPLE INLAND INC	X			09/29/98	X									
	PACIFICORP	X			12/10/98	X									
	MONSANTO CO	X			11/24/98	X									
	MOBIL CORP	X			09/29/98	X									
	MCI WORLDCOM INC	X			09/29/98	X									
	ELI LILLY & CO	X			09/29/98	X									
	INGERSOLL RAND CO	X			09/29/98	X									
	HEWLETT PACKARD CO	X			09/29/98	X									
	HRPT PPTYS TR SH BEN INT	X			09/29/98	X									
	GOLDEN WEST FINL CORP-DEL-	X			10/12/98	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name GARY G MILLER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
	E I DU PONT DE NEMOURS & CO	X			10/12/98	X									
	CROWN CORK & SEAL CO INC		X		12/01/98	X									
	CISCO SYS INC	X			09/30/98	X									
	CALEDONIA MINING CORP		X		09/04/98	X									
	CIT GROUP INC CLASS A	X			11/13/98	X									
	ATLANTIC RICHFIELD CO DE	X			12/18/98	X									
	ASCEND COMMUNICATIONS INC		X		09/28/98				X						
	ANDREA ELECTRONICS CORP		X		09/04/98		X								
	ANDREA ELECTRONICS CORP		X		09/04/98	X									
	ACT NETWORKS INC		X		09/04/98			X							
	ACT NETWORKS INC		X		09/08/98	X									
	FORE SYSTEMS INC		X		09/08/98	X									
DC	FORE SYSTEMS INC		X		09/08/98	X									

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	FIRST CARD: ELGIN,ILL	MILEAGE PLUS CREDIT CARD	X									
	Paid in full every month.											
	FIRST CARD: ELGIN,ILL	MILEAGE PLUS CREDIT CARD	X									
	Paid in full every month.											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

2518

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	C.MILLER DEVELOPMENT CO
SECRETARY	CAROLINE PACIFIC CORP

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

GARY G. MILLER
41st District, California

1037 Longworth Building
Washington, DC 20515
(202) 225-3201

22632 Golden Springs Drive
Suite 350
Diamond Bar, CA 91765
(909) 612-4677

UNITED STATES
HOUSE OF REPRESENTATIVES

RECEIVED
99 JUN 11 PM 2:48
FILE OF THE CLERK

COMMITTEE ON THE BUDGET
COMMITTEE ON SCIENCE
COMMITTEE ON TRANSPORTATION AND INFRASTRUCTURE
ASSISTANT WHIP AT LARGE

INSIDE MAIL

(1)

June 3, 1999

Mr Jeff Trandahl
Clerk of the House
U.S. House of Representatives
H-154, The Capitol
Washington, D.C. 20515

✓
MC

Dear Mr. Trandahl.

I respectfully request your assistance in clarifying an item on my recently filed "Ethics in Government Act Finacial Disclosure Statement for 1998."

The SEP IRA–Salamon Smith Barney listing which I disclosed on page 4 of 10 was also held by me in calendar year 1997. I apologize for this oversight and the fact that it was inadvertently not listed on my statement.

Thank you for your assistance on this matter. If you have any questions, please feel free to contact me at 202-225-3201.

Sincerely,

Gary G. Miller

GARY G. MILLER
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
'99 MAY 14 AM 10:54
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(3)
HAND DELIVERED
(Office Use Only)

George Miller
(Full Name)

2205 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

202-225-2095
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: California District: 7	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL George Miller

DATE (Month/Day/Year) 5-1-99

2521

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: George Miller — Page 2 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E
SP,	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
DC,			Simon & Schuster			Indefinite																Royalties											
JT			1st Bank of Paducah, KY accounts						X								X										X						
			127 D St., SE, Wash., DC							X						X												X					NA
JT			2002 Greene St., Apt. 412, Columbia, SC (half interest w/Son)					X								X							X										NA
			IRA Account - Charles Schwab Co.			X									X			X								X							NA
SP			IRA Account - Charles Schwab Co.			X									X			X								X							NA
JT			Cong. Fed. Credit Union Savings Acct., Wash., DC, from refinance of 127 D St.			X											X						X										NA
			GE Interest Plus Savings Acct. - transfer from Cong. Fed. Credit Union			X											X								X								NA

For additional assets and unearned income, use next page.

2522

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name George Miller

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Greenstar Telecom Inc. 500 shares			X												X								X						NA
	New Age Media Fund Inc. 183 shares			X													X					X									NA
	Bluestar Battery 300 shares			X													X						X								NA
SP	Strong Growth Fund 119.34 shares			X													X					X									NA
	Stock Fund, S.I.F.E. Trust Walnut Creek, CA (IRA)				X									X			X								X						NA

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Security Mortgage Co., Columbia, SC	mortgage on 2002 Greene St., Apt. 412, Columbia, SC			X							
	General Electric Capital Mortgage St. Louis, Missouri (refinance)	mortgage on 127 D St., SE Wash., DC				X						
	General Electric Capital Home Equity St. Louis, Missouri - PAID OFF	home equity on 127 D St., SE Wash., DC		X								
JT	Cong. Fed. Credit Union - Home Equity	home equity on 127 D St., SE Wash., DC		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
The Aspen Institute	Feb. 13-17	Wash., DC-Charleston-Wash., DC	y	y	y	2 days
Citizens Trade Campaign	Apr. 6-9	San Francisco-San Diego-Tijuana-Los Angeles-SF	n	y	n	n
The Aspen Institute	Apr. 13-18	San Francisco-Hawaii-San Francisco	y	y	y	n
The Aspen Institute	May 26 - June 2	San Francisco-Geneva-Wash., DC	y	y	y	2 days
Robert F. Kennedy Memorial Center for Human Rights	July 24-27	Wash., DC-Guatemala City-, Wash., DC	y	y	n	n
The Aspen Institute	Aug. 16-28	San Francisco-Hungary-San Francisco	y	y	y	6 days
Jim Ghielmetti	Sept. 18	San Francisco-Palm Springs-San Francisco	n	n	n	n

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 PM 12:53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

(6)

David Minge
(Full Name)

RR4 Box 183
Montevideo MN 56265
(Mailing Address)

Daytime Telephone (202) 225-2331

Filer Status
- [X] Member of the U.S. House of Representatives — State MN, District 2
- [ ] Officer or Employee — Employing Office.

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) May 14, 1999

2526

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	The Luther Institute	Spouse wages	NA
	Trust U/W Martha S. Gibb	Spouse fees	NA
	Susan and Peter Tuch	Spouse fees	NA

For payments to charity in lieu of honoraria, use Schedule II.

58-074 99 - 22

2527

Name David Minge | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

				Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP.	*Examples:*	SP	Mega Corp. Stock					X								X																		P
DC.			Simon & Schuster			Indefinite																Royalties												
JT			1st Bank of Paducah, KY accounts						X									X									X							
JT	105 acres land Montevideo MN							X								X									X								
SP	Appleton State Bk IRA			X														X					NA										E (rollover)
	Maynard Citizens SB IRA			X														X					NA										E (rollover)
	Mutual Shares IRA					X										X			X								X						
JT	Montevideo Ind Dev Corp bonds				X													X						X									
JT	Mountain Lot - Laramie WY					X										NA							X										

For additional assets and unearned income, use next page.

2528

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name David Minge | Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Prudential Annuity	X														X						N	A								E (rollover)
	Prudential Annuity	X												X								N	A								E (rollover)
	Pebsco - Am Century 20th Gr						X							X			X					N	A								
	Pebsco - Wash Fund of Am					X								X			X					N	A								
	TIAA - Cref					X								X		X	X					N	A								
SP	Remainder Interest Gaylord house			X																		X									
SP	Lincoln Nat'l Life Annuity			X										X								N	A								
	TRowe P European F IRA				X									X			X					N	A								E (rollover)
	TMCGN Real Estate Ptrship	X														X	X								X						S in '93
	Nelson Oyen Torvik Minge & Lilletsun Law Partnership			X												X	X									X					S in '93
SP	Penn Mutual Diversifier II			X										N	A							N	A								P
	Vanguard Growth IRA				X									X			X					N	A								P + E
SP	T Rowe P Equity Income IRA				X									X			X					N	A								P + E
JT	Vanguard Prime Port. M Mkt						X							X											X						P + S
JT	Vanguard Bond Index Fund				X									X			X								X						P + S
JT	Vanguard Index Trust 500			X										X			X						X								P + S
SP	Remainder Interest Cabin Pine River Area				X									N	A							X									
JT	US treasury bill			X												X								X							
SP	LB VIP annuity IRA			X										N	A							N	A								P
JT	Ed Jones - Mpls + St P Hsg Bond			X													X							X							P
JT	Ed Jones - Mpls + St P Arpts Bond			X												X						X									P
JT	Ed Jones - Farmington Sch Bond			X												X								X							

This page may be copied if more space is required.

2529

Name David Minge | Page 5 of 6

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	Ed Jones - Mpls + St Paul Hsg Bond	X			1-27-98	X									
JT	Ed Jones - Mpls + St. Paul Arpts Bond	X			11-16-98		X								
JT	Vanguard Index Trust 500	X			5-14-98	X									
JT	Vanguard Index Trust 500	X			6-8-98	X									
JT	Vanguard Index Trust 500		X		6-25-98		X								
JT	Vanguard Total Bond Mkt Index Fund	X			2-17-98	X									
JT	Vanguard Total Bond Mkt Index Fund		X		4-20-98	X									
JT	Vanguard Prime Money Mkt Fund	X	X		Various			X							

This page may be copied if more space is required.

2530

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Int'l Mgmt + Development Inst.	Jan 13-21	Wash. D.C. - London - Oslo - Wash. D.C.	Y	Y	N	N
US/Japan LEP Friendship Comm	Dec. 1-7	Mpls. MN - Tokyo - Wash. DC	Y	Y	N	2 days

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 11:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(9)

HAND DELIVERED
(Office Use Only)

Patsy T. Mink
(Full Name)

2135 Rayburn House office Building 202-2254906
(Mailing Address) Daytime Telephone:

Washington DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: Hawaii Distrct: 2nd	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Patsy T. Mink

DATE (Month/Day/Year) May 14, 1999

2532

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY -6 PM 2:35

CLERK
U.S. HOUSE OF REPRESENTATIVES

MC (Office Use Only) — NOT DELIVERED

Carlos Romero-Barceló (Full Name)

2443 Rayburn HOB (Mailing Address)

Washington, DC 20515

Daytime Telephone (202) 225-2615

Filer Status	[X] Member of the U.S. House of Representatives	State District: P.R.	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Carlos Romero-Barceló DATE (Month/Day/Year) 5/6/99

2533

Name	Carlos Romero-Barceló	Page 1 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Puerto Rico	Pension	$25,300

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Carlos Romero-Barceló — Page 2 of 7

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP	*Examples*	SP	Mega Corp. Stock
DC			Simon & Schuster
JT			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

		A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E, P
SP														X						Royalties											
DC	*Examples*			Indefinite		X										X								X							
JT							X																		X						
JT	Apt. #11 at Washington (P. Rico)								X						X											X					
JT	IRA Banco Bilbao Vizcaya				X											X						X									
JT	KEOGH Cong. Acct.					X								X										X							
JT	109 5th St. NE, WDC							X							X										X						
JT	Puerto Rico - 7, Inc.						X							X							X										
JT	Ponce Bank				X												X					X									

For additional assets and unearned income, use next page.

2535

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Carlos Romero-Barceló | Page 3 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	First Bank - Pto. Rico				X									X									X								
JT	Microsoft Corp.					X								X							X										
JT	Meager Means Investors		X											X							X										
JT	Freddy Mac			X										X							X										P
JT	Gillete			X										X							X										P
JT	Popular Inc.			X										X							X										P
JT	R&G Financial			X										X							X										P
JT	Stewart Enterprises			X										X							X										P
JT	Western Bank			X										X								X									P
JT	Cong. Fed. Credit Union			X												X						X									

This page may be copied if more space is required.

2536

Name Carlos Romero Barceló | Page 4 of 7

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	Freddy Mac	X				X									
JT	Gillete	X				X									
JT	Popular Inc.	X				X									
JT	R&G Financial	X				X									
JT	Stewart Enterprises	X				X									
JT	Western Bank	X				X									
JT	Ponce Bank		X			X									

This page may be copied if more space is required.

2537

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	Banco Bilbao Vizcaya	Mortgage on 57 Washington St. Apt. 11 Puerto Rico				X						
JT	Marine Midland Mortgage Corp	Mortgage on 109 5th St. NE Washington, DC					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Govt. of Spain	Feb.16-20	Wash, DC-Madrid-Wash,DC	Y	Y	Y	Y, 1 day
Univ. of Massachusetts	March 26	Wash, DC-Boston-San Juan	Y	N	N	N
Yale University	April 6	San Juan-NY-Wash, DC	Y	N	N	N
National Council of La Raza	Jul. 20	New York-Wash, DC	Y	N	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	RHR Real Estate, Inc. Uncompensated (Inactive)
President	RGR Landmark, Inc. " (Inactive)
Incorporator	Fundación Romero Donnelly "

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 5:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Ileana Ros-Lehtinen
(Full Name)

2160 Rayburn HOB Washington D.C. 20515 202/225-3931
(Mailing Address) (Daytime Telephone)

HAND DELIVERED
(Office Use Only)

Filer Status: [X] Member of the U.S. House of Representatives — State: Florida District: 18 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 17) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Ileana Ros-Lehtinen — **DATE** (Month/Day/Year) 5/12/99

2541

Name Cong. Ileana Ros-Lehtinen	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
Dexter Lehtinen, Attorney at Law - Various law firm clients		Spouse's law pratice	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ileana Ros-Lehtinen | Page 3 of 5

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 - $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Bank Atlantic-Ft Lauderdale FL		X													X						X										
SP	Community Bank of Homestead FL			X												X								X								
SP	Commercial Bank of FL Miami, FL				X											X								X								
SP	FL State Retirement Plan			X																N/A	X											
	FL State Retirement Plan			X																N/A	X											
SP	Mega BAnk Stock,Miami FL		X											X							X											
(2	IRAs) Community Bank Homestead FL			X												X					X											
	Community Bank of Homestead			X												X						X										

This page may be copied if more space is required.

2543

SCHEDULE IV — TRANSACTIONS

Name: Ileana Ros-Lehtinen

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	None														

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Tulane University	Oct 21-22	Miami,FL-New Orleans,LA New Orleans, LA-Miami,FL	N	N	N	N
Pancyprian Assoc. of Florida	Feb 21-22	Miami,FL-Clearwater,FL Clearwater,FL-Miami,FL	Y	Y	N	N

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 PM 12:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Steven R. Rothman
(Full Name)

P O. Box 650
(Mailing Address)

Daytime Telephone:
202/225-5061

Fair Lawn NJ 07410

Filer Status	[X]	Member of the U.S. House of Representatives	State: NJ District: 09	[ ]	Officer or Employee	Employing Office:
Report Type	[X]	Annual (May 15)	[ ]	Amendment	[ ]	Termination Date: Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Steven R. Rothman

DATE (Month/Day/Year) 5/14/99

2546

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$10,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Twinks Company, LLC, Fair Lawn, NJ (Real Estate)	Manager's Fee	$10,000.00
Philip and Muriel Rothman, Fort Lee, NJ (Business)	Manager's Fee	$8,000.00

For payments to charity in lieu of honoraria, use Schedule II.

Name	Steven R. Rothman	Page 1 of 1

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$ 500
* * * N O N E * * *			

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. **I = Individually owned** For further information, see instructions, pages 14-20			BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP. DC. *Examples:* JT	SP.	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
I		American Century 20th Cent. Ultra (Public Employee Benefits Co.)	$15.001 - $50.000	Dividends	$201 - $1.000	P
I		Dreyfus NJ Money Market Fund	$1.001 - $15.000	Interest	$201 - $1.000	P AND S
I		Wanaque Valley NJ Reg. Sewer Auth.	$15.001 - $50.000	Interest	$201 - $1.000	NA
I		Goldman Sachs Growth Strategy Fund	$1.001 - $15.000	Dividends	$201 - $1.000	P
I		Nationwide Fixed Acct. (Pub. Emp. Bene. Co)	$1.001 - $15.000	Interest	$201 - $1.000	S
I		Bankers Trust St. P. Mkt. L.	$1.001 - $15.000	Interest	$201 - $1.000	NA

2549

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

I	Putnam Voyager Fund	$15.001 - $50.000	Interest	$201 - $1.000	NA
I	Kemper Investors NJ Mun. Cash Fund	$15.001 - $50.000	Interest	$201 - $1.000	P AND S
I	Monsanto Co.	$15.001 - $50.000	Dividends	$1 - $200	P
I	Turbochef	$15.001 - $50.000	Dividends	$1 - $200	P
I	State of Israel	$1 - $1.000	Interest	$1 - $200	NA
I	State of Israel	$1.001 - $15.000	Interest	$1 - $200	NA
I	State of Israel	$1 - $1.000	Interest	$1 - $200	NA
I	State of Israel	$1.001 - $15.000	Interest	$1 - $200	NA
I	State of Israel	$1.001 - $15.000	Interest	$1 - $200	NA
IRA	Merrill Lynch Basic Value Fd.	$15.001 - $50.000	Dividends	$201 - $1.000	NA
IRA	Ford Motor Credit Notes	$1.001 - $15.000	Interest	$201 - $1.000	NA
IRA	Con Ed Debentures	$1.001 - $15.000	Interest	$1 - $200	NA
IRA	Amer. Gen. Corp. Senior Note	$1.001 - $15.000	Interest	$201 - $1.000	NA
IRA	Merrill Lynch Fundamental Growth	$1.001 - $15.000	Dividends	None	P
I	250 North Street, Englewood, NJ (33% owner)	$100.001 - $250.000	Rent	$15.001 - $50.000	NA
I	4 E. Forest Ave., Englewood, NJ (33% owner)	$100.001 - $250.000	Rent	$5.001 - $15.000	NA
I	30 Ruta Ct., S. Hackensack,, NJ (8.7 % owner)	$50.001 - $100.000	Rent	$2.501 - $5.000	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

I	538-540 Huyler St., S. Hackensack, NJ (16-2/3% owner)	$15.001 - $50.000	Rent	$5.001 - $15.000	NA
I	550 Huyler St., S. Hackensack, NJ (16-2/3% owner)	$250.001 - $500.000	Rent	$15.001 - $50.000	NA
I	125 Louis St., S. Hackensack, NJ (5.7% owner)	$100.001 - $250.000	Rent	$5.001 - $15.000	NA
I	425 Victoria Terrace, Ridgefield, NJ (16 2/3% owner)	$100.001 - $250.000	Rent	$15.001 - $50.000	NA
I	150 Louis Street, S. Hackensack, NJ (16 2/3% owner)	$100.001 - $250.000	Rent	$15.001 - $50.000	NA
Partner	Dreyfus, NJ Money Mkt Fund (1/3 owner)	$1.001 - $15.000	Dividends	$201 - $1.000	NA
Partner	Fidelity Mun. Money Mkt Fund (1/3 owner)	$15.001 - $50.000	Dividends	$2.501 - $5.000	NA
Partner	Citibank Savings Account (1/3 owner)	$1.001 - $15.000	Interest	$201 - $1.000	NA
Partner	Roan Mun. Money Mkt. Fd. (5.7% owner)	$1.001 - $15.000	Dividends	$1.001 - $2.500	NA
Partner	9793 Southwick Way, Boca Raton, FL (1/3 owner)	$100.001 - $250.000	N/A	None	NA
I	NJ Tpke. Auth.	$15.001 - $50.000	Interest	$1.001 - $2.500	NA
I	Rutgers St Univ NJ	$15.001 - $50.000	Interest	$2.501 - $5.000	NA
I	Hoboken Union City Weehauken	$1.001 - $15.000	Interest	$201 - $1.000	NA
I	Irvington NJ Pkg. Auth	$15.001 - $50.000	Interest	$1.001 - $2.500	NA
I	Jersey City, NJ Ser	$1.001 - $15.000	Interest	$201 - $1.000	NA
I	New Providence, NJ G.O.	$1.001 - $15.000	Interest	$201 - $1.000	NA
I	New Jersey Eco Dev Auth	$1.001 - $15.000	Interest	$201 - $1.000	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

I	Western Monmouth, NJ Utilities	None	Interest	$1.001 - $2.500	S
I	New Jersey St. G.O. Rtge Se	None	Interest	$1.001 - $2.500	S
I	Massachusetts Bay Transptn.	None	Interest	$1.001 - $2.500	S
I	Passaic Cnty., NJ Utils	None	Interest	$201 - $1.000	S
I	Essex Cnty., NJ	None	Interest	$1.001 - $2.500	S
I	Univ PR Revs SE	None	Interest	$1.001 - $2.500	S
I	Puerto Rico Commonwealth Aqueduct	None	Interest	$1.001 - $2.500	S
I	Puerto Rico HSG Bk and Fin.	None	Interest	$201 - $1.000	S
I	Absecon NJ Brd Ed Ctf	None	Interest	$1.001 - $2.500	S
I	Rutgers St Univ., NJ Revs	$15.001 - $50.000	Interest	$201 - $1.000	P
I	New Jersey St Ed/FACS Auth Rev	$15.001 - $50.000	Interest	$1.001 - $2.500	P
I	Puerto Rico Elec Power Auth	$1.001 - $15.000	Interest	$201 - $1.000	P
I	Somerset Cnty NJ Gen Obliga.	$15.001 - $50.000	Interest	$201 - $1.000	P
I	Puerto Rico Gen Obliga. Public Imp.	$15.001 - $50.000	Interest	$1.001 - $2.500	P
I	Woodbridge Twp NJ Gen Obliga.	$1.001 - $15.000	Interest	$201 - $1.000	P
I	New Jersey St. Transp. Tr. Fd. Auth.	$15.001 - $50.000	Interest	$201 - $1.000	P
I	New York State Dorm Auth Revs.	None	Interest	$201 - $1.000	P SRR
I	New Jersey State Higher Ed Asst. Auth	$50.001 - $100.000	Interest	$1.001 - $2.500	P
I	US Treasury Note	$1.001 - $15.000	Interest	$1 - $200	P

2552

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

I	Kimro Realty Corp. MD Comm	$1.001 - $15.000	Dividends	$1 - $200	P
I	NAB Exchangable Trust	$1.001 - $15.000	Dividends	$1 - $200	P
I	Select Ten Dow 984	$1.001 - $15.000	Dividends	$1 - $200	P
I	Select Yr. Ahead USA 99A	$1.001 - $15.000	Dividends	$1 - $200	P
I	ML Fundamental Growth C	$1.001 - $15.000	Dividends	$1 - $200	P
I	AIM Balanced Fd Cl.C.	$1.001 - $15.000	Dividends	$1 - $200	P
I	Wanaque Vy NJ Reg. Sewer	$15.001 - $50.000	Interest	$201 - $1.000	NA
I	Partner - Summit Checking A/C (1/3 owner)	$1.001 - $15.000	N/A	None	NA
* DC CAREN	M.L. Capital Fund	$15.001 - $50.000	Dividends	$201 - $1.000	P
DC CAREN	M.L. Global Value Fd. A.B.	$1.001 - $15.000	Dividends	$201 - $1.000	NA
DC CAREN	M.L. CMA Fund	$1 - $1.000	Interest	$1 - $200	NA
DC CAREN	Public Service Elec and Gas	None	Interest	$201 - $1.000	S
DC CAREN	Salomon Inc. Notes	None	Interest	$201 - $1.000	S
DC CAREN	GTE North Inc. Debent	$1.001 - $15.000	Interest	$201 - $1.000	NA
DC CAREN	American General Corp.	$1 - $1.000	Interest	$1 - $200	NA
DC CAREN	NM Ford Motor Credit	$15.001 - $50.000	Interest	$201 - $1.000	NA
DC CAREN	NM General Motors Accept	$1.001 - $15.000	Interest	$201 - $1.000	NA
DC CAREN	125 Louis St., S. Hackensack, NJ (5.5% owner)	$15.001 - $50.000	Rent	$5.001 - $15.000	NA
DC CAREN	45 Ruta Ct., S. Hackensack, NJ (6% owner)	$15.001 - $50.000	Rent	$2.501 - $5.000	NA
*	FILER IS TRUSTEE OF HIS CHILD'S TRUST				

2553

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	DC KAREN	AIM Blue Chp Fd Cl.C.	$1.001 - $15.000	Dividends	$201 - $1.000	P
	DC KAREN	Select Yr. Ahead USA 99 A	$1.001 - $15.000	Dividends	$201 - $1.000	P
*	DC JOHN	125 Louis St., S. Hackensack, NJ (5.5% owner)	$15.001 - $50.000	Rent	$5.001 - $15.000	NA
	DC JOHN	45 Ruta Court, S. Hackensack, NJ (6% owner)	$1.001 - $15.000	Rent	$2.501 - $5.000	NA
	DC JOHN	ML. CMA Fund	$1 - $1.000	Interest	$1 - $200	P
	DC JOHN	M. Lynch Capital Fund	$15.001 - $50.000	Dividends	$201 - $1.000	P
	DC JOHN	Public Service Elec. and Gas	None	Interest	$201 - $1.000	S
	DC JOHN	NM Ford Credit Co.	None	Interest	$201 - $1.000	S
	DC JOHN	Salomon Inc. Notes	None	Interest	$201 - $1.000	S
	DC JOHN	GTE North Inc. Debentures	$15.001 - $50.000	Interest	$201 - $1.000	NA
	DC JOHN	American General C of Note	$1.001 - $15.000	Interest	$201 - $1.000	NA
	DC JOHN	Bear Stearns Company Note	$1.001 - $15.000	Interest	$201 - $1.000	NA
	DC JOHN	Somerset Cty, NJ	$1.001 - $15.000	Interest	$201 - $1.000	NA
	DC JOHN	AIM Blue Chip Fund Cl. C.	$1.001 - $15.000	Dividends	$201 - $1.000	P
	DC JOHN	Select Year Ahead USA 99A	$1.001 - $15.000	Dividends	$1 - $200	P
	DC KAREN	Steven R. Rothman Irrev. Life Ins. Trust	$1.001 - $15.000	N/A	None	P
	DC JOHN	Steven R. Rothman Irrev. Life Ins. Trust	$1.001 - $15.000	N/A	None	P

* FILER IS TRUSTEE OF HIS CHILD'S TRUST

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
I	American Cent. 20th Cent. Ultra (Public Employee Benefits Co.)	Purchase	9/30/98	$15.001 - $50.000
I	Dreyfus NJ Money Mkt Fund	Purchase	1/1 - 12/31/99	$50.001 - $100.000
I	Nationwide Fixed Acct (Public Employee Benefits Co.)	Sold	9/30/98	$15.001 - $50.000
I	Kemper Investors NJ Main Cash Fund	Purchase	1/1 12/31/99	$1.001 - $15.000
I	Monsanto Co.	Purchase	7/9/98	$15.001 - $50.000
I	Turbochef	Purchase	6/11/98	$1.001 - $15.000
IRA	Merril Lynch Fundamental Growth	Purchase	11/19/98	$1.001 - $15.000
I	Western Monmouth Utilities	Sold	2/2/98	$1.001 - $15.000
I	New Jersey State G.O. Rtdg Se	Sold	2/17/98	$1.001 - $15.000
I	Massachusetts Bay Transptn	Sold	3/2/98	$15.001 - $50.000
I	Passaic Cnty. NJ Utils	Sold	3/2/98	$1.001 - $15.000
I	Essex Cty NJ	Sold	6/1/98	$15.001 - $50.000
I	Univ PR Revs SE	Sold	6/1/98	$15.001 - $50.000
I	Puerto Rico Commonwealth Aqueduct	Sold	7/1/98	$15.001 - $50.000
I	Puerto Rico Hsg Bk and Fin	Sold	12/1/98	$1.001 - $15.000
I	Absecon, NJ Brd Ed Ct. F.	Sold	12/15/98	$15.001 - $50.000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
I	Rutgers St. Univ. NJ Univ Revs	Purchase	5/12/98	$15.001 - $50.000
I	New Jersey St. Ed FACS Auth Rev	Purchase	1/2/98	$15.001 - $50.000
I	Puerto Rico Elec Power Auth	Purchase	3/20/98	$15.001 - $50.000
I	Somerset Cty NJ Gen Obliga	Purchase	12/7/98	$15.001 - $50.000
I	Puerto Rico Gen Obliga Public Imp.	Purchase	12/15/98	$15.001 - $50.000
I	Woodbridge Twp NJ Gen Obliga	Purchase	4/21/98	$1.001 - $15.000
I	New York St Dorm Auth Revs	Sold	7/1/98	$15.001 - $50.000
I	New Jersey St Higher Ed Asst. Auth	Purchase	2/3/98	$50.001 - $100.000
I	US Treas Note	Purchase	8/31/98	$1.001 - $15.000
I	Kimco Realty Corp Md Comm.	Purchase	8/28/98	$1.001 - $15.000
I	NAB Exchangable Rd Trust	Purchase	9/29/98	$1.001 - $15.000
I	Select Ten Dow 984	Purchase	9/11/98	$1.001 - $15.000
I	Select Yr Ahead USA 99A	Purchase	12/22/98	$1.001 - $15.000
I	ML Fundamental Growth C.	Purchase	8/28/98	$1.001 - $15.000
I	AIM Balanced Fund	Purchase	8/27/98	$1.001 - $15.000
I	Goldman Sachs Growth Strtgy Fund	Purchase	3/13/98	$1.001 - $15.000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
I	Goldman Sachs Growth Strtgy Fund	Purchase	7/9/98	$1,001 - $15,000
DC KAREN	ML Capital Fund	Purchase	1/21/98	$1,001 - $15,000
DC KAREN	Public Service Elec and Gas	Sold	1/2/98	$1,001 - $15,000
DC KAREN	Salomon Inc. Notes	Sold	12/1/98	$1,001 - $15,000
DC KAREN	AIM Blue Chip Fd Cl. C.	Purchase	12/23/98	$1,001 - $15,000
DC KAREN	Select Yr. Ahead USA 99A	Purchase	12/24/98	$1,001 - $15,000
DC JOHN	ML CMA Fund	Purchase	12/2/98	$1,001 - $15,000
DC JOHN	ML Capital Fund	Purchase	12/18/98	$1,001 - $15,000
DC JOHN	Public Service Elec. and Gas	Sold	1/2/98	$1,001 - $15,000
DC JOHN	NM Ford Motor Credit Co.	Sold	5/8/98	$1,001 - $15,000
DC JOHN	Salomon Inc. Notes	Sold	12/1/98	$1,001 - $15,000
DC JOHN	AIM Blue Chip Fd Cl. C.	Purchase	12/18/98	$1,001 - $15,000
DC JOHN	Select Yr Ahead USA 99A	Purchase	12/21/98	$1,001 - $15,000
DC KAREN	Steven R. Rothman Irrev. Life Ins. Trust	Purchase	3/30/98	$1,001 - $15,000
DC JOHN	Steven R. Rothman Irrev. Life Ins. Trust	Purchase	3/30/98	$1,001 - $15,000

Name Steven R. Rothman	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	* * * N O N E * * *		

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.
Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.
Note: The gift rule (House Rule 51, re-numbered to clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270
* * * N O N E * * *		

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug.6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
			N	N	N	
* * * N O N E * * *						

Name Steven R. Rothman	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Trustee	John Abraham Rothman 1998 Trust
Trustee	Karen Bena Rothman 1991 Trust
Partner	Twinks Company, L.L.C.
Partner	Roan Partnership, L.P.
Twinks Company, L.L.C. is a tenant in common in these two organizations:	Folman Company Rofol Company

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A

For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 AM 11:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Margaret Roukema
(Full Name)

249 Greenway Road
(Mailing Address)

Ridgewood, NJ 07450

Daytime Telephone: 202-225-4465

Filer Status	[x]	Member of the U.S. House of Representatives	State/District: NJ 5	[ ] Officer or Employee	Employing Office
Report Type	[x]	Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [x] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II** Yes [ ] No [x]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III** Yes [x] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** Yes [x] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [x]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [x]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII** Yes [ ] No [x]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII** Yes [x] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [x]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [x]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Marge Roukema

DATE (Month/Day/Year): 5/13/99

2562

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
Medical Practice		Spouse Schedule C	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Margaret Roukema | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000		E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
SP							X								X																P
DC	*Examples*		Indefinite																		Royalties										
JT								X									X									X					
SP	Haworth Press		INDEFINITE																		ROYALTIES	x									
	Ridgewood Savings & Loan			x													x						x								
	Bank of New York			x													x						x								
	Congressional Federal Credit Union			x													x						x								
	Merck & Co.					x									x									x							
	Bristol Myers Squibb						x								x									x							

For additional assets and unearned income, use next page.

2564

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Margaret Roukema | Page 4 of 7

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E
	Intel Corp					X								X								X										
	Proctor & Gamble				X									X									X									
	Catepillar Inc.				X									X									X									
	Motorola Inc.			X										X								X										
	Donaldson Lufkin & Jenrette-Cash	X												X								X										
JT	Howard Savings Bank - VT		X													X					X											
	Bank of NY IRA			X												X						X										
SP	Bank of NY IRA			X												X						X										
	PSE&G		X											X								X										
SP	TIAA/CREF																			Annuitized Pension						X					
SP	Keough Plan-Prudential Securities:																															
SP	AIM - Weingarten Fund				X									X			X							X								
SP	American Express				X									X									X									
SP	Becton Dickinson				X									X									X									
SP	Blackrock 1998 Term Trust	X												X							X											S
SP	Blackrock Strategic Term Trust	X												X							X											S
SP	Coca Cola					X								X									X									
SP	Lincoln Ntl CV Sec/F	X												X							X											S
SP	Prudential Equity Income B				X									X			X								X							
SP	Prudential Moneymart Assets				X									X										X								
SP	PSE&G				X									X									X									
SP	Zweig Apprec Fund A				X									X			X							X								

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Margaret Roukema

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Gillette Company				x									x									x									
SP	TSL Holdings	x																		NONE	x										
SP	Motorola Inc.	x												x								x										S
SP	Putnam New Opportunities				x												x							x								
SP	Prudential Utility Fund				x									x							x											P
	Thrift Savings Plan				x											x								x								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Margaret Roukema | Page 6 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	**Asset**														
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
SP	Blackrock 1998 Term Trust		X		12-23-98		X								
SP	Blackrock Strategic Term Trust		X		7-17-98		X								
SP	Lincoln Nat'l CV Sec/F		X		7-17-98		X								
SP	Motorola Inc.		X		7-17-98		X								
SP	Prudential Utility Fund	X			12-09-98		X								

This page may be copied if more space is required.

2567

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
Director	Bread for the World

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 12 AM 9:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

(3)

Lucille Roybal-Allard
(Full Name)

2435 Rayburn HOB
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone
202 / 225-1766

MC
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 33	[ ] Officer or Employee	Employing Office	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL
Lucille Roybal-Allard

DATE (Month/Day/Year)
5/11/99

2569

Name	Page 2 of 3
Lucille Roybal-Allard	

SCHEDULE I — EARNED INCOME

List the source, type and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Allard and Associates	Spouse's consulting fees	NA

For payments to charity in lieu of honoraria, use Schedule II

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Lucille Roybal-Allard | Page 3 of 3.

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	B: None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	D: None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	BLOCK E
SP.														X																			
DC.	*Examples*			Indefinite																	Royalties												P
JT						X										X									X								
SP	Bank of America (IRA) Monterey Park, CA					X										X								X									
SP	Navy Federal Credit Union Washington, DC						X									X								X									
JT	Congressional Fed. Credit Union, Washington, DC			X												X						X											
SP	United Way Credit Union Alexandria, VA		X													X						X											
SP	Mega Corp. Stock					X																											
DC.	Simon & Schuster																																
JT	1st Bank of Paducah, KY accounts						X																										

For additional assets and unearned income, use next page.

Committee on Appropriations

Subcommittee on Commerce, Justice, State and the Judiciary

Subcommittee on Treasury, Postal Service, and General Government

LUCILLE ROYBAL-ALLARD
33RD DISTRICT, CALIFORNIA

99 MAY 26 AM 7:57

WASHINGTON, D.C. OFFICE
2330 Rayburn House Office Building
Washington, DC 20515-0533
Telephone: (202) 225-1766
Fax: (202) 226-0350

DISTRICT OFFICE
255 East Temple Street
Suite 1860
Los Angeles, CA 90012-3334
Telephone (213) 628-9230
Fax: (213) 628-8558
www.house.gov/roybal-allard

CHAIR, CONGRESSIONAL HISPANIC CAUCUS

May 25, 1999

The Clerk
U.S. House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, D.C. 20515-6612

Dear Sir or Madam:

Please accept the attached page as an amendment to my 1998 Financial Disclosure Statement. This information was inadvertently omitted from my original Statement.

Additionally, to clarify, although travel was listed in my 1997 Statement, I did not travel during 1998, and therefore have no entries for Schedule VII—Travel Payments and Reimbursements

Thank you for your assistance in this matter.

Sincerely,

Lucille Roybal-Allard

LUCILLE ROYBAL-ALLARD
Member of Congress

cc: Paul Lewis, Committee on Standards of Official Conduct

PRINTED ON RECYCLED PAPER

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Lucille Roybal-Allard

RECEIVED
2006 MAY 26 AM 9:45
OFFICE OF THE CLERK

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Bank of America (IRA)																														
	Monterey Park, CA				X											X							X								
SP	Navy Federal C.U.																														
	Washington, DC					X										X							X								
JT	Congressional F.C.U.																														
	Washington, DC			X												X							X								
SP	United Way Credit U.																														
	Alexandria, VA		X													X						X									
SP	Wells Fargo Bank																														
	Monterey Park, CA			X												X							X								

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 4:40
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

EDWARD RANDALL ROYCE
(Full Name)

1133 Longworth House Office Building
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone
202.225.4111

Filer Status	[X]	Member of the U.S. House of Representatives	State CA District 39	[ ]	Officer or Employee	Employing Office
Report Type	[X]	Annual (May 17)	[ ] Amendment	[ ]	Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Edward R. Royce

DATE (Month/Day/Year): May 11, 1999

2574

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S Government) totalling $200 or more during the preceding calendar year For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Marriott International, Inc.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name EDWARD RANDALL ROYCE | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

Columns: A None; B $1 – $1,000; C $1,001 – $15,000; [no letter] $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000

BLOCK C — Type of Income

Columns: DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify. For Example, Partnership Income or Farm Income)

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

Columns: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year. P, S, E

SP, DC, JT	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP (Examples)	Mega Corp Stock	E ($50,001 – $100,000)	Dividends	IV ($1,001 – $2,500)	P
(Examples)	Simon & Schuster	Indefinite	Royalties	IX ($100,001 – $1,000,000)	
(Examples)	1st Bank of Paducah, KY accounts	F ($100,001 – $250,000)	Interest	VI ($5,001 – $15,000)	
SP	Choice Hotels Interna. Spouse IRA	C ($1,001 – $15,000)	Dividends	I (None)	S
SP	Donna Karan Interna. "	B ($1 – $1,000)	Dividends	I (None)	S
SP	La Quinta Inns Inc. "	C ($1,001 – $15,000)	Dividends	II ($1 – $200)	S
SP	Procter & Gamble, "	$15,001 – $50,000	Dividends	III ($201 – $1,000)	
SP	Bridgestreet ACCO, "	C ($1,001 – $15,000)	Dividends	I (None)	
SP	Coca-Cola Co., "	C ($1,001 – $15,000)	Dividends	II ($1 – $200)	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name EDWARD RANDALL ROYCE | Page 4 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Spouse IRA Duke Energy Corp.,			X										X																	
SP	Hartford Life, Inc., "			X										X								X									
SP	Intel Corp., "			X										X								X									
SP	Kimberly Clark Co., "			X										X								X									
SP	Apple Computer Co., "				X									X							X										
SP	Merck "			X										X								X									
SP	Sunburst Hospitality "		X											X							X										
SP	Cash in Spouse's IRA			X													X					X									
SP	Cendant Corp. "			X										X							X										P
SP	Federated Invest. "			X										X								X									P
SP	Meditrust Corp. "			X										X								X									P
SP	Walt Disney Holding Co."			X										X								X									P

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name EDWARD RANDALL ROYCE | Page 5 of 5

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-98		X								
SP	Choice Hotels International, Spouse's IRA		X		6.18.98	X									
SP	Donna Karan International, "		X		7.16.98	X									
SP	La Quinta Inns, Inc. "		X		7.13.98	X									
SP	Cendant Corporation "	X			7.16.98	X									
SP	Federated Investments, Inc. "	X			5.13.98	X									
SP	Meditrust Corporation "	X			1.01.98	X									
SP	Walt Disney Holding Company "	X			7.13.98	X									

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 14 PM 4:15
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Bobby Lee Rush
(Full Name)
3534 So. Calumet
(Mailing Address)
CHICAGO, ILL 60653
202-225-4372
Daytime Telephone

Filer Status
- [X] Member of the U.S. House of Representatives — State ILL District 1st
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 15)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [ ] No [X]

IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (e.g., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII** — Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Bobby L. Rush

DATE (Month/Day/Year): 5/17/99

2579

Name Bobby Lee Rush	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Citizens For Rush	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name	Page ___ of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name	Page of

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A			BLOCK B												BLOCK C							BLOCK D											BLOCK E
				A	B	C		E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
				None	$1 – $1,000	$1,001 – $15,000		$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, E
SP, DC, JT	*Examples.*	SP	Mega Corp Stock						X								X																	P
			Simon & Schuster			Indefinite																	Royalties								X				
			1st Bank of Paducah, KY accounts						X									X									X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name | Page ___ of ___

	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
SP, DC, JT		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000, 001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name	Page of

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example.*	Mega Corporation Common Stock	X			10–12–98		X								

This page may be copied if more space is required.

Name	Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St , Dover, Del				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name	Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Bd of Directors	Ada S. McKinley Community Services

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55–195 (mac)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 2:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

6

Paul Ryan
(Full Name)
1217 Longworth Building
(Mailing Address)
Washington DC 20515
Daytime Telephone 202 225 3031

Filer Status
- [X] Member of the U.S. House of Representatives — State: WI District: 1
- [ ] Officer or Employee — Employing Office.

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Paul D. Ryan — DATE (Month/Day/Year): 5/15/99

2588

Name Paul Ryan	Page 1 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	RYAN, INC	Consulting	1800

For payments to charity in lieu of honoraria, use Schedule II.

Name Paul Ryan | Page 2 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in - "NA" for income.

BLOCK E — Transactio

Indicate if asset was purchased (P), sold (S) or exchanged (E) in reporting year.

				B: None	B: $1 – $1,000	B: $1,001 – $15,000	B: $15,001 – $50,000	B: $50,001 – $100,000	B: $100,001 – $250,000	B: $250,001 – $500,000	B: $500,001 – $1,000,000	B: $1,000,001 – $5,000,000	B: $5,000,001 – $25,000,000	B: $25,000,001 – $50,000,000	B: Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	D: None	D: $1 – $200	D: $201 – $1,000	D: $1,001 – $2,500	D: $2,501 – $5,000	D: $5,001 – $15,000	D: $15,001 – $50,000	D: $50,001 – $100,000	D: $100,001 – $1,000,000	D: $1,000,001 – $5,000,000	D: Over $5,000,000	E: P, S, or E
SP, DC, JT	*Examples*	SP	Mega Corp Stock					X								X																	P
			Simon & Schuster			Indefinite																Royalties											
			1st Bank of Paducah, KY accounts						X									X									X						
	Residence / 3 Rental Units														X	X	X											X					P
	one-fifth interest in																																
	assets owned by																																
	Ryan Holton Investment																																
	Partnership:																																
	Checking CUMB Co					X												X						X									

2590

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Paul Ryan | Page 3 of 5

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Ryan-Hutton Inv. Prop Assets																														
	Continued																														
	Boor Stoones Money Mkt			X												X								X							
	Bank America Corp stock			X										X								X									
	Bank of New York stock			X										X								X									
	Bristol Myers stock				X									X									X								
	Burlington Resources stock			X										X								X									
	El Paso Energy Corp Stk	X												X								X									
	Fannie Mae stock				X									X									X								
	Home Depot Inc stock						X							X									X								
	Procter Gamble Co stock			X										X								X									
	Triton Energy LTD stock	X																			X										
	Unx-Marathon Corp stock			X										X								X									
	Viacom Inc stock			X																	X										
	Wells Fargo Co stock				X									X								X									
	Loans to partners				X															NONE	X										
	RYAN LTD Prop. investment Madison WI													X		X				Partnership income				X							

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Paul Ryan | Page 4 of 5

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example.*	Mega Corporation Common Stock	X			10-12-98		X								
	Residence / 3 Rent. / units		X							X						

This page may be copied if more space is required.

2592

Name	Paul Ryan	Page	5 of 5

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Anchorbank S.S.B.	Mortgage on 2107 Green Valley Dr. Janesville WI				X						
	Ryan Huber Investment Prtp	Loan, unsecured		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

PAUL RYAN
1ST DISTRICT, WISCONSIN

INSIDE MAIL

Congress of the United States
House of Representatives
Washington, DC 20515–4901

RECEIVED
RESOURCE CENTER
99 JUN -2 AM 9:43
OFFICE OF THE CLERK

June 1, 1999

Mr. Jeff Trandahl
Clerk, United States House of Representatives
The Capitol, Room H-154
Washington, DC 20515-6601

MC

Dear Mr. Trandahl:

I am writing to clarify some information that was included in the my final disclosure for 1998. It has come to my attention that two issues of information were mistakenly not reported and that another was marked incorrectly. Listed below are the needed clarifications:

Value of Residence (marked incorrectly):	$100,000 to $250,000
Date of Purchase for residence (omitted):	February 3, 1998
Estimated Value of Ryan LTD Partnership (omitted):	$45,358

Please do not hesitate to contact Doug McGinn of my staff at (202) 226-7326 if you have any additional questions. I apologize for any inconvenience this might have caused you or your staff.

Thank you for your consideration.

With regards,

Paul Ryan
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 3:37
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(6)

James R. Ryun
(Full Name)

16718 13th Street
Lawrence, KS 66044
(Mailing Address)

202-225-6601
Daytime Telephone

HAND DELIVERED
MC
(Office Use Only)

Filer Status
- [X] Member of the U.S. House of Representatives — State: KS District: 2
- [ ] Officer or Employee — Employing Office:

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Jim Ryun

DATE (Month/Day/Year) 5/17/99

2595

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	ReSound, Inc.	Autograph Session	$ 14,000
	First Baptist Church	Autograph Session	$ 3,500

For payments to charity in lieu of honoraria, use Schedule II.

2596

Name Jim Ryan | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:* SP																															
SP	Mega Corp. Stock					X								X																		P
DC	Simon & Schuster		Indefinite																	Royalties												
JT	1st Bank of Paducah, KY accounts						X									X									X							
JT	Frank Russell Investment Co.						X							X		X	X								X							
	c/o Ron Blue & Co (SEP-IRA)																															
JT	Mercantile Bank, KS account			X												X					X											

For additional assets and unearned income, use next page.

2597

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Give Kids the World (Disney)	January 6-10	Wash DC – Orlando, FL – KansasCity	Y	Y	Y	N
ReSound, Inc.	June 11-14	Wash DC – Boston – Wash DC	Y	Y	Y	N
National Association of Realtors (spouse only)	April 24-May 8	Kansas City – Wash DC – KansCity	N	N	Y	13 Days

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Jim Ryun Sports Camps, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Ronald Blue & Co.

QUARTERLY INVESTMENT REPORT

JAMES R RYUN SSBT IRA

87106011

December 31, 1998

PORTFOLIO POSITION

Security	Quantity	Price	Market Value	Pct. Assets
U.S. EQUITIES				
Equity I Fund	662.014	35.17	23,283.03	17.2
Equity II Fund	194.352	30.94	6,013.25	4.4
Equity Q Fund	589.486	40.22	23,709.61	17.5
			53,005.89	**39.1**
REAL ESTATE				
Real Estate Securities Fund	471.477	24.44	11,522.80	8.5
INT'L EQUITIES				
Emerging Markets Fund	332.372	8.48	2,818.51	2.1
International Fund	448.855	38.03	17,069.96	12.6
			19,888.47	**14.7**
BONDS				
Fixed I Fund	853.437	21.76	18,570.79	13.7
Fixed II Fund	683.113	18.48	12,610.27	9.3
Fixed III Fund	1,786.375	10.22	18,256.75	13.5
			49,437.81	**36.5**
CASH & EQUIV.				
Money Market Fund	1,638.95	1.00	1,638.95	1.2
TOTAL PORTFOLIO			**135,494.02**	**100.0**

PORTFOLIO CHANGE

	Current Quarter	Year to Date	Since Inception
Beginning Portfolio Value	123,426.63	124,350.61	0.00
Contributions	0.00	0.00	92,137.75
Withdrawals	0.00	0.00	0.00
Appreciation/Depreciation less fees	12,067.39	11,143.41	43,356.27
Portfolio Value on 12-31-98	**135,494.02**	**135,494.02**	**135,494.02**

PORTFOLIO PERFORMANCE

Asset Class	Current Quarter	Year to Date	Inception to Date
TOTAL PORTFOLIO	10.08%	10.17%	12.99%
U.S. EQUITIES	22.02%	22.25%	26.32%
REAL ESTATE	(1.04)%	(15.91)%	11.97%
INT'L EQUITIES	15.80%	5.48%	5.25%
BONDS	0.50%	7.21%	7.43%
CASH & EQUIV.	1.91%	5.74%	5.69%

Portfolio Start Date: 05-23-95
Annualized inception to date returns are calculated from the first full month after start date.
Presented Gross of Advisory Management Fees
Returns for periods of less then one year are not annualized.

RECEIVED
99 MAY 17 AM 9:53
INSIDE MAIL

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

MARTIN OLAV SABO
(Full Name)

2336 Rayburn House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: 202-225-4755

MC
(Office Use Only)

Filer Status
[X] Member of the U.S. House of Representatives — State: MN District: 05
[ ] Officer or Employee — Employing Office.

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL Martin Olav Sabo

DATE (Month/Day/Year) 5-14-99

2601

Name MARTIN OLAV SABO	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Lights Fantastic, Inc.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

2602

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, JT	*Examples:* SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	State of MN Retirement Plan	Uncertain	Annuity	$5,001 - $15,000	NA
	Lutheran Brotherhood (IRA)	$1,001 - $15,000	Interest	NA	NA
	Farm Land - North Dakota	$50,001 - $100,000	Rent & Crops	$2,501 - $5,000	NA
	Mosiac (formerly Gov. Investors)	$1,001 - $15,000	Interest	$1 - $200	NA
	Congressional Federal Credit Union	$15,001 - $50,000	Interest	$1,001 - $2,500	NA
	IDS Mutual Fund	$1,001 - $15,000	Interest	$201 - $1,000	NA

2603

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	Northern States Power (stock)	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	International Savings	$15,001 - $50,000	Interest	$1,001 - $2,500	NA
SP	Richfield Bank	$15,001 - $50,000	Interest	$1,001 - $2,500	E
SP	Keystone Tax Free Fund	$15,001 - $50,000	Interest	$1,001 - $2,500	NA
SP	Mass Mutual	$1,001 - $15,000	Interest	$1 - $200	NA
SP	Paine Weber (IRA)	$50,001 - $100,000	Interest	None	NA
SP	First Minnetonka	$15,001 - $50,000	Interest	$201 - $1,000	E
SP	Intel	$1,001 - $15,000	Dividends	$1 - $200	P
SP	Pfizer	$1,001 - $15,000	Dividends	$1 - $200	P

Name MARTIN OLAV SABO	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
SP	First Minnetonka	Exchange	1998	$15,001 - $50,000
SP	Richfield Bank	Exchange	1998	$15,001 - $50,000
SP	Intel	Purchase	1998	$1,001 - $15,000
SP	Pfizer	Purchase	1998	$1,001 - $15,000

Name MARTIN OLAV SABO	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 8-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
American Assoc. of Airport Executives	Jan. 4-10, 1998	Washington,DC-Maui, Hawaii-Washington, DC	Y	Y	Y	None

MARTIN OLAV SABO
5th District, Minnesota

2336 Rayburn House Office Building
Washington, D.C. 20515
(202) 225-4755

Commerce at the Crossings #286
250 Second Avenue South
Minneapolis, Minnesota 55401
(612) 664-8000

martin.sabo@mail.house.gov
http://www.house.gov/sabo/

INSIDE MAIL
JUN 11 AM 11:07

Congress of the United States
House of Representatives
Washington, D.C. 20515

COMMITTEE ON APPROPRIATIONS
Subcommittees
Transportation (Ranking Member)
National Security
District of Columbia

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

DEMOCRATIC POLICY COMMITTEE
Vice-Chair

June 9, 1999

(1) ✓
MC

The Honorable Jeff Trandahl
Clerk
U.S. House of Representatives
B106 The Capitol
Washington, D.C. 20515

Dear Mr. Trandahl:

This letter is in reference to my 1998 Financial Disclosure Statement. I would like to clarify a couple of items on my report that are not clear. Thank you for your attention to this matter.

Schedule III – Assets and "Unearned" Income
Richfield Bank was exchanged for First Minnetonka

Schedule IV -- Transactions
The transaction dates should be as follows:

First Minnetonka	6-15-98
Richfield	6-15-98
Intel	7-2-98
Pfizer	7-2-98

If I can provide any additional information or you have any questions please feel free to contact me.

Sincerely,

Martin Olav Sabo
Member of Congress

MOS/me

THIS PAPER MADE WITH RECYCLED FIBERS – PLEASE RECYCLE

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 10:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Matthew James Salmon
(Full Name)

2739 E. Nance Street
(Mailing Address)
Mesa AZ 85213

Daytime Telephone
(202) 225-2635

Filer Status
[X] Member of the U.S. House of Representatives — State AZ, District 01
[ ] Officer or Employee — Employing Office

Report Type
[ ] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [X] No [ ]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [ ] No [X]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL Matthew James Salmon

DATE (Month/Day/Year) May 14, 1999

2608

Name Matthew James Salmon	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Matt Salmon for Congress	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

2609

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct An envelope for transmitting the list is included in each Member's filing package

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash , D C	Speech	Feb. 2, 1998	$2,000
	XYZ Magazine	Article	Aug. 13, 1998	$ 500
	Politically Incorrect	Media Show	May 18, 1999	$500 00
	Politically Incorrect	Media Show	Nov. 16, 1999	$500 00

Name Matthew James Salmon	Page 1 of 1

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20			BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC JT	*Examples:*	SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P

2611

Name Matthew James Salmon	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000

2612

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child.
amount owed during the year
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	CFCU	Credit Card	$10,001 - $15,000
	MBNA America, Wilmington DE	Credit Card	$10,001 - $15,000

Name	Page 1 of 1

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold

Note: The gift rule (House Rule 51, re-numbered to clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. **Exclude:** Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
	Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
	Politically Incorrect	May 18-19, 1999	AZ-LA-DC	Y	N	N	N/A
	Politically Incorrect	Nov 16-17, 1999	AS-LA-DC	Y	N	N	N/A

Name	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization

2616

Name	Page 1 of 1

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED ... FILER
99 MAY 14 PM 3:29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

LORETTA SANCHEZ
(Full Name)

1529 LONGWORTH
(Mailing Address)

(202) 225-2965
Daytime Telephone

WASHINGTON D.C. 20515

Filer Status
- [X] Member of the U.S. House of Representatives — State: CA, District: 46
- [ ] Officer or Employee — Employing Office:

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Loretta Sanchez

DATE (Month/Day/Year) 5-14-99

2618

Name	LORETTA SANCHEZ	Page 1 of 22

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	YAEGER CAPITAL MARKETS, INC.	Spouse Salary	NA
	PACIFIC AMERICAN SECURITIES, INC.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

2619

Name LORETTA SANCHEZ	Page 2 of 22

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
JANE MAGAZINE	ARTICLE	02-98	$250
K-MART	APPEARANCE	09-16-98	$500

This page may be copied if more space is required.

Name LORETTA SANCHEZ | Page 3 of 22

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

BLOCK A				BLOCK B												BLOCK C								BLOCK D											BLOCK E
				A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock					X								X											X								P
			Simon & Schuster			Indefinite																Royalties													
			1st Bank of Paducah, KY accounts						X									X										X							
JT			AMIGA ADVISORS, INC. - STOCK				X																X												
JT			D.C. TEACHERS FEDERAL CREDIT UNION			X												X						X											
			- SAVINGS AND CHECKING																																
SP			AMERICAN LIFE ANNUITY							X								X									X								
SP			JIM DAVIS FARMS - NOTE						X									X								X								
JT			CROWELL, WEEDON - SAVINGS				X											X							X										

For additional assets and unearned income, use next page.

2621

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LORETTA SANCHEZ | Page 4 of 27

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset — A: None	B: $1 – $1,000	C: $1,001 – $15,000	D: $15,001 – $50,000	E: $50,001 – $100,000	F: $100,001 – $250,000	G: $250,001 – $500,000	H: $500,001 – $1,000,000	I: $1,000,001 – $5,000,000	J: $5,000,001 – $25,000,000	K: $25,000,001 – $50,000,000	L: Over $50,000,000	BLOCK C: Type of Income — DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D: Amount of Income — I: None	II: $1 – $200	III: $201 – $1,000	IV: $1,001 – $2,500	V: $2,501 – $5,000	VI: $5,001 – $15,000	VII: $15,001 – $50,000	VIII: $50,001 – $100,000	IX: $100,001 – $1,000,000	X: $1,000,001 – $5,000,000	XI: Over $5,000,000	BLOCK E: Transaction — P, S, E
JT	CROWELL, WEEDON - IRA				X																											
	- MORGAN STANLEY EMERGING			X									X		X									X							
	- SANTA FE INTL. CORP			X									X			X					X										P
JT	CROWELL, WEEDON						X																									
	- GENERAL MOTORS CORP			X									X			X						X									
	- INTEL CORP			X									X			X						X									
	- ORBITAL SCIENCES			X									X			X					X										
	- SIGNAL HILL BOND				X										X									X							
	- AIRTOUCH COMM INC.				X								X			X								X							
	- RAYTHEON CO	X											X			X						X									S
	- VANSTAR CORP.			X									X			X						X									S
	- AT HOME CORP SERA			X											X							X									P
	- CNET INC			X									X			X						X									P
	- CISCO SYSTEMS INC.			X									X			X						X									P
	- SUNMICROSYSTEMS INC			X									X			X						X									P
JT	CROWELL, WEEDON-SAVINGS			X												X						X									
JT	YAEGER CAPITAL MRKTS																															
	- INTEL CORP.				X								X			X									X						S
	- LACTES BOND			X											X							X									
	- TERADYNE INC.			X									X			X								X							S
	- E-TRADE GROUP INC.				X								X			X					X										P
JT	CONGRESSIONAL CREDIT UNION	X													X							X									

This page may be copied if more space is required.

2622

58-074 99 - 25

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LORETTA SANCHEZ | Page 5 of 22

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	CROWELL, WEEDON					X																								
	- GENERAL MOTORS CORP			X										X			X					X								
	- MORGAN STANLEY EMERGING			X										X			X						X							
	- PEPSICO INC.			X										X			X					X								
	- SANTA FE INTL. CORP			X										X			X				X									
	- IMMUNEX CORP NEW				X									X			X				X									
	- MCI WORLDCOM INC.			X										X			X				X									P
	- GTE CORP			X										X			X					X								S
	- MOTOROLA			X										X			X					X								S
	- RAYTHEON CO. CLASS A		X											X			X						X							S
	- VANSTAR CORP.			X										X			X				X									S
SP	CROWELL, WEEDON				X																									
	- CASH			X												X								X						
	- AURA SYSTEMS INC		X											X			X				X									S
JT	AMERICAN FUNDS - IRA																													
	- CAPITAL GUARDIAN - NEW PER			X										X			X					X								
	- New Economy Fund			X										X			X					X								
	- Euro Pac. Growth			X										X			X					X								
	- Growth Fund			X										X			X					X								

This page may be copied if more space is required.

2623

Name LORETTA SANCHEZ | Page 6 of 22

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
SP	TRISTAR CAPITAL COMMON STOCK	X				X									
JT	TRISTAR CAPITAL COMMON STOCK	X				X									
SP	PACIFIC AMERICAN SECURITIES C. STOCK	X					X								
JT	RAYTHEON CO. CLASS A		X		07-14-98	under $1,000 –									
JT	VANSTAR CORP.		X		12-01-98	X									
SP	AURA SYSTEMS INC.		X		12-28-98	sold at loss, under $1,000 –									
JT	TERADYNE INC.		X		02-24-98	X									
	C-CUBE MICROSYSTEMS	X			04-21-98	X									
	C-CUBE MICROSYSTEMS		X		05-12-98	X									
	FPA MED MGMT INC.	X			05-21-98	X									
	FPA MED MGMT INC.		X		05-21-98	X									
	SMART MODULAR TECH. INC.	X			05-22-98	X									
	SMART MODULAR TECH. INC.		X		05-22-98	X									
	SOFTNET SYSTEMS INC.	X			05-20-98	X									
	SOFTNET SYSTEMS INC.		X		05-22-98	X									
JT	K TEL INT'L. INC.	X			05-27-98	X									

This page may be copied if more space is required.

2624

SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ | Page 7 of 22

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	K TEL INT'L. INC.		X		05-27-98	X									
	MANUGUISTICS GROUP INC.	X			05-27-98	X									
	MANUGUISTICS GROUP INC.		X		05-28-98	X									
	YOUTH SERVICES INT'L. INC.	X			05-28-98	X									
	YOUTH SERVICES INT'L. INC.		X		05-28-98	X									
	INTEGRATED CIRCUIT SYS. INC.	X			06-04-98	X									
	INTEGRATED CIRCUIT SYS. INC.		X		06-04-98	X									
	YOUTH SERVICES INT'L. INC	X			05-28-98	X									
	YOUTH SERVICES INT'L. INC.		X		06-05-98	X									
	K TEL INT'. INC.	X			06-10-98	X									
	K TEL INT'L. INC.		X		06-11-98	X									
	FPA MED MGMT INC.	X			06-12-98	X									
	FPA MED MGMT INC.		X		06-16-98	X									
	MANUGUISTICS GROUP INC.	X			06-11-98	X									
	MANUGUISTICS GROUP INC.		X		06-16-98	X									
JT	RADISYS CORP.	X			06-17-98	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ | Page 8 of 22

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	**Asset**														
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	RADISYS CORP.		X		06-17-98	X									
	REMEC INC.	X			06-16-98	X									
	REMEC INC.		X		06-17-98	X									
	P-COM INC.	X			06-18-98	X									
	P-COM INC.		X		06-19-98	X									
	ENTREMED INC.	X			06-19-98		X								
	ENTREMED INC.		X		06-22-98	X									
	RAMBUS INC.	X			06-23-98		X								
	RAMBUS INC.		X		06-23-98		X								
	SAWTEC INC.	X			06-11-98	X									
	SAWTEC INC.		X		06-23-98	X									
	VLSI TECH. INC.	X			06-29-98	X									
	VLSI TECH. INC.		X		07-01-98	X									
	NETSCAPE COM CORP.	X			06-29-98		X								
	NETSCAPE COM CORP.		X		07-02-98		X								
JT	RAMBUS INC.	X			06-29-98	X									

This page may be copied if more space is required.

2626

Name LORETTA SANCHEZ | Page 7 of 32

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	RAMBUS INC.		X		07-06-98	X									
	SOFTNET SYS. INC.	X			06-29-98	X									
	SOFTNET SYS. INC.		X		07-06-98	X									
	3 COM CORP.	X			06-29-98	X									
	3 COM CORP.		X		07-06-98	X									
	EGGHEAD COM. CORP.	X			07-07-98	X									
	EGGHEAD COM. CORP.		X		07-07-98	X									
	OXFORD HEALTH PLANS INC.	X			06-29-98	X									
	OXFORD HEALTH PLANS INC.		X		07-07-98	X									
	ZAPATA CORP.	X			06-06-98	X									
	ZAPATA CORP.		X		07-07-98	X									
	ZAPATA CORP.	X			07-07-98	X									
	ZAPATA CORP.		X		07-07-98	X									
	EGGHEAD COM. CORP.	X			07-08-98		X								
	EGGHEAD COM. CORP.		X		07-08-98		X								
JT	GRAND CASINOS INC.	X			06-04-98	X									

This page may be copied if more space is required.

Name LORETTA SANCHEZ | Page 10 of 22

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	GRAND CASINOS INC.		X		07-08-98	X									
	IMMUNE RESPONSE CORP.	X			07-07-98	X									
	IMMUNE RESPONSE CORP.		X		07-08-98	X									
	NETSCAPE COM. CORP.	X			07-08-98		X								
	NETSCAPE COM. CORP.		X		07-08-98		X								
	ADAPTEC INC.	X			07-09-98	X									
	ADAPTEC INC.		X		07-09-98	X									
	INFOSEEK CORP.	X			07-10-98		X								
	INFOSEEK CORP.		X		07-14-98		X								
	ITEX CORP.	X			07-14-98	X									
	ITEX CORP.		X		07-14-98	X									
	ITEX CORP.	X			07-14-98	X									
	ITEX CORP.		X		07-14-98	X									
	EGGHEAD COM. CORP.	X			07-15-98		X								
	EGGHEAD COM. CORP.		X		07-15-98		X								
JT	INTEL CORP.	X			03-05-98	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ | Page 11 of 22

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	INTEL CORP.		X		07-15-98	X									
	ITEX CORP	X			07-15-98		X								
	ITEX CORP.		X		07-15-98		X								
	SUN MICRO. SYS. INC.	X			06-29-98	X									
	SUN MICRO SYS. INC.		X		07-15-98	X									
	APPLIED MAGNETICS CORP.	X			07-15-98	X									
	APPLIED MAGNETICS CORP.		X		07-16-98	X									
	BRILLIANT DIGITAL ENTMT. IN.	X			07-16-98	X									
	BRILLIANT DIGITAL ENTMT. INC.		X		07-16-98	X									
	NETSCAPE COM. CORP	X			07-16-98			X							
	NETSCAPE COM. CORP.		X		07-16-98			X							
	TRITON ENERGY LTD.	X			07-17-98		X								
	TRITON ENERGY LTD.		X		07-17-98		X								
	SYBASE INC.	X			07-17-98	X									
	SYBASE INC.		X		07-17-98	X									
JT	NETSCAPE COM. CORP.	X			07-21-98		X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	NETSCAPE COM. CORP.		X		07-21-98		X								
	ZAPATA CORP.	X			07-20-98		X								
	ZAPATA CORP.		X		07-21-98		X								
	COMPUTER ASSOC. INTL. INC.	X			07-22-98		X								
	COMPUTER ASSOC. INTL. INC.		X		07-22-98		X								
	PHYCOR INC.	X			07-23-98	X									
	PHYCOR INC.		X		07-23-98	X									
	PARAMETRIC TECH CORP.	X			07-23-98	X									
	PARAMETRIC TECH CORP.		X		07-27-98	X									
	PHYCOR INC.	X			07-23-98	X									
	PHYCOR INC.		X		07-29-98	X									
	PSINET INC.	X			07-29-98		X								
	PSINET INC.		X		07-29-98		X								
	EGGHEAD COM. INC.	X			07-31-98	X									
	EGGHEAD COM. INC.		X		08-07-98	X									
JT	SOFTNET SYS. INC.	X			08-06-98	X									

This page may be copied if more space is required.

58 - 074

2630

SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ | Page 13 of 22

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	SOFTNET SYS. INC.		X		08-07-98	X									
	CIENA CORP.	X			07-07-98	X									
	CIENA CORP.		X		07-09-98	X									
	VLSI TECH. INC.	X			08-24-98	X									
	VLSI TECH. INC.		X		08-25-98	X									
	CIENA CORP.	X			09-30-98	X									
	CIENA CORP.		X		09-30-98	X									
	AGRIBIOTECH INC.	X			08-26-98	X									
	AGRIBIOTECH INC.		X		09-16-98	X									
	THINK NEW IDEAS INC.	X			09-10-98	X									
	THINK NEW IDEAS INC.		X		09-16-98	X									
	GEOCITIES	X			08-28-98		X								
	GEOCITIES		X		09-23-98		X								
	CBT GROUP PUB. LTD.	X			09-29-98		X								
	CBT GROUP PUB. LTD.		X		09-30-98		X								
JT	INTEL. CORP.		X		08-28-98		X								

This page may be copied if more space is required.

Name LORETTA SANCHEZ | Page 14 of 22

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	CBT GROUP PUB. LTD.	X			10-01-98	X									
	CBT GROUP PUB. LTD.		X		10-01-98	X									
	STERLING COMM. INC.	X			10-01-98		X								
	STERLING COMM. INC.		X		10-01-98		X								
	MACROMEDIA INC.	X			07-06-98		X								
	MACROMEDIA INC.		X		10-02-98		X								
	PEOPLE SOFT INC.	X			10-02-98		X								
	PEOPLE SOFT INC.		X		10-06-98		X								
	DELL COMPUTER CORP.	X			10-08-98		X								
	DELL COMPUTER CORP.		X		10-08-98		X								
	REALNETWORKS INC.	X			10-09-98		X								
	REALNET WORKS INC.		X		10-09-98		X								
	VANSTAR CORP.	X			10-09-98	X									
	VANSTAR CORP.		X		10-09-98		X								
↓	ANALYSTS INTL. CORP.	X			10-13-98	X									
JT	ANALYSTS INTL. CORP.		X		10-13-98	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: LORETTA SANCHEZ | Page 15 of 22

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:* Mega Corporation Common Stock		X			10–12–98		X								
JT	PEOPLESOFT INC.		X			10-13-98		X								
	PEOPLESOFT INC.			X		10-13-98		X								
	SBS TECHNOLOGIES INC.		X			10-14-98	X									
	SBS TECHNOLOGIES INC.			X		10-15-98	X									
	SPLASH TECH. INC.		X			10-14-98	X									
	SPLASH TECH. INC.			X		10-15-98	X									
	PEOPLESOFT INC.		X			10-21-98		X								
	PEOPLESOFT INC.			X		10-22-98		X								
	COMPAQ COMP. CORP.		X			10-26-98		X								
	COMPAQ COMP. CORP.			X		10-27-98		X								
	EARTHWEB INC.		X			10-12-98		X								
	EARTHWEB INC.			X		11-12-98		X								
	ENTREMED INC.		X			11-12-98		X								
	ENTREMED INC.			X		11-12-98		X								
	DELL COMPUTER CORP.		X			11-13-98			X							
JT	DELL COMPUTER CORP.			X		11-13-98			X							

This page may be copied if more space is required.

Name LORETTA SANCHEZ | Page 16 of 22

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X							.	
JT	EARTHWEB INC.	X			11-13-98	X									
	EARTHWEB INC.		X		11-13-98	X									
	MANNING GREG AUCTIONS INC.	X			11-13-98		X								
	MANNING GREG AUCTIONS INC.		X		11-13-98		X								
	THEGLOBE.COM INC.	X			11-13-98		X								
	THEGLOBE.COM INC.		X		11-13-98		X								
	LYCOS INC.	X			11-16-98			X							
	LYCOS INC.		X		11-16-98			X							
	THEGLOBE.COM INC.	X			11-16-98		X								
	THEGLOBE.COM INC.		X		11-16-98		X								
	HEWLETT PACKARD CO.	X			11-17-98			X							
	HEWLETT PACKARD CO.		X		11-19-98			X							
	GEOCITIES	X			11-23-98		X								
	GEOCITIES		X		11-23-98		X								
	CYBERIAN OUTPOST INC.	X			11-23-98	X									
JT	CYBERIAN OUTPOST INC.		X		11-24-98	X									

This page may be copied if more space is required.

2634

SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ Page 17 of 22

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10–12–98		X								
JT	EGGHEAD.COM INC.		X			11-24-98	X									
	EGGHEAD.COM INC.			X		11-24-98	X									
	BOOKS-A-MILLION INC.		X			11-30-98	X									
	BOOKS-A-MILLION INC.			X		11-30-98		X								
	EGGHEAD.COM INC.		X			11-27-98	X									
	EGGHEAD.COM INC.			X		11-30-98		X								
	GEOCITIES		X			11-25-98		X								
	GEOCITIES			X		11-30-98		X								
	CYBERIAN OUTPOST INC.		X			11-27-98		X								
	CYBERIAN OUTPOST INC.			X		12-01-98	X									
	NETGRAVITY INC.		X			12-02-98	X									
	NETGRAVITY INC.			X		12-02-98	X									
	SOFTNET SYSTEMS INC.		X			12-01-98	X									
	SOFTNET SYSTEMS INC.			X		12-02-98	X									
	SOFTNET SYSTEMS INC.		X			12-03-98		X								
JT	SOFTNET SYSTEMS INC.			X		12-23-98		X								

This page may be copied if more space is required.

Name LORETTA SANCHEZ | Page 18 of 22

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	**Asset**														
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	SANTA FE INTL. CORP	X			03-19-98	X									
	SANTA FE INTL. CORP		X		12-28-98	X									
	BOOKS-A-MILLION INC	X			12-28-98	X									
	BOOKS-A-MILLION INC.		X		12-28-98	X									
	CYBERIAN OUTPOST INC.	X			12-28-98		X								
	CYBERIAN OUTPOST INC.		X		12-28-98		X								
	SOLIGEN TECH. INC.	X			07-07-98	X									
	SOLIGEN TECH. INC.		X		12-28-98	X									
	ACTIVE APPAREL GROUP INC.	X			12-29-98	X									
	ACTIVE APPAREL GROUP INC.		X		12-29-98	X									
	E-TRADE GROUP INC.	X			12-28-98		X								
	E-TRADE GROUP INC.		X		12-29-98		X								
	DELL COMPUTER	X			05-14-98	less than $1,000									
	DELL COMPUTER		X		05-15-98	X									
↓	YAHOO	X			06-23-98	X									
JT	YAHOO		X		07-01-98	X									

This page may be copied if more space is required.

2636

SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ | Page 19 of 22

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	SANTA FE INTL CORP	X			07-14-98	X									
JT	INTEL CORP		X		08-24-98	X									
SP	MCI WORLDCOM INC.	X			09-24-98	X									
SP	RAYTHEON CO. CLASS A		X		12-04-98	under $ 700									
JT	AT HOME CORP SER-A	X			08-12-98	X									
JT	CNET INC.	X			08-12-98	X									
JT	CISCO SYSTEMS INC.	X			07-13-98	X									
JT	SUNMICROSYSTEMS INC.	X			08-12-98	X									
SP	VANSTAR CORP.		X		12-04-98	X									
SP	GTE CORP		X		09-24-98	X									
JT	YAHOO	X			07-02-98	X									
JT	YAHOO		X		07-02-98	X									

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	FIRST NATIONWIDE MORTGAGE	Mortgage on 1624 VIA ARRIBA PUE, CA			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
- NONE -		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Nat'l Foundation for Women Legislators	Nov. 22-24	Wash., DC - Charleston SC - Wash DC	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARDMEMBER	PROVIDENCE SPEECH AND HEARING CENTER
ADVISORY BOARDMEMBER	PEPPERDINE UNIVERSITY

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	- NONE -	

GPO: 1999 56-195 (mac)

Use additional sheets if more space is required.

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 3:14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

Bernard Sanders
(Full Name)

2202 Rayburn Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: 202-225-4115

Filer Status	[ ]	Member of the U.S. House of Representatives	State: VT District: 00	[ ]	Officer or Employee	Employing Office:
Report Type	[X]	Annual (May 15)	[ ]	Amendment	[ ]	Termination Date: Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes [X] No [ ]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes [ ] No [X]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes [X] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? Yes [ ] No [X]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes [X] No [ ]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? Yes [ ] No [X]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? Yes [X] No [ ]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes [ ] No [X]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes [ ] No [X]
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5/14/99

2641

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Goddard College -- Provost	Spouse Salary	NA
	Verso Book Publishers	Royalties	$1,500.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude**: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC JT	*Examples* SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Wright Patman CFCU, Washington, D.C. Checking Account	$1,001 - $15,000	Dividends	$1 - $200	
	Chittenden Bank, Burlington, VT Checking Account	$1,001 - $15,000	Interest	$1 - $200	
	TIAA-CREF Retirement Account 730 3rd. Ave. NY, NY	None	Retirement	NA	

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year

Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	Wright Patman CFCU, Washington, D.C.	Education Loans, Personal Loan, Credit Card	$10,001 - $15,000
	Vermont Student Assistance, Burlington, VT	Education Loans	$15,001 - $50,000

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
National University & San Diego Progressive Coalition	2/13 - 2/17	Washington, D.C., San Diego, Burlington, VT	Y	Y	Y	1 day
National Association of State Credit Union Supervisors	9/13 - 9/14	Burlington, VT, Ft. Myers, FL, Washington, DC	Y	Y	Y	N
Back To Basics Conference *The invitation was extended to the Congressman but due to the House schedule he had to decline. They then requested his wife, who is Chief of Staff, to speak and she spoke at the conference.	10/9 - 10/10	Washington, DC, Chicago, Burlington, Vt	Y	N	N	N
Massachusetts Campaign For Single Payer Health Care	12/6 - 12/6	Burlington, VT, Boston, Burlington, VT	N	N	N	N

BERNARD SANDERS
MEMBER OF CONGRESS
VERMONT, AT LARGE

2202 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-4501
TELEPHONE: (202) 225-4115
FAX: (202) 225-6790
E-MAIL: Bernie@mail.house.gov

1 CHURCH STREET, SECOND FLOOR
BURLINGTON, VT 05401-4417
TELEPHONE: (802) 862-0697
TOLL FREE: (800) 339-9834
FAX: (802) 860-6370

HAND DELIVERED

99 MAY 26 AM 8:18

U.S. HOUSE OF REPRESENTATIVES

Congress of the United States
House of Representatives
Washington, DC 20515-4501

COMMITTEE ON BANKING AND FINANCIAL SERVICES
SUBCOMMITTEES
RANKING MINORITY MEMBER
GENERAL OVERSIGHT AND INVESTIGATIONS
DOMESTIC AND INTERNATIONAL MONETARY POLICY

COMMITTEE ON GOVERNMENT REFORM AND OVERSIGHT
SUBCOMMITTEES
NATIONAL ECONOMIC GROWTH, NATURAL RESOURCES, AND REGULATORY AFFAIRS
HUMAN RESOURCES
CO-CHAIR: PROGRESSIVE CAUCUS

May 25, 1999

The Honorable Jeff Trandahl
Office of the Clerk
B-106 Cannon HOB
INSIDE MAIL

√ (1)
MC

Dear Mr. Trandahl:

Having been advised last year that spouses' non-directed retirement did not require the value to be listed on schedule III of the Financial Disclosure Form, I did not list it nor did I list it this year. Upon further consideration, however, I felt it better to clarify my Financial Disclosure for 1997 and for 1998 and report the value of the TIAA-CREF Retirement Account. It is category D, $15,000 - $50,000 for both years

Thank you for your assistance

Sincerely,

Bernard Sanders
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 3:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

MAX A. SANDLIN, JR.
(Full Name)

500 MILLER DRIVE
(Mailing Address)

MARSHALL TX, 75670

Daytime Telephone (202)225-3035

Filer Status
- [X] Member of the U.S. House of Representatives — State: TX, District: 01
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [X] No [ ]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5-17-99

2647

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	N/A		

For payments to charity in lieu of honoraria, use Schedule II.

...E II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

...ource, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in ... of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
PANOLA COLLEGE	SPEECH	MAY 1998	$500

This page may be copied if more space is required.

Name NAX A. SANDLIN | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P S E
SP		SP	Mega Corp Stock					X								X																		P
DC	*Examples:*		Simon & Schuster			Indefinite																Royalties								X				
JT			1st Bank of Paducah, KY accounts						X									X									X							
	EAST TEXAS FUELS, INC., STOCK										X											SUB S								X				
	HOWELL & SANDLIN, INC., STOCK												X									SUB S						X						
	WORKING INTEREST (NATURAL GAS WELLS)					X																WORKING INTEREST						X						
	EDWARD D. JONES ACCOUNT					X										X								X										
	TEXAS COUNTY & DISTRICT RETIREMENT						X															N/A	X											
	WRIGHT PATMAN FED. CREDIT UNION					X												X							X									

For additional assets and unearned income, use next page.

2650

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MAX A. SANDLIN

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	FIRST SERVICE BANK ACCT.			X												X							X								
	OIL & GAS ROYALTIES			X																ROYALTY			X								
	SANDLIN & BUCKNER (OWN 1) OFFICE BUILDING - MARSHALL, TX						X													N/A											

This page may be copied if more space is required.

Name MAX A. SANDLIN | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	N/A														

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability B ($10,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	FIRST SERVICE BANK	PERSONAL LOAN		X								
	EAST TEXAS NATIONAL BANK (PAID IN FULL)	PERSONAL LOAN	X									
	MBNA MASTERCARD	CREDIT CARD	X									
	EAST TEXAS NATIONAL BANK (PAID IN FULL)	CAMPAIGN LOAN						X				
	CENTURY BANK (MOVED ETNB CAMPAIGN LOAN)	CAMPAIGN LOAN						X				
	CENTURY BANK (MOVED ETNB PERSONAL LOAN)	PERSONAL LOAN	X									
	WRIGHT PATMAN FEDERAL CREDIT UNION	PERSONAL LOAN	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

Name	MAX A. SANDLIN	Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
N/A						

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	EAST TEXAS FUELS, INC.
VICE-PRESIDENT	HOWELL & SANDLIN, INC.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1986-1996	TEXAS COUNTY & DISTRICT RETIREMENT SYSTEM, MAX A. SANDLIN, JR.	(FORMER COUNTY EMPLOYEE - INTEREST IN RETIREMENT PLAN)

GPO 1999 55-195 (mac)

Use additional sheets if more space is required

MAX SANDLIN
1st District, Texas

COMMITTEE ON TRANSPORTATION AND INFRASTRUCTURE
SUBCOMMITTEE ON RAILROADS
SUBCOMMITTEE ON SURFACE TRANSPORTATION

FRESHMAN DEMOCRATIC WHIP

MEMBER
DEMOCRATIC CAUCUS TASK FORCE
ON JUVENILE JUSTICE

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515

214 Cannon Building
Washington, DC 20515
(202) 225-3035
Fax (202) 225-5866

1300 E. Pinecrest Drive, Suite 30
Marshall, TX 75670
(903) 938-8368
Fax (903) 935-5772

P.O. Box 248
New Boston, TX 75570
(903) 628-5594
Fax (903) 628-3155

P.O. Box 538
Sulphur Springs, TX 75482
(903) 885-8882
Fax (903) 885-2978

June 11, 1999

(1)

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 11 PM 4:15
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

The Honorable Jeff Trandahl
Clerk of the House
B-106 Cannon HOB
Washington, DC 20515

Dear Mr. Trandahl:

Please accept this letter as an amendment and addition to my Financial Disclosure Statement for calendar year 1998 which was filed on May 17, 1999.

The applicable information is as follows:

1. On page 1, under the category Preliminary Information, Section VII, the box was inadvertently checked "Yes." The box should be checked "No."

2. On page 4, Block A, the entry entitled Texas County & District Retirement shows a value of $15,001-$50,000. The correct value is $50,001-$100,000.

3. On page 5, Block D, the category denoted Amount of Income concerning the entry for the Sandlin & Buckner Office should have the notation "none" checked.

Thank you for including this letter with my report. If you have any question or if I need to do anything further, please let me know.

With kindest regards, I am

Yours very truly,

Max A. Sandlin
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 AM 10:11
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
MAY 17 1999
(Office Use Only)

Marshall C. Sanford
(Full Name)

1223 Longworth Building
(Mailing Address)

(202) 225-3176
Daytime Telephone

Washington DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: SC District: 1	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Marshall C Sanford

DATE (Month/Day/Year) 5/14/99

2657

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Norton & Sanford	Management Fees	$ 2,400

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Marshall C. Sanford | Page 3 of 8

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B											BLOCK C						BLOCK D									BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT/ROYALTIES	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*		SP	Mega Corp. Stock			X							X																P
				Simon & Schuster		Indefinite														Royalties										
				1st Bank of Paducah, KY accounts				X								X									X					
SP	Goldman Sachs:																													
	Cash & Money Mkt. Acct.															X									X					
	SC Municipal Bonds (2)						X									X									X					P
	Emerson Electric												X											X						
	Esco Electronics			X									X								X									
	Reading Entertainment												X								X									

For additional assets and unearned income, use next page.

2659

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Marshall C. Sanford

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income						BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	A DIVIDENDS	B RENTS	C INTEREST	D CAPITAL GAINS	E EXCEPTED TRUST	F ROYALTIES/NON-TRUST	G Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
	Goldman Sachs:																												
	Cash Account														X														
SP	Goldman Sachs-IRA																												
	Cash														X						X								
	US Treasury Securities				X										X								X						
	Allied Corp. Zero Bond			X											X				X										
	North Amer Tech Grp (new)			X									X						X										
	Goldman Sachs-IRA-Cash			X											X						X								Transfer from Nations Securities
JT	Bank of SC			X											X							X							
JT	Goldman Sachs:																												
	Cash Account														X						X								
	Southern Company		X										X								X								
SP	Sullivan Family Trust												X		X							X							Distribution in 1998
	NationsBank Checking														X						X								
	Congressional Credit Union			X											X														
DC	Goldman Sachs-Cash														X								X						
DC	Federal Home Loan Bank	X													X								X						P,S
DC	Goldman Sachs (Trust)																												
	Cash Account				X										X							X							
	Emerson Electric				X								X									X							
	Reading Entertainment				X								X							X									

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Marshall C. Sanford

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income						BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	DIVIDENDS	RENT/ROYALTIES	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $201 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	LPDC, Inc. (formerly Lob-lolly Pines, Dev.)S.Corp Fl					X							S Corp Income												X					
SP	LPDC, Inc. (formerly Lob-lolly Pines Dev.)S.Corp FL						X						S Corp Income														X			
DC	LPDC, Inc. (formerly Lob lolly Pines Dev) S-Corp, Fl						X						S Corp Income														X			
SP	BBV Co.				X								S Corp Income											X						
DC	BBV Co.												S Corp Income												X					
	Combahee Partners LP												Partnership Income																	
	Campaign Loan				X									X						X										
	Talley Tract Partnership						X													X										
	Land-Beaufort County, SC (Timber only sold)								X														X			X				
	Coosaw Plantation Prtnshp		X										Partnership Income							X										
	Kings Plaza (Retail, North Charleston, SC																									X				
	Blind John, LLC (Commercia Rental, Savannah Highway Charleston, SC												Partnership Income										X							
SP	Blind John, LLC (see above												Partnership Income							X										
DC	Blind John, LLC (see above												Partnership Income							X										
	Edisto Farm Credit-Member	X											Patronage dividends								X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Marshall C. Sanford

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock				10-12-98		X								
		X			3-16-98										
DC	Fed Home Loan Bank		X		9-16-98			X	X						
	Timber		X		5-18-98		X								
SP	SC Municipal Bond	X			3-12-98				X						
SP	SC Municipal Bond	X			3-5-98				X						
	US Masters Holding		X		1-2-98			X							
	LPDC, Inc. (FormerlyLoblolly Pines Dev.)	See Note 1													
SP	LPDC, Inc. (Formerly Loblolly Pines Dev.)	See Note 1													
DC	LPDC, Inc. (Formerly Loblolly Pines Dev.)	See Note 1													
	Note 1-Shares in this corp. were increased through gifts from the Congressman's father-in-law on 1-1-98 no cash trans.														
	Blind John LLC														
SP	Blind John LLC	See Note 2													
DC	Blind John LLC	See Note 2													
	Note 2-Member interests were received through a gift from the Congressman's mother														

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
American Enterprise Institute (Conference)		June 25-27	Wash., DC-Denver, CO,-Wash. (Sanford paid airfare)	Y	Y	Y	N
Empower America (Conference)		Aug. 7-9	Wash., DC-Vail CO-Wash. DC	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Talley Tract Partnership
Partner	Coosaw Plantation Partnership

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 14 PM 1:57
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Thomas C. Sawyer
(Full Name)
1298 N. Howard Street,
(Mailing Address)
Akron, OHIO 44310-1064
Daytime Telephone (330) 923-7699

Filer Status	☒ Member of the U.S. House of Representatives	State: OHIO District: 14	☐ Officer or Employee	Employing Office	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	☒ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Thomas Sawyer

DATE (Month/Day/Year): 5/11/99

2665

Name SAWYER, Thomas | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State Teachers' Retirement System of Ohio (STRS)	Spouse pension	N/A

For payments to charity in lieu of honoraria, use Schedule II.

2666

Name Sawyer, Thomas | Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500

N/A

This page may be copied if more space is required.

Name SAWYER, THOMAS | Page 1 of 2

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: E $15,001 – $50,000	B: F $50,001 – $100,000	B: G $100,001 – $250,000	B: H $250,001 – $500,000	B: I $500,001 – $1,000,000	B: J $1,000,001 – $5,000,000	B: K $5,000,001 – $25,000,000	B: L $25,000,001 – $50,000,000	Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: Transaction P, S, E
SP	*Examples:*	SP	Mega Corp. Stock					X								X																	P
DC			Simon & Schuster			Indefinite																Royalties								X			
JT			1st Bank of Paducah, KY accounts						X								X										X						
JT	Summit Federal Cr. Union					X											X							X								—
S	State Teachers' Ret. System of Ohio								X							X											X					—
Self	STRS					X										X							X									—
Self	State Employees' Ret. System of Ohio					X										X							X									—

For additional assets and unearned income, use next page.

2668

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sawyer, Thomas | Page 2 of 2

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income								BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000, 001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Self	Public Employees Ret. Syst. (PERS)				X									X							X											
Sp	Lincoln National Annuity						X													Mut. Fund	X											

This page may be copied if more space is required.

Name Sawyer, Thomas | Page 1 of 1

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–98		X								
		N/A														

This page may be copied if more space is required.

2670

Name Sawyer, Thomas | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

Name Sawyer, Thomas | Page 1 of 2

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Anti-Defamation League	Jan. 3-10	Cleve.-Israel-Jordan-Cleve.	Y	Y	Y	N
Aspen Institute (Childrens' Forum)	Feb. 13-16	Cleve-Charleston, SC-Cleve.	Y	Y	N	N
Aspen Institute (Bipartisan Retreat, Planning Comm.)	Ap. 30-May 1	Wash. DC - Warrenton, VA. - Cleve.	Y	Y	N	N
Laubach Literacy Conference	June 12-13	Akron-Columbus-Akron	Y	Y	Y	N

This page may be copied if more space is required.

2672

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Population Resource Center	Nov. 9-10	Wash., D.C.	N	Y	N	N
Congressional Economic Leadership Institute/ Transatlantic Policy Network (CELI/TPN)	Nov. 30 - Dec. 6	Cleve - Brussels - London - Cl.	Y	Y	Y (London segment only)	N

This page may be copied if more space is required.

Name Sawyer, Thomas	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board	Population Resource Center (N.J.)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 AM 10:08
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MAY 17 1999
(Office Use Only)

H. James Saxton
(Full Name)

207 High Street
(Mailing Address)

Mount Holly NJ 08060
Daytime Telephone (609)261-5800

Filer Status: [X] Member of the U S House of Representatives — State NJ, District 03 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION. Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app. 4, 104 and 18 U S C 1001)

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5.15.99

2675

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account. **Exclude:** Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC, *Examples* JT	SP	Mega Corp. Stock 123 Main St., Dover, Del 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
		911 Rt 206, Bordentown, NJ	$100,001 - $250,000	Rent	$5,001 - $15,000	
		207 High St. Mt Holly, NJ	$100,001 - $250,000	Rent	$5,001 - $15,000	
		Federal Credit Union, Washington, DC	$1 - $1,000	Interest	$1 - $200	
		Vanguard US Treas MM(IRA)	None	Dividends	None	roll over
		Vanguard Prime Money Market	None	Dividends	$201 - $1,000	
		Vanguard Admiral Fund #12	None	Dividends	$201 - $1,000	S
		Vanguard Windsor II #73	None	Capital Gains and Dividends	$1,001 - $2,500	S
		Vanguard Prime Cap #59	None	Capital Gains and Dividends	$201 - $1,000	S

2676

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Summit Bank,(former Bank ofMidJersey)	$1 - $1,000	Dividends	$1 - $200	
	Eaton Vance Prime Rate Reserves	$15,001 - $50,000	Capital Gains and Dividends	$201 - $1,000	P
	First Trust Target V	$15,001 - $50,000	Dividends	$1 - $200	P
	First Trust Target 15	$1,001 - $15,000	Dividends	$1 - $200	P
	Fidelity Advisor Growth Opportunities	$15,001 - $50,000	Capital Gains and Dividends	$1,001 - $2,500	P
	Fidelity Advisor Equity Portfolio	$15,001 - $50,000	Capital Gains and Dividends	$1,001 - $2,500	P
	Janney Montgomery Scott Money Market	$50,001 - $100,000	Dividends	$2,501 - $5,000	
	Janney Montgomery Scott IRA MM	$15,001 - $50,000	Dividends	$201 - $1,000	roll over
	Commerce Bank Checking	$1 - $1,000	Interest	$1 - $200	

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
	Vanguard Windsor II	Sold	03/06/98	$15,001 - $50,000
	Vanguard Primecap	Sold	03/06/98	$15,001 - $50,000
	Vanguard US Treas MM IRA	Exchange	05/03/98	$15,001 - $50,000
	Vanguard Admiral Fund	Sold	03/06/98	$50,001 - $100,000
	Janney Montgomery Scott IRA MM	Exchange	03/19/98	$15,001 - $50,000
	Fidelity Advisor III Equity	Purchase	03/13/98	$15,001 - $50,000
	Fidelity Advisor II Growth	Purchase	03/13/98	$15,001 - $50,000
	First Trust Target 5	Purchase	04/01/98	$1,001 - $15,000
	First Trust Target 15	Purchase	04/01/98	$1,001 - $15,000
	Eaton Vance Fund 032	Purchase	10/20/99	$15,001 - $50,000

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.

Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
	Summit Bank(Mid-Jersey)	Mortage 911 RT206 Bordentown	$10,001 - $15,000
	Summit Bank(Mid-Jersey)	Mortgage 207 High Mt Holly	$50,001 - $100,000

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash. D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Korean Institute for Human Rights	04/14/98 - 4/17/98	DC -Korea - DC	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

(4)

99 MAY 17 PM 4:13

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

CHARLES JOSEPH SCARBOROUGH
(Full Name)

127 CANNON H.O.B.
WASHINGTON D.C. 20515
(Mailing Address)

Daytime Telephone 202-225-4136

Filer Status	☒ Member of the U.S. House of Representatives	State: FL District: 01	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Joe Scarborough

DATE (Month/Day/Year) MAY/17/1999

2692

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
FIRST BAPTIST CHURCH, NICEVILLE, FL.	SPEECH	JULY 4, 1998	$100.00
K-MART	APPEARANCE	SEPTEMBER, 16, 1998	$500.00

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name CHARLES JOSEPH SCHRADER III | Page 3 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	Block B: A None	B $1–$1,000	C $1,001–$15,000	E $15,001–$50,000	F $50,001–$100,000	G $100,001–$250,000	H $250,001–$500,000	I $500,001–$1,000,000	J $1,000,001–$5,000,000	K $5,000,001–$25,000,000	L $25,000,001–$50,000,000	Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: I None	II $1–$200	III $201–$1,000	IV $1,001–$2,500	V $2,501–$5,000	VI $5,001–$15,000	VII $15,001–$50,000	VIII $50,001–$100,000	IX $100,001–$1,000,000	X $1,000,001–$5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock				X									X																		P
			Simon & Schuster		Indefinite																	Royalties												
			1st Bank of Paducah, KY accounts					X										X									X							
			NEW ENGLAND VARIABLE ANNUITY #V211410			X										X				X														
			NEW ENGLAND FIXED ANNUITY #F20858B			X										X				X														
			NEW ENGLAND GROWTH FUND #35923G3			X										X				X														
			COPELY PENSION PROP. VII #2203			X										X				X														
			SWIFT INCOME PARTNERS III #10624			X										X				X														

For additional assets and unearned income, use next page.

2683

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
POLITICALLY INCORRECT TV SHOW	JAN 21-24, MB	PENSACOLA, FL - LOS ANGELES - PENS, FL	Y	Y	N	3 DAYS
AIPAC RECEPTION	ARIL 13	PENSACOLA, FL - MIAMI - PENSACOLA FL	N	N	N	N
POLITICALLY INCORRECT TV SHOW	NOV 30 - DEC 1	PENSACOLA, FL - LOS ANGELES - PENSACOLA FL	Y	Y	N	N
SHORT LINE RAILROAD - WEST SPRINGFIELD	MAY 4	PANAMA CITY, FL - MYRTLE BEACH - WASH DC	N	N	N	N

This page may be copied if more space is required.

JOE SCARBOROUGH
1ST DISTRICT, FLORIDA

NATIONAL SECURITY COMMITTEE

GOVERNMENT REFORM AND OVERSIGHT COMMITTEE

ECONOMIC AND EDUCATIONAL OPPORTUNITIES COMMITTEE

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515

WASHINGTON OFFICE
227 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-4136

DISTRICT OFFICES
4300 BAYOU BOULEVARD
SUITE 17C
PENSACOLA, FL 32503
(904) 479-1183

348 S.W. MIRACLE STRIP PARKWAY
UNIT 21
FORT WALTON BEACH, FL 32548
(904) 864-1266

June 11, 1999

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 11 AM 10:36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(1)

MC

Mr. Jeff Trandahl
Clerk of the House
B-106 Cannon HOB
Washington D.C

Dear Mr. Trandahl

Please amend page 3 - assets and unearned income - of my Financial Disclosure Statement for calender year 1998. All assets in block "A" should be listed as IRAs

If you have any questions regarding this issue, please contact my Chief of Staff, Mr. Bart Roper, at 202-225-4136. Thank you for your assistance.

Sincerely,

Joe Scarborough

Joe Scarborough
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 3:17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Robert Warren Schaffer
(Full Name)

3284 Silverthorne Drive
(Mailing Address)

Fort Collins CO 80526

Daytime Telephone: 202/225-4676

Filer Status
[X] Member of the U S House of Representatives
State: CO District: 04
[ ] Officer or Employee
Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination
Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS-Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? (See Instructions, pages 10-11.)	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? (See Instructions, page 11.)	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL **DATE** (Month/Day/Year)

2686

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. For further information, see Instructions, pages 12-13.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Symbios Logic, Inc., Fort Collins, CO	Salary/Spouse	NA
	Colorado State University	Spouse Grad Study	NA
	US House of Representatives	Salary	$136,700.00

For payments to charity in lieu of honoraria, use Schedule II.

58-074 99 - 27

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package. For further information, see Instructions, pages 13-14.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1994	$2,000
XYZ Magazine	Article	Aug. 13, 1994	$500

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see Instructions, pages 14-20			BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC *Examples:* JT	SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
		427 Smith Street, Ft. Collins, CO	$100,001 - $250,000	Rent	$15,001 - $50,000	
		Bank One of Colorado, Loveland, Accts.	$15,001 - $50,000	Interest	$201 - $1,000	
		State Street Bank and Trust, Boston, VA, IRAS	$15,001 - $50,000	Interest	N/A	
		At&T Stock	$1,001 - $15,000	Dividends	$201 - $1,000	
		Public Employees Pension Assn. of Colorado	$15,001 - $50,000	Interest	None	
SP		Lucent Technologies, Inc. Stock	$1 - $1,000	Capital Gains	$1 - $200	

2689

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

Symbios Logic Savings Plan, Ft. Collins, CO	$50,001 - $100,000	Dividends	$201 - $1,000	
Fidelity Advisor Funds, Boston, MA	$15,001 - $50,000	Dividends	$201 - $1,000	
Symbios Locig Savings Plan, Lexington KY	$1,001 - $15,000	Interest	$1 - $200	
Northhouse, LLC, Ft. Collins, CO	$ 5,001 - $ 15,000	Capital Gains	$2,501 - $5,000	
First State Bank, Ft. Collins, CO	$1,001 - $15,000	Interest	$201 - $1,000	
Olde Town North, LLC, Fort Collins, CO	$15,001 - $50,000	Capital Gains	N/A	P

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see instructions, pages 20-21

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000. For further information, see Instructions, pages 21-22.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	Chase Manhattan Bank, Phoenix, AZ	Mortgage on 427 Smith St. Rental	$50,001 - $100,000

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.
Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.
Note: The gift rule (House Rule 52 in the 104th Congress, renumbered as House Rule 51 of the 105th Congress) prohibits gifts except as specifically provided in the rule.
For further information, see instructions, pages 22-24.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you. For further information, see Instructions, pages 24-26.

	Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
	Mega Corporation	Aug.6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
				N	N	N	

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. For further information, see Instructions, page 26.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization

Name	Page 11 of 11

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer. For further information, see Instructions, pages 26-27.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 21 PM 12:18
OFFICE OF THE CLERK
MAY 17 1999
(11)
(Office Use Only)

Robert Warren Schaffer
(Full Name)

3284 Silverthorne Drive
(Mailing Address)

Fort Collins CO 80526

Daytime Telephone: 202/225-4676

Filer Status
[X] Member of the U.S. House of Representatives — State: CO — District: 04
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule I** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS-Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? (See Instructions, pages 10-11.) — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? (See Instructions, page 11.) — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5-17-99

2697

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. For further information, see instructions, pages 12-13.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Symbios Logic, Inc., Fort Collins, CO	Salary/Spouse	NA
	Colorado State University	Spouse Grad Study	NA
	US House of Representatives	Salary	$136,700.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package. For further information, see instructions, pages 13-14.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1994	$2,000
	XYZ Magazine	Article	Aug. 13, 1994	$500

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, *Examples:* JT	SP Mega Corp. Stock SP 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	427 Smith Street, Ft. Collins, CO	$100,001 - $250,000	Rent	$15,001 - $50,000	
	Bank One of Colorado, Loveland, Accts.	$15,001 - $50,000	Interest	$201 - $1,000	
	State Street Bank and Trust, Boston, VA, IRAS	$15,001 - $50,000	Interest	N/A	
	At&T Stock	$1,001 - $15,000	Dividends	$201 - $1,000	
	Public Employees Pension Assn. of Colorado	$15,001 - $50,000	Interest	None	
SP	Lucent Technologies, Inc. Stock	$1 - $1,000	Capital Gains	$1 - $200	

2700

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Symbios Logic Savings Plan, Ft. Collins, CO	$50,001 - $100,000	Dividends	$201 - $1,000	
	Fidelity Advisor Funds, Boston, MA	$15,001 - $50,000	Dividends	$201 - $1,000	
	Symbios Locig Savings Plan, Lexington KY	$1,001 - $15,000	Interest	$1 - $200	
	Northhouse, LLC, Ft. Collins, CO	$ 5,001 - $ 15,000	Capital Gains	$2,501 - $5,000	
	First State Bank, Ft. Collins, CO	$1,001 - $15,000	Interest	$201 - $1,000	
	Olde Town North, LLC, Fort Collins, CO	$15,001 - $50,000	Capital Gains	N/A	P

2701

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see Instructions, pages 20-21.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000. For further information, see Instructions, pages 21-22.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
	Chase Manhattan Bank, Phoenix, AZ	Mortgage on 427 Smith St. Rental	$50,001 - $100,000

2703

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year
Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.
Note: The gift rule (House Rule 52 in the 104th Congress, renumbered as House Rule 51 of the 105th Congress) prohibits gifts except as specifically provided in the rule
For further information, see instructions, pages 22-24.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you. For further information, see instructions, pages 24-26.

	Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
	Mega Corporation	Aug.8-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
				N	N	N	

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. For further information, see Instructions, page 26.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer. For further information, see Instructions, pages 26-27.

Date	Parties To	Terms of Agreement

BOB SCHAFFER
MEMBER OF CONGRESS FROM COLORADO
FOURTH DISTRICT

212 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515

(202) 225-4676
E-mail: rep.schaffer@mail.house.gov
www.house.gov/schaffer/

HAND DELIVERED

AMDG

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 11 AM 11:16
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

COMMITTEES
AGRICULTURE
RESOURCES
EDUCATION AND THE WORKFORCE
VICE-CHAIRMAN
CHILDHOOD, YOUTH AND FAMILY
REPUBLICAN POLICY COMMITTEE

Congress of the United States
House of Representatives

June 11, 1999

(1)

Office of the Clerk
US House of Representatives
LRC, B106
Washington, DC 20515

✓ MC

To whom it may concern:

During 1998, I did not accept any trips that would have to be noted on my financial discloser. Should you have any concerns, please do not hesitate contacting me or my executive assistant, Brandi Graham at 5-4676.

Very truly yours,

Bob Schaffer
Member of Congress

123 N. COLLEGE AVE., SUITE 260B
FORT COLLINS, CO 80524
(970) 493-9132

801 8TH ST., SUITE 220E
GREELEY, CO 80631
(970) 353-3507

19 W. 4TH ST
LA JUNTA, CO 81050
(719) 384-7370

705 S. DIVISION AVE.
STERLING, CO 80751
(970) 522-1788

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 AM 10:54
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Janice D. Schakowsky
(Full Name)

1101 Ridge
Evanston, IL 60202
(Mailing Address)

Daytime Telephone
(202) 225-2111

Filer Status
[X] Member of the U.S. House of Representatives — State: Illinois District: 9
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Janice D. Schakowsky

DATE (Month/Day/Year): 5/12/99

2709

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Illinois, State Representative	Salary	$48,312.06
	Issue Dynamics, Inc. (Chicago)	Spouse's Salary	
	Access Living (Chicago)	Spouses Consulting Fee	

For payments to charity in lieu of honoraria, use Schedule II.

Name Janice D. Schakowsky | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																	P
			Simon & Schuster		Indefinite																	Royalties											
			1st Bank of Paducah, KY accounts						X								X										X						
			Merrill Lynch CMA Money Account				X									X			X							X							S
			Walt Disney			X										X								X									
			Intel Corp.			X										X			X								X						S
			Oppenheimer Municipal Income Trust		X											X								X									F
			Morgan Stanley - Dean Witter Municipal Income Trust		X											X								X									
			Sterling Bancorp NY			X										X								X									

For additional assets and unearned income, use next page.

2711

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Janice D. Schakowsky | Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Computer Associates Internat.				X									X								X									
	Gateway 2000 Inc.			X										X			X					X									S
	Loral Space & Communications			X										X								X									
	Lucent Technologies			X										X								X									
	Reliance Group Holdings Inc.			X										X								X									
	360 Communications Co.			X										X			X						X								S
	Thermolase Corp.			X										X							X										S
	GT Global Communications Fund			X										X								X									E
	AIM Investment Funds, Global Telecommunications Fund			X										X								X									
	U.S. Treasury Bonds			X												X						X									
	Dean Witter Amer. Value B Fund			X										X								X									
	Alliance Capital Management LP			X										X								X									
	John Hancock Growth & Income Fund			X										X								X									

This page may be copied if more space is required.

2712

Name Janice D. Schakowsky | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Private Bank, Chicago	Loan				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Governing Council	American Jewish Congress, Midwest Region
Board of Directors	Child Care Network of Evanston
Policy Council	Citizen Action of Illinois

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 1998 — 455-195 (mac)

Use additional sheets if more space is required.

JANICE D. SCHAKOWSKY
9TH DISTRICT, ILLINOIS

COMMITTEES:
BANKING and FINANCIAL SERVICES

SMALL BUSINESS

FRESHMAN WHIP

INSIDE MAIL

Congress of the United States
House of Representatives
Washington, DC 20515-1309

WASHINGTON OFFICE
515 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
Telephone 202 225-2111
Fax 202 226-6890

DISTRICT OFFICE
2100 RIDGE AVENUE, ROOM 2203
EVANSTON, ILLINOIS 60201
Telephone 847 328-3399
Fax 847 328-3425

TTY 202-225-1904

(1)

June 10, 1999

RECEIVED
99 JUN 14 AM 11:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
LEGISLATIVE RESOURCE CENTER

The Honorable Jeff Trandahl
Clerk of the House
U.S. House of Representatives
Washington, DC 2051

Dear Mr. Trandahl:

In the recent submission of my financial disclosure, I have inadvertently neglected to include Schedule IV. I checked the box on page 1 for transactions and then failed to include the Schedule.

What I neglected to include are the following transactions:

Sale of Intel Corp. Stock
Sale of Gateway 2000 Stock
Sale of 360 Communications Company Stock
Sale of Thermolase Corp. Stock

Schedule IV should also have included the purchases of:

Alliance Capitol Management LP
John Hancock Growth and Income Fund

The value of all stock sold should have been reported as "0" (none).

I regret the omission, and I hope this information is sufficient.

Sincerely,

Jan Schakowsky
Member of Congress

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 14 AM 9:30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Robert C. Scott
(Full Name)

7501 River Road, Plaza C
(Mailing Address)

Newport News VA 23607
Daytime Telephone: 202.225.8351

Filer Status
[X] Member of the U.S. House of Representatives — State: VA, District: 03
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? (See instructions, pages 10-11.) — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? (See instructions, page 11.) — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Robert C. Scott

DATE (Month/Day/Year) 6-14-99

2716

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see Instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT **Examples:** SP Mega Corp. Stock	$15,001 - $50,000	Dividends	$201 - $1,000	P
SP 123 Main St., Dover, Del.	$250,001 - $500,000	Rent	$1,001 - $2,500	
1st Bank of Paducah, KY accounts	$50,001 - $100,000	Interest	$5,001 - $15,000	
Automatic Data Processing/Stock	$1,001 - $15,000	Dividends	None - $200	NA
Applebee's/Stock	$1,001 - $15,000	Dividends	None - $200	P
American Insurance Group/Stock	$1,001 - $15,000	Dividends	None - $200	P
Ariel Growth Fund	$1,001 - $15,000	Dividends	None - $200	NA
Abbott Labs/Stock	$1,001 - $15,000	Dividends	None - $200	NA
AARP Growth & Income Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	NA
AFLAC/Stock	$1,001 - $15,000	Dividends	None - $200	NA
Amazon.Com/Stock	$1,001 - $15,000	Capital Gains	$2,501 - $5,000	P
Baron Asset Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P

2717

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Coca Cola/Stock	$1,001 - $15,000	Dividends	None - $200	P
	Cisco Systems/Stock	$1,001 - $15,000		None - $200	P
	Cracker Barrel/Stock	$1,001 - $15,000		None - $200	P
	Calvert New Africa Fund	$1,001 - $15,000		None - $200	P
	Conn Mutual/Annuity Fund	$1,001 - $15,000		None - $200	P
	Colgate/Stock	$1,001 - $15,000	Dividends	None - $200	P
	Carlisle Companies/Stock	$1,001 - $15,000	Dividends	None - $200	P
	Domini Social Equity Fund	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P
	Dionex/Stock	$1,001 - $15,000		None - $200	P
	Disney/Stock	$1,001 - $15,000	Dividends	None - $200	P
	Dollar General/Stock	$1,001 - $15,000		None - $200	P
	Fidelity Spartan Market Index Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P
	Fidelity Growth & Income Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P
	Schering-Plough Corp/Stock	$1,001 - $15,000		None - $200	NA
	Gillette/Stock	$1,001 - $15,000	Dividends	None - $200	P
	General Electric/Stock	$1,001 - $15,000	Dividends	None - $200	P
	Home Depot/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	Johnson & Johnson/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	Legg Mason Value Trust Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	NA
	Legg Mason Spec Inv Trust Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	NA
	Mairs & Powers Growth Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P
	Marsico Focus Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P
	Oakmark Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P
	Oakmark Small Stock Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P
	Oak Value Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Profit Lomax Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	S
	Pepsi/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	RPM/Stock	$1,001 - $15,000	Dividends	None - $200	P
	Sara Lee/Stock	$1,001 - $15,000	Dividends	None - $200	P
	Sigma Aldridge/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	Sysco/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	T Rowe Price Div Growth Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P
	Torray Fund	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	S
	Thermo Electron/Stock	$1,001 - $15,000		None - $200	P
	Value Line Leveraged Growth Fund	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P
	Value Line Asset Allocation Fund	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P
	Wrigley's/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	Walgreen's/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	Weitz Value Fund	$1,001 - $15,000	Capital Gains and Dividends	None - $200	P
	White Oak Growth Stock Fund	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P
	Wendy's/Stock	$1,001 - $15,000	Dividends	None - $200	P
	WalMart/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	Scott Family Trust	$15,001 - $50,000		None - $200	NA
	Professional Investment Associates/Investment Club	$50,001 - $100,000	Capital Gains and Dividends	$1,001 - $2,500	P
	**AFLAC/PIA Stock	$1,001 - $15,000	Dividends	None - $200	NA
	**Abbott Labs/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	**Automatic Data Processing/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	**Coca Cola/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	**Disney/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	**Dollar General Stores/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	**General Electric/Stock	$1,001 - $15,000	Dividends	None - $200	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	**Johnson & Johnson/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	**McDonald's/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	**Synovous Financial/Stock	$1,001 - $15,000	Dividends	None - $200	NA
	stocks above listed with "**" are in the PIA portfolio and are listed with only the members interest considered				
		None - $1,000		None - $200	NA
		None - $1,000		None - $200	NA
	notes: in final version, I added a handwritten "S" in "P,S,E" column to reflect the fact that I had also sold some of the following: Amazon.com, Baron Asset, Fidelity G&I, Thermo Electron, Value Line AA, and White Oak GS Fund.....				

2720

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see Instructions, pages 20-21.

SP,DC,J	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
	AARP Growth & Income Fund	Purchase	several purchases under $1,000	$1,001 - $15,000
	Aim Aggressive Growth Fund	Sold	09/23/98	$1,001 - $15,000
	Amazon.com/Stock	Purchase	04/17/98	$1,001 - $15,000
	Amazon.com/Stock	Purchase	05/29/98	$1,001 - $15,000
	Amazon.com/Stock	Sold	06/30/98	$1,001 - $15,000
	Amazon.com/Stock	Sold	07/01/98	$1,001 - $15,000
	Amazon.com/Stock	Sold	07/02/98	$1,001 - $15,000
	Amazon.com/Stock	Sold	07/06/98	$1,001 - $15,000
	Amazon.com/Stock	Sold	several sales under$1,000	$1,001 - $15,000
	American Insurance Group/Stock	Purchase	10/15/98	$1,001 - $15,000
	Applebee's/Stock	Purchase	2/4/98 and 2 purchases under $1,000	$1,001 - $15,000
	Ariel Growth Fund	Purchase	07/10/98	$1,001 - $15,000
	Ariel Growth Fund	Sold	09/11/98	$1,001 - $15,000
	Ariel Growth Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Babson Value Fund	Purchase	several purchases under $1,000	$1,001 - $15,000
	Babson Value Fund	Sold	09/11/98	$1,001 - $15,000
	Calvert New Africa Fund	Purchase	11/2/98 and 2 purchases under $1,000	$1,001 - $15,000
	Carlisle Companies/Stock	Purchase	several purchases under$1,000	$1,001 - $15,000
	Cisco Systems/Stock	Purchase	several purchases under $1000	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see Instructions, pages 20-21.

SP,DC,J	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
	Coca Cola/Stock	Purchase	several purchases under $1000	$1,001 - $15,000
	Colgate Palmolive/Stock	Purchase	several purchases under $1000	$1,001 - $15,000
	Cracker Barrel/Stock	Purchase	several purchases under $1000	$1,001 - $15,000
	Didax/Stock	Purchase	several purchases under $1000	$1,001 - $15,000
	Didax/Stock	Sold	12/9/98	$1,001 - $15,000
	Dionex/Stock	Purchase	06/30/98	$1,001 - $15,000
	Disney/Stock	Purchase	09/22/98	$1,001 - $15,000
	Dollar General/Stock	Purchase	11/20/98 and several purchases under $1000	$1,001 - $15,000
	Domini Social Equity Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Federal Signal Corp/Stock	Sold	06/15/98	$1,001 - $15,000
	Fidelity Dividend Growth Fund	Sold	01/22/98	$1,001 - $15,000
	Fidelity Dividend Growth Fund	Sold	02/05/98	$1,001 - $15,000
	Fidelity Growth & Income Fund	Sold	01/22/98	$1,001 - $15,000
	Fidelity Growth & Income Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Fidelity Select Electronics Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Fidelity Select Electronics Fund	Sold	01/22/98	$1,001 - $15,000
	Fidelity Select Electronics Fund	Sold	07/10/98	$1,001 - $15,000
	Fidelity Spartan Market Index Fund	Purchase	09/11/98 and 2 purchases	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see instructions, pages 20-21.

SP,DC,J	Asset	Type of Transaction	Date	Amount of Transaction
Example:	Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
			under $1000	
	General Electric/Stock	Purchase	several purchases under $1000	$1,001 - $15,000
	Gillette/Stock	Purchase	several purchases under $1000	$1,001 - $15,000
	Kaufmann Fund	Sold	01/13/98	$1,001 - $15,000
	Kaufmann Fund	Sold	01/22/98	$1,001 - $15,000
	Kaufmann Fund	Sold	02/02/98	$1,001 - $15,000
	Kaufmann Fund	Sold	02/05/98	$1,001 - $15,000
	Luby's Cafeteria/Stock	Sold	09/22/98 and another sale under $1000	$1,001 - $15,000
	Mairs & Powers Growth Fund	Purchase	01/05/98 and several purchases under $1000	$1,001 - $15,000
	Marsico Focus Fund	Purchase	08/15/98 and several purchases under $1000	$1,001 - $15,000
	Muhlenkamp Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Muhlenkamp Fund	Sold	09/11/98 and 2 other sales under $1000	$1,001 - $15,000
	Oak Value Fund	Purchase	09/10/98 and several purchases under $1000	$1,001 - $15,000
	Oakmark Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Oakmark Small Stock Fund	Purchase	10/07/98 and several purchases under $1000	$1,001 - $15,000
	PBHG Growth Fund	Sold	01/20/98	$1,001 - $15,000
	PBHG Growth Fund	Sold	01/28/98	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see Instructions, pages 20-21.

SP,DC,J	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
	RPM, Inc/Stock	Purchase	several purchases under $1000	$1,001 - $15,000
	Sara Lee/Stock	Purchase	several purchases under $1000	$1,001 - $15,000
	T Rowe Price Dividend Growth Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Thermo Electron/Stock	Purchase	04/27/98	$1,001 - $15,000
	Thermo Electron/Stock	Purchase	06/04/98	$1,001 - $15,000
	Thermo Electron/Stock	Purchase	06/15/98	$1,001 - $15,000
	Thermo Electron/Stock	Purchase	06/17/98	$1,001 - $15,000
	Thermo Electron/Stock	Purchase	07/21/98	$1,001 - $15,000
	Thermo Electron/Stock	Purchase	07/28/98	$1,001 - $15,000
	Thermo Electron/Stock	Sold	08/12/98	$1,001 - $15,000
	Thermo Electron/Stock	Sold	08/17/98	$1,001 - $15,000
	Thermo Electron/Stock	Purchase	09/21/98	$1,001 - $15,000
	Thermo Electron/Stock	Purchase	10/07/98	$1,001 - $15,000
	Torray Fund	Sold	01/20/98	$1,001 - $15,000
	Value Line Fund	Sold	02/03/98	$1,001 - $15,000
	Value Line Asset Allocation Fund	Sold	01/20/98	$1,001 - $15,000
	Value Line Asset Allocation Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Value Line Leveraged Growth Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Weitz Value Fund	Purchase	08/18/98	$1,001 - $15,000
	Weitz Value Fund	Purchase	09/01/98	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see instructions, pages 20-21.

SP,DC,J	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
	Weitz Value Fund	Purchase	09/03/98	$1,001 - $15,000
	Weitz Value Fund	Purchase	11/20/98	$1,001 - $15,000
	Wendy's/Stock	Purchase	several purchases under $1000	$1,001 - $15,000
	White Oak Growth Stock Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Conn Mutual Annuity Fund	Purchase	several purchases under $1000	$1,001 - $15,000
	Professional Investment Associates - Investment Club	Purchase	$250 per month	$1,001 - $15,000

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000. For further information, see Instructions, pages 21-22.

SP,DC,J	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
	Congressional Federal Credit Union	revolving credit card	$10,001 - $15,000
	Crestar Bank	mortgage on former residence	$50,001 - $100,000
	Fidelity Investments	margin account	$15,001 - $50,000
	Brown & Co Securities	margin account	$15,001 - $50,000
	Jack White Co	margin account	$10,001 - $15,000
	Wheat, First Union Brokerage Accounts	margin account	$15,001 - $50,000

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. For further information, see Instructions, page 26

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Board member	Hampton Roads Chapter, March of Dimes

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
288
99 MAY 14 PM 5:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

The Honorable F. James Sensenbrenner, Jr.
(Full Name)

2332 Rayburn House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: (202)225-5101

Filer Status	[X]	Member of the U.S. House of Representatives	State: WI District: 09	[ ]	Officer or Employee	Employing Office:
Report Type	[X]	Annual (May 15)	[ ] Amendment	[ ]	Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [X] No [ ]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file the report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5/13/99

2728

Name The Honorable F. James Sensenbrenner, Jr.	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Sensenbrenner Family Trust (Trustee Fee)	Family	$20,000.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT			$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
Examples:	SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts				
	Wisc. State Retirement	$50,001 - $100,000	Dividends	None	
	Abbott Laboratories stock	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	split
	Ameritech stock	$15,001 - $50,000	Dividends	$201 - $1,000	drip
	BP/AMOCO	$100,001 - $250,000	Dividends	$2,501 - $5,000	merge
	AT&T stock	$15,001 - $50,000	Dividends	$201 - $1,000	drip
	Bank One stock	$100,001 - $250,000	Dividends	$5,001 - $15,000	E

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Bell Atlantic stock	$50,001 - $100,000	Dividends	$1,001 - $2,500	drip/split
	Bell South stock	$15,001 - $50,000	Dividends	$201 - $1,000	drip/split
	Morgan Stanley/Dean Whitter	$15,001 - $50,000	Dividends	$1 - $200	NA
	Dunn & Bradstreet stock	$50,001 - $100,000	Dividends	$201 - $1,000	NA
	DuPont stock	$50,001 - $100,000	Dividends	$1,001 - $2,500	NA
	Eastman Chemical stock	$1,001 - $15,000	Dividends	$1,001 - $2,500	NA
	Eastman Kodak stock	$50,001 - $100,000	Dividends	$1 - $200	NA
	Exxon Corporation stock	$250,001 - $500,000	Dividends	$5,001 - $15,000	NA
	Firstar stock	$50,001 - $100,000	Dividends	$1,001 - $2,500	E
	General Mills stock	$100,001 - $250,000	Dividends	$2,501 - $5,000	NA
	General Motors	$15,001 - $50,000	Dividends	$201 - $1,000	NA
	Halliburton Corp stock	$50,001 - $100,000	Dividends	$201 - $1,000	NA
	Reliant Energy (Houston Industries)	$1,001 - $15,000	Dividends	$201 - $1,000	NA
	Kellogg Corp stock	$100,001 - $250,000	Dividends	$2,501 - $5,000	NA
	Kimberly Clark Corp stock	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000	Sold/gift to charity
	Merck & Co stock	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000	split
	Minn Min & Mfg stock	$50,001 - $100,000	Dividends	$1,001 - $2,500	NA
	Monsanto Corp stock	$250,001 - $500,000	Dividends	$1,001 - $2,500	NA
	Newell stock	$50,001 - $100,000	Dividends	$1,001 - $2,500	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Ogden & Co stock	$15,001 - $50,000	Dividends	$1,001 - $2,500	NA
	PG&E (Pacific Gas & Electric)	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	Philip Morris Co stock	$250,001 - $500,000	Dividends	$15,001 - $50,000	stock donated to charity
	Sears Roebuck stock	$1,001 - $15,000	Dividends	$1 - $200	NA
	SBC Comm stock	$15,001 - $50,000	Dividends	$201 - $1,000	drip
	Tenneco Corp stock	$15,001 - $50,000	Dividends	$1,001 - $2,500	drip
	Unisys Pfd stock	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	Universal Foods stock	$50,001 - $100,000	Dividends	$1,001 - $2,500	drip
	US West stock	$15,001 - $50,000	Dividends	$201 - $1,000	drip
	Warner Lambert stock	$250,001 - $500,000	Dividends	$2,501 - $5,000	split
	IMS Health	$100,001 - $250,000	Dividends	$1 - $200	
	Media One	$1,001 - $15,000	NA	None	
	RR Donnelly Corp.	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Solutia	$15,001 - $50,000	Dividends	$1 - $200	
	General Electric	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
	Wisconsin Energy stock	$15,001 - $50,000	Dividends	$1,001 - $2,500	NA
	Allstate Ins. stock	$1,001 - $15,000	Dividends	$1 - $200	split
	Darden Restaurants	$15,001 - $50,000	Dividends	$1 - $200	
	Sandusky Voting Trust	$1,001 - $15,000	Dividends	$1 - $200	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Airtouch Communications	$1,001 - $15,000		None	
	Highlands Insurance stock	$1,001 - $15,000		None	
	x				
	Bank One, Milwaukee	$15,001 - $50,000	Interest	$2,501 - $5,000	
	M&I Bank Lake Country Bank	$1 - $1,000	Interest	$1 - $200	
	Burke & Herbert Bank	$1,001 - $15,000	NA	None	
	Firstar FSB, IRA	$50,001 - $100,000	NA	NA	
DC	FJS, III Trust	$100,001 - $250,000	Dividends	$2,501 - $5,000	
DC	RAS Trust	$100,001 - $250,000	Dividends	$2,501 - $5,000	
	FJS Jr. Trust	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
DC	Schweitzer Maudit (in trust)	$1,001 - $15,000	Dividends	$201 - $1,000	
DC	Burke & Herbert Bank	$1,001 - $15,000	Interest	$1 - $200	
DC	Burke & Herbert Bank	$1,001 - $15,000	Interest	$1 - $200	
DC	Kimberly Clark Corp (in trust)	$250,001 - $500,000	Dividends	$5,001 - $15,000	
DC	Burke & Herbert Bank (in trust)	$100,001 - $250,000	Interest	$5,001 - $15,000	
DC	Kimberly Clark Corp (in trust)	$250,001 - $500,000	Dividends	$5,001 - $15,000	
DC	Bank One (in trust)	$50,001 - $100,000	Interest	$2,501 - $5,000	
DC	FJS, III 1993 Trust (Exempt)	None	Dividends/Interest	$2,501 - $5,000	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

DC	RAS 1993 Trust (Exempt)	None	Dividends/Interest	$2,501 - $5,000	
DC	Firstar Bank	$1,001 - $15,000	Interest	$1 - $200	
DC	Firstar Bank	$1,001 - $15,000	Interest	$1 - $200	
DC	Schweietzer Maudit (in trust)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Nielsen Media	$15,001 - $50,000	Dividends	$1 - $200	
	NCR Corp.	$1,001 - $15,000		None	NA
	El Paso Energy	$1,001 - $15,000	Dividends	$1 - $200	split
	Imation	$1,001 - $15,000		None	
	Lucent Technologies	$15,001 - $50,000	Dividends	$1 - $200	split
	Newport News Shipbuilding	$1,001 - $15,000	Dividends	$1 - $200	drip
	Raytheon Co.	$1,001 - $15,000	Dividends	$1 - $200	NA

Name The Honorable F. James Sensenbrenner, Jr.	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a lose. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Kimberly Clark stock	Sold	5/28/98	$15,001 - $50,000
	Kimberly Clark stock	Sold	7/14/98	$15,001 - $50,000
	Kimberly Clark stock	Sold	7/27/98	$15,001 - $50,000
	Kimberly Clark stock	Sold	9/2/98	$15,001 - $50,000
	Kimberly Clark stock	Sold	10/12/98	$15,001 - $50,000
	Kimberly Clark stock	Sold	11/06/98	$15,001 - $50,000
	Kimberly Clark stock	Sold	12/07/98	$15,001 - $50,000

Name The Honorable F. James Sensenbrenner, Jr.	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug.6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Federal Institute of Technology Zurich	2/14/98-2/18/98	D.C./Zurich/D.C.	Y	Y	N	No
New York Academy of Science	6/2/98	New York City	N	Y	N	No
US/Japan Legislative Exchange Program - GW University	11/28/98-12/04/98	D.C./Singapore/ Tokyo/D.C.	Y	Y	N	4 days

Name The Honorable F. James Sensenbrenner, Jr.	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Trustee	Sensenbrenner Family Trust
Co-Trustee	Separate trusts established for benefit of
	F. James Sensenbrenner, III, and
	Robert Alan Sensenbrenner

BANK ONE TRUST COMPANY, NA
111 E WISCONSIN AVE
MILWAUKEE, WI 53202

STATEMENT OF ACCOUNT
FOR PERIOD 01/01/98 THROUGH 12/31/98

F JAMES SENSENBRENNER JR TRUST

ACCOUNT NUMBER 9572125310

FOR ASSISTANCE, PLEASE CONTACT
LYNN E PAULL
(414) 765-2751

F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

BANK ONE.

ACCOUNT NO. 9572125310 F JAMES SENSENBRENNER JR TRUST

MUTUAL FUNDS, INCLUDING PROPRIETARY MUTUAL FUNDS, AND VARIOUS OTHER MARKETABLE SECURITIES ARE NOT INSURED OR GUARANTEED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) OR ANY OTHER GOVERNMENT SPONSORED AGENCY OF THE FEDERAL GOVERNMENT OR ANY STATE. ALL INVOLVE INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED. "THE ONE GROUP" FUNDS ARE NOT DEPOSITS OR OBLIGATIONS OF, AND ARE NOT GUARANTEED BY, BANK ONE CORPORATION OR ITS BANK OR NON-BANK AFFILIATES.

****

ALTHOUGH MARKET VALUES AND PRICES HAVE BEEN OBTAINED FROM SOURCES WE BELIEVE TO BE RELIABLE, WE DO NOT GUARANTEE THEIR ACCURACY. CERTAIN FIXED INCOME SECURITIES AND ASSETS THAT ARE NOT PUBLICLY OR FREQUENTLY TRADED CANNOT BE READILY PRICED.

****

THIS STATEMENT IS NOT AN OFFICIAL DOCUMENT FOR INCOME TAX REPORTING PURPOSES.

****

INTRODUCING BANC ONE INVESTMENT MANAGEMENT GROUP'S NEW "CLIENTCONNECT" PROGRAM! THIS IS OUR CLIENT SURVEY PROGRAM DESIGNED TO TELL US HOW WELL WE'RE SERVING YOU AND HOW WE CAN IMPROVE OUR SERVICES. HOW DOES IT WORK? YOU MAY RECEIVE A PHONE CALL FROM AN INTERNAL INVESTMENT PROFESSIONAL OR A POSTCARD ASKING FOR YOUR OPINION ON A RECENT INTERACTION YOU HAD WITH US AS WELL AS YOUR SATISFACTION WITH YOUR OVERALL RELATIONSHIP. PLEASE BE ASSURED THAT PERSONAL ACCOUNT INFORMATION WILL NOT BE SHARED.

WE UNDERSTAND YOUR TIME IS VALUABLE. THAT'S WHY WE'LL ASK ONLY A FEW IMPORTANT QUESTIONS EACH TIME AND WHY WE'VE LIMITED THE PROGRAM TO ONLY ONE, POSSIBLY TWO, CONTACTS A YEAR. YOUR INPUT HAS ALWAYS BEEN VALUABLE TO US. BY DEVELOPING A PROGRAM LIKE "CLIENTCONNECT", WE WILL NOW BE ABLE TO REGULARLY GATHER YOUR INPUT AND ACT ON IT TO IMPROVE OUR SERVICES. SO, WHEN YOU RECEIVE A SURVEY POSTCARD OR PHONE CALL, PLEASE TAKE A FEW MOMENTS TO TELL US HOW WE ARE DOING.

THANK YOU AND WE LOOK FORWARD TO YOUR INPUT!

STATEMENT MESSAGES

ACCOUNT NO. 9572125310 F JAMES SENSENBRENNER JR TRUST

BANK ONE.

TRANSACTION SUMMARY

F JAMES SENSENBRENNER JR TRUST — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572125310

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
BEGINNING BALANCES 12/31/97	0.00	0.00	569,956.98
CASH RECEIPTS			
NET INTEREST	998.71		
NET DIVIDENDS	12,826.38	53,180.07	
TOTAL CASH RECEIPTS	13,825.09	53,180.07	0.00
CASH DISBURSEMENTS			
DISTRIBUTIONS TO BENEFICIARIES	11,167.62-		
FIDUCIARY INCOME TAXES		25,945.00-	
ADMINISTRATIVE EXPENSES	2,657.47-	2,217.49-	
TOTAL CASH DISBURSEMENTS	13,825.09-	28,162.49-	0.00
INVESTMENT TRANSACTIONS			
PURCHASES		105,003.00-	105,003.00
SALES/MATURITIES		84,102.00	55,075.35-
NET PURCHASES/SALES CASH MANAGEMENT VEHICLE		4,116.58-	4,116.58
TOTAL INVESTMENT TRANSACTIONS		25,017.58-	54,044.23
ENDING BALANCES 12/31/98	0.00	0.00	624,001.21

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/31/97	BEGINNING BALANCES	0.00	0.00	569,956.98
01/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 12/1/97 TO 12/31/97	111.89		
01/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01270/SH ON 7,905.39 SHARES DUE 1/ 2/98	100.43		
01/02/98	INCOME ON THE ONE GROUP INCOME EQUITY FD $ 0.02526/SH ON 7,763.40 SHARES DUE 1/ 2/98	196.07		
01/02/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 12/1/97 TO 12/31/97	402.35		
01/02/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 12/1/97 TO 12/31/97	385.45		
01/02/98	INCOME ON THE ONE GROUP LARGE COMPANY GRWTH FD $ 0.00805/SH ON 1,529.05 SHARES DUE 1/ 2/98	12.31		
01/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00826/SH ON 1,017.29 SHARES DUE 1/ 2/98	8.40		

2742

F JAMES SENSENBRENNER JR TRUST — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
01/05/98	PURCHASED 1,216.9 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/ 5/98		1,216.90-	1,216.90
01/08/98	PURCHASED 5,938.865 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 1/ 7/98 5,938.865 SHARES AT $11.45		68,000.00-	68,000.00
01/08/98	SOLD 3,190.99 SH THE ONE GROUP INCOME EQUITY FD TRADE DATE 1/ 7/98 3,190.99 SHARES AT $21.31		68,000.00	44,034.90-
01/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END		182.02-	
01/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END	214.52-		
01/12/98	SOLD 396.54 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/12/98		396.54	396.54-
02/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 1/1/98 TO 1/31/98	28.82		

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
02/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01117/SH ON 7,905.39 SHARES DUE 2/ 2/98	88.33		
02/02/98	INCOME ON THE ONE GROUP INCOME EQUITY FD $ 0.01102/SH ON 4,572.41 SHARES DUE 2/ 2/98	50.41		
02/02/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 1/1/98 TO 1/31/98	462.02		
02/02/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 1/1/98 TO 1/31/98	392.91		
02/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00239/SH ON 6,956.16 SHARES DUE 2/ 2/98	16.64		
02/03/98	PURCHASED 1,039.13 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 3/98		1,039.13-	1,039.13
02/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END		181.85-	

2744

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END	214.35-		
2/10/98	SOLD 396.2 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/10/98		396.20	396.20-
3/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 2/1/98 TO 2/28/98	28.32		
3/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00967/SH ON 7,905.39 SHARES DUE 3/ 2/98	76.48		
3/02/98	INCOME ON THE ONE GROUP INCOME EQUITY FD $ 0.03163/SH ON 4,572.41 SHARES DUE 3/ 2/98	144.62		
3/02/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 2/1/98 TO 2/28/98	416.67		
3/02/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 2/1/98 TO 2/28/98	356.82		

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
03/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00980/SH ON 6,956.16 SHARES DUE 3/ 2/98	68.21		
03/03/98	PURCHASED 1,091.12 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/ 3/98		1,091.12-	1,091.12
03/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END		186.89-	
03/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END	219.39-		
03/10/98	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT 075000019-550462366	2,698.89-		
03/10/98	SOLD 3,105.17 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/10/98		3,105.17	3,105.17-
04/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01095/SH ON 7,905.39 SHARES DUE 4/ 1/98	86.59		

2746

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
4/01/98	INCOME ON THE ONE GROUP INCOME EQUITY FD $ 0.02098/SH ON 4,572.41 SHARES DUE 4/ 1/98	95.91		
4/01/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 3/1/98 TO 3/31/98	465.09		
4/01/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 3/1/98 TO 3/31/98	393.33		
4/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00622/SH ON 6,956.16 SHARES DUE 4/ 1/98	43.29		
4/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 3/1/98 TO 3/31/98	25.69		
4/02/98	PURCHASED 1,109.9 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 2/98		1,109.90-	1,109.90
4/03/98	SOLD 315.158 SH THE ONE GROUP INCOME EQUITY FD TRADE DATE 4/ 2/98 315.158 SHARES AT $24.08		7,589.00	4,346.03-

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
04/03/98	SOLD 202.493 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 4/ 2/98 202.493 SHARES AT $22.46		4,548.00	3,673.22-
04/03/98	SOLD 219.785 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 4/ 2/98 219.785 SHARES AT $17.64		3,877.00	2,956.11-
04/03/98	SOLD 6.622 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 4/ 2/98 6.622 SHARES AT $13.29		88.00	65.09-
04/03/98	PURCHASED 16,102 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 3/98		16,102.00-	16,102.00
04/07/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN		9,293.00-	
04/07/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON FORM 2 FOR CALENDAR YEAR RETURN		1,484.00-	

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
4/07/98	SOLD 10,777 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 7/98		10,777.00	10,777.00-
4/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END		189.80-	
4/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END	222.30-		
4/13/98	SOLD 412.1 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/13/98		412.10	412.10-
4/15/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		4,353.00-	
4/15/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX		881.00-	

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
04/15/98	SOLD 5,234 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/15/98		5,234.00	5,234.00-
05/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01208/SH ON 7,685.60 SHARES DUE 5/ 1/98	92.82		
05/01/98	INCOME ON THE ONE GROUP INCOME EQUITY FD $ 0.01921/SH ON 4,257.25 SHARES DUE 5/ 1/98	81.77		
05/01/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 4/1/98 TO 4/30/98	450.70		
05/01/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 4/1/98 TO 4/30/98	379.24		
05/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00268/SH ON 6,949.54 SHARES DUE 5/ 1/98	18.61		
05/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 4/1/98 TO 4/30/98	40.32		

2750

TRANSACTION DETAIL

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
5/04/98	PURCHASED 1,063.46 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 4/98		1,063.46-	1,063.46
5/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END		188.50-	
5/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END	221.00-		
5/11/98	SOLD 409.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/11/98		409.50	409.50-
6/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00766/SH ON 7,685.60 SHARES DUE 6/ 1/98	58.91		
6/01/98	INCOME ON THE ONE GROUP INCOME EQUITY FD $ 0.03582/SH ON 4,257.25 SHARES DUE 6/ 1/98	152.49		
6/01/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 5/1/98 TO 5/31/98	462.90		

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/01/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 5/1/98 TO 5/31/98	390.48		
06/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00956/SH ON 6,949.54 SHARES DUE 6/ 1/98	66.44		
06/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 5/1/98 TO 5/31/98	28.38		
06/02/98	PURCHASED 1,159.6 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/ 2/98		1,159.60-	1,159.60
06/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END		186.68-	
06/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END	219.18-		
06/10/98	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT 075000019-550462366	2,670.48-		

2752

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/10/98	SOLD 3,076.34 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/10/98		3,076.34	3,076.34-
06/15/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		2,864.00-	
06/15/98	SOLD 2,864 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/15/98		2,864.00	2,864.00-
07/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01288/SH ON 7,685.60 SHARES DUE 7/ 1/98	98.98		
07/01/98	INCOME ON THE ONE GROUP INCOME EQUITY FD $ 0.02358/SH ON 4,257.25 SHARES DUE 7/ 1/98	100.40		
07/01/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 6/1/98 TO 6/30/98	445.83		
07/01/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 6/1/98 TO 6/30/98	375.45		

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
07/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00372/SH ON 6,949.54 SHARES DUE 7/ 1/98	25.85		
07/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 6/1/98 TO 6/30/98	16.38		
07/02/98	PURCHASED 1,062.89 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/ 2/98		1,062.89-	1,062.89
07/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END		187.82-	
07/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END	220.32-		
07/10/98	SOLD 408.14 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/10/98		408.14	408.14-
07/15/98	MISCELLANEOUS TAX SERVICE FEES GENERATION SKIPPING REVIEW FOR 1997	50.00-		

F JAMES SENSENBRENNER JR TRUST

12/31/97 THROUGH 12/31/98

ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
7/16/98	SOLD 50 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/16/98		50.00	50.00-
8/03/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01506/SH ON 7,685.60 SHARES DUE 8/ 3/98	115.72		
8/03/98	INCOME ON THE ONE GROUP INCOME EQUITY FD $ 0.01820/SH ON 4,257.25 SHARES DUE 8/ 3/98	77.48		
8/03/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 7/1/98 TO 7/31/98	456.66		
8/03/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 7/1/98 TO 7/31/98	383.88		
8/03/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00077/SH ON 6,949.54 SHARES DUE 8/ 3/98	5.39		
8/03/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 7/1/98 TO 7/31/98	10.29		

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
08/04/98	PURCHASED 1,049.42 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 4/98		1,049.42-	1,049.42
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTERMED TAX FREE FD		494.81	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTERMED TAX FREE FD		341.84	
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		11,073.34	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		4,731.41	
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		8,421.63	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,973.61	
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP INCOME EQUITY FD		5,162.17	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP INCOME EQUITY FD		153.26	

F. JAMES SENSENBRENNER JR TRUST — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		2,323.85	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		803.76	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		3,494.29	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		1,962.83	
8/07/98	PURCHASED 40,936.8 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 7/98		40,936.80-	40,936.80
8/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END		186.15-	
8/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END	218.64-		
8/10/98	SOLD 404.79 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/10/98		404.79	404.79-

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)9/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00962/SH ON 7,685.60 SHARES DUE 9/ 1/98	73.94		
)9/01/98	INCOME ON THE ONE GROUP INCOME EQUITY FD $ 0.03338/SH ON 4,257.25 SHARES DUE 9/ 1/98	142.09		
)9/01/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 8/1/98 TO 8/31/98	452.74		
)9/01/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 8/1/98 TO 8/31/98	382.59		
)9/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00891/SH ON 6,949.54 SHARES DUE 9/ 1/98	61.89		
)9/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 8/1/98 TO 8/31/98	158.65		
)9/02/98	PURCHASED 1,271.9 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/ 2/98		1,271.90-	1,271.90

2758

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
9/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END		175.50-	
9/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END	208.00-		
9/10/98	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT 075000019-550462366	2,687.25-		
9/10/98	SOLD 3,070.75 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/10/98		3,070.75	3,070.75-
0/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD @ 0.01312/SH ON 7,685.60 SHARES DUE 10/ 1/98	100.87		
0/01/98	INCOME ON THE ONE GROUP INCOME EQUITY FD @ 0.03219/SH ON 4,257.25 SHARES DUE 10/ 1/98	137.05		
0/01/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 9/1/98 TO 9/30/98	438.29		

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/01/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 9/1/98 TO 9/30/98	373.79		
10/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00688/SH ON 6,949.54 SHARES DUE 10/ 1/98	47.79		
10/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 9/1/98 TO 9/30/98	183.01		
10/02/98	PURCHASED 1,280.8 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 2/98		1,280.80-	1,280.80
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END		179.67-	
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END	212.16-		
10/13/98	SOLD 391.83 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/13/98		391.83	391.83-

2760

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
1/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD @ 0.01475/SH ON 7,685.60 SHARES DUE 11/ 2/98	113.33		
1/02/98	INCOME ON THE ONE GROUP INCOME EQUITY FD @ 0.01574/SH ON 4,257.25 SHARES DUE 11/ 2/98	67.03		
1/02/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 10/1/98 TO 10/31/98	446.24		
1/02/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 10/1/98 TO 10/31/98	389.24		
1/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD @ 0.00378/SH ON 6,949.54 SHARES DUE 11/ 2/98	26.30		
1/02/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 10/1/98 TO 10/31/98	186.79		
1/03/98	PURCHASED 1,228.93 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/ 3/98		1,228.93-	1,228.93

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END		184.45-	
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END	216.95-		
11/10/98	SOLD 401.4 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/10/98		401.40	401.40-
12/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD @ 0.01009/SH ON 7,685.60 SHARES DUE 12/ 1/98	77.59		
12/01/98	INCOME ON THE ONE GROUP INCOME EQUITY FD @ 0.03199/SH ON 4,257.25 SHARES DUE 12/ 1/98	136.19		
12/01/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 11/1/98 TO 11/30/98	431.51		
12/01/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 11/1/98 TO 11/30/98	377.36		

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD @ 0.00694/SH ON 6,949.54 SHARES DUE 12/ 1/98	48.22		
12/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 11/1/98 TO 11/30/98	180.17		
12/02/98	PURCHASED 1,251.04 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 2/98		1,251.04-	1,251.04
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END		188.16-	
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END	220.66-		
12/10/98	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT 075000019-550462366	3,111.00-		

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/10/98	SOLD 3,519.82 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/10/98		3,519.82	3,519.82-
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTERMED TAX FREE FD		618.80	
12/11/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTERMED TAX FREE FD		296.43	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		1,568.71	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		7,083.11	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP INCOME EQUITY FD		1,829.72	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		378.78	
12/11/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		101.97	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		365.75	

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/14/98	PURCHASED 12,243.27 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/14/98		12,243.27-	12,243.27
12/24/98	PURCHASED 1,003.268 SH THE ONE GROUP LARGE COMPANY VALUE FD TRADE DATE 12/23/98 1,003.268 SHARES AT $15.91		15,962.00-	15,962.00
12/24/98	PURCHASED 418.995 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 12/23/98 418.995 SHARES AT $23.69		9,926.00-	9,926.00
12/24/98	PURCHASED 496.534 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 12/23/98 496.534 SHARES AT $17.31		8,595.00-	8,595.00
12/24/98	PURCHASED 266.089 SH THE ONE GROUP SMALL CAPITALIZATN FD TRADE DATE 12/23/98 266.089 SHARES AT $9.23		2,456.00-	2,456.00
12/24/98	PURCHASED 4.689 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 12/23/98 4.689 SHARES AT $13.65		64.00-	64.00

F JAMES SENSENBRENNER JR TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572125310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/24/98	SOLD 37,003 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/24/98		37,003.00	37,003.00-
12/31/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		6,759.00-	
12/31/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX		311.00-	
12/31/98	SOLD 7,070 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/31/98		7,070.00	7,070.00-
12/31/98	ENDING BALANCES	0.00	0.00	624,001.21

INCOME CASH	+	PRINCIPAL CASH	=	NET CASH
0.00		0.00		0.00

2766

F JAMES SENSENBRENNER JR TRUST SUMMARY OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572125310

DESCRIPTION	TAX COST	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	YIELD AT MARKET
CASH EQUIVALENTS	9,619.82	9,619.82	1.29	476.53	4.95
MUNICIPAL OBLIGATIONS	195,600.00	208,638.82	27.92	9,924.36	4.76
COMMON & MUTUAL FUNDS - EQUITIES	418,781.39	529,032.16	70.79	3,185.42	0.60
TOTAL ACCOUNT	624,001.21	747,290.80	100.00	13,586.31	1.82

F JAMES SENSENBRENNER JR TRUST DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572125310

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
CASH							
0.00	INCOME CASH	0.00		0.00	0.00		
0.00	PRINCIPAL CASH	0.00		0.00	0.00		
0.00	NET CASH	0.00		0.00	0.00		
CASH EQUIVALENTS							
9,619.82	THE ONE GROUP PRIME MONEY MKT FD	9,619.82	1.00	9,619.82	1.29	476.53	4.95
MUNICIPAL OBLIGATIONS							
10,463.33	THE ONE GROUP INTERMED TAX FREE FD	109,800.00	11.11	116,247.63	15.56	5,307.25	4.57 0.00
9,075.75	THE ONE GROUP MUNICIPAL INCOME FD	85,800.00	10.18	92,391.19	12.36	4,617.11	5.00 0.00
	TOTAL MUNICIPAL OBLIGATIONS	195,600.00		208,638.82	27.92	9,924.36	4.76

BANK ONE.

F JAMES SENSENBRENNER JR TRUST DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572125310

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
COMMON & MUTUAL FUNDS - EQUITIES							
7,685.6	THE ONE GROUP DISCIPLINED VALUE FD	103,486.21	14.41	110,749.51	14.82	1,061.97	0.96
6,462.81	THE ONE GROUP GRWTH OPPORTUNITIES FD	116,762.40	23.39	151,165.15	20.23	0.00	0.00
4,257.25	THE ONE GROUP INCOME EQUITY FD	58,594.87	23.04	98,086.99	13.13	1,290.93	1.32
496.53	THE ONE GROUP INTL EQUITY INDEX FD	8,595.00	17.25	8,565.21	1.15	192.38	2.25
1,948.05	THE ONE GROUP LARGE COMPANY GRWTH FD	34,926.00	23.60	45,973.91	6.15	0.00	0.00
1,003.27	THE ONE GROUP LARGE COMPANY VALUE FD	15,962.00	15.97	16,022.19	2.14	190.54	1.19
266.09	THE ONE GROUP SMALL CAPITALIZATN FD	2,456.00	9.66	2,570.42	0.34	0.00	0.00
6,954.23	THE ONE GROUP VALUE GROWTH FD	77,998.91	13.79	95,898.78	12.83	449.60	0.47
TOTAL COMMON & MUTUAL FUNDS - EQUITIES		418,781.39		529,032.16	70.79	3,185.42	0.60
TOTAL ACCOUNT		624,001.21		747,290.80	100.00	13,586.31	1.82

F JAMES SENSENBRENNER JR TRUST DETAIL OF PENDING ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572125310

PENDING TRADES: NONE

BANK ONE TRUST COMPANY, NA
111 E WISCONSIN AVE
MILWAUKEE, WI 53202

STATEMENT OF ACCOUNT
FOR PERIOD 01/01/98 THROUGH 12/31/98

ROBERT ALAN SENSENBRENNER 1993 TRUST

ACCOUNT NUMBER 9572131310

FOR ASSISTANCE, PLEASE CONTACT
LYNN E PAULL
(414) 765-2751

2771

F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

BANK ONE.

STATEMENT MESSAGES

ACCOUNT NO. 9572131310 ROBERT ALAN SENSENBRENNER 1993 TRUST

MUTUAL FUNDS, INCLUDING PROPRIETARY MUTUAL FUNDS, AND VARIOUS OTHER MARKETABLE SECURITIES ARE NOT INSURED OR GUARANTEED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) OR ANY OTHER GOVERNMENT SPONSORED AGENCY OF THE FEDERAL GOVERNMENT OR ANY STATE. ALL INVOLVE INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED. "THE ONE GROUP" FUNDS ARE NOT DEPOSITS OR OBLIGATIONS OF, AND ARE NOT GUARANTEED BY, BANK ONE CORPORATION OR ITS BANK OR NON-BANK AFFILIATES.

****

ALTHOUGH MARKET VALUES AND PRICES HAVE BEEN OBTAINED FROM SOURCES WE BELIEVE TO BE RELIABLE, WE DO NOT GUARANTEE THEIR ACCURACY. CERTAIN FIXED INCOME SECURITIES AND ASSETS THAT ARE NOT PUBLICLY OR FREQUENTLY TRADED CANNOT BE READILY PRICED.

****

THIS STATEMENT IS NOT AN OFFICIAL DOCUMENT FOR INCOME TAX REPORTING PURPOSES.

****

INTRODUCING BANC ONE INVESTMENT MANAGEMENT GROUP'S NEW "CLIENTCONNECT" PROGRAM! THIS IS OUR CLIENT SURVEY PROGRAM DESIGNED TO TELL US HOW WELL WE'RE SERVING YOU AND HOW WE CAN IMPROVE OUR SERVICES. HOW DOES IT WORK? YOU MAY RECEIVE A PHONE CALL FROM AN INTERNAL INVESTMENT PROFESSIONAL OR A POSTCARD ASKING FOR YOUR OPINION ON A RECENT INTERACTION YOU HAD WITH US AS WELL AS YOUR SATISFACTION WITH YOUR OVERALL RELATIONSHIP. PLEASE BE ASSURED THAT PERSONAL ACCOUNT INFORMATION WILL NOT BE SHARED.

WE UNDERSTAND YOUR TIME IS VALUABLE. THAT'S WHY WE'LL ASK ONLY A FEW IMPORTANT QUESTIONS EACH TIME AND WHY WE'VE LIMITED THE PROGRAM TO ONLY ONE, POSSIBLY TWO, CONTACTS A YEAR. YOUR INPUT HAS ALWAYS BEEN VALUABLE TO US. BY DEVELOPING A PROGRAM LIKE "CLIENTCONNECT", WE WILL NOW BE ABLE TO REGULARLY GATHER YOUR INPUT AND ACT ON IT TO IMPROVE OUR SERVICES. SO, WHEN YOU RECEIVE A SURVEY POSTCARD OR PHONE CALL, PLEASE TAKE A FEW MOMENTS TO TELL US HOW WE ARE DOING.

THANK YOU AND WE LOOK FORWARD TO YOUR INPUT!

STATEMENT MESSAGES

ACCOUNT NO. 9572131310 ROBERT ALAN SENSENBRENNER 1993 TRUST

****

BANK ONE.

TRANSACTION SUMMARY

ROBERT ALAN SENSENBRENNER 1993 TRUST — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572131310

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
BEGINNING BALANCES 12/31/97	0.00	0.00	151,285.01
CASH RECEIPTS			
NET INTEREST	269.35		
NET DIVIDENDS	2,230.98	16,949.60	
OTHER CASH RECEIPTS		31,157.33	
TOTAL CASH RECEIPTS	2,500.33	48,106.93	0.00
CASH DISBURSEMENTS			
DISTRIBUTIONS TO BENEFICIARIES		4,300.00-	
FIDUCIARY INCOME TAXES		6,364.00-	
ADMINISTRATIVE EXPENSES	840.00-	450.00-	
OTHER DISBURSEMENTS	1,660.33-		
TOTAL CASH DISBURSEMENTS	2,500.33-	11,114.00-	0.00
INVESTMENT TRANSACTIONS			
PURCHASES		55,356.70-	55,356.70
SALES/MATURITIES		12,590.13	12,335.08-
NET PURCHASES/SALES CASH MANAGEMENT VEHICLE		5,773.64	5,773.64-

TRANSACTION SUMMARY

ROBERT ALAN SENSENBRENNER 1993 TRUST — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572131310

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
TOTAL INVESTMENT TRANSACTIONS		36,992.93-	37,247.98
ENDING BALANCES 12/31/98	0.00	0.00	188,532.99

2775

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/31/97	BEGINNING BALANCES	0.00	0.00	151,285.01
01/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 12/1/97 TO 12/31/97	31.62		
01/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01270/SH ON 1,489.27 SHARES DUE 1/ 2/98	18.92		
01/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	37.18		
01/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 12/1/97 TO 12/31/97	14.74		
01/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01701/SH ON 1,550.13 SHARES DUE 1/ 2/98	26.37		
01/02/98	INCOME ON THE ONE GROUP INTL EQUITY INDEX FD $ 0.02149/SH ON 974.89 SHARES DUE 1/ 2/98	20.95		
01/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	41.28		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)1/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	26.17		
)1/02/98	INCOME ON THE ONE GROUP LARGE COMPANY GRWTH FD $ 0.00805/SH ON 1,290.89 SHARES DUE 1/ 2/98	10.39		
)1/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00826/SH ON 2,334.53 SHARES DUE 1/ 2/98	19.28		
)1/05/98	PURCHASED 246.9 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/ 5/98		246.90-	246.90
)1/08/98	CASH RECEIPT PAID FROM ACCOUNT# 9572069210 DEPOSIT TO ACCOUNT 1998 EXCLUSION GIFT		10,000.00	
)1/09/98	PURCHASED 10,000 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/ 9/98		10,000.00-	10,000.00

2777

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
1/12/98	PURCHASED 277.5 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 1/ 9/98 277.5 SHARES AT $15.21		4,220.78-	4,220.78
1/12/98	PURCHASED 224.011 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 1/ 9/98 224.011 SHARES AT $18.09		4,052.36-	4,052.36
1/12/98	PURCHASED 156.418 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 1/ 9/98 156.418 SHARES AT $11.04		1,726.86-	1,726.86
1/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END		37.50-	
1/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END	70.00-		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)1/12/98	SOLD 10,107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/12/98		10,107.50	10,107.50-
)2/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 1/1/98 TO 1/31/98	47.01		
)2/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01117/SH ON 1,766.77 SHARES DUE 2/ 2/98	19.74		
)2/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	37.06		
)2/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 1/1/98 TO 1/31/98	14.48		
)2/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00629/SH ON 1,550.13 SHARES DUE 2/ 2/98	9.75		
)2/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	41.50		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	26.24		
2/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00239/SH ON 2,490.94 SHARES DUE 2/ 2/98	5.96		
2/03/98	PURCHASED 201.74 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 3/98		201.74-	201.74
2/09/98	PURCHASED 196.88 SH THE ONE GROUP LARGE COMPANY VALUE FD TRADE DATE 2/ 6/98 196.88 SHARES AT $15.45		3,041.79-	3,041.79
2/09/98	PURCHASED 87.625 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 2/ 6/98 87.625 SHARES AT $19.52		1,710.44-	1,710.44
2/09/98	PURCHASED 56.431 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 2/ 6/98 56.431 SHARES AT $16.44		927.72-	927.72

2780

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)2/09/98	SOLD 5,679.95 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 9/98		5,679.95	5,679.95-
)2/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END		37.50-	
)2/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END	70.00-		
)2/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/10/98		107.50	107.50-
)3/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 2/1/98 TO 2/28/98	21.65		
)3/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00967/SH ON 1,766.77 SHARES DUE 3/ 2/98	17.09		
)3/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	33.90		

BANK ONE.

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
3/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 2/1/98 TO 2/28/98	13.71		
3/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02937/SH ON 1,747.01 SHARES DUE 3/ 2/98	51.31		
3/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	40.47		
3/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	24.76		
3/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00980/SH ON 2,490.94 SHARES DUE 3/ 2/98	24.42		
3/03/98	PURCHASED 227.31 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/ 3/98		227.31-	227.31
3/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END		37.50-	

TRANSACTION DETAIL

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
03/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END	70.00-		
03/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/10/98		107.50	107.50-
04/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01095/SH ON 1,766.77 SHARES DUE 4/ 1/98	19.35		
04/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	40.73		
04/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	36.08		
04/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	26.16		
04/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01565/SH ON 1,747.01 SHARES DUE 4/ 1/98	27.34		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
14/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 3/1/98 TO 3/31/98	14.45		
14/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00622/SH ON 2,490.94 SHARES DUE 4/ 1/98	15.50		
14/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 3/1/98 TO 3/31/98	17.14		
14/02/98	PURCHASED 196.75 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 2/98		196.75-	196.75
14/07/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN		2,734.00-	
14/07/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON FORM 2 FOR CALENDAR YEAR RETURN		370.00-	

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
04/07/98	SOLD 3,104 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 7/98		3,104.00	3,104.00-
04/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END		37.50-	
04/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END	70.00-		
04/13/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/13/98		107.50	107.50-
04/15/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX		668.00-	
04/15/98	SOLD 668 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/15/98		668.00	668.00-
05/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01208/SH ON 1,766.77 SHARES DUE 5/ 1/98	21.34		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
5/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	40.26		
5/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	34.89		
5/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	25.44		
5/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00572/SH ON 1,747.01 SHARES DUE 5/ 1/98	10.00		
5/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 4/1/98 TO 4/30/98	14.05		
5/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00268/SH ON 2,490.94 SHARES DUE 5/ 1/98	6.67		
5/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 4/1/98 TO 4/30/98	4.85		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
05/04/98	PURCHASED 157.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 4/98		157.50-	157.50
05/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END		37.50-	
05/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END	70.00-		
05/11/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/11/98		107.50	107.50-
05/18/98	CASH RECEIPT DEPOSIT TO ACCOUNT 1997 FEDERAL NCOME TAX REFUND DATED 5/1/98		2,253.00	
05/18/98	CASH RECEIPT DEPOSIT TO ACCOUNT 1997 WISCONSIN INCOME TAX REFUND DATED 5/8/98		399.00	
05/19/98	PURCHASED 2,652 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/19/98		2,652.00-	2,652.00

2787

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
5/20/98	PURCHASED 147.826 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 5/19/98 147.826 SHARES AT $17.94		2,652.00-	2,652.00
5/20/98	SOLD 2,652 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/20/98		2,652.00	2,652.00-
6/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00766/SH ON 1,766.77 SHARES DUE 6/ 1/98	13.54		
6/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	40.66		
6/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	35.22		
6/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	26.04		
6/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02916/SH ON 1,747.01 SHARES DUE 6/ 1/98	50.94		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 5/1/98 TO 5/31/98	14.28		
06/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00956/SH ON 2,490.94 SHARES DUE 6/ 1/98	23.82		
06/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 5/1/98 TO 5/31/98	1.39		
06/02/98	PURCHASED 205.89 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/ 2/98		205.89-	205.89
06/09/98	PURCHASED 186.283 SH THE ONE GROUP INCOME BOND FD TRADE DATE 6/ 8/98 186.283 SHARES AT $9.49		1,767.83-	1,767.83
06/09/98	PURCHASED 115.054 SH THE ONE GROUP LTD VOLATILITY BD FD TRADE DATE 6/ 8/98 115.054 SHARES AT $10.49		1,206.92-	1,206.92

BANK ONE.

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
6/09/98	PURCHASED 53.828 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 6/ 8/98 53.828 SHARES AT $18.25		982.37-	982.37
6/09/98	SOLD 298.2 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 6/ 8/98 298.2 SHARES AT $13.27		3,957.12	3,345.96-
6/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END		37.50-	
6/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END	70.00-		
6/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/10/98		107.50	107.50-
7/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01288/SH ON 1,766.77 SHARES DUE 7/ 1/98	22.75		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
07/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	40.33		
07/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	40.51		
07/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	25.61		
07/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01383/SH ON 1,747.01 SHARES DUE 7/ 1/98	24.15		
07/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 6/1/98 TO 6/30/98	18.32		
07/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00372/SH ON 2,192.74 SHARES DUE 7/ 1/98	8.15		
07/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 6/1/98 TO 6/30/98	1.42		

BANK ONE.

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
7/02/98	PURCHASED 181.24 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/ 2/98		181.24-	181.24
7/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END		37.50-	
7/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END	70.00-		
7/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/10/98		107.50	107.50-
8/03/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01506/SH ON 1,766.77 SHARES DUE 8/ 3/98	26.60		
8/03/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	40.10		
8/03/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	43.93		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
08/03/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	25.71		
08/03/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00688/SH ON 1,747.01 SHARES DUE 8/ 3/98	12.02		
08/03/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 7/1/98 TO 7/31/98	20.25		
08/03/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00077/SH ON 2,192.74 SHARES DUE 8/ 3/98	1.70		
08/03/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 7/1/98 TO 7/31/98	1.80		
08/04/98	PURCHASED 172.11 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 4/98		172.11-	172.11
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		2,545.55	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		1,087.66	

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,915.07	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		448.80	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		2,095.06	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		724.63	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		1,232.10	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		370.78	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP SMALL CAPITALIZATN FD		565.38	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP SMALL CAPITALIZATN FD		167.39	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		1,102.53	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		619.32	

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
08/07/98	PURCHASED 12,874.27 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 7/98		12,874.27-	12,874.27
08/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END		37.50-	
08/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END	70.00-		
08/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/10/98		107.50	107.50-
09/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00962/SH ON 1,766.77 SHARES DUE 9/ 1/98	17.00		
09/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	40.33		
09/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	43.37		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
9/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	25.40		
9/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02244/SH ON 1,747.01 SHARES DUE 9/ 1/98	39.20		
9/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 8/1/98 TO 8/31/98	20.00		
9/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00891/SH ON 2,192.74 SHARES DUE 9/ 1/98	19.53		
9/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 8/1/98 TO 8/31/98	47.92		
9/02/98	PURCHASED 252.75 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/ 2/98		252.75-	252.75
9/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END		37.50-	

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
09/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END	70.00-		
09/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/10/98		107.50	107.50-
10/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01312/SH ON 1,766.77 SHARES DUE 10/ 1/98	23.19		
10/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	39.67		
10/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	41.35		
10/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	24.76		
10/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01788/SH ON 1,747.01 SHARES DUE 10/ 1/98	31.23		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
0/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 9/1/98 TO 9/30/98	19.41		
0/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00688/SH ON 2,192.74 SHARES DUE 10/ 1/98	15.08		
0/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 9/1/98 TO 9/30/98	57.57		
0/02/98	PURCHASED 252.26 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 2/98		252.26-	252.26
0/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END		37.50-	
0/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END	70.00-		
0/13/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/13/98		107.50	107.50-

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/14/98	PURCHASED 481.404 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 10/13/98 481.404 SHARES AT $12.03		5,791.29-	5,791.29
10/14/98	PURCHASED 248.379 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 10/13/98 248.379 SHARES AT $16.19		4,021.26-	4,021.26
10/14/98	PURCHASED 194.839 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 10/13/98 194.839 SHARES AT $18.64		3,631.80-	3,631.80
10/14/98	PURCHASED 379.029 SH THE ONE GROUP SMALL CAPITALIZATN FD TRADE DATE 10/13/98 379.029 SHARES AT $7.33		2,778.28-	2,778.28
10/14/98	SOLD 179.112 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 10/13/98 179.112 SHARES AT $15.83		2,835.34	3,229.97-

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
0/14/98	SOLD 146.974 SH THE ONE GROUP INTERMEDIATE BOND FD TRADE DATE 10/13/98 146.974 SHARES AT $10.19		1,497.67	1,475.08-
0/14/98	SOLD 11,889.62 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/14/98		11,889.62	11,889.62-
1/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01475/SH ON 2,248.18 SHARES DUE 11/ 2/98	33.15		
1/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	40.37		
1/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	42.06		
1/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	20.80		
1/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00889/SH ON 1,747.01 SHARES DUE 11/ 2/98	15.54		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
11/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 10/1/98 TO 10/31/98	19.81		
11/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00378/SH ON 2,192.74 SHARES DUE 11/ 2/98	8.30		
11/02/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 10/1/98 TO 10/31/98	29.40		
11/03/98	PURCHASED 209.43 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/ 3/98		209.43-	209.43
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END		37.50-	
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END	70.00-		
11/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/10/98		107.50	107.50-

2801

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01009/SH ON 2,248.18 SHARES DUE 12/ 1/98	22.70		
2/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	39.62		
2/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	40.62		
2/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	17.52		
2/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01939/SH ON 1,747.01 SHARES DUE 12/ 1/98	33.87		
2/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 11/1/98 TO 11/30/98	19.33		
2/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00694/SH ON 2,192.74 SHARES DUE 12/ 1/98	15.21		

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 11/1/98 TO 11/30/98	7.58		
12/02/98	PURCHASED 196.45 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 2/98		196.45-	196.45
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END		37.50-	
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END	70.00-		
12/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/10/98		107.50	107.50-
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		458.88	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,882.92	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTL EQUITY INDEX FD		896.48	

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		389.75	
2/11/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		104.93	
2/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		226.97	
2/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		115.40	
2/14/98	CASH RECEIPT DEPOSIT TO ACCOUNT RECEIVED FROM F JAMES SENSENBRENNER FBO ROBERT ALAN SENSENBRENNER		16,845.00	
2/14/98	PURCHASED 4,075.33 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/14/98		4,075.33-	4,075.33
2/15/98	PURCHASED 16,845 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/15/98		16,845.00-	16,845.00

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/16/98	PURCHASED 344.044 SH THE ONE GROUP LARGE COMPANY VALUE FD TRADE DATE 12/15/98 344.044 SHARES AT $15.20		5,229.47-	5,229.47
12/16/98	PURCHASED 279.262 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 12/15/98 279.262 SHARES AT $13.45		3,756.07-	3,756.07
12/16/98	PURCHASED 274.102 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 12/15/98 274.102 SHARES AT $12.90		3,535.91-	3,535.91
12/16/98	PURCHASED 168.643 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 12/15/98 168.643 SHARES AT $16.74		2,823.08-	2,823.08
12/16/98	PURCHASED 67.772 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 12/15/98 67.772 SHARES AT $22.14		1,500.47-	1,500.47

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/16/98	SOLD 16,845 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/16/98		16,845.00	16,845.00-
2/17/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE PERSONAL EST FED INCOME TAX ***1998 FORM 1040ES 230-33-5547		2,500.00-	
2/17/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE PERSONAL EST STATE TAX ***1998 FORM 1ES 230-33-5547		1,800.00-	
2/17/98	SOLD 4,300 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/17/98		4,300.00	4,300.00-
2/21/98	SOLD 72.393 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 12/18/98 72.393 SHARES AT $20.61		1,492.03	1,343.61-
2/21/98	SOLD 74.039 SH THE ONE GROUP LARGE COMPANY VALUE FD TRADE DATE 12/18/98 74.039 SHARES AT $15.58		1,153.53	1,143.90-

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/21/98	SOLD 83.243 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 12/18/98 83.243 SHARES AT $13.62		1,133.77	1,286.94-
12/21/98	SOLD 23.018 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 12/18/98 23.018 SHARES AT $22.62		520.67	509.62-
12/21/98	PURCHASED 4,300 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/21/98		4,300.00-	4,300.00
12/31/98	CASH DISBURSEMENT TRANSFER TO PRINCIPAL	1,660.33-		
12/31/98	CASH RECEIPT TRANSFER FROM INCOME		1,660.33	
12/31/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		2,592.00-	

ROBERT ALAN SENSENBRENNER 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/31/98	SOLD 2,592 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/31/98		2,592.00	2,592.00-
12/31/98	ENDING BALANCES	0.00	0.00	188,532.99

INCOME CASH	+	PRINCIPAL CASH	=	NET CASH
0.00		0.00		0.00

ROBERT ALAN SENSENBRENNER 1993 TRUST SUMMARY OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572131310

DESCRIPTION	TAX COST	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	YIELD AT MARKET
CASH EQUIVALENTS	3,394.49	3,394.49	1.44	168.15	4.95
MUTUAL FUNDS - FIXED INCOME	23,279.99	24,104.51	10.20	1,403.88	5.82
COMMON & MUTUAL FUNDS - EQUITIES	161,858.51	208,806.61	88.36	1,353.93	0.65
TOTAL ACCOUNT	188,532.99	236,305.61	100.00	2,925.96	1.24

ROBERT ALAN SENSENBRENNER 1993 TRUST DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572131310

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
CASH							
0.00	INCOME CASH	0.00		0.00	0.00		
0.00	PRINCIPAL CASH	0.00		0.00	0.00		
0.00	NET CASH	0.00		0.00	0.00		
CASH EQUIVALENTS							
3,394.49	THE ONE GROUP PRIME MONEY MKT FD	3,394.49	1.00	3,394.49	1.44	168.15	4.95
MUTUAL FUNDS - FIXED INCOME							
816.87	THE ONE GROUP GOVERNMENT BOND FD	7,837.48	10.22	8,348.45	3.53	472.77	5.66
860.25	THE ONE GROUP INCOME BOND FD	8,028.48	9.47	8,146.58	3.45	490.94	6.03
344.52	THE ONE GROUP INTERMEDIATE BOND FD	3,343.41	10.17	3,503.74	1.48	209.97	5.99

ROBERT ALAN SENSENBRENNER 1993 TRUST DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572131310

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
388.8	THE ONE GROUP LTD VOLATILITY BD FD	4,070.62	10.56	4,105.74	1.74	230.20	5.61
TOTAL MUTUAL FUNDS - FIXED INCOME		23,279.99		24,104.51	10.20	1,403.88	5.82
COMMON & MUTUAL FUNDS - EQUITIES							
2,444.2	THE ONE GROUP DISCIPLINED VALUE FD	31,927.08	14.41	35,220.85	14.90	337.73	0.96
1,645.63	THE ONE GROUP GRWTH OPPORTUNITIES FD	27,799.83	23.39	38,491.22	16.29	0.00	0.00
1,222.5	THE ONE GROUP INTL EQUITY INDEX FD	17,815.71	17.25	21,088.19	8.92	473.65	2.25
1,618.1	THE ONE GROUP LARGE COMPANY GRWTH FD	23,217.35	23.60	38,187.23	16.16	0.00	0.00
2,017.01	THE ONE GROUP LARGE COMPANY VALUE FD	25,092.67	15.97	32,211.68	13.63	383.06	1.19
992.72	THE ONE GROUP SMALL CAPITALIZATN FD	9,545.74	9.66	9,589.65	4.06	0.00	0.00
2,466.85	THE ONE GROUP VALUE GROWTH FD	26,460.13	13.79	34,017.79	14.40	159.49	0.47
TOTAL COMMON & MUTUAL FUNDS - EQUITIES		161,858.51		208,806.61	88.36	1,353.93	0.65
TOTAL ACCOUNT		188,532.99		236,305.61	100.00	2,925.96	1.24

BANK ONE.

ROBERT ALAN SENSENBRENNER 1993 TRUST DETAIL OF PENDING ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572131310

PENDING TRADES: NONE

BANK ONE TRUST COMPANY, NA
111 E WISCONSIN AVE
MILWAUKEE, WI 53202

STATEMENT OF ACCOUNT
FOR PERIOD 01/01/98 THROUGH 12/31/98

ROBERT ALAN SENSENBRENNER TR

ACCOUNT NUMBER 9572031510

FOR ASSISTANCE, PLEASE CONTACT
LYNN E PAULL
(414) 765-2751

2813

F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

BANK ONE.

STATEMENT MESSAGES

ACCOUNT NO. 9572031510 ROBERT ALAN SENSENBRENNER TR

MUTUAL FUNDS, INCLUDING PROPRIETARY MUTUAL FUNDS, AND VARIOUS OTHER MARKETABLE SECURITIES ARE NOT INSURED OR GUARANTEED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) OR ANY OTHER GOVERNMENT SPONSORED AGENCY OF THE FEDERAL GOVERNMENT OR ANY STATE. ALL INVOLVE INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED. "THE ONE GROUP" FUNDS ARE NOT DEPOSITS OR OBLIGATIONS OF, AND ARE NOT GUARANTEED BY, BANK ONE CORPORATION OR ITS BANK OR NON-BANK AFFILIATES.

****

ALTHOUGH MARKET VALUES AND PRICES HAVE BEEN OBTAINED FROM SOURCES WE BELIEVE TO BE RELIABLE, WE DO NOT GUARANTEE THEIR ACCURACY. CERTAIN FIXED INCOME SECURITIES AND ASSETS THAT ARE NOT PUBLICLY OR FREQUENTLY TRADED CANNOT BE READILY PRICED.

****

THIS STATEMENT IS NOT AN OFFICIAL DOCUMENT FOR INCOME TAX REPORTING PURPOSES.

****

INTRODUCING BANC ONE INVESTMENT MANAGEMENT GROUP'S NEW "CLIENTCONNECT" PROGRAM! THIS IS OUR CLIENT SURVEY PROGRAM DESIGNED TO TELL US HOW WELL WE'RE SERVING YOU AND HOW WE CAN IMPROVE OUR SERVICES. HOW DOES IT WORK? YOU MAY RECEIVE A PHONE CALL FROM AN INTERNAL INVESTMENT PROFESSIONAL OR A POSTCARD ASKING FOR YOUR OPINION ON A RECENT INTERACTION YOU HAD WITH US AS WELL AS YOUR SATISFACTION WITH YOUR OVERALL RELATIONSHIP. PLEASE BE ASSURED THAT PERSONAL ACCOUNT INFORMATION WILL NOT BE SHARED.

WE UNDERSTAND YOUR TIME IS VALUABLE. THAT'S WHY WE'LL ASK ONLY A FEW IMPORTANT QUESTIONS EACH TIME AND WHY WE'VE LIMITED THE PROGRAM TO ONLY ONE, POSSIBLY TWO, CONTACTS A YEAR. YOUR INPUT HAS ALWAYS BEEN VALUABLE TO US. BY DEVELOPING A PROGRAM LIKE "CLIENTCONNECT", WE WILL NOW BE ABLE TO REGULARLY GATHER YOUR INPUT AND ACT ON IT TO IMPROVE OUR SERVICES. SO, WHEN YOU RECEIVE A SURVEY POSTCARD OR PHONE CALL, PLEASE TAKE A FEW MOMENTS TO TELL US HOW WE ARE DOING.

THANK YOU AND WE LOOK FORWARD TO YOUR INPUT!

STATEMENT MESSAGES

ACCOUNT NO. 9572031510 ROBERT ALAN SENSENBRENNER TR

****

2815

BANK ONE.

TRANSACTION SUMMARY

ROBERT ALAN SENSENBRENNER TR — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572031510

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
BEGINNING BALANCES 12/31/97	0.00	0.00	92,059.94
CASH RECEIPTS			
NET INTEREST	178.13		
NET DIVIDENDS	1,348.39	9,893.66	
OTHER CASH RECEIPTS		836.52	
TOTAL CASH RECEIPTS	1,526.52	10,730.18	0.00
CASH DISBURSEMENTS			
FIDUCIARY INCOME TAXES		3,825.00-	
ADMINISTRATIVE EXPENSES	690.00-	300.00-	
OTHER DISBURSEMENTS	836.52-		
TOTAL CASH DISBURSEMENTS	1,526.52-	4,125.00-	0.00
INVESTMENT TRANSACTIONS			
PURCHASES		17,562.51-	17,562.51
SALES/MATURITIES		6,116.88	5,825.04-
NET PURCHASES/SALES CASH MANAGEMENT VEHICLE		4,840.45	4,840.45-
TOTAL INVESTMENT TRANSACTIONS		6,605.18-	6,897.02

TRANSACTION SUMMARY

ROBERT ALAN SENSENBRENNER TR

12/31/97 THROUGH 12/31/98

ACCOUNT NUMBER 9572031510

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
ENDING BALANCES 12/31/98	0.00	0.00	98,956.96

BANK ONE.

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/31/97	BEGINNING BALANCES	0.00	0.00	92,059.94
01/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 12/1/97 TO 12/31/97	21.01		
01/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01270/SH ON 896.88 SHARES DUE 1/ 2/98	11.39		
01/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	24.74		
01/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 12/1/97 TO 12/31/97	9.89		
01/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01701/SH ON 1,024.04 SHARES DUE 1/ 2/98	17.42		
01/02/98	INCOME ON THE ONE GROUP INTL EQUITY INDEX FD $ 0.02149/SH ON 621.41 SHARES DUE 1/ 2/98	13.35		
01/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	27.64		

ROBERT ALAN SENSENBRENNER TR

12/31/97 THROUGH 12/31/98

ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)1/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	16.73		
)1/02/98	INCOME ON THE ONE GROUP LARGE COMPANY GRWTH FD $ 0.00805/SH ON 788.06 SHARES DUE 1/ 2/98	6.34		
)1/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00826/SH ON 1,473.10 SHARES DUE 1/ 2/98	12.16		
)1/05/98	PURCHASED 160.67 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/ 5/98		160.67-	160.67
)1/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END		25.00-	
)1/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END	57.50-		
)1/12/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/12/98		82.50	82.50-

2819

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 1/1/98 TO 1/31/98	36.40		
12/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01117/SH ON 896.88 SHARES DUE 2/ 2/98	10.02		
12/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	24.68		
12/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 1/1/98 TO 1/31/98	9.77		
12/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00629/SH ON 1,024.04 SHARES DUE 2/ 2/98	6.44		
12/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	27.84		
12/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	15.55		

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
02/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00239/SH ON 1,473.10 SHARES DUE 2/ 2/98	3.52		
02/03/98	PURCHASED 134.22 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 3/98		134.22-	134.22
02/09/98	PURCHASED 168.95 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 2/ 6/98 168.95 SHARES AT $20.10		3,395.90-	3,395.90
02/09/98	PURCHASED 149.321 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 2/ 6/98 149.321 SHARES AT $16.24		2,424.98-	2,424.98
02/09/98	SOLD 5,820.88 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 9/98		5,820.88	5,820.88-
02/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END		25.00-	

BANK ONE.

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END	57.50-		
2/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/10/98		82.50	82.50-
3/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 2/1/98 TO 2/28/98	15.60		
3/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00967/SH ON 1,046.20 SHARES DUE 3/ 2/98	10.12		
3/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	22.52		
3/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 2/1/98 TO 2/28/98	9.19		
3/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02937/SH ON 1,024.04 SHARES DUE 3/ 2/98	30.08		

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
03/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	27.14		
03/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	14.66		
03/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00980/SH ON 1,473.10 SHARES DUE 3/ 2/98	14.44		
03/03/98	PURCHASED 143.75 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/ 3/98		143.75-	143.75
03/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END		25.00-	
03/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END	57.50-		
03/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/10/98		82.50	82.50-

2823

ROBERT ALAN SENSENBRENNER TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
4/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01095/SH ON 1,046.20 SHARES DUE 4/ 1/98	11.46		
4/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	27.31		
4/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	23.98		
4/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	15.51		
4/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01565/SH ON 1,024.04 SHARES DUE 4/ 1/98	16.03		
4/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 3/1/98 TO 3/31/98	9.71		
4/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00622/SH ON 1,473.10 SHARES DUE 4/ 1/98	9.17		

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
04/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 3/1/98 TO 3/31/98	10.04		
04/02/98	PURCHASED 123.21 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 2/98		123.21-	123.21
04/07/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN		1,781.00-	
04/07/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON FORM 2 FOR CALENDAR YEAR RETURN		189.00-	
04/07/98	SOLD 1,970 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 7/98		1,970.00	1,970.00-
04/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END		25.00-	

2825

TRANSACTION DETAIL

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
4/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END	57.50-		
4/13/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/13/98		82.50	82.50-
4/15/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX		472.00-	
4/15/98	SOLD 317.06 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/15/98		317.06	317.06-
4/17/98	SOLD 13.292 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 4/16/98 13.292 SHARES AT $22.57		300.00	267.17-
4/17/98	PURCHASED 145.06 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/17/98		145.06-	145.06

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)5/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01208/SH ON 1,046.20 SHARES DUE 5/ 1/98	12.63		
)5/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	26.99		
)5/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	23.19		
)5/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	15.09		
)5/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00572/SH ON 1,024.04 SHARES DUE 5/ 1/98	5.86		
)5/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 4/1/98 TO 4/30/98	9.46		
)5/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00268/SH ON 1,473.10 SHARES DUE 5/ 1/98	3.95		

2827

ROBERT ALAN SENSENBRENNER TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
5/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 4/1/98 TO 4/30/98	2.74		
5/04/98	PURCHASED 99.91 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 4/98		99.91-	99.91
5/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END		25.00-	
5/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END	57.50-		
5/11/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/11/98		82.50	82.50-
6/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00766/SH ON 1,046.20 SHARES DUE 6/ 1/98	8.02		
6/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	27.27		

ROBERT ALAN SENSENBRENNER TR

12/31/97 THROUGH 12/31/98

ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	23.41		
06/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	15.40		
06/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02916/SH ON 1,024.04 SHARES DUE 6/ 1/98	29.86		
06/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 5/1/98 TO 5/31/98	9.57		
06/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00956/SH ON 1,473.10 SHARES DUE 6/ 1/98	14.08		
06/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 5/1/98 TO 5/31/98	0.79		
06/02/98	PURCHASED 128.4 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/ 2/98		128.40-	128.40

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
6/09/98	PURCHASED 99.888 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 6/ 8/98 99.888 SHARES AT $18.25		1,822.96-	1,822.96
6/09/98	PURCHASED 63.654 SH THE ONE GROUP SMALL CAPITALIZATN FD TRADE DATE 6/ 8/98 63.654 SHARES AT $12.03		765.76-	765.76
6/09/98	SOLD 195.081 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 6/ 8/98 195.081 SHARES AT $13.27		2,588.72	2,172.43-
6/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END		25.00-	
6/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END	57.50-		

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/10/98		82.50	82.50-
07/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01288/SH ON 1,046.20 SHARES DUE 7/ 1/98	13.47		
07/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	27.03		
07/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	22.40		
07/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	15.19		
07/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01383/SH ON 1,024.04 SHARES DUE 7/ 1/98	14.16		
07/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 6/1/98 TO 6/30/98	9.41		

TRANSACTION DETAIL

ROBERT ALAN SENSENBRENNER TR — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
07/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00372/SH ON 1,278.02 SHARES DUE 7/ 1/98	4.75		
07/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 6/1/98 TO 6/30/98	0.98		
07/02/98	PURCHASED 107.39 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/ 2/98		107.39-	107.39
07/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END		25.00-	
07/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END	57.50-		
07/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/10/98		82.50	82.50-
08/03/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01506/SH ON 1,046.20 SHARES DUE 8/ 3/98	15.75		

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
08/03/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	26.87		
08/03/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	22.87		
08/03/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	15.25		
08/03/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00688/SH ON 1,024.04 SHARES DUE 8/ 3/98	7.05		
08/03/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 7/1/98 TO 7/31/98	9.56		
08/03/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00077/SH ON 1,278.02 SHARES DUE 8/ 3/98	0.99		
08/03/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 7/1/98 TO 7/31/98	1.12		

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
8/04/98	PURCHASED 99.46 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 4/98		99.46-	99.46
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		1,507.35	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		644.06	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,089.22	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		255.26	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		1,197.69	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		414.25	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		722.22	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		217.34	

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP SMALL CAPITALIZATN FD		364.33	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP SMALL CAPITALIZATN FD		107.86	
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		642.61	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		360.96	
08/07/98	PURCHASED 7,523.15 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 7/98		7,523.15-	7,523.15
08/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END		25.00-	
08/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END	57.50-		
08/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/10/98		82.50	82.50-

2835

ROBERT ALAN SENSENBRENNER TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
9/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00962/SH ON 1,046.20 SHARES DUE 9/ 1/98	10.06		
9/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	27.04		
9/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	22.61		
9/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	15.07		
9/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02244/SH ON 1,024.04 SHARES DUE 9/ 1/98	22.98		
9/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 8/1/98 TO 8/31/98	9.45		
9/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00891/SH ON 1,278.02 SHARES DUE 9/ 1/98	11.38		

ROBERT ALAN SENSENBRENNER TR

12/31/97 THROUGH 12/31/98

ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
09/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 8/1/98 TO 8/31/98	27.99		
09/02/98	PURCHASED 146.58 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/ 2/98		146.58-	146.58
09/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END		25.00-	
09/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END	57.50-		
09/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/10/98		82.50	82.50-
10/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01312/SH ON 1,046.20 SHARES DUE 10/ 1/98	13.73		
10/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	26.59		

2837

ROBERT ALAN SENSENBRENNER TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	21.59		
10/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	14.67		
10/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01788/SH ON 1,024.04 SHARES DUE 10/ 1/98	18.31		
10/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 9/1/98 TO 9/30/98	9.19		
10/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00688/SH ON 1,278.02 SHARES DUE 10/ 1/98	8.79		
10/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 9/1/98 TO 9/30/98	33.53		
10/02/98	PURCHASED 146.4 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 2/98		146.40-	146.40

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END		25.00-	
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END	57.50-		
10/13/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/13/98		82.50	82.50-
10/14/98	PURCHASED 261.88 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 10/13/98 261.88 SHARES AT $12.03		3,150.42-	3,150.42
10/14/98	PURCHASED 162.402 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 10/13/98 162.402 SHARES AT $16.19		2,629.29-	2,629.29
10/14/98	PURCHASED 126.538 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 10/13/98 126.538 SHARES AT $18.64		2,358.67-	2,358.67

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
0/14/98	PURCHASED 138.408 SH THE ONE GROUP SMALL CAPITALIZATN FD TRADE DATE 10/13/98 138.408 SHARES AT $7.33		1,014.53-	1,014.53
0/14/98	SOLD 108.168 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 10/13/98 108.168 SHARES AT $15.83		1,712.30	1,949.81-
0/14/98	SOLD 148.178 SH THE ONE GROUP GOVERNMENT BOND FD TRADE DATE 10/13/98 148.178 SHARES AT $10.23		1,515.86	1,435.63-
0/14/98	SOLD 5,924.75 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/14/98		5,924.75	5,924.75-
1/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01475/SH ON 1,308.08 SHARES DUE 11/ 2/98	19.29		
1/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	22.80		

ROBERT ALAN SENSENBRENNER TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
11/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	21.95		
11/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	14.91		
11/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00889/SH ON 1,024.04 SHARES DUE 11/ 2/98	9.11		
11/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 10/1/98 TO 10/31/98	9.33		
11/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00378/SH ON 1,278.02 SHARES DUE 11/ 2/98	4.84		
11/02/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 10/1/98 TO 10/31/98	19.56		
11/03/98	PURCHASED 121.79 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/ 3/98		121.79-	121.79

ROBERT ALAN SENSENBRENNER TR — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
1/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END		25.00-	
1/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END	57.50-		
1/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/10/98		82.50	82.50-
2/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01009/SH ON 1,308.08 SHARES DUE 12/ 1/98	13.21		
2/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	19.38		
2/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	21.17		
2/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	14.76		

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01939/SH ON 1,024.04 SHARES DUE 12/ 1/98	19.86		
12/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 11/1/98 TO 11/30/98	9.12		
12/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00694/SH ON 1,278.02 SHARES DUE 12/ 1/98	8.87		
12/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 11/1/98 TO 11/30/98	8.37		
12/02/98	PURCHASED 114.74 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 2/98		114.74-	114.74
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END		25.00-	
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END	57.50-		

BANK ONE.

ROBERT ALAN SENSENBRENNER TR — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/10/98		82.50	82.50-
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		266.99	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,094.09	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTL EQUITY INDEX FD		521.57	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		226.57	
12/11/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		60.99	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		133.04	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		67.26	

ROBERT ALAN SENSENBRENNER TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031510

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/14/98	PURCHASED 2,370.51 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/14/98		2,370.51-	2,370.51
12/31/98	CASH DISBURSEMENT TRANSFER TO PRINCIPAL	836.52-		
12/31/98	CASH RECEIPT TRANSFER FROM INCOME		836.52	
12/31/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		1,383.00-	
12/31/98	SOLD 1,383 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/31/98		1,383.00	1,383.00-
12/31/98	ENDING BALANCES	0.00	0.00	98,956.96

INCOME CASH	+	PRINCIPAL CASH	=	NET CASH
0.00		0.00		0.00

BANK ONE.

ROBERT ALAN SENSENBRENNER TR

SUMMARY OF ASSETS AS OF 12/31/98

ACCOUNT NUMBER: 9572031510

DESCRIPTION	TAX COST	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	YIELD AT MARKET
CASH EQUIVALENTS	3,035.64	3,035.64	2.34	150.37	4.95
MUTUAL FUNDS - FIXED INCOME	12,625.92	13,227.49	10.19	773.19	5.85
COMMON & MUTUAL FUNDS - EQUITIES	83,295.40	113,494.97	87.47	695.41	0.61
TOTAL ACCOUNT	98,956.96	129,758.10	100.00	1,618.97	1.25

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
CASH							
0.00	INCOME CASH	0.00		0.00	0.00		
0.00	PRINCIPAL CASH	0.00		0.00	0.00		
0.00	NET CASH	0.00		0.00	0.00		
CASH EQUIVALENTS							
3,035.64	THE ONE GROUP PRIME MONEY MKT FD	3,035.64	1.00	3,035.64	2.34	150.37	4.95
MUTUAL FUNDS - FIXED INCOME							
399.35	THE ONE GROUP GOVERNMENT BOND FD	3,715.74	10.22	4,081.35	3.15	231.13	5.66
448.39	THE ONE GROUP INCOME BOND FD	4,170.47	9.47	4,246.29	3.27	255.90	6.03
291.22	THE ONE GROUP INTERMEDIATE BOND FD	2,816.00	10.17	2,961.71	2.28	177.49	5.99

2847

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
183.54	THE ONE GROUP LTD VOLATILITY BD FD	1,923.71	10.56	1,938.14	1.49	108.67	5.61
TOTAL MUTUAL FUNDS - FIXED INCOME		12,625.92		13,227.49	10.19	773.19	5.85
COMMON & MUTUAL FUNDS - EQUITIES							
1,308.08	THE ONE GROUP DISCIPLINED VALUE FD	16,569.36	14.41	18,849.38	14.53	180.75	0.96
998.28	THE ONE GROUP GRWTH OPPORTUNITIES FD	16,270.95	23.39	23,349.65	17.99	0.00	0.00
613.14	THE ONE GROUP INTL EQUITY INDEX FD	8,382.49	17.25	10,576.58	8.15	237.55	2.25
914.59	THE ONE GROUP LARGE COMPANY GRWTH FD	11,603.74	23.60	21,584.44	16.63	0.00	0.00
1,024.04	THE ONE GROUP LARGE COMPANY VALUE FD	11,721.13	15.97	16,353.93	12.60	194.48	1.19
533.86	THE ONE GROUP SMALL CAPITALIZATN FD	5,482.12	9.66	5,157.08	3.97	0.00	0.00
1,278.02	THE ONE GROUP VALUE GROWTH FD	13,265.61	13.79	17,623.91	13.58	82.63	0.47
TOTAL COMMON & MUTUAL FUNDS - EQUITIES		83,295.40		113,494.97	87.47	695.41	0.61
TOTAL ACCOUNT		98,956.96		129,758.10	100.00	1,618.97	1.25

ROBERT ALAN SENSENBRENNER TR DETAIL OF PENDING ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572031510

PENDING TRADES: NONE

BANK ONE TRUST COMPANY, NA
111 E WISCONSIN AVE
MILWAUKEE, WI 53202

STATEMENT OF ACCOUNT
FOR PERIOD 01/01/98 THROUGH 12/31/98

F JAMES SENSENBRENNER III 1993 TRUST

ACCOUNT NUMBER 9572131210

FOR ASSISTANCE, PLEASE CONTACT
LYNN E PAULL
(414) 765-2751

2850

F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

STATEMENT MESSAGES

ACCOUNT NO. 9572131210 F JAMES SENSENBRENNER III 1993 TRUST

MUTUAL FUNDS, INCLUDING PROPRIETARY MUTUAL FUNDS, AND VARIOUS OTHER MARKETABLE SECURITIES ARE NOT INSURED OR GUARANTEED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) OR ANY OTHER GOVERNMENT SPONSORED AGENCY OF THE FEDERAL GOVERNMENT OR ANY STATE. ALL INVOLVE INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED. "THE ONE GROUP" FUNDS ARE NOT DEPOSITS OR OBLIGATIONS OF, AND ARE NOT GUARANTEED BY, BANK ONE CORPORATION OR ITS BANK OR NON-BANK AFFILIATES.

****

ALTHOUGH MARKET VALUES AND PRICES HAVE BEEN OBTAINED FROM SOURCES WE BELIEVE TO BE RELIABLE, WE DO NOT GUARANTEE THEIR ACCURACY. CERTAIN FIXED INCOME SECURITIES AND ASSETS THAT ARE NOT PUBLICLY OR FREQUENTLY TRADED CANNOT BE READILY PRICED.

****

THIS STATEMENT IS NOT AN OFFICIAL DOCUMENT FOR INCOME TAX REPORTING PURPOSES.

****

INTRODUCING BANC ONE INVESTMENT MANAGEMENT GROUP'S NEW "CLIENTCONNECT" PROGRAM! THIS IS OUR CLIENT SURVEY PROGRAM DESIGNED TO TELL US HOW WELL WE'RE SERVING YOU AND HOW WE CAN IMPROVE OUR SERVICES. HOW DOES IT WORK? YOU MAY RECEIVE A PHONE CALL FROM AN INTERNAL INVESTMENT PROFESSIONAL OR A POSTCARD ASKING FOR YOUR OPINION ON A RECENT INTERACTION YOU HAD WITH US AS WELL AS YOUR SATISFACTION WITH YOUR OVERALL RELATIONSHIP. PLEASE BE ASSURED THAT PERSONAL ACCOUNT INFORMATION WILL NOT BE SHARED.

WE UNDERSTAND YOUR TIME IS VALUABLE. THAT'S WHY WE'LL ASK ONLY A FEW IMPORTANT QUESTIONS EACH TIME AND WHY WE'VE LIMITED THE PROGRAM TO ONLY ONE, POSSIBLY TWO, CONTACTS A YEAR. YOUR INPUT HAS ALWAYS BEEN VALUABLE TO US. BY DEVELOPING A PROGRAM LIKE "CLIENTCONNECT", WE WILL NOW BE ABLE TO REGULARLY GATHER YOUR INPUT AND ACT ON IT TO IMPROVE OUR SERVICES. SO, WHEN YOU RECEIVE A SURVEY POSTCARD OR PHONE CALL, PLEASE TAKE A FEW MOMENTS TO TELL US HOW WE ARE DOING.

THANK YOU AND WE LOOK FORWARD TO YOUR INPUT!

STATEMENT MESSAGES

ACCOUNT NO. 9572131210 F JAMES SENSENBRENNER III 1993 TRUST

****

2852

BANK ONE.

TRANSACTION SUMMARY

F JAMES SENSENBRENNER III 1993 TRUST — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572131210

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
BEGINNING BALANCES 12/31/97	0.00	0.00	157,772.40
CASH RECEIPTS			
NET INTEREST	268.35		
NET DIVIDENDS	2,303.50	17,579.47	
OTHER CASH RECEIPTS		30,564.85	
TOTAL CASH RECEIPTS	2,571.85	48,144.32	0.00
CASH DISBURSEMENTS			
DISTRIBUTIONS TO BENEFICIARIES		4,300.00-	
FIDUCIARY INCOME TAXES		6,766.00-	
ADMINISTRATIVE EXPENSES	840.00-	450.00-	
OTHER DISBURSEMENTS	1,731.85-		
TOTAL CASH DISBURSEMENTS	2,571.85-	11,516.00-	0.00
INVESTMENT TRANSACTIONS			
PURCHASES		54,487.02-	54,487.02
SALES/MATURITIES		13,975.27	13,623.49-
NET PURCHASES/SALES CASH MANAGEMENT VEHICLE		3,883.43	3,883.43-

F JAMES SENSENBRENNER III 1993 TRUST — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572131210

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
TOTAL INVESTMENT TRANSACTIONS		36,628.32-	36,980.10
ENDING BALANCES 12/31/98	0.00	0.00	194,752.50

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/31/97	BEGINNING BALANCES	0.00	0.00	157,772.40
1/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 12/1/97 TO 12/31/97	31.71		
1/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01270/SH ON 1,576.03 SHARES DUE 1/ 2/98	20.02		
1/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	38.22		
1/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 12/1/97 TO 12/31/97	15.13		
1/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01701/SH ON 1,636.04 SHARES DUE 1/ 2/98	27.84		
1/02/98	INCOME ON THE ONE GROUP INTL EQUITY INDEX FD $ 0.02149/SH ON 1,032.94 SHARES DUE 1/ 2/98	22.20		
1/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	42.42		

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)1/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	26.93		
)1/02/98	INCOME ON THE ONE GROUP LARGE COMPANY GRWTH FD $ 0.00805/SH ON 1,358.78 SHARES DUE 1/ 2/98	10.94		
)1/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00826/SH ON 2,455.15 SHARES DUE 1/ 2/98	20.27		
)1/05/98	PURCHASED 255.68 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/ 5/98		255.68-	255.68
)1/08/98	CASH RECEIPT PAID FROM ACCOUNT# 9572069210 DEPOSIT TO ACCOUNT 1998 EXCLUSION GIFT		10,000.00	
)1/09/98	PURCHASED 10,000 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/ 9/98		10,000.00-	10,000.00

2856

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
01/12/98	PURCHASED 256.867 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 1/ 9/98 256.867 SHARES AT $15.21		3,906.94-	3,906.94
01/12/98	PURCHASED 215.669 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 1/ 9/98 215.669 SHARES AT $18.09		3,901.45-	3,901.45
01/12/98	PURCHASED 198.515 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 1/ 9/98 198.515 SHARES AT $11.04		2,191.61-	2,191.61
01/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END		37.50-	
01/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END	70.00-		

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
01/12/98	SOLD 10,107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/12/98		10,107.50	10,107.50-
02/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 1/1/98 TO 1/31/98	40.80		
02/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01117/SH ON 1,832.90 SHARES DUE 2/ 2/98	20.48		
02/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	38.09		
02/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 1/1/98 TO 1/31/98	14.92		
02/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00629/SH ON 1,636.04 SHARES DUE 2/ 2/98	10.30		
02/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	42.62		

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	27.13		
12/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00239/SH ON 2,653.67 SHARES DUE 2/ 2/98	6.35		
12/03/98	PURCHASED 200.69 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 3/98		200.69-	200.69
12/09/98	PURCHASED 178.196 SH THE ONE GROUP LARGE COMPANY VALUE FD TRADE DATE 2/ 6/98 178.196 SHARES AT $15.45		2,753.13-	2,753.13
12/09/98	PURCHASED 72.696 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 2/ 6/98 72.696 SHARES AT $19.52		1,419.03-	1,419.03
12/09/98	PURCHASED 0.245 SH THE ONE GROUP INCOME BOND FD TRADE DATE 2/ 6/98 0.245 SHARES AT $9.50		2.33-	2.33

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
02/09/98	SOLD 4,174.49 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 9/98		4,174.49	4,174.49-
02/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END		37.50-	
02/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END	70.00-		
02/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/10/98		107.50	107.50-
03/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 2/1/98 TO 2/28/98	20.50		
03/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00967/SH ON 1,832.90 SHARES DUE 3/ 2/98	17.73		
03/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	34.82		

2860

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
13/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 2/1/98 TO 2/28/98	14.07		
13/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02937/SH ON 1,814.24 SHARES DUE 3/ 2/98	53.28		
13/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	41.56		
13/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	25.60		
13/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00980/SH ON 2,653.67 SHARES DUE 3/ 2/98	26.02		
13/03/98	PURCHASED 233.58 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/ 3/98		233.58-	233.58
13/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END		37.50-	

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
03/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END	70.00-		
03/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/10/98		107.50	107.50-
04/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01095/SH ON 1,832.90 SHARES DUE 4/ 1/98	20.08		
04/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	41.79		
04/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	37.06		
04/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	27.05		
04/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01565/SH ON 1,814.24 SHARES DUE 4/ 1/98	28.39		

BANK ONE.

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
1/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 3/1/98 TO 3/31/98	14.86		
1/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00622/SH ON 2,653.67 SHARES DUE 4/ 1/98	16.51		
1/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 3/1/98 TO 3/31/98	17.82		
1/02/98	PURCHASED 203.56 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 2/98		203.56-	203.56
1/07/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN		2,942.00-	
1/07/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON FORM 2 FOR CALENDAR YEAR RETURN		402.00-	

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
04/07/98	SOLD 3,344 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 7/98		3,344.00	3,344.00-
04/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END		37.50-	
04/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END	70.00-		
04/13/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/13/98		107.50	107.50-
04/15/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX		700.00-	
04/15/98	SOLD 700 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/15/98		700.00	700.00-

2864

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
4/29/98	CASH RECEIPT DEPOSIT TO ACCOUNT 1997 FEDERAL INCOME TAX REFUND DATED 4/24/98		1,596.00	
4/30/98	PURCHASED 1,596 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/30/98		1,596.00-	1,596.00
5/01/98	PURCHASED 168.532 SH THE ONE GROUP INCOME BOND FD TRADE DATE 4/30/98 168.532 SHARES AT $9.47		1,596.00-	1,596.00
5/01/98	SOLD 1,596 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 1/98		1,596.00	1,596.00-
5/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01208/SH ON 1,832.90 SHARES DUE 5/ 1/98	22.14		
5/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	41.38		
5/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	35.85		

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
05/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	26.31		
05/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00572/SH ON 1,814.24 SHARES DUE 5/ 1/98	10.38		
05/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 4/1/98 TO 4/30/98	14.42		
05/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00268/SH ON 2,653.67 SHARES DUE 5/ 1/98	7.11		
05/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 4/1/98 TO 4/30/98	4.86		
05/04/98	PURCHASED 162.45 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 4/98		162.45-	162.45
05/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END		37.50-	

2866

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
5/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END	70.00-		
5/11/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/11/98		107.50	107.50-
5/18/98	CASH RECEIPT DEPOSIT TO ACCOUNT 1997 WISCONSIN INCOME TAX REFUND DATED 5/4/98		392.00	
5/19/98	PURCHASED 392 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/19/98		392.00-	392.00
5/20/98	PURCHASED 21.851 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 5/19/98 21.851 SHARES AT $17.94		392.00-	392.00
5/20/98	SOLD 392 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/20/98		392.00	392.00-
6/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00766/SH ON 1,832.90 SHARES DUE 6/ 1/98	14.05		

2867

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	41.74		
06/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	44.94		
06/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	26.91		
06/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02916/SH ON 1,814.24 SHARES DUE 6/ 1/98	52.90		
06/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 5/1/98 TO 5/31/98	14.72		
06/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00956/SH ON 2,653.67 SHARES DUE 6/ 1/98	25.37		
06/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 5/1/98 TO 5/31/98	0.59		

BANK ONE.

TRANSACTION DETAIL

F JAMES SENSENBRENNER III 1993 TRUST — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
6/02/98	PURCHASED 221.22 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/ 2/98		221.22-	221.22
6/09/98	PURCHASED 224.922 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 6/ 8/98 224.922 SHARES AT $18.25		4,104.83-	4,104.83
6/09/98	PURCHASED 95.586 SH THE ONE GROUP LTD VOLATILITY BD FD TRADE DATE 6/ 8/98 95.586 SHARES AT $10.49		1,002.70-	1,002.70
6/09/98	SOLD 384.893 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 6/ 8/98 384.893 SHARES AT $13.27		5,107.53	4,340.21-
6/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END		37.50-	

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END	70.00-		
06/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/10/98		107.50	107.50-
07/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01288/SH ON 1,832.90 SHARES DUE 7/ 1/98	23.61		
07/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	41.44		
07/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	43.01		
07/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	26.49		
07/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01383/SH ON 1,814.24 SHARES DUE 7/ 1/98	25.08		

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
07/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 6/1/98 TO 6/30/98	17.97		
07/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00372/SH ON 2,268.78 SHARES DUE 7/ 1/98	8.44		
07/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 6/1/98 TO 6/30/98	1.03		
07/02/98	PURCHASED 187.07 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/ 2/98		187.07-	187.07
07/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END		37.50-	
07/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END	70.00-		
07/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/10/98		107.50	107.50-

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
08/03/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01506/SH ON 1,832.90 SHARES DUE 8/ 3/98	27.60		
08/03/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	41.17		
08/03/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	43.96		
08/03/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	26.59		
08/03/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00688/SH ON 1,814.24 SHARES DUE 8/ 3/98	12.49		
08/03/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 7/1/98 TO 7/31/98	19.63		
08/03/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00077/SH ON 2,268.78 SHARES DUE 8/ 3/98	1.76		

2872

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
8/03/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 7/1/98 TO 7/31/98	1.42		
8/04/98	PURCHASED 174.62 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 4/98		174.62-	174.62
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		2,640.82	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		1,128.37	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,986.87	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		465.63	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		2,175.55	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		752.47	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		1,279.51	

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		385.05	
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP SMALL CAPITALIZATN FD		594.71	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP SMALL CAPITALIZATN FD		176.07	
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		1,140.77	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		640.79	
08/07/98	PURCHASED 13,366.61 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 7/98		13,366.61-	13,366.61
08/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END		37.50-	
08/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END	70.00-		

2874

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
8/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/10/98		107.50	107.50-
9/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00962/SH ON 1,832.90 SHARES DUE 9/ 1/98	17.63		
9/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	41.43		
9/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	43.40		
9/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	26.22		
9/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02244/SH ON 1,814.24 SHARES DUE 9/ 1/98	40.71		
9/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 8/1/98 TO 8/31/98	19.38		

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
09/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00891/SH ON 2,268.78 SHARES DUE 9/ 1/98	20.21		
09/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 8/1/98 TO 8/31/98	49.31		
09/02/98	PURCHASED 258.29 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/ 2/98		258.29-	258.29
09/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END		37.50-	
09/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END	70.00-		
09/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/10/98		107.50	107.50-
09/15/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		14.00-	

2876

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
9/15/98	SOLD 14 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/15/98		14.00	14.00-
0/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01312/SH ON 1,832.90 SHARES DUE 10/ 1/98	24.06		
0/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	40.77		
0/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	41.36		
0/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	25.63		
0/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01788/SH ON 1,814.24 SHARES DUE 10/ 1/98	32.43		
0/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 9/1/98 TO 9/30/98	18.81		

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00688/SH ON 2,268.78 SHARES DUE 10/ 1/98	15.60		
10/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 9/1/98 TO 9/30/98	59.31		
10/02/98	PURCHASED 257.97 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 2/98		257.97-	257.97
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END		37.50-	
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END	70.00-		
10/13/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/13/98		107.50	107.50-
10/14/98	PURCHASED 490.2 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 10/13/98 490.2 SHARES AT $12.03		5,897.10-	5,897.10

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
0/14/98	PURCHASED 250.735 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 10/13/98 250.735 SHARES AT $16.19		4,059.40-	4,059.40
0/14/98	PURCHASED 194.472 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 10/13/98 194.472 SHARES AT $18.64		3,624.95-	3,624.95
0/14/98	PURCHASED 380.703 SH THE ONE GROUP SMALL CAPITALIZATN FD TRADE DATE 10/13/98 380.703 SHARES AT $7.33		2,790.55-	2,790.55
0/14/98	SOLD 190.587 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 10/13/98 190.587 SHARES AT $15.83		3,016.99	3,478.22-
0/14/98	SOLD 152.184 SH THE ONE GROUP INTERMEDIATE BOND FD TRADE DATE 10/13/98 152.184 SHARES AT $10.19		1,550.75	1,529.69-

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/14/98	SOLD 11,804.26 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/14/98		11,804.26	11,804.26-
11/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01475/SH ON 2,323.10 SHARES DUE 11/ 2/98	34.26		
11/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	41.42		
11/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	42.10		
11/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	21.53		
11/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00889/SH ON 1,814.24 SHARES DUE 11/ 2/98	16.14		
11/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 10/1/98 TO 10/31/98	19.19		

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
11/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00378/SH ON 2,268.78 SHARES DUE 11/ 2/98	8.59		
11/02/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 10/1/98 TO 10/31/98	31.38		
11/03/98	PURCHASED 214.61 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/ 3/98		214.61-	214.61
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END		37.50-	
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END	70.00-		
11/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/10/98		107.50	107.50-
12/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01009/SH ON 2,323.10 SHARES DUE 12/ 1/98	23.45		

2881

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	40.69		
12/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	40.63		
12/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	18.08		
12/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01939/SH ON 1,814.24 SHARES DUE 12/ 1/98	35.18		
12/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 11/1/98 TO 11/30/98	18.72		
12/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00694/SH ON 2,268.78 SHARES DUE 12/ 1/98	15.74		
12/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 11/1/98 TO 11/30/98	9.62		

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/02/98	PURCHASED 202.11 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 2/98		202.11-	202.11
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END		37.50-	
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END	70.00-		
12/10/98	SOLD 107.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/10/98		107.50	107.50-
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		474.17	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,945.89	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTL EQUITY INDEX FD		926.47	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		402.78	

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/11/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		108.43	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		235.71	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		119.41	
12/14/98	CASH RECEIPT DEPOSIT TO ACCOUNT RECEIVED FROM F JAMES SENSENBRENNER FBO F JAMES SENSENBRENNER III		16,845.00	
12/14/98	PURCHASED 4,212.86 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/14/98		4,212.86-	4,212.86
12/15/98	PURCHASED 16,845 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/15/98		16,845.00-	16,845.00
12/16/98	PURCHASED 341.667 SH THE ONE GROUP LARGE COMPANY VALUE FD TRADE DATE 12/15/98 341.667 SHARES AT $15.20		5,193.34-	5,193.34

2884

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/16/98	PURCHASED 282.828 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 12/15/98 282.828 SHARES AT $13.45		3,804.04-	3,804.04
12/16/98	PURCHASED 274.67 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 12/15/98 274.67 SHARES AT $12.90		3,543.24-	3,543.24
12/16/98	PURCHASED 171.353 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 12/15/98 171.353 SHARES AT $16.74		2,868.45-	2,868.45
12/16/98	PURCHASED 64.857 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 12/15/98 64.857 SHARES AT $22.14		1,435.93-	1,435.93
12/16/98	SOLD 16,845 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/16/98		16,845.00	16,845.00-

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/17/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE PERSONAL EST FED INCOME TAX ***1998 FORM 1040ES 226-25-5620		2,500.00-	
12/17/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE PERSONAL EST STATE TAX ***1998 FORM 1ES 226-25-5620		1,800.00-	
12/17/98	SOLD 4,300 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/17/98		4,300.00	4,300.00-
12/21/98	SOLD 76.674 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 12/18/98 76.674 SHARES AT $20.61		1,580.25	1,423.07-
12/21/98	SOLD 73.846 SH THE ONE GROUP LARGE COMPANY VALUE FD TRADE DATE 12/18/98 73.846 SHARES AT $15.58		1,150.52	1,140.92-

2886

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/21/98	SOLD 82.876 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 12/18/98 82.876 SHARES AT $13.62		1,128.77	1,281.26-
12/21/98	SOLD 33.343 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 12/18/98 33.343 SHARES AT $13.21		440.46	430.12-
12/21/98	PURCHASED 4,300 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/21/98		4,300.00-	4,300.00
12/31/98	CASH DISBURSEMENT TRANSFER TO PRINCIPAL	1,731.85-		
12/31/98	CASH RECEIPT TRANSFER FROM INCOME		1,731.85	
12/31/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		2,708.00-	

F JAMES SENSENBRENNER III 1993 TRUST 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572131210

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/31/98	SOLD 2,708 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/31/98		2,708.00	2,708.00-
12/31/98	ENDING BALANCES	0.00	0.00	194,752.50

INCOME CASH	+	PRINCIPAL CASH	=	NET CASH
0.00		0.00		0.00

2888

F JAMES SENSENBRENNER III 1993 TRUST

SUMMARY OF ASSETS AS OF 12/31/98

ACCOUNT NUMBER: 9572131210

DESCRIPTION	TAX COST	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	YIELD AT MARKET
CASH EQUIVALENTS	3,918.43	3,918.43	1.61	194.10	4.95
MUTUAL FUNDS - FIXED INCOME	23,446.79	24,326.44	9.98	1,416.92	5.82
COMMON & MUTUAL FUNDS - EQUITIES	167,387.28	215,516.34	88.41	1,394.69	0.65
TOTAL ACCOUNT	194,752.50	243,761.21	100.00	3,005.71	1.23

F JAMES SENSENBRENNER III 1993 TRUST DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572131210

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
CASH							
0.00	INCOME CASH	0.00		0.00	0.00		
0.00	PRINCIPAL CASH	0.00		0.00	0.00		
0.00	NET CASH	0.00		0.00	0.00		
CASH EQUIVALENTS							
3,918.43	THE ONE GROUP PRIME MONEY MKT FD	3,918.43	1.00	3,918.43	1.61	194.10	4.95
MUTUAL FUNDS - FIXED INCOME							
839	THE ONE GROUP GOVERNMENT BOND FD	8,012.71	10.22	8,574.61	3.52	485.58	5.66
860.96	THE ONE GROUP INCOME BOND FD	8,032.97	9.47	8,153.30	3.34	491.34	6.03
355.97	THE ONE GROUP INTERMEDIATE BOND FD	3,456.86	10.17	3,620.20	1.49	216.95	5.99

2890

F JAMES SENSENBRENNER III 1993 TRUST DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572131210

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
376.74	THE ONE GROUP LTD VOLATILITY BD FD	3,944.25	10.56	3,978.33	1.63	223.05	5.61
TOTAL MUTUAL FUNDS - FIXED INCOME		23,446.79		24,326.44	9.98	1,416.92	5.82
COMMON & MUTUAL FUNDS - EQUITIES							
2,523.05	THE ONE GROUP DISCIPLINED VALUE FD	33,042.83	14.41	36,357.18	14.92	348.63	0.96
1,698.8	THE ONE GROUP GRWTH OPPORTUNITIES FD	28,755.06	23.39	39,734.98	16.30	0.00	0.00
1,260.48	THE ONE GROUP INTL EQUITY INDEX FD	18,406.64	17.25	21,743.23	8.92	488.36	2.25
1,690.8	THE ONE GROUP LARGE COMPANY GRWTH FD	24,467.90	23.60	39,902.88	16.37	0.00	0.00
2,082.06	THE ONE GROUP LARGE COMPANY VALUE FD	25,926.05	15.97	33,250.45	13.64	395.42	1.19
1,026.22	THE ONE GROUP SMALL CAPITALIZATN FD	9,941.21	9.66	9,913.31	4.07	0.00	0.00
2,510.1	THE ONE GROUP VALUE GROWTH FD	26,847.59	13.79	34,614.31	14.20	162.28	0.47
TOTAL COMMON & MUTUAL FUNDS - EQUITIES		167,387.28		215,516.34	88.41	1,394.69	0.65
TOTAL ACCOUNT		194,752.50		243,761.21	100.00	3,005.71	1.23

F JAMES SENSENBRENNER III 1993 TRUST DETAIL OF PENDING ASSETS AS OF 12/31/98

ACCOUNT NUMBER: 9572131210

PENDING TRADES: NONE

BANK ONE TRUST COMPANY, NA
111 E WISCONSIN AVE
MILWAUKEE, WI 53202

STATEMENT OF ACCOUNT
FOR PERIOD 10/01/98 THROUGH 12/31/98

MARGARET L SMITH 96 EXEMPT F JAMES

ACCOUNT NUMBER 9572226310

FOR ASSISTANCE, PLEASE CONTACT
LYNN E PAULL
(414) 765-2751

2893

F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

BANK ONE.

STATEMENT MESSAGES

ACCOUNT NO. 9572226310 MARGARET L SMITH 96 EXEMPT F JAMES

MUTUAL FUNDS, INCLUDING PROPRIETARY MUTUAL FUNDS, AND VARIOUS OTHER MARKETABLE SECURITIES ARE NOT INSURED OR GUARANTEED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) OR ANY OTHER GOVERNMENT SPONSORED AGENCY OF THE FEDERAL GOVERNMENT OR ANY STATE. ALL INVOLVE INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED. "THE ONE GROUP" FUNDS ARE NOT DEPOSITS OR OBLIGATIONS OF, AND ARE NOT GUARANTEED BY, BANK ONE CORPORATION OR ITS BANK OR NON-BANK AFFILIATES.

****

ALTHOUGH MARKET VALUES AND PRICES HAVE BEEN OBTAINED FROM SOURCES WE BELIEVE TO BE RELIABLE, WE DO NOT GUARANTEE THEIR ACCURACY. CERTAIN FIXED INCOME SECURITIES AND ASSETS THAT ARE NOT PUBLICLY OR FREQUENTLY TRADED CANNOT BE READILY PRICED.

****

THIS STATEMENT IS NOT AN OFFICIAL DOCUMENT FOR INCOME TAX REPORTING PURPOSES.

****

INTRODUCING BANC ONE INVESTMENT MANAGEMENT GROUP'S NEW "CLIENTCONNECT" PROGRAM! THIS IS OUR CLIENT SURVEY PROGRAM DESIGNED TO TELL US HOW WELL WE'RE SERVING YOU AND HOW WE CAN IMPROVE OUR SERVICES. HOW DOES IT WORK? YOU MAY RECEIVE A PHONE CALL FROM AN INTERNAL INVESTMENT PROFESSIONAL OR A POSTCARD ASKING FOR YOUR OPINION ON A RECENT INTERACTION YOU HAD WITH US AS WELL AS YOUR SATISFACTION WITH YOUR OVERALL RELATIONSHIP. PLEASE BE ASSURED THAT PERSONAL ACCOUNT INFORMATION WILL NOT BE SHARED.

WE UNDERSTAND YOUR TIME IS VALUABLE. THAT'S WHY WE'LL ASK ONLY A FEW IMPORTANT QUESTIONS EACH TIME AND WHY WE'VE LIMITED THE PROGRAM TO ONLY ONE, POSSIBLY TWO, CONTACTS A YEAR. YOUR INPUT HAS ALWAYS BEEN VALUABLE TO US. BY DEVELOPING A PROGRAM LIKE "CLIENTCONNECT", WE WILL NOW BE ABLE TO REGULARLY GATHER YOUR INPUT AND ACT ON IT TO IMPROVE OUR SERVICES. SO, WHEN YOU RECEIVE A SURVEY POSTCARD OR PHONE CALL, PLEASE TAKE A FEW MOMENTS TO TELL US HOW WE ARE DOING.

THANK YOU AND WE LOOK FORWARD TO YOUR INPUT!

STATEMENT MESSAGES

ACCOUNT NO. 9572226310 MARGARET L SMITH 96 EXEMPT F JAMES

****

BANK ONE.

TRANSACTION SUMMARY

MARGARET L SMITH 96 EXEMPT F JAMES — 09/30/98 THROUGH 12/31/98 — ACCOUNT NUMBER 9572226310

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
BEGINNING BALANCES 09/30/98	91.70	91.70-	363,665.31
CASH RECEIPTS			
NET INTEREST	252.94		
NET DIVIDENDS	2,366.37	2,336.76	
TOTAL CASH RECEIPTS	2,619.31	2,336.76	0.00
CASH DISBURSEMENTS			
DISTRIBUTIONS TO BENEFICIARIES	2,060.42-		
FIDUCIARY INCOME TAXES		1,870.00-	
ADMINISTRATIVE EXPENSES	650.59-	553.11-	
TOTAL CASH DISBURSEMENTS	2,711.01-	2,423.11-	0.00
INVESTMENT TRANSACTIONS			
DISTRIBUTIONS IN KIND			5,045.00-
ASSETS RECEIVED IN KIND			5,045.00
NET PURCHASES/SALES CASH MANAGEMENT VEHICLE		178.05	178.05-
TOTAL INVESTMENT TRANSACTIONS		178.05	178.05-
ENDING BALANCES 12/31/98	0.00	0.00	363,487.26

MARGARET L SMITH 96 EXEMPT F JAMES — 09/30/98 THROUGH 12/31/98 — ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
09/30/98	BEGINNING BALANCES	91.70	91.70-	363,665.31
10/01/98	DIVIDEND ON GTE CORP $ 0.47000 /SH ON 150.00 SHARES DUE10/ 1/98	70.50		
10/01/98	DIVIDEND ON HARTFORD FINL SVCS GROUP INC $ 0.21000 /SH ON 200.00 SHARES DUE10/ 1/98	42.00		
10/01/98	DIVIDEND ON SARA LEE CORP $ 0.23000 /SH ON 150.00 SHARES DUE10/ 1/98	34.50		
10/01/98	PURCHASED 147 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 1/98		147.00-	147.00
10/01/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 9/1/98 TO 9/30/98	242.46		
10/01/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 9/1/98 TO 9/30/98	235.77		
10/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00688/SH ON 9,259.23 SHARES DUE 10/ 1/98	63.68		

MARGARET L SMITH 96 EXEMPT F JAMES 09/30/98 THROUGH 12/31/98 ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
0/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 9/1/98 TO 9/30/98	84.31		
0/02/98	PURCHASED 626.22 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 2/98		626.22-	626.22
0/09/98	DISTRIBUTED 150 SH TRAVELERS GROUP INC NAME CHANGED TO:CITIGROUP CUSIP:172967101			5,045.00-
0/09/98	RECEIVED 150 SH CITIGROUP INC NAME CHANGED FROM:TRAVELERS GROUP CUSIP:894190107			5,045.00
0/13/98	DIVIDEND ON WAL MART STORES INC $ 0.07750 /SH ON 150.00 SHARES DUE10/12/98	11.63		
0/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END		174.44-	

MARGARET L SMITH 96 EXEMPT F JAMES 09/30/98 THROUGH 12/31/98 ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END	206.93-		
10/13/98	SOLD 369.74 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/13/98		369.74	369.74-
10/14/98	DIVIDEND ON HEWLETT PACKARD CO $ 0.16000 /SH ON 50.00 SHARES DUE10/14/98	8.00		
10/14/98	PURCHASED 8 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/14/98		8.00-	8.00
10/15/98	DIVIDEND ON MORGAN J P & CO INC $ 0.95000 /SH ON 50.00 SHARES DUE10/15/98	47.50		
10/15/98	PURCHASED 47.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/15/98		47.50-	47.50
10/26/98	DIVIDEND ON GENERAL ELEC CO $ 0.30000 /SH ON 100.00 SHARES DUE10/26/98	30.00		

BANK ONE.

MARGARET L SMITH 96 EXEMPT F JAMES — 09/30/98 THROUGH 12/31/98 — ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
0/26/98	PURCHASED 30 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/26/98		30.00-	30.00
1/02/98	DIVIDEND ON BRISTOL MYERS SQUIBB CO $ 0.39000 /SH ON 100.00 SHARES DUE11/ 1/98	39.00		
1/02/98	PURCHASED 39 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/ 2/98		39.00-	39.00
1/02/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 10/1/98 TO 10/31/98	246.87		
1/02/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 10/1/98 TO 10/31/98	245.53		
1/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00378/SH ON 9,259.23 SHARES DUE 11/ 2/98	35.05		
1/02/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 10/1/98 TO 10/31/98	85.92		

MARGARET L SMITH 96 EXEMPT F JAMES 09/30/98 THROUGH 12/31/98 ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
11/03/98	PURCHASED 613.37 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/ 3/98		613.37-	613.37
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END		184.88-	
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END	217.38-		
11/10/98	SOLD 402.26 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/10/98		402.26	402.26-
11/16/98	DIVIDEND ON ABBOTT LABS $ 0.15000 /SH ON 300.00 SHARES DUE11/15/98	45.00		
11/16/98	PURCHASED 45 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/16/98		45.00-	45.00
11/20/98	DIVIDEND ON CMS ENERGY CORP $ 0.33000 /SH ON 200.00 SHARES DUE11/20/98	66.00		

BANK ONE.

MARGARET L SMITH 96 EXEMPT F JAMES 09/30/98 THROUGH 12/31/98 ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
1/20/98	PURCHASED 66 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/20/98		66.00-	66.00
1/25/98	DIVIDEND ON CITIGROUP INC $ 0.18000 /SH ON 150.00 SHARES DUE11/25/98	27.00		
1/25/98	PURCHASED 27 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/25/98		27.00-	27.00
2/01/98	DIVIDEND ON ASSOCIATES FIRST CAP CORP CL A $ 0.11000 /SH ON 52.00 SHARES DUE12/ 1/98	5.72		
2/01/98	DIVIDEND ON FORD MTR CO DEL $ 0.46000 /SH ON 200.00 SHARES DUE12/ 1/98	92.00		
2/01/98	DIVIDEND ON INTEL CORP $ 0.04000 /SH ON 50.00 SHARES DUE12/ 1/98	2.00		
2/01/98	PURCHASED 99.72 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 1/98		99.72-	99.72

MARGARET L SMITH 96 EXEMPT F JAMES 09/30/98 THROUGH 12/31/98 ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/01/98	DIVIDEND ON THE ONE GROUP INTERMED TAX FREE FD DIVIDEND FROM 11/1/98 TO 11/30/98	238.71		
12/01/98	DIVIDEND ON THE ONE GROUP MUNICIPAL INCOME FD DIVIDEND FROM 11/1/98 TO 11/30/98	238.01		
12/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00694/SH ON 9,259.23 SHARES DUE 12/ 1/98	64.24		
12/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 11/1/98 TO 11/30/98	82.71		
12/02/98	PURCHASED 623.67 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 2/98		623.67-	623.67
12/10/98	DIVIDEND ON EMERSON ELEC CO $ 0.32500 /SH ON 100.00 SHARES DUE12/10/98	32.50		
12/10/98	DIVIDEND ON EXXON CORP $ 0.41000 /SH ON 100.00 SHARES DUE12/10/98	41.00		

BANK ONE.

TRANSACTION DETAIL

MARGARET L SMITH 96 EXEMPT F JAMES 09/30/98 THROUGH 12/31/98 ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/10/98	DIVIDEND ON INTERNATIONAL BUSINESS MACHS CORP $ 0.22000 /SH ON 50.00 SHARES DUE12/10/98	11.00		
2/10/98	DIVIDEND ON MOBIL CORP $ 0.57000 /SH ON 100.00 SHARES DUE12/10/98	57.00		
2/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END		193.79-	
2/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END	226.28-		
2/10/98	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT 075000019-550462366	1,966.72-		
2/10/98	SOLD 2,245.29 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/10/98		2,245.29	2,245.29-
2/11/98	DIVIDEND ON MONSANTO CO $ 0.03000 /SH ON 100.00 SHARES DUE12/11/98	3.00		

MARGARET L SMITH 96 EXEMPT F JAMES 09/30/98 THROUGH 12/31/98 ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/11/98	DIVIDEND ON SOLUTIA INC $ 0.01000 /SH ON 20.00 SHARES DUE12/11/98	0.20		
12/11/98	PURCHASED 3.2 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/11/98		3.20-	3.20
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTERMED TAX FREE FD		342.35	
12/11/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTERMED TAX FREE FD		163.99	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,343.11	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		487.31	
12/14/98	PURCHASED 2,336.76 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/14/98		2,336.76-	2,336.76
12/15/98	DIVIDEND ON FIRST UN CORP $ 0.42000 /SH ON 100.00 SHARES DUE12/15/98	42.00		

MARGARET L SMITH 96 EXEMPT F JAMES 09/30/98 THROUGH 12/31/98 ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/15/98	DIVIDEND ON SUPERVALU INC $ 0.13250 /SH ON 200.00 SHARES DUE12/15/98	26.50		
12/15/98	PURCHASED 68.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/15/98		68.50-	68.50
12/22/98	2 FOR 1 STOCK SPLIT SARA LEE CORP 150.00 ADDITIONAL SHARES RECEIVED			
12/24/98	2 FOR 1 STOCK SPLIT ASSOCIATES FIRST CAP CORP CL A 52.00 ADDITIONAL SHARES RECEIVED			
12/31/98	DIVIDEND ON LOCKHEED MARTIN CORP $ 0.44000 /SH ON 50.00 SHARES DUE12/31/98	22.00		
12/31/98	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT 075000019-550462366	93.70-		

MARGARET L SMITH 96 EXEMPT F JAMES 09/30/98 THROUGH 12/31/98 ACCOUNT NUMBER 9572226310

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/31/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		1,870.00-	
12/31/98	SOLD 1,941.7 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/31/98		1,941.70	1,941.70-
12/31/98	ENDING BALANCES	0.00	0.00	363,487.26

INCOME CASH	+	PRINCIPAL CASH	=	NET CASH
0.00		0.00		0.00

BANK ONE.

MARGARET L SMITH 96 EXEMPT F JAMES

SUMMARY OF ASSETS AS OF 12/31/98

ACCOUNT NUMBER: 9572226310

DESCRIPTION	TAX COST	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	YIELD AT MARKET
CASH EQUIVALENTS	19,040.07	19,040.07	3.91	943.17	4.95
MUNICIPAL OBLIGATIONS	119,000.00	122,588.37	25.15	5,848.41	4.77
COMMON STOCK	114,428.92	189,445.16	38.87	3,108.18	1.64
COMMON & MUTUAL FUNDS - EQUITIES	111,018.27	156,349.05	32.08	598.63	0.38
TOTAL ACCOUNT	363,487.26	487,422.65	100.00	10,498.39	2.15

MARGARET L SMITH 96 EXEMPT F JAMES DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572226310

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
CASH							
0.00	INCOME CASH	0.00		0.00	0.00		
0.00	PRINCIPAL CASH	0.00		0.00	0.00		
0.00	NET CASH	0.00		0.00	0.00		
CASH EQUIVALENTS							
19,040.07	THE ONE GROUP PRIME MONEY MKT FD	19,040.07	1.00	19,040.07	3.91	943.17	4.95
MUNICIPAL OBLIGATIONS							
5,788.71	THE ONE GROUP INTERMED TAX FREE FD	63,000.00	11.11	64,312.59	13.19	2,936.17	4.57 0.00
5,724.54	THE ONE GROUP MUNICIPAL INCOME FD	56,000.00	10.18	58,275.78	11.96	2,912.24	5.00 0.00
	TOTAL MUNICIPAL OBLIGATIONS	119,000.00		122,588.37	25.15	5,848.41	4.77

2909

MARGARET L SMITH 96 EXEMPT F JAMES DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572226310

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
COMMON STOCK							
300	ABBOTT LABS	8,415.00	49.00	14,700.00	3.02	180.00	1.22
104	ASSOCIATES FIRST CAP CORP CL A	2,095.67	42.38	4,407.00	0.90	22.88	0.52
100	BRISTOL MYERS SQUIBB CO	6,175.84	133.81	13,381.25	2.75	172.00	1.29
150	CITIGROUP INC	5,045.00	49.69	7,453.13	1.53	108.00	1.45
200	CMS ENERGY CORP	6,539.00	48.44	9,687.50	1.99	264.00	2.73
100	EMERSON ELEC CO	4,832.50	60.50	6,050.00	1.24	130.00	2.15
100	EXXON CORP	5,335.00	73.13	7,312.50	1.50	164.00	2.24
100	FIRST UN CORP	4,038.75	60.81	6,081.25	1.25	188.00	3.09
200	FORD MTR CO DEL	4,176.52	58.69	11,737.50	2.41	368.00	3.14
100	GENERAL ELEC CO	4,975.42	102.00	10,200.00	2.09	140.00	1.37

MARGARET L SMITH 96 EXEMPT F JAMES DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572226310

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
150	GTE CORP	6,840.00	65.00	9,750.00	2.00	282.00	2.89
200	HARTFORD FINL SVCS GROUP INC	6,995.00	54.88	10,975.00	2.25	176.00	1.60
50	HEWLETT PACKARD CO	2,603.75	68.31	3,415.63	0.70	32.00	0.94
50	INTEL CORP	3,465.63	118.56	5,928.13	1.22	8.00	0.13
50	INTERNATIONAL BUSINESS MACHS CORP	3,417.50	184.38	9,218.75	1.89	44.00	0.48
50	LOCKHEED MARTIN CORP	4,232.50	84.75	4,237.50	0.87	88.00	2.08
50	MICROSOFT CORP	2,318.75	138.69	6,934.38	1.42	0.00	0.00
100	MOBIL CORP	6,531.67	87.13	8,712.50	1.79	228.00	2.62
100	MONSANTO CO	3,653.43	47.50	4,750.00	0.97	12.00	0.25
50	MORGAN J P & CO INC	4,994.17	105.06	5,253.13	1.08	198.00	3.77

MARGARET L SMITH 96 EXEMPT F JAMES DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572226310

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
300	SARA LEE CORP	6,108.75	28.19	8,456.25	1.73	150.00	1.77
20	SOLUTIA INC	341.57	22.37	447.50	0.09	0.80	0.18
200	SUPERVALU INC	2,895.00	28.00	5,600.00	1.15	106.00	1.89
150	TOYS "R" US INC	4,182.50	16.94	2,540.63	0.52	0.00	0.00
150	WAL MART STORES INC	4,220.00	81.44	12,215.63	2.51	46.50	0.38
TOTAL COMMON STOCK		114,428.92		189,445.16	38.87	3,108.18	1.64
COMMON & MUTUAL FUNDS - EQUITIES							
1,225.49	THE ONE GROUP GRWTH OPPORTUNITIES FD	20,000.00	23.39	28,664.21	5.88	0.00	0.00
9,259.23	THE ONE GROUP VALUE GROWTH FD	91,018.27	13.79	127,684.84	26.20	598.63	0.47
TOTAL COMMON & MUTUAL FUNDS - EQUITIES		111,018.27		156,349.05	32.08	598.63	0.38
TOTAL ACCOUNT		363,487.26		487,422.65	100.00	10,498.39	2.15

PENDING TRADES: NONE

BANK ONE TRUST COMPANY, NA
111 E WISCONSIN AVE
MILWAUKEE, WI 53202

STATEMENT OF ACCOUNT
FOR PERIOD 01/01/98 THROUGH 12/31/98

F JAMES SENSENBRENNER JR-TTEE

ACCOUNT NUMBER 9572007410

FOR ASSISTANCE, PLEASE CONTACT
LYNN E PAULL
(414) 765-2751

2914

F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

BANK ONE.

STATEMENT MESSAGES

ACCOUNT NO. 9572007410 F JAMES SENSENBRENNER JR-TTEE

MUTUAL FUNDS, INCLUDING PROPRIETARY MUTUAL FUNDS, AND VARIOUS OTHER MARKETABLE SECURITIES ARE NOT INSURED OR GUARANTEED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) OR ANY OTHER GOVERNMENT SPONSORED AGENCY OF THE FEDERAL GOVERNMENT OR ANY STATE. ALL INVOLVE INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED. "THE ONE GROUP" FUNDS ARE NOT DEPOSITS OR OBLIGATIONS OF, AND ARE NOT GUARANTEED BY, BANK ONE CORPORATION OR ITS BANK OR NON-BANK AFFILIATES.

****

ALTHOUGH MARKET VALUES AND PRICES HAVE BEEN OBTAINED FROM SOURCES WE BELIEVE TO BE RELIABLE, WE DO NOT GUARANTEE THEIR ACCURACY. CERTAIN FIXED INCOME SECURITIES AND ASSETS THAT ARE NOT PUBLICLY OR FREQUENTLY TRADED CANNOT BE READILY PRICED.

****

THIS STATEMENT IS NOT AN OFFICIAL DOCUMENT FOR INCOME TAX REPORTING PURPOSES.

****

INTRODUCING BANC ONE INVESTMENT MANAGEMENT GROUP'S NEW "CLIENTCONNECT" PROGRAM! THIS IS OUR CLIENT SURVEY PROGRAM DESIGNED TO TELL US HOW WELL WE'RE SERVING YOU AND HOW WE CAN IMPROVE OUR SERVICES. HOW DOES IT WORK? YOU MAY RECEIVE A PHONE CALL FROM AN INTERNAL INVESTMENT PROFESSIONAL OR A POSTCARD ASKING FOR YOUR OPINION ON A RECENT INTERACTION YOU HAD WITH US AS WELL AS YOUR SATISFACTION WITH YOUR OVERALL RELATIONSHIP. PLEASE BE ASSURED THAT PERSONAL ACCOUNT INFORMATION WILL NOT BE SHARED.

WE UNDERSTAND YOUR TIME IS VALUABLE. THAT'S WHY WE'LL ASK ONLY A FEW IMPORTANT QUESTIONS EACH TIME AND WHY WE'VE LIMITED THE PROGRAM TO ONLY ONE, POSSIBLY TWO, CONTACTS A YEAR. YOUR INPUT HAS ALWAYS BEEN VALUABLE TO US. BY DEVELOPING A PROGRAM LIKE "CLIENTCONNECT", WE WILL NOW BE ABLE TO REGULARLY GATHER YOUR INPUT AND ACT ON IT TO IMPROVE OUR SERVICES. SO, WHEN YOU RECEIVE A SURVEY POSTCARD OR PHONE CALL, PLEASE TAKE A FEW MOMENTS TO TELL US HOW WE ARE DOING.

THANK YOU AND WE LOOK FORWARD TO YOUR INPUT!

STATEMENT MESSAGES

ACCOUNT NO. 9572007410 F JAMES SENSENBRENNER JR-TTEE

****

BANK ONE.

TRANSACTION SUMMARY

F JAMES SENSENBRENNER JR-TTEE — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572007410

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
BEGINNING BALANCES 12/31/97	0.00	0.00	1,897,729.83
CASH RECEIPTS			
NET INTEREST	33,856.18		
NET DIVIDENDS	91,708.45		
TOTAL CASH RECEIPTS	125,564.63	0.00	0.00
CASH DISBURSEMENTS			
DISTRIBUTIONS TO BENEFICIARIES	117,845.68-	10,000.00-	
FIDUCIARY INCOME TAXES		68,841.00-	
ADMINISTRATIVE EXPENSES	7,718.95-		
TOTAL CASH DISBURSEMENTS	125,564.63-	78,841.00-	0.00
INVESTMENT TRANSACTIONS			
PURCHASES		199,698.50-	199,698.50
SALES/MATURITIES		259,274.40	105,126.78-
DISTRIBUTIONS IN KIND			40,779.91-
ASSETS RECEIVED IN KIND			40,779.91
AMORTIZATION			380.91-

F JAMES SENSENBRENNER JR-TTEE

12/31/97 THROUGH 12/31/98

ACCOUNT NUMBER 9572007410

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
NET PURCHASES/SALES			
CASH MANAGEMENT VEHICLE		19,265.10	19,265.10-
TOTAL INVESTMENT TRANSACTIONS		78,841.00	74,925.71
ENDING BALANCES 12/31/98	0.00	0.00	1,972,655.54

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/31/97	BEGINNING BALANCES	0.00	0.00	1,897,729.83
1/02/98	DIVIDEND ON FIRST CHICAGO NBD CORP $ 0.44000 /SH ON 1,259.00 SHARES DUE 1/ 1/98	553.96		
1/02/98	DIVIDEND ON BURLINGTON NORTHN SANTA FE CORP $ 0.30000 /SH ON 35.00 SHARES DUE 1/ 2/98	10.50		
1/02/98	DIVIDEND ON JOHNSON CTLS INC $ 0.23000 /SH ON 400.00 SHARES DUE 1/ 2/98	92.00		
1/02/98	DIVIDEND ON UNION PAC CORP $ 0.43000 /SH ON 264.00 SHARES DUE 1/ 2/98	113.52		
1/02/98	DIVIDEND ON UNION PAC RES GROUP INC $ 0.05000 /SH ON 223.00 SHARES DUE 1/ 2/98	11.15		
1/02/98	PURCHASED 968.24 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/ 2/98		968.24-	968.24
1/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 12/1/97 TO 12/31/97	611.43		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
01/05/98	DIVIDEND ON KIMBERLY CLARK CORP $ 0.24000 /SH ON 85,000.00 SHARES DUE 1/ 5/98	20,400.00		
01/05/98	PURCHASED 20,824.32 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/ 5/98		20,824.32-	20,824.32
01/06/98	RECEIVED 66 SH CORN PRODS INTL INC DUE TO SPINOFF FROM CPC INTL INC CUSIP: 126149103			0.00
01/07/98	FED BASIS ADJUSTED BY 424.18 CORN PRODS INTL INC FROM 0.0TO 424.18 DUE TO SPINOFF TO CORN PRODS INTL INC/C# 219023108			424.18
01/07/98	STATE COST ADJUSTED BY 424.18 CORN PRODS INTL INC FROM 0.00 TO 424.18 DUE TO SPINOFF TO CORN PRODS INTL INC/C# 219023108			
01/07/98	SPIN OFF BOOK VALUE OF CORN PRODS INTL INC ADJUSTED BY $424.18 OLD: $0.00 /NEW $424.18 DUE TO SPINOFF TO CORN PRODS INTL INC/C# 219023108			

2920

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
01/07/98	SPIN OFF BOOK VALUE OF CPC INTL INC ADJUSTED BY $-424.18 OLD: $6,162.75 /NEW $5,738.57 DUE TO SPINOFF TO CORN PRODS INTL INC/C# 219023108			
01/07/98	FED BASIS ADJUSTED BY 424.18- CPC INTL INC FROM 6,162.7TO 5,738.57 DUE TO SPINOFF TO CORN PRODS INTL INC/C# 219023108			424.18-
01/07/98	STATE COST ADJUSTED BY -424.18 CPC INTL INC FROM 6,162.75 TO 5,738.57 DUE TO SPINOFF TO CORN PRODS INTL INC/C# 219023108			
01/09/98	DISTRIBUTED 264 SH CPC INTL INC NAME CHANGED TO BESTFOODS CUSIP: 08658U101			5,738.57-
01/09/98	RECEIVED 264 SH BESTFOODS NAME CHANGED FROM CPC INTL INC CUSIP: 126149103			5,738.57

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)1/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END	636.89-		
)1/12/98	SOLD 636.89 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/12/98		636.89	636.89-
)1/26/98	DIVIDEND ON BESTFOODS $ 0.45000 /SH ON 264.00 SHARES DUE 1/26/98	118.80		
)1/26/98	PURCHASED 118.8 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/26/98		118.80-	118.80
)2/02/98	DIVIDEND ON CHASE MANHATTAN CORP NEW $ 0.62000 /SH ON 137.00 SHARES DUE 1/31/98	84.94		
)2/02/98	DIVIDEND ON AT&T CORP $ 0.33000 /SH ON 567.00 SHARES DUE 2/ 2/98	187.11		
)2/02/98	INTEREST ON COTATI-ROHNERT PK CA 4.400% 8/01/02 $ 0.02200 /PAR VALUE ON 50,000.00 PAR VALUE DUE 2/ 1/98	1,100.00		

BANK ONE.

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/02/98	INTEREST ON HEMET CALIF WTR REV 5.000% 8/01/07 $ 0.03333 /PAR VALUE ON 50,000.00 PAR VALUE DUE 2/ 1/98	1,666.67		
12/02/98	INTEREST ON LOS ANGELES CALIF 4.750% 8/01/99 $ 0.02375 /PAR VALUE ON 50,000.00 PAR VALUE DUE 2/ 1/98	1,187.50		
12/02/98	INTEREST ON LOS ANGELES CALIF MU 4.700% 2/01/04 $ 0.02350 /PAR VALUE ON 50,000.00 PAR VALUE DUE 2/ 1/98	1,175.00		
12/02/98	DECREASE COST BASIS BY PRIOR YEAR AMORTIZATION LOS ANGELES CALIF MU 4.700% 2/01/04			13.18-
12/02/98	DECREASE COST BASIS BY CURRENT YEAR AMORTIZATION LOS ANGELES CALIF MU 4.700% 2/01/04			0.47-
12/02/98	PURCHASED 5,401.22 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 2/98		5,401.22-	5,401.22
12/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 1/1/98 TO 1/31/98	468.78		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/03/98	PURCHASED 468.78 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 3/98		468.78-	468.78
12/10/98	DIVIDEND ON UNOCAL CORP $ 0.20000 /SH ON 524.00 SHARES DUE 2/10/98	104.80		
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END	657.44-		
12/10/98	SOLD 552.64 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/10/98		552.64	552.64-
12/17/98	DIVIDEND ON FIRSTAR CORP NEW $ 0.21000 /SH ON 1,600.00 SHARES DUE 2/15/98	336.00		
12/17/98	PURCHASED 336 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/17/98		336.00-	336.00
03/02/98	DIVIDEND ON LUCENT TECHNOLOGIES INC $ 0.07500 /SH ON 183.00 SHARES DUE 3/ 1/98	13.73		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
3/02/98	INTEREST ON LOS ANGELES CALIF HU 4.700% 9/01/98 $ 0.02350 /PAR VALUE ON 50,000.00 PAR VALUE DUE 3/ 1/98	1,175.00		
3/02/98	INTEREST ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 $ 0.02625 /PAR VALUE ON 50,000.00 PAR VALUE DUE 3/ 1/98	1,312.50		
3/02/98	DECREASE COST BASIS BY PRIOR YEAR AMORTIZATION MOULTON-NIGUEL CALIF 5.250% 9/01/06			42.98-
3/02/98	DECREASE COST BASIS BY CURRENT YEAR AMORTIZATION MOULTON-NIGUEL CALIF 5.250% 9/01/06			16.43-
3/02/98	PURCHASED 2,501.23 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/ 2/98		2,501.23-	2,501.23
3/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 2/1/98 TO 2/28/98	446.21		
3/03/98	PURCHASED 446.21 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/ 3/98		446.21-	446.21

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
03/06/98	DIVIDEND ON SOUTHERN CO $ 0.33500 /SH ON 400.00 SHARES DUE 3/ 6/98	134.00		
03/06/98	PURCHASED 134 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/ 6/98		134.00-	134.00
03/10/98	DIVIDEND ON ELECTRONIC DATA SYS CORP NEW $ 0.15000 /SH ON 448.00 SHARES DUE 3/10/98	67.20		
03/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END	685.36-		
03/10/98	SOLD 618.16 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/10/98		618.16	618.16-
03/27/98	DIVIDEND ON NATIONSBANK CORP $ 0.38000 /SH ON 800.00 SHARES DUE 3/27/98	304.00		
03/27/98	PURCHASED 304 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/27/98		304.00-	304.00

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
3/31/98	DIVIDEND ON JOHNSON CTLS INC $ 0.23000 /SH ON 400.00 SHARES DUE 3/31/98	92.00		
3/31/98	PURCHASED 92 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/31/98		92.00-	92.00
4/01/98	DIVIDEND ON BURLINGTON NORTHN SANTA FE CORP $ 0.30000 /SH ON 35.00 SHARES DUE 4/ 1/98	10.50		
4/01/98	DIVIDEND ON FIRST CHICAGO NBD CORP $ 0.44000 /SH ON 1,259.00 SHARES DUE 4/ 1/98	553.96		
4/01/98	DIVIDEND ON UNION PAC CORP $ 0.20000 /SH ON 264.00 SHARES DUE 4/ 1/98	52.80		
4/01/98	DIVIDEND ON UNION PAC RES GROUP INC $ 0.05000 /SH ON 223.00 SHARES DUE 4/ 1/98	11.15		
4/01/98	INTEREST ON CALIFORNIA ST 5.000% 4/01/00 $ 0.02500 /PAR VALUE ON 50,000.00 PAR VALUE DUE 4/ 1/98	1,250.00		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)4/01/98	DECREASE COST BASIS BY PRIOR YEAR AMORTIZATION CALIFORNIA ST 5.000% 4/01/00			20.18-
)4/01/98	DECREASE COST BASIS BY CURRENT YEAR AMORTIZATION CALIFORNIA ST 5.000% 4/01/00			13.00-
)4/01/98	INTEREST ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 $ 0.02625 /PAR VALUE ON 50,000.00 PAR VALUE DUE 4/ 1/98	1,312.50		
)4/01/98	DECREASE COST BASIS BY PRIOR YEAR AMORTIZATION SAN DIEGO CNTY CALIF 5.250% 4/01/07			31.42-
)4/01/98	DECREASE COST BASIS BY CURRENT YEAR AMORTIZATION SAN DIEGO CNTY CALIF 5.250% 4/01/07			23.82-
)4/01/98	PURCHASED 3,190.91 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 1/98		3,190.91-	3,190.91
)4/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 3/1/98 TO 3/31/98	503.88		

2928

BANK ONE.

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
4/02/98	DIVIDEND ON KIMBERLY CLARK CORP $ 0.25000 /SH ON 85,000.00 SHARES DUE 4/ 2/98	21,250.00		
4/02/98	PURCHASED 21,753.88 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 2/98		21,753.88-	21,753.88
4/02/98	2 FOR 1 STOCK SPLIT LUCENT TECHNOLOGIES INC 183.00 ADDITIONAL SHARES RECEIVED			
4/07/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN		46,247.00-	
4/07/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON FORM 2 FOR CALENDAR YEAR RETURN		111.00-	
4/07/98	SOLD 46,358 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 7/98		46,358.00	46,358.00-

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
04/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END	648.05-		
04/13/98	SOLD 648.05 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/13/98		648.05	648.05-
04/24/98	DIVIDEND ON BESTFOODS $ 0.45000 /SH ON 264.00 SHARES DUE 4/24/98	118.80		
04/24/98	PURCHASED 118.8 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/24/98		118.80-	118.80
04/27/98	SOLD 2,000 SH KIMBERLY CLARK CORP TRADE DATE 4/22/98 SOLD THROUGH EXECUTION SERVICES, INC. PAID $120.00 BROKERAGE 2,000 SHARES AT $50.75		101,376.61	27,608.25-
04/27/98	PURCHASED 101,376.61 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/27/98		101,376.61-	101,376.61

BANK ONE.

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
4/27/98	2 FOR 1 STOCK SPLIT BESTFOODS 264.00 ADDITIONAL SHARES RECEIVED			
4/30/98	DIVIDEND ON CHASE MANHATTAN CORP NEW $ 0.72000 /SH ON 137.00 SHARES DUE 4/30/98	98.64		
4/30/98	PURCHASED 98.64 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/30/98		98.64-	98.64
5/01/98	DIVIDEND ON AT&T CORP $ 0.33000 /SH ON 567.00 SHARES DUE 5/ 1/98	187.11		
5/01/98	INTEREST ON ROCKLIN CALIF STANFO 4.500% 11/01/03 $ 0.02250 /PAR VALUE ON 50,000.00 PAR VALUE DUE 5/ 1/98	1,125.00		
5/01/98	PURCHASED 1,312.11 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 1/98		1,312.11-	1,312.11
5/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 4/1/98 TO 4/30/98	488.95		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)5/04/98	PURCHASED 488.95 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 4/98		488.95-	488.95
)5/07/98	PURCHASED 50,000 PAR VALUE SOUTH BAY CALIF UN 4.350% 11/01/03 TRADE DATE 5/ 4/98 PURCHASED THROUGH KIRKPATRICK PETTIS SMITH 50,000 PAR VALUE AT 100.483 %		50,241.50-	50,241.50
)5/07/98	PAID ACCRUED INTEREST ON PURCHASE SOUTH BAY CALIF UN 4.350% 11/01/03	36.25-		
)5/07/98	SOLD 50,277.75 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 7/98		50,277.75	50,277.75-
)5/08/98	DIVIDEND ON UNOCAL CORP $ 0.20000 /SH ON 524.00 SHARES DUE 5/ 8/98	104.80		
)5/08/98	PURCHASED 104.8 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 8/98		104.80-	104.80
)5/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END	675.35-		

BANK ONE.

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
5/11/98	SOLD 675.35 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/11/98		675.35	675.35-
5/14/98	PURCHASED 50,000 PAR VALUE LONG BEACH CALIF BD 4.300% 10/01/04 TRADE DATE 5/ 6/98 PURCHASED THROUGH SUTRO & CO. 50,000 PAR VALUE AT 99.719 %		49,859.50-	49,859.50
5/14/98	PAID ACCRUED INTEREST ON PURCHASE LONG BEACH CALIF BD 4.300% 10/01/04	77.64-		
5/14/98	SOLD 49,937.14 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/14/98		49,937.14	49,937.14-
5/15/98	DIVIDEND ON FIRSTAR CORP NEW $ 0.23000 /SH ON 1,600.00 SHARES DUE 5/15/98	368.00		
5/15/98	PURCHASED 368 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/15/98		368.00-	368.00
6/01/98	DIVIDEND ON LUCENT TECHNOLOGIES INC $ 0.04000 /SH ON 366.00 SHARES DUE 6/ 1/98	14.64		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/01/98	INTEREST ON ARCATA CALIF JT PWRS 4.850% 12/01/05 $ 0.02425 /PAR VALUE ON 50,000.00 PAR VALUE DUE 6/ 1/98	1,212.50		
06/01/98	INTEREST ON SANTA CLARA VY CALIF 5.1% 6/01/01 $ 0.02550 /PAR VALUE ON 50,000.00 PAR VALUE DUE 6/ 1/98	1,275.00		
06/01/98	PURCHASED 2,502.14 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/ 1/98		2,502.14-	2,502.14
06/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 5/1/98 TO 5/31/98	553.20		
06/02/98	PURCHASED 553.2 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/ 2/98		553.20-	553.20
06/08/98	DIVIDEND ON SOUTHERN CO $ 0.33500 /SH ON 400.00 SHARES DUE 6/ 6/98	134.00		
06/08/98	PURCHASED 134 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/ 8/98		134.00-	134.00

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
6/10/98	DIVIDEND ON ELECTRONIC DATA SYS CORP NEW $ 0.15000 /SH ON 448.00 SHARES DUE 6/10/98	67.20		
6/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END	691.29-		
6/10/98	SOLD 624.09 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/10/98		624.09	624.09-
6/12/98	FIDUCIARY TAX RETURN PREP 1041 FOR 12/31/97	60.00-		
6/15/98	2 FOR 1 STOCK SPLIT CHASE MANHATTAN CORP NEW 137.00 ADDITIONAL SHARES RECEIVED			
6/15/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		19,815.00-	
6/15/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX		2,668.00-	

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/15/98	SOLD 22,543 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/15/98		22,543.00	22,543.00-
06/26/98	DIVIDEND ON NATIONSBANK CORP $ 0.38000 /SH ON 800.00 SHARES DUE 6/26/98	304.00		
06/26/98	PURCHASED 304 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/26/98		304.00-	304.00
06/30/98	DIVIDEND ON JOHNSON CTLS INC $ 0.23000 /SH ON 400.00 SHARES DUE 6/30/98	92.00		
06/30/98	PURCHASED 92 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/30/98		92.00-	92.00
07/01/98	DIVIDEND ON UNION PAC CORP $ 0.20000 /SH ON 264.00 SHARES DUE 7/ 1/98	52.80		
07/01/98	DIVIDEND ON BURLINGTON NORTHN SANTA FE CORP $ 0.30000 /SH ON 35.00 SHARES DUE 7/ 1/98	10.50		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
7/01/98	DIVIDEND ON FIRST CHICAGO NBD CORP $ 0.44000 /SH ON 1,259.00 SHARES DUE 7/ 1/98	553.96		
7/01/98	DIVIDEND ON UNION PAC RES GROUP INC $ 0.05000 /SH ON 223.00 SHARES DUE 7/ 1/98	11.15		
7/01/98	PURCHASED 575.61 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/ 1/98		575.61-	575.61
7/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 6/1/98 TO 6/30/98	364.93		
7/02/98	DIVIDEND ON KIMBERLY CLARK CORP $ 0.25000 /SH ON 83,000.00 SHARES DUE 7/ 2/98	20,750.00		
7/02/98	PURCHASED 21,167.73 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/ 2/98		21,167.73-	21,167.73
7/06/98	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 PAYMENT TO BENEFICIARY	60,000.00-		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
07/06/98	SOLD 60,000 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/ 6/98		60,000.00	60,000.00-
07/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END	614.97-		
07/10/98	SOLD 614.97 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/10/98		614.97	614.97-
07/24/98	DIVIDEND ON BESTFOODS $ 0.22500 /SH ON 528.00 SHARES DUE 7/24/98	118.80		
07/24/98	PURCHASED 118.8 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/24/98		118.80-	118.80
07/31/98	DIVIDEND ON CHASE MANHATTAN CORP NEW $ 0.36000 /SH ON 274.00 SHARES DUE 7/31/98	98.64		
07/31/98	PURCHASED 98.64 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/31/98		98.64-	98.64

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
8/03/98	DIVIDEND ON AT&T CORP $ 0.33000 /SH ON 567.00 SHARES DUE 8/ 1/98	187.11		
8/03/98	INTEREST ON COTATI-ROHNERT PK CA 4.400% 8/01/02 $ 0.02200 /PAR VALUE ON 50,000.00 PAR VALUE DUE 8/ 1/98	1,100.00		
8/03/98	INTEREST ON HEMET CALIF WTR REV 5.000% 8/01/07 $ 0.02500 /PAR VALUE ON 50,000.00 PAR VALUE DUE 8/ 1/98	1,250.00		
8/03/98	INTEREST ON LOS ANGELES CALIF 4.750% 8/01/99 $ 0.02375 /PAR VALUE ON 50,000.00 PAR VALUE DUE 8/ 1/98	1,187.50		
8/03/98	INTEREST ON LOS ANGELES CALIF MU 4.700% 2/01/04 $ 0.02350 /PAR VALUE ON 50,000.00 PAR VALUE DUE 8/ 1/98	1,175.00		
8/03/98	DECREASE COST BASIS BY CURRENT YEAR AMORTIZATION LOS ANGELES CALIF MU 4.700% 2/01/04			14.02-
8/03/98	PURCHASED 4,899.61 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 3/98		4,899.61-	4,899.61

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
08/03/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 7/1/98 TO 7/31/98	200.56		
08/04/98	PURCHASED 200.56 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 4/98		200.56-	200.56
08/10/98	DIVIDEND ON UNOCAL CORP $ 0.20000 /SH ON 524.00 SHARES DUE 8/10/98	104.80		
08/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END	606.26-		
08/10/98	SOLD 501.46 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/10/98		501.46	501.46-
08/17/98	DIVIDEND ON FIRSTAR CORP NEW $ 0.23000 /SH ON 1,600.00 SHARES DUE 8/15/98	368.00		
08/17/98	PURCHASED 368 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/17/98		368.00-	368.00

2940

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
9/01/98	DIVIDEND ON LUCENT TECHNOLOGIES INC $ 0.04000 /SH ON 366.00 SHARES DUE 9/ 1/98	14.64		
9/01/98	INTEREST ON LOS ANGELES CALIF MU 4.700% 9/01/98 $ 0.02350 /PAR VALUE ON 50,000.00 PAR VALUE DUE 9/ 1/98	1,175.00		
9/01/98	INTEREST ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 $ 0.02625 /PAR VALUE ON 50,000.00 PAR VALUE DUE 9/ 1/98	1,312.50		
9/01/98	DECREASE COST BASIS BY CURRENT YEAR AMORTIZATION MOULTON-NIGUEL CALIF 5.250% 9/01/06			60.85-
9/01/98	MATURED 50,000 PAR VALUE LOS ANGELES CALIF MU 4.700% 9/01/98 TRADE DATE 9/ 1/98 50,000 PAR VALUE AT 100 %		50,000.00	49,905.50-
9/01/98	PURCHASED 52,502.14 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/ 1/98		52,502.14-	52,502.14
9/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 8/1/98 TO 8/31/98	181.10		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
09/02/98	3 FOR 1 STOCK SPLIT BURLINGTON NORTHN SANTA FE CORP 70.00 ADDITIONAL SHARES RECEIVED			
09/02/98	PURCHASED 181.1 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/ 2/98		181.10-	181.10
09/08/98	DIVIDEND ON SOUTHERN CO $ 0.33500 /SH ON 400.00 SHARES DUE 9/ 5/98	134.00		
09/08/98	PURCHASED 134 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/ 8/98		134.00-	134.00
09/10/98	DIVIDEND ON ELECTRONIC DATA SYS CORP NEW $ 0.15000 /SH ON 448.00 SHARES DUE 9/10/98	67.20		
09/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END	551.95-		
09/10/98	SOLD 484.75 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/10/98		484.75	484.75-

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
9/25/98	DIVIDEND ON NATIONSBANK CORP $ 0.38000 /SH ON 800.00 SHARES DUE 9/25/98	304.00		
9/25/98	PURCHASED 304 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/25/98		304.00-	304.00
9/30/98	DIVIDEND ON JOHNSON CTLS INC $ 0.23000 /SH ON 400.00 SHARES DUE 9/30/98	92.00		
9/30/98	PURCHASED 92 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/30/98		92.00-	92.00
0/01/98	DIVIDEND ON BURLINGTON NORTHN SANTA FE CORP $ 0.12000 /SH ON 105.00 SHARES DUE10/ 1/98	12.60		
0/01/98	DIVIDEND ON FIRST CHICAGO NBD CORP $ 0.44000 /SH ON 1,259.00 SHARES DUE10/ 1/98	553.96		
0/01/98	DIVIDEND ON UNION PAC CORP $ 0.20000 /SH ON 264.00 SHARES DUE10/ 1/98	52.80		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/01/98	DIVIDEND ON UNION PAC RES GROUP INC $ 0.05000 /SH ON 223.00 SHARES DUE10/ 1/98	11.15		
10/01/98	INTEREST ON CALIFORNIA ST 5.000% 4/01/00 $ 0.02500 /PAR VALUE ON 50,000.00 PAR VALUE DUE10/ 1/98	1,250.00		
10/01/98	DECREASE COST BASIS BY CURRENT YEAR AMORTIZATION CALIFORNIA ST 5.000% 4/01/00			34.09-
10/01/98	INTEREST ON LONG BEACH CALIF BD 4.300% 10/01/04 $ 0.01792 /PAR VALUE ON 50,000.00 PAR VALUE DUE10/ 1/98	895.83		
10/01/98	INTEREST ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 $ 0.02625 /PAR VALUE ON 50,000.00 PAR VALUE DUE10/ 1/98	1,312.50		
10/01/98	DECREASE COST BASIS BY CURRENT YEAR AMORTIZATION SAN DIEGO CNTY CALIF 5.250% 4/01/07			91.85-
10/01/98	PURCHASED 4,088.84 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 1/98		4,088.84-	4,088.84

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 9/1/98 TO 9/30/98	400.61		
10/02/98	DISTRIBUTED 800 SH NATIONSBANK CORP NAME CHANGED TO:BANKAMERICA CORP CUSIP:06605F102			8,767.00-
10/02/98	RECEIVED 800 SH BANKAMERICA CORP NEW NAME CHANGED FROM:NATIONSBANK CORP CUSIP:638585109			8,767.00
10/02/98	DIVIDEND ON KIMBERLY CLARK CORP $ 0.25000 /SH ON 83,000.00 SHARES DUE10/ 2/98	20,750.00		
10/02/98	PURCHASED 21,150.61 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 2/98		21,150.61-	21,150.61
10/06/98	DISTRIBUTED 1,259 SH FIRST CHICAGO NBD CORP MERGER TO:BANK ONE CORP CUSIP:06423A103			16,824.34-
10/06/98	RECEIVED 2,039.58 SH BANK ONE CORP			16,824.34

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
	MERGER FROM:FIRST CHICAGO NBD CORP CUSIP:31945A100			
10/07/98	PURCHASED 50,000 PAR VALUE CABRILLO CALIF CMNTY 4.150% 8/01/08 TRADE DATE 9/16/98 PURCHASED THROUGH PAINE WEBBER 50,000 PAR VALUE AT 99.595 %		49,797.50-	49,797.50
10/07/98	PAID ACCRUED INTEREST ON PURCHASE CABRILLO CALIF CMNTY 4.150% 8/01/08	34.17-		
10/07/98	SOLD 49,831.67 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 7/98		49,831.67	49,831.67-
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END	571.87-		
10/13/98	SOLD 571.87 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/13/98		571.87	571.87-
10/15/98	SOLD 0.58 SH BANK ONE CORP TRADE DATE 10/15/98 SOLD THROUGH CORPORATE REORGANIZATIONS CASH IN LIEU		21.39	4.78-

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/16/98	PURCHASED 21.39 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/16/98		21.39-	21.39
10/23/98	DIVIDEND ON BESTFOODS $ 0.24500 /SH ON 528.00 SHARES DUE10/23/98	129.36		
10/23/98	DIVIDEND ON CORN PRODS INTL INC $ 0.08000 /SH ON 66.00 SHARES DUE10/23/98	5.28		
10/23/98	PURCHASED 134.64 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/23/98		134.64-	134.64
11/02/98	DIVIDEND ON CHASE MANHATTAN CORP NEW $ 0.36000 /SH ON 274.00 SHARES DUE10/31/98	98.64		
11/02/98	DIVIDEND ON AT & T CORP $ 0.33000 /SH ON 567.00 SHARES DUE11/ 2/98	187.11		
11/02/98	INTEREST ON ROCKLIN CALIF STANFO 4.500% 11/01/03 $ 0.02250 /PAR VALUE ON 50,000.00 PAR VALUE DUE11/ 1/98	1,125.00		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
11/02/98	INTEREST ON SOUTH BAY CALIF UN 4.350% 11/01/03 $ 0.02175 /PAR VALUE ON 50,000.00 PAR VALUE DUE11/ 1/98	1,087.50		
11/02/98	DECREASE COST BASIS BY CURRENT YEAR AMORTIZATION SOUTH BAY CALIF UN 4.350% 11/01/03			18.62-
11/02/98	PURCHASED 2,498.25 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/ 2/98		2,498.25-	2,498.25
11/02/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 10/1/98 TO 10/31/98	339.72		
11/03/98	PURCHASED 339.72 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/ 3/98		339.72-	339.72
11/10/98	DIVIDEND ON UNOCAL CORP $ 0.20000 /SH ON 524.00 SHARES DUE11/10/98	104.80		
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END	656.41-		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
1/10/98	SOLD 551.61 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/10/98		551.61	551.61-
1/16/98	DIVIDEND ON FIRSTAR CORP NEW $ 0.23000 /SH ON 1,600.00 SHARES DUE11/15/98	368.00		
1/16/98	PURCHASED 368 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/16/98		368.00-	368.00
1/23/98	DISTRIBUTED 1,600 SH FIRSTAR CORP NEW MERGER TO: FIRSTAR CORP WISC NEW CUSIP: 33763V109			9,450.00-
1/23/98	RECEIVED 1,216 SH FIRSTAR CORP NEW WIS MERGER FROM: FIRSTAR CORP WISC CUSIP: 33761C103			9,450.00
2/01/98	DIVIDEND ON LUCENT TECHNOLOGIES INC $ 0.04000 /SH ON 366.00 SHARES DUE12/ 1/98	14.64		
2/01/98	INTEREST ON ARCATA CALIF JT PWRS 4.850% 12/01/05 $ 0.02425 /PAR VALUE ON 50,000.00 PAR VALUE DUE12/ 1/98	1,212.50		

2949

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/01/98	INTEREST ON SANTA CLARA VY CALIF 5.1% 6/01/01 $ 0.02550 /PAR VALUE ON 50,000.00 PAR VALUE DUE12/ 1/98	1,275.00		
12/01/98	PURCHASED 2,502.14 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 1/98		2,502.14-	2,502.14
12/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 11/1/98 TO 11/30/98	294.87		
12/02/98	PURCHASED 294.87 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 2/98		294.87-	294.87
12/07/98	DIVIDEND ON SOUTHERN CO $ 0.33500 /SH ON 400.00 SHARES DUE12/ 5/98	134.00		
12/07/98	PURCHASED 134 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 7/98		134.00-	134.00
12/08/98	SOLD 20,000 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 8/98		20,000.00	20,000.00-

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/08/98	CASH DISBURSEMENT PAID TO BANK ONE WISCONSIN PAYMENT FOR BENEFICIARY DEPOSIT TO C/A#550462366 ANNUAL TRUSTEE FEE FOR F JAMES SENSENBRENNER JR		10,000.00-	
2/08/98	CASH DISBURSEMENT PAID TO BANK ONE WISCONSIN PAYMENT FOR BENEFICIARY DEPOSIT TO C/A#550462366 ANNUAL TRUSTEE FEE FOR F JAMES SENSENBRENNER JR	10,000.00-		
2/10/98	DIVIDEND ON ELECTRONIC DATA SYS CORP NEW $ 0.15000 /SH ON 448.00 SHARES DUE12/10/98	67.20		
2/10/98	SOLD 2,000 SH KIMBERLY CLARK CORP TRADE DATE 12/ 7/98 SOLD THROUGH PAINE WEBBER PAID $120.00 BROKERAGE 2,000 SHARES AT $54.00		107,876.40	27,608.25-
2/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END	663.11-		

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/10/98	PURCHASED 107,280.49 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/10/98		107,280.49-	107,280.49
12/23/98	DIVIDEND ON BANKAMERICA CORP NEW $ 0.45000 /SH ON 800.00 SHARES DUE12/23/98	360.00		
12/23/98	PURCHASED 360 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/23/98		360.00-	360.00
12/30/98	PURCHASED 50,000 PAR VALUE JURUPA CALIF CMNTY 4.100% 9/01/08 TRADE DATE 12/22/98 PURCHASED THROUGH DONALD. LUFKIN & JEN. SEC. 50,000 PAR VALUE AT 99.6 %		49,800.00-	49,800.00
12/30/98	SOLD 49,800 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/30/98		49,800.00	49,800.00-
12/31/98	CASH DISBURSEMENT PAID TO ACCOUNT # 9572070510 PAYMENT TO BENEFICIARY	47,845.68-		

2952

F JAMES SENSENBRENNER JR-TTEE 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572007410

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
2/31/98	SOLD 47,845.68 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/31/98		47,845.68	47,845.68-
2/31/98	ENDING BALANCES	0.00	0.00	1,972,655.54

INCOME CASH	+	PRINCIPAL CASH	=	NET CASH
0.00		0.00		0.00

INVESTMENT REVIEW

F JAMES SENSENBRENNER JR-TTEE

SUMMARY OF ASSETS AS OF 12/31/98

ACCOUNT NUMBER: 9572007410

DESCRIPTION	TAX COST	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	YIELD AT MARKET
CASH EQUIVALENTS	64,663.13	64,663.13	1.14	3,203.15	4.95
MUNICIPAL OBLIGATIONS	701,657.24	723,837.00	12.72	32,850.00	4.54
COMMON STOCK	1,206,335.17	4,903,083.51	86.15	90,668.80	1.85
TOTAL ACCOUNT	1,972,655.54	5,691,583.64	100.00	126,721.95	2.23

2954

BANK ONE.

F JAMES SENSENBRENNER JR-TTEE DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572007410

SHARES PAR VALUE OR UNITS	DESCRIPTION			TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
CASH									
0.00	INCOME CASH			0.00		0.00	0.00		
0.00	PRINCIPAL CASH			0.00		0.00	0.00		
0.00	NET CASH			0.00		0.00	0.00		
CASH EQUIVALENTS									
64,663.13	THE ONE GROUP PRIME MONEY MKT FD			64,663.13	1.00	64,663.13	1.14	3,203.15	4.95
MUNICIPAL OBLIGATIONS									
50,000	LOS ANGELES CALIF	4.750%	8/01/99	50,000.00	101.06	50,529.00	0.89	2,375.00	4.70 2.90
50,000	CALIFORNIA ST	5.000%	4/01/00	50,107.33	102.21	51,104.00	0.90	2,500.00	4.89 3.18

F JAMES SENSENBRENNER JR-TTEE DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572007410

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
50,000	SANTA CLARA VY CALIF 5.1% 6/01/01	49,711.00	103.89	51,943.00	0.91	2,550.00	4.91 3.41
50,000	COTATI-ROHNERT PK CA 4.400% 8/01/02	49,907.50	102.68	51,339.00	0.90	2,200.00	4.29 3.60
50,000	ROCKLIN CALIF STANFO 4.500% 11/01/03	49,875.00	103.51	51,753.00	0.91	2,250.00	4.35 3.70
50,000	SOUTH BAY CALIF UN 4.350% 11/01/03	50,222.88	102.87	51,437.50	0.90	2,175.00	4.23 3.69
50,000	LOS ANGELES CALIF MU 4.700% 2/01/04	50,180.52	103.93	51,967.00	0.91	2,350.00	4.52 3.84
50,000	LONG BEACH CALIF BD 4.300% 10/01/04	49,859.50	102.54	51,272.50	0.90	2,150.00	4.19 3.80
50,000	ARCATA CALIF JT PWRS 4.850% 12/01/05	50,000.00	104.90	52,452.00	0.92	2,425.00	4.62 4.03

F JAMES SENSENBRENNER JR-TTEE DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572007410

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
50,000	MOULTON-NIGUEL CALIF 5.250% 9/01/06	51,026.51	106.84	53,421.00	0.94	2,625.00	4.91 4.20
50,000	SAN DIEGO CNTY CALIF 5.250% 4/01/07	51,169.50	108.05	54,023.50	0.95	2,625.00	4.86 4.09
50,000	HEMET CALIF WTR REV 5.000% 8/01/07	50,000.00	106.53	53,264.00	0.94	2,500.00	4.69 4.09
50,000	CABRILLO CALIF CMNTY 4.150% 8/01/08	49,797.50	99.64	49,818.00	0.88	2,075.00	4.17 4.20
50,000	JURUPA CALIF CMNTY 4.100% 9/01/08	49,800.00	99.03	49,513.50	0.87	2,050.00	4.14 4.22
TOTAL MUNICIPAL OBLIGATIONS		701,657.24		723,837.00	12.72	32,850.00	4.54
COMMON STOCK							
567	AT & T CORP	8,636.86	75.75	42,950.25	0.75	748.44	1.74

F JAMES SENSENBRENNER JR-TTEE DETAIL OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572007410

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
2,039	BANK ONE CORP	16,819.56	51.06	104,116.44	1.83	3,099.28	2.98
800	BANKAMERICA CORP NEW	8,767.00	60.13	48,100.00	0.85	1,440.00	2.99
528	BESTFOODS	5,738.57	53.25	28,116.00	0.49	517.44	1.84
105	BURLINGTON NORTHN SANTA FE CORP	506.62	34.25	3,596.25	0.06	50.40	1.40
274	CHASE MANHATTAN CORP NEW	3,235.64	71.00	19,454.00	0.34	394.56	2.03
66	CORN PRODS INTL INC	424.18	30.38	2,004.75	0.04	21.12	1.05
448	ELECTRONIC DATA SYS CORP NEW	4,480.00	50.19	22,484.00	0.40	268.80	1.20
1,216	FIRSTAR CORP NEW WIS	9,450.00	93.00	113,088.00	1.99	1,459.20	1.29
400	JOHNSON CTLS INC	5,700.00	59.00	23,600.00	0.41	400.00	1.69
81,000	KIMBERLY CLARK CORP	1,118,134.01	54.50	4,414,500.00	77.56	81,000.00	1.83

BANK ONE.

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
366	LUCENT TECHNOLOGIES INC	3,510.76	109.94	40,237.13	0.71	58.56	0.15
400	SOUTHERN CO	4,587.50	29.06	11,625.00	0.20	536.00	4.61
264	UNION PAC CORP	5,147.89	45.06	11,896.50	0.21	211.20	1.78
223	UNION PAC RES GROUP INC	2,501.45	9.06	2,020.94	0.04	44.60	2.21
524	UNOCAL CORP	8,695.13	29.19	15,294.25	0.27	419.20	2.74
TOTAL COMMON STOCK		1,206,335.17		4,903,083.51	86.15	90,668.80	1.85
TOTAL ACCOUNT		1,972,655.54		5,691,583.64	100.00	126,721.95	2.23

F JAMES SENSENBRENNER JR-TTEE DETAIL OF PENDING ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572007410

PENDING TRADES: NONE

BANK ONE TRUST COMPANY, NA
111 E WISCONSIN AVE
MILWAUKEE, WI 53202

STATEMENT OF ACCOUNT
FOR PERIOD 01/01/98 THROUGH 12/31/98

F JAMES SENSENBRENNER III TR

ACCOUNT NUMBER 9572031010

FOR ASSISTANCE, PLEASE CONTACT
LYNN E PAULL
(414) 765-2751

F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

BANK ONE.

2961

STATEMENT MESSAGES

ACCOUNT NO. 9572031010 F JAMES SENSENBRENNER III TR

MUTUAL FUNDS, INCLUDING PROPRIETARY MUTUAL FUNDS, AND VARIOUS OTHER MARKETABLE SECURITIES ARE NOT INSURED OR GUARANTEED BY THE FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) OR ANY OTHER GOVERNMENT SPONSORED AGENCY OF THE FEDERAL GOVERNMENT OR ANY STATE. ALL INVOLVE INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF PRINCIPAL AMOUNT INVESTED. "THE ONE GROUP" FUNDS ARE NOT DEPOSITS OR OBLIGATIONS OF, AND ARE NOT GUARANTEED BY, BANK ONE CORPORATION OR ITS BANK OR NON-BANK AFFILIATES.

****

ALTHOUGH MARKET VALUES AND PRICES HAVE BEEN OBTAINED FROM SOURCES WE BELIEVE TO BE RELIABLE, WE DO NOT GUARANTEE THEIR ACCURACY. CERTAIN FIXED INCOME SECURITIES AND ASSETS THAT ARE NOT PUBLICLY OR FREQUENTLY TRADED CANNOT BE READILY PRICED.

****

THIS STATEMENT IS NOT AN OFFICIAL DOCUMENT FOR INCOME TAX REPORTING PURPOSES.

****

INTRODUCING BANC ONE INVESTMENT MANAGEMENT GROUP'S NEW "CLIENTCONNECT" PROGRAM! THIS IS OUR CLIENT SURVEY PROGRAM DESIGNED TO TELL US HOW WELL WE'RE SERVING YOU AND HOW WE CAN IMPROVE OUR SERVICES. HOW DOES IT WORK? YOU MAY RECEIVE A PHONE CALL FROM AN INTERNAL INVESTMENT PROFESSIONAL OR A POSTCARD ASKING FOR YOUR OPINION ON A RECENT INTERACTION YOU HAD WITH US AS WELL AS YOUR SATISFACTION WITH YOUR OVERALL RELATIONSHIP. PLEASE BE ASSURED THAT PERSONAL ACCOUNT INFORMATION WILL NOT BE SHARED.

WE UNDERSTAND YOUR TIME IS VALUABLE. THAT'S WHY WE'LL ASK ONLY A FEW IMPORTANT QUESTIONS EACH TIME AND WHY WE'VE LIMITED THE PROGRAM TO ONLY ONE, POSSIBLY TWO, CONTACTS A YEAR. YOUR INPUT HAS ALWAYS BEEN VALUABLE TO US. BY DEVELOPING A PROGRAM LIKE "CLIENTCONNECT", WE WILL NOW BE ABLE TO REGULARLY GATHER YOUR INPUT AND ACT ON IT TO IMPROVE OUR SERVICES. SO, WHEN YOU RECEIVE A SURVEY POSTCARD OR PHONE CALL, PLEASE TAKE A FEW MOMENTS TO TELL US HOW WE ARE DOING.

THANK YOU AND WE LOOK FORWARD TO YOUR INPUT!

STATEMENT MESSAGES

ACCOUNT NO. 9572031010 F JAMES SENSENBRENNER III TR

BANK ONE.

TRANSACTION SUMMARY

F JAMES SENSENBRENNER III TR — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572031010

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
BEGINNING BALANCES 12/31/97	0.00	0.00	90,688.20
CASH RECEIPTS			
NET INTEREST	175.60		
NET DIVIDENDS	1,326.96	9,741.24	
OTHER CASH RECEIPTS		812.56	
TOTAL CASH RECEIPTS	1,502.56	10,553.80	0.00
CASH DISBURSEMENTS			
FIDUCIARY INCOME TAXES		3,746.00-	
ADMINISTRATIVE EXPENSES	690.00-	300.00-	
OTHER DISBURSEMENTS	812.56-		
TOTAL CASH DISBURSEMENTS	1,502.56-	4,046.00-	0.00
INVESTMENT TRANSACTIONS			
PURCHASES		17,294.96-	17,294.96
SALES/MATURITIES		6,026.93	5,739.04-
NET PURCHASES/SALES CASH MANAGEMENT VEHICLE		4,760.23	4,760.23-
TOTAL INVESTMENT TRANSACTIONS		6,507.80-	6,795.69

2964

TRANSACTION SUMMARY

F JAMES SENSENBRENNER III TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031010

	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
ENDING BALANCES 12/31/98	0.00	0.00	97,483.89

BANK ONE.

F JAMES SENSENBRENNER III TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/31/97	BEGINNING BALANCES	0.00	0.00	90,688.20
01/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 12/1/97 TO 12/31/97	20.68		
01/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01270/SH ON 883.21 SHARES DUE 1/ 2/98	11.22		
01/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	24.32		
01/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 12/1/97 TO 12/31/97	9.71		
01/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01701/SH ON 1,008.45 SHARES DUE 1/ 2/98	17.16		
01/02/98	INCOME ON THE ONE GROUP INTL EQUITY INDEX FD $ 0.02149/SH ON 611.83 SHARES DUE 1/ 2/98	13.15		
01/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	27.26		

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)1/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 12/1/97 TO 12/31/97	16.46		
)1/02/98	INCOME ON THE ONE GROUP LARGE COMPANY GRWTH FD $ 0.00805/SH ON 775.95 SHARES DUE 1/ 2/98	6.24		
)1/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00826/SH ON 1,450.41 SHARES DUE 1/ 2/98	11.98		
)1/05/98	PURCHASED 158.18 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/ 5/98		158.18-	158.18
)1/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END		25.00-	
)1/12/98	TRUSTEE FEE FOR THE PERIOD THROUGH 12/31/97 THROUGH THE PRIOR MONTH END	57.50-		
)1/12/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 1/12/98		82.50	82.50-

BANK ONE.

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 1/1/98 TO 1/31/98	35.83		
12/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01117/SH ON 883.21 SHARES DUE 2/ 2/98	9.87		
12/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	24.25		
12/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 1/1/98 TO 1/31/98	9.55		
12/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00629/SH ON 1,008.45 SHARES DUE 2/ 2/98	6.35		
12/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	27.40		
12/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 1/1/98 TO 1/31/98	15.35		

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00239/SH ON 1,450.41 SHARES DUE 2/ 2/98	3.47		
12/03/98	PURCHASED 132.07 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 3/98		132.07-	132.07
12/09/98	PURCHASED 166.28 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 2/ 6/98 166.28 SHARES AT $20.10		3,342.22-	3,342.22
12/09/98	PURCHASED 146.915 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 2/ 6/98 146.915 SHARES AT $16.24		2,385.90-	2,385.90
12/09/98	SOLD 5,728.12 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/ 9/98		5,728.12	5,728.12-
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END		25.00-	

2969

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CA$H	PRINCIPAL CA$H	INVESTMENTS AT TAX COST
2/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 1/31/98 THROUGH THE PRIOR MONTH END	57.50-		
2/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 2/10/98		82.50	82.50-
3/02/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 2/1/98 TO 2/28/98	15.35		
3/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00967/SH ON 1,030.12 SHARES DUE 3/ 2/98	9.97		
3/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	22.17		
3/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 2/1/98 TO 2/28/98	9.03		
3/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02937/SH ON 1,008.45 SHARES DUE 3/ 2/98	29.62		

2970

F JAMES SENSENBRENNER III TR

12/31/97 THROUGH 12/31/98

ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)3/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	26.71		
)3/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 2/1/98 TO 2/28/98	14.43		
)3/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD @ 0.00980/SH ON 1,450.41 SHARES DUE 3/ 2/98	14.22		
)3/03/98	PURCHASED 141.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/ 3/98		141.50-	141.50
)3/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END		25.00-	
)3/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 2/28/98 THROUGH THE PRIOR MONTH END	57.50-		
)3/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 3/10/98		82.50	82.50-

BANK ONE.

F JAMES SENSENBRENNER III TR

12/31/97 THROUGH 12/31/98

ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
4/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01095/SH ON 1,030.12 SHARES DUE 4/ 1/98	11.28		
4/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	26.84		
4/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	23.57		
4/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 3/1/98 TO 3/31/98	15.26		
4/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01565/SH ON 1,008.45 SHARES DUE 4/ 1/98	15.78		
4/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 3/1/98 TO 3/31/98	9.54		
4/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00622/SH ON 1,450.41 SHARES DUE 4/ 1/98	9.03		

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
04/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 3/1/98 TO 3/31/98	9.88		
04/02/98	PURCHASED 121.18 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 2/98		121.18-	121.18
04/07/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN		1,746.00-	
04/07/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON FORM 2 FOR CALENDAR YEAR RETURN		182.00-	
04/07/98	SOLD 1,928 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/ 7/98		1,928.00	1,928.00-
04/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END		25.00-	

2978

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
4/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 3/31/98 THROUGH THE PRIOR MONTH END	57.50-		
4/13/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/13/98		82.50	82.50-
4/15/98	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX		464.00-	
4/15/98	SOLD 319.8 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/15/98		319.80	319.80-
4/17/98	SOLD 13.292 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 4/16/98 13.292 SHARES AT $22.57		300.00	267.17-
4/17/98	PURCHASED 155.8 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 4/17/98		155.80-	155.80

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
05/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01208/SH ON 1,030.12 SHARES DUE 5/ 1/98	12.44		
05/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	26.58		
05/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	22.80		
05/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 4/1/98 TO 4/30/98	14.86		
05/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00572/SH ON 1,008.45 SHARES DUE 5/ 1/98	5.77		
05/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 4/1/98 TO 4/30/98	9.27		
05/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00268/SH ON 1,450.41 SHARES DUE 5/ 1/98	3.88		

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
5/01/98	INCOME THE ONE GROUP PRIME MONEY MARKET FD INTEREST FROM 4/1/98 TO 4/30/98	2.73		
5/04/98	PURCHASED 98.33 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/ 4/98		98.33-	98.33
5/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END		25.00-	
5/11/98	TRUSTEE FEE FOR THE PERIOD THROUGH 4/30/98 THROUGH THE PRIOR MONTH END	57.50-		
5/11/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MARKET FD TRADE DATE 5/11/98		82.50	82.50-
6/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00766/SH ON 1,030.12 SHARES DUE 6/ 1/98	7.90		
6/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	26.80		

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
06/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	22.99		
06/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 5/1/98 TO 5/31/98	15.18		
06/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02916/SH ON 1,008.45 SHARES DUE 6/ 1/98	29.40		
06/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 5/1/98 TO 5/31/98	9.46		
06/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00956/SH ON 1,450.41 SHARES DUE 6/ 1/98	13.87		
06/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 5/1/98 TO 5/31/98	0.83		
06/02/98	PURCHASED 126.43 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/ 2/98		126.43-	126.43

F JAMES SENSENBRENNER III TR

12/31/97 THROUGH 12/31/98

ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
6/09/98	PURCHASED 98.399 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 6/ 8/98 98.399 SHARES AT $18.25		1,795.78-	1,795.78
6/09/98	PURCHASED 62.534 SH THE ONE GROUP SMALL CAPITALIZATN FD TRADE DATE 6/ 8/98 62.534 SHARES AT $12.03		752.28-	752.28
6/09/98	SOLD 192.017 SH THE ONE GROUP VALUE GROWTH FD TRADE DATE 6/ 8/98 192.017 SHARES AT $13.27		2,548.06	2,138.16-
6/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END		25.00-	
6/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 5/31/98 THROUGH THE PRIOR MONTH END	57.50-		

F JAMES SENSENBRENNER III TR — 12/31/97 THROUGH 12/31/98 — ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)6/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 6/10/98		82.50	82.50-
)7/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01288/SH ON 1,030.12 SHARES DUE 7/ 1/98	13.27		
)7/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	26.62		
)7/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	22.02		
)7/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 6/1/98 TO 6/30/98	14.93		
)7/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01383/SH ON 1,008.45 SHARES DUE 7/ 1/98	13.94		
)7/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 6/1/98 TO 6/30/98	9.22		

BANK ONE.

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
07/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00372/SH ON 1,258.39 SHARES DUE 7/ 1/98	4.68		
07/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 6/1/98 TO 6/30/98	1.01		
07/02/98	PURCHASED 105.69 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/ 2/98		105.69-	105.69
07/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END		25.00-	
07/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 6/30/98 THROUGH THE PRIOR MONTH END	57.50-		
07/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 7/10/98		82.50	82.50-
08/03/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01506/SH ON 1,030.12 SHARES DUE 8/ 3/98	15.51		

2980

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
)8/03/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	26.50		
)8/03/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	22.49		
)8/03/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 7/1/98 TO 7/31/98	15.03		
)8/03/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00688/SH ON 1,008.45 SHARES DUE 8/ 3/98	6.94		
)8/03/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 7/1/98 TO 7/31/98	9.41		
)8/03/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00077/SH ON 1,258.39 SHARES DUE 8/ 3/98	0.98		
)8/03/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 7/1/98 TO 7/31/98	1.15		

BANK ONE.

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
8/04/98	PURCHASED 98.01 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 4/98		98.01-	98.01
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		1,484.19	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		634.16	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,072.15	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		251.26	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		1,179.29	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		407.89	
8/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		711.22	
8/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		214.03	

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP SMALL CAPITALIZATN FD		358.68	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP SMALL CAPITALIZATN FD		106.19	
08/06/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		632.73	
08/06/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		355.42	
08/07/98	PURCHASED 7,407.21 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/ 7/98		7,407.21-	7,407.21
08/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END		25.00-	
08/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 7/31/98 THROUGH THE PRIOR MONTH END	57.50-		
08/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 8/10/98		82.50	82.50-

2983

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
9/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.00962/SH ON 1,030.12 SHARES DUE 9/ 1/98	9.91		
9/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	26.61		
9/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	22.22		
9/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 8/1/98 TO 8/31/98	14.78		
9/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.02244/SH ON 1,008.45 SHARES DUE 9/ 1/98	22.63		
9/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 8/1/98 TO 8/31/98	9.29		
9/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00891/SH ON 1,258.39 SHARES DUE 9/ 1/98	11.21		

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
09/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 8/1/98 TO 8/31/98	27.59		
09/02/98	PURCHASED 144.24 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/ 2/98		144.24-	144.24
09/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END		25.00-	
09/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 8/31/98 THROUGH THE PRIOR MONTH END	57.50-		
09/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 9/10/98		82.50	82.50-
10/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01312/SH ON 1,030.12 SHARES DUE 10/ 1/98	13.52		
10/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	26.19		

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
.0/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	21.19		
.0/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 9/1/98 TO 9/30/98	14.47		
.0/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01788/SH ON 1,008.45 SHARES DUE 10/ 1/98	18.03		
.0/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 9/1/98 TO 9/30/98	9.00		
.0/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00688/SH ON 1,258.39 SHARES DUE 10/ 1/98	8.65		
.0/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 9/1/98 TO 9/30/98	33.05		
0/02/98	PURCHASED 144.1 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/ 2/98		144.10-	144.10

F JAMES SENSENBRENNER III TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END		25.00-	
10/13/98	TRUSTEE FEE FOR THE PERIOD THROUGH 9/30/98 THROUGH THE PRIOR MONTH END	57.50-		
10/13/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/13/98		82.50	82.50-
10/14/98	PURCHASED 257.814 SH THE ONE GROUP DISCIPLINED VALUE FD TRADE DATE 10/13/98 257.814 SHARES AT $12.03		3,101.50-	3,101.50
10/14/98	PURCHASED 160.115 SH THE ONE GROUP GRWTH OPPORTUNITIES FD TRADE DATE 10/13/98 160.115 SHARES AT $16.19		2,592.26-	2,592.26
10/14/98	PURCHASED 124.56 SH THE ONE GROUP LARGE COMPANY GRWTH FD TRADE DATE 10/13/98 124.56 SHARES AT $18.64		2,321.79-	2,321.79

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
10/14/98	PURCHASED 136.866 SH THE ONE GROUP SMALL CAPITALIZATN FD TRADE DATE 10/13/98 136.866 SHARES AT $7.33		1,003.23-	1,003.23
10/14/98	SOLD 106.529 SH THE ONE GROUP INTL EQUITY INDEX FD TRADE DATE 10/13/98 106.529 SHARES AT $15.83		1,686.36	1,920.33-
10/14/98	SOLD 145.895 SH THE ONE GROUP GOVERNMENT BOND FD TRADE DATE 10/13/98 145.895 SHARES AT $10.23		1,492.51	1,413.38-
10/14/98	SOLD 5,839.91 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 10/14/98		5,839.91	5,839.91-
11/02/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01475/SH ON 1,287.94 SHARES DUE 11/ 2/98	18.99		
11/02/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	22.47		

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
11/02/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	21.53		
11/02/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 10/1/98 TO 10/31/98	14.69		
11/02/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.00889/SH ON 1,008.45 SHARES DUE 11/ 2/98	8.97		
11/02/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 10/1/98 TO 10/31/98	9.23		
11/02/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00378/SH ON 1,258.39 SHARES DUE 11/ 2/98	4.76		
11/02/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 10/1/98 TO 10/31/98	19.27		
11/03/98	PURCHASED 119.91 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/ 3/98		119.91-	119.91

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END		25.00-	
11/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 10/31/98 THROUGH THE PRIOR MONTH END	57.50-		
11/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 11/10/98		82.50	82.50-
12/01/98	INCOME ON THE ONE GROUP DISCIPLINED VALUE FD $ 0.01009/SH ON 1,287.94 SHARES DUE 12/ 1/98	13.00		
12/01/98	DIVIDEND ON THE ONE GROUP GOVERNMENT BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	19.08		
12/01/98	DIVIDEND ON THE ONE GROUP INCOME BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	20.78		
12/01/98	DIVIDEND ON THE ONE GROUP INTERMEDIATE BOND FD DIVIDEND FROM 11/1/98 TO 11/30/98	14.59		

2990

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/01/98	INCOME ON THE ONE GROUP LARGE COMPANY VALUE FD $ 0.01939/SH ON 1,008.45 SHARES DUE 12/ 1/98	19.55		
12/01/98	DIVIDEND ON THE ONE GROUP LTD VOLATILITY BD FD DIVIDEND FROM 11/1/98 TO 11/30/98	8.96		
12/01/98	INCOME ON THE ONE GROUP VALUE GROWTH FD $ 0.00694/SH ON 1,258.39 SHARES DUE 12/ 1/98	8.73		
12/01/98	INCOME THE ONE GROUP PRIME MONEY MKT FD INTEREST FROM 11/1/98 TO 11/30/98	8.23		
12/02/98	PURCHASED 112.92 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/ 2/98		112.92-	112.92
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END		25.00-	
12/10/98	TRUSTEE FEE FOR THE PERIOD THROUGH 11/30/98 THROUGH THE PRIOR MONTH END	57.50-		

BANK ONE.

F JAMES SENSENBRENNER III TR 12/31/97 THROUGH 12/31/98 ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/10/98	SOLD 82.5 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/10/98		82.50	82.50-
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP DISCIPLINED VALUE FD		262.88	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP GRWTH OPPORTUNITIES FD		1,077.23	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP INTL EQUITY INDEX FD		513.54	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		223.07	
12/11/98	RECEIVED A ST CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY GRWTH FD		60.06	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP LARGE COMPANY VALUE FD		131.02	
12/11/98	RECEIVED A LT CAPITAL GAINS DISTRIBUTION THE ONE GROUP VALUE GROWTH FD		66.23	

F JAMES SENSENBRENNER III TR | 12/31/97 THROUGH 12/31/98 | ACCOUNT NUMBER 9572031010

DATE POSTED	DESCRIPTION	INCOME CASH	PRINCIPAL CASH	INVESTMENTS AT TAX COST
12/14/98	PURCHASED 2,334.03 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/14/98		2,334.03-	2,334.03
12/31/98	CASH DISBURSEMENT TRANSFER TO PRINCIPAL	812.56-		
12/31/98	CASH RECEIPT TRANSFER FROM INCOME		812.56	
12/31/98	CASH DISBURSEMENT PAID TO INTERNAL REVENUE SERVICE FIDUCIARY EST FED INCOME TAX		1,354.00-	
12/31/98	SOLD 1,354 DOLLARS THE ONE GROUP PRIME MONEY MKT FD TRADE DATE 12/31/98		1,354.00	1,354.00-
12/31/98	ENDING BALANCES	0.00	0.00	97,483.89

INCOME CASH	+	PRINCIPAL CASH	=	NET CASH
0.00		0.00		0.00

2993

F JAMES SENSENBRENNER III TR SUMMARY OF ASSETS AS OF 12/31/98 ACCOUNT NUMBER: 9572031010

DESCRIPTION	TAX COST	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	YIELD AT MARKET
CASH EQUIVALENTS	2,992.76	2,992.76	2.34	148.25	4.95
MUTUAL FUNDS - FIXED INCOME	12,423.82	13,017.01	10.19	760.86	5.85
COMMON & MUTUAL FUNDS - EQUITIES	82,067.31	111,755.96	87.47	684.74	0.61
TOTAL ACCOUNT	97,483.89	127,765.73	100.00	1,593.85	1.25

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
CASH							
0.00	INCOME CASH	0.00		0.00	0.00		
0.00	PRINCIPAL CASH	0.00		0.00	0.00		
0.00	NET CASH	0.00		0.00	0.00		
CASH EQUIVALENTS							
2,992.76	THE ONE GROUP PRIME MONEY MKT FD	2,992.76	1.00	2,992.76	2.34	148.25	4.95
MUTUAL FUNDS - FIXED INCOME							
393.2	THE ONE GROUP GOVERNMENT BOND FD	3,658.52	10.22	4,018.50	3.15	227.57	5.66
440.69	THE ONE GROUP INCOME BOND FD	4,097.02	9.47	4,173.37	3.27	251.50	6.03
286.78	THE ONE GROUP INTERMEDIATE BOND FD	2,773.89	10.17	2,916.55	2.28	174.78	5.99

2995

SHARES PAR VALUE OR UNITS	DESCRIPTION	TAX COST	MARKET PRICE	MARKET VALUE	PERCENT MARKET	ESTIMATED ANNUAL INCOME	CURRENT YLD/YLD TO MAT
180.74	THE ONE GROUP LTD VOLATILITY BD FD	1,894.39	10.56	1,908.59	1.49	107.01	5.61
TOTAL MUTUAL FUNDS - FIXED INCOME		12,423.82		13,017.01	10.19	760.86	5.85
COMMON & MUTUAL FUNDS - EQUITIES							
1,287.94	THE ONE GROUP DISCIPLINED VALUE FD	16,303.93	14.41	18,559.16	14.53	177.96	0.96
982.89	THE ONE GROUP GRWTH OPPORTUNITIES FD	16,018.92	23.39	22,989.84	17.99	0.00	0.00
603.7	THE ONE GROUP INTL EQUITY INDEX FD	8,266.41	17.25	10,413.76	8.15	233.90	2.25
900.51	THE ONE GROUP LARGE COMPANY GRWTH FD	11,462.52	23.60	21,252.13	16.63	0.00	0.00
1,008.45	THE ONE GROUP LARGE COMPANY VALUE FD	11,541.54	15.97	16,104.87	12.60	191.52	1.19
526.18	THE ONE GROUP SMALL CAPITALIZATN FD	5,412.84	9.66	5,082.95	3.98	0.00	0.00
1,258.39	THE ONE GROUP VALUE GROWTH FD	13,061.15	13.79	17,353.25	13.58	81.36	0.47
TOTAL COMMON & MUTUAL FUNDS - EQUITIES		82,067.31		111,755.96	87.47	684.74	0.61
TOTAL ACCOUNT		97,483.89		127,765.73	100.00	1,593.85	1.25

PENDING TRADES: NONE

BANK ONE.

1998 TRADES AND RECEIPTS

9572007410 F JAMES SENSENBRENNER JR-TTEE

Purchases

Settlement Date	# of Shares	Security	$ Amount
05/07/98	50,000	South Bay Calif Un 4.350% 11/1/03	$50,241.50
05/14/98	50,000	Long Beach Calif Bd 4.300% 10/1/04	49,859.50
10/07/98	50,000	Cabrillo Calif Cmnty 4.150% 8/1/08	49,797.50
12/30/98	50,000	Jurupa Calif Cmnty 4.100% 9/1/08	49,800.00
	TOTAL		$199,698.50

Sales

Settlement Date	# of Shares	Security	$ Amount
04/27/98	2,000.00	Kimberly Clark Corp	$101,376.61
09/01/98	50,000.00	Maturity-Los Angeles Calif 4.7%	50,000.00
10/15/98	0.58	Bank One Corp	21.39
12/10/98	2,000.00	Kimberly Clark Corp	107,876.40
	TOTAL		$259,274.40

Receipts

No receipts to report $0.00

1998 TRADES AND RECEIPTS

9572031010 F JAMES SENSENBRENNER III TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
02/09/98	146.915	One Group Disciplined Value Fd	$2,385.90
02/09/98	166.280	One Group Growth Opportunities Fd	3,342.22
06/09/98	98.399	One Group Intl Equity Index Fd	1,795.78
06/09/98	62.534	One Group Small Capitalization Fd	752.28
10/14/98	257.814	One Group Disciplined Value Fd	3,101.50
10/14/98	160.115	One Group Growth Opportunities Fd	2,592.26
10/14/98	124.560	One Group Large Company Growth Fd	2,321.79
10/14/98	136.866	One Group Small Capitalization Fd	1,003.23
	TOTAL		$17,294.96

Sales

Settlement Date	# of Shares	Security	$ Amount
04/17/98	13.292	One Group Growth Opportunities Fd	$300.00
06/09/98	192.017	One Group Value Growth Fd	2,548.06
10/14/98	145.895	One Group Government Bond Fd	1,492.51
10/14/98	106.529	One Group Intl Equity Index Fd	1,686.36
	TOTAL		$6,026.93

Receipts

No receipts to report $0.00

1998 TRADES AND RECEIPTS

9572031510 ROBERT ALAN SENSENBRENNER TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
02/09/98	149.321	One Group Disciplined Value Fd	$2,424.98
02/09/98	168.950	One Group Growth Opportunities Fd	3,395.90
06/09/98	99.888	One Group Intl Equity Index Fd	1,822.96
06/09/98	63.654	One Group Small Capitalization Fd	765.76
10/14/98	261.880	One Group Disciplined Value Fd	3,150.42
10/14/98	162.402	One Group Growth Opportunities Fd	2,629.29
10/14/98	126.538	One Group Large Company Growth Fd	2,358.67
10/14/98	138.408	One Group Small Capitalization Fd	1,014.53
	TOTAL		$17,562.51

Sales

Settlement Date	# of Shares	Security	$ Amount
04/17/98	13.292	One Group Growth Opportunities Fd	$300.00
06/09/98	195.081	One Group Value Growth Fd	2,588.72
10/14/98	148.178	One Group Government Bond Fd	1,515.86
10/14/98	108.168	One Group Intl Equity Index Fd	1,712.30
	TOTAL		$6,116.88

Receipts

No receipts to report $0.00

1998 TRADES AND RECEIPTS

9572125310 F JAMES SENSENBRENNER JR TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
01/08/98	5,938.865	One Group Value Growth Fd	$68,000.00
12/24/98	496.534	One Group Intl Equity Index Fd	8,595.00
12/24/98	418.995	One Group Large Company Growth Fd	9,926.00
12/24/98	1,003.268	One Group Large Company Value Fd	15,962.00
12/24/98	266.089	One Group Small Capitalization Fd	2,456.00
12/24/98	4.689	One Group Value Growth Fd	64.00
	TOTAL		$105,003.00

Sales

Settlement Date	# of Shares	Security	$ Amount
01/08/98	3,190.99	One Group Income Equity Fd	$68,000.00
04/03/98	219.785	One Group Disciplined Value Fd	3,877.00
04/03/98	202.493	One Group Growth Opportunities Fd	4,548.00
04/03/98	315.158	One Group Income Equity Fd	7,589.00
04/03/98	6.622	One Group Value Growth Fd	88.00
	TOTAL		$84,102.00

Receipts

No receipts to report $0.00

1997 TRADES AND RECEIPTS

9572131210 F JAMES SENSENBRENNER III 1993 TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
01/12/98	256.867	One Group Disciplined Value Fd	$3,906.94
01/12/98	215.669	One Group Growth Opportunities Fd	3,901.45
01/12/98	198.515	One Group Value Growth Fd	2,191.61
02/09/98	0.245	One Group Income Bond Fd	2.33
02/09/98	72.696	One Group Large Company Growth Fd	1,419.03
02/09/98	178.196	One Group Large Company Value Fd	2,753.13
05/01/98	168.532	One Group Income Bond Fd	1,596.00
05/20/98	21.851	One Group Intl Equity Index Fd	392.00
06/09/98	224.922	One Group Intl Equity Index Fd	4,104.83
06/09/98	95.586	One Group Ltd Volatility Bd Fd	1,002.70
10/14/98	490.200	One Group Disciplined Value Fd	5,897.10
10/14/98	250.735	One Group Growth Opportunities Fd	4,059.40
10/14/98	194.472	One Group Large Company Growth Fd	3,624.95
10/14/98	380.703	One Group Small Capitalization Fd	2,790.55
12/16/98	282.828	One Group Disciplined Value Fd	3,804.04
12/16/98	171.353	One Group Intl Equity Index Fd	2,868.45
12/16/98	64.857	One Group Large Company Growth Fd	1,435.93
12/16/98	341.667	One Group Large Company Value Fd	5,193.34
12/16/98	274.670	One Group Value Growth Fd	3,543.24
	TOTAL		$54,487.02

Sales

Settlement Date	# of Shares	Security	$ Amount
06/09/98	384.893	One Group Value Growth Fd	$5,107.53
10/14/98	152.184	One Group Intermediate Bond Fd	1,550.75
10/14/98	190.587	One Group Intl Equity Index Fd	3,016.99
12/21/98	82.876	One Group Disciplined Value Fd	1,128.77
12/21/98	76.674	One Group Growth Opportunities Fd	1,580.25
12/21/98	73.846	One Group Large Company Value Fd	1,150.52
12/21/98	33.343	One Group Value Growth Fd	440.46
	TOTAL		$13,975.27

Receipts

Date	Description	Amount
01/08/98	Check from Margaret L. Smith Flexitrust	$10,000.00
04/29/98	Personal 1997 Federal Income Tax Refund-FJS III	1,596.00
05/18/98	Personal 1997 Wisconsin Income Tax Refund-FJS III	392.00
12/14/98	Check from Margaret Luedke Smith 1976 Tr-1998 trust income	16,845.00
	TOTAL	$28,833.00

1998 TRADES AND RECEIPTS

)572131310 ROBERT ALAN SENSENBRENNER 1993 TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
01/12/98	277.500	One Group Disciplined Value Fd	$4,220.78
01/12/98	224.011	One Group Growth Opportunities Fd	4,052.36
01/12/98	156.418	One Group Value Growth Fd	1,726.86
02/09/98	56.431	One Group Intl Equity Index Fd	927.72
02/09/98	87.625	One Group Large Company Growth Fd	1,710.44
02/09/98	196.88	One Group Large Company Value Fd	3,041.79
05/20/98	147.826	One Group Intl Equity Index Fd	2,652.00
06/09/98	186.283	One Group Income Bond Fd	1,767.83
06/09/98	53.828	One Group Intl Equity Index Fd	982.37
06/09/98	115.054	One Group Ltd Volatility Bd Fd	1,206.92
10/14/98	481.404	One Group Disciplined Value Fd	5,791.29
10/14/98	248.379	One Group Growth Opportunities Fd	4,021.26
10/14/98	194.839	One Group Large Company Growth Fd	3,631.80
10/14/98	379.029	One Group Small Capitalization Fd	2,778.28
12/16/98	279.262	One Group Disciplined Value Fd	3,756.07
12/16/98	168.643	One Group Intl Equity Index Fd	2,823.08
12/16/98	67.772	One Group Large Company Growth Fd	1,500.47
12/16/98	344.044	One Group Large Company Value Fd	5,229.47
12/16/98	274.102	One Group Value Growth Fd	3,535.91
	TOTAL		$55,356.70

Sales

Settlement Date	# of Shares	Security	$ Amount
06/09/98	298.200	One Group Value Growth Fd	$3,957.12
10/14/98	146.974	One Group Intermediate Bond Fd	1,497.67
10/14/98	179.112	One Group Intl Equity Index Fd	2,835.34
12/21/98	83.243	One Group Disciplined Value Fd	1,133.77
12/21/98	72.393	One Group Growth Opportunities Fd	1,492.03
12/21/98	23.018	One Group Large Company Growth Fd	520.67
12/21/98	74.039	One Group Large Company Value Fd	1,153.53
TOTAL			$12,590.13

Receipts

Date	Description	Amount
01/08/98	Check from Margaret L. Smith Flexitrust	$10,000.00
05/18/98	Personal 1997 Federal Income Tax Refund-RAS	2,253.00
05/18/98	Personal 1997 Wisconsin Income Tax Refund-RAS	399.00
12/14/98	Check from Margaret Luedke Smith 1976 Tr-1998 trust income	16,845.00
TOTAL		$29,497.00

1998 TRADES AND RECEIPTS

95722226310 Margaret L Smith 96 Exempt F James

Purchases

Settlement Date	# of Shares	Security	$ Amount
No purchases to report			$0.00

Sales

05/22/98	0.417	Associates First Cap Corp Cl A	$32.28
TOTAL			$32.28

Receipts

No receipts to report	$0.00

JAMES SENSENBRENNER JR.
SIXTH DISTRICT, WISCONSIN
COMMITTEE ON SCIENCE, CHAIRMAN
COMMITTEE ON THE JUDICIARY



Congress of the United States
House of Representatives
Washington, DC 20515–4909

2332 RAYBURN H.O.B.
WASHINGTON, D.C. 20515-4909
202-225-5101
TDD: 202-226-6270
F.A.X.
SENSENBRENNER.HOUSE.GOV

MR. SENSENBRENNER. Mr. Speaker, through the following statement, I am making my financial net worth as of March 31, 1999, a matter of public record. I have filed similar statements for each of the nineteen preceding years I have served in the Congress.

ASSETS

REAL PROPERTY

Single family residence at 609 Ft. Williams Parkway, City of Alexandria, Virginia, at assessed valuation. (Assessed at 600,000). Ratio of assessed to market value: 100% (Encumbered)	$601,300.00
Condominium at N76 W14726 North Point Drive, Village of Menomonee Falls, Waukesha County, Wisconsin, at assessor's estimated market value. (Unencumbered)	90,600.00
Undivided 25/44ths interest in single family residence at N52 W32654 Maple Lane, Village of Chenequa, Waukesha County, Wisconsin, at 25/44ths of assessor's estimated market value of $675,200.	383,636.36
TOTAL REAL PROPERTY	$1,075,536.36

Common & Preferred Stock	# of shares	$ per share	Value
Abbott Laboratories, Inc.	12200	46 81	571,112 50
Airtouch Communications	148	96 63	14,300 50
Allstate Corporation	370	37 06	13,713.13
American Telephone & Telegraph	572.722	79 81	45,710.37
Ameritech	817.75	57 63	47,122.84
Bank One Corp	3439	55.06	189,359.94
Bell Atlantic Corp	1017.129	51.69	52,572.86
Bell South Corp.	1214.1252	40 06	48,640 89
Benton County Mining Company	333	0.00	0 00
BP Amoco	1802	101.00	182,002.00
Chenequa Country Club Realty Co.	1	0.00	0.00
Cognizant Corp.	2500	57.38	143,437.50
Darden Restaurants, Inc.	1440	20.63	29,700.00
Dunn & Bradstreet, Inc.	2500	35.63	89,062.50
E.I. DuPont de Nemours Corp	1200	58 06	69,675.00
Eastman Chemical Co.	270	42.06	11,356.88
Eastman Kodak	1080	63 88	68,985.00
El Paso Energy	150	32.69	4,903.13
Exxon Corp	4864	70 56	343,216.00
Firstar Corp.	1030	89.50	92,185.00
General Electric Co.	5200	110 63	575,250.00
General Mills, Inc.	1440	75.56	108,810.00
General Motors Corp.	304	87.00	26,448.00
Halliburton Company	2000	38 50	77,000.00
Highlands Insurance Group, Inc.	100	10.56	1,056.25
Imation Corp	99	16.50	1,633.50
IMS Health	5000	33.13	165,650 00
Kellogg Corp	3200	33.81	108,200 00
Kimberly-Clark Corp.	31418	47.94	1,506,100.38
Lucent Technologies	348	108.00	37,584.00
Media One	255	63.81	16,272.19
Merck & Co., Inc.	34078	80.13	2,730,499.75
Minnesota Mining & Manufacturing	1000	70.75	70,750.00
Monsanto Corporation	8360	45.94	384,037.50
Morgan Stanley/Dean Whitter	156	99.94	15,590.25
NCR Corp.	68	50.00	3,400 00
Newell Corp.	1676	47.50	79,610.00
Newport News Shipbuilding	164.261	31 69	5,205.02
Nielsen Media	833	24 69	20,564.69
Ogden Corp.	910	24 06	21,896 88
PG&E Corp.	175	31.06	5,435.94
Raytheon Co	19	57.75	1,097.25
Reliant Energy	300	26.06	7,818.75
RR Donnelly Corp.	500	32.19	16,093.75
Sandusky Voting Trust	26	87.00	2,262 00

SBC Communications	1028.98	47.19	48,554.99
Sears Roebuck & Co	200	45.19	9,037.50
Solutia	1672	17.38	29,051.00
Tenneco Corp	864.978	27.94	24,165.32
U.S. West, Inc	315.623	55.06	17,378.99
Unisys, Inc. Preferred	100	51.88	5,187.50
Warner Lambert Co.	6804	66.25	450,765.00
Wisconsin Energy Corp.	1022	26.06	26,635.88

TOTAL COMMON & PREFERRED STOCKS AND BONDS $8,030,685.29

1999 disclosure

Life Insurance Policies	Face $	Surrender $
Northwestern Mutual #4378000	12,000.00	40,531.58
Northwestern Mutual #4574061	30,000.00	97,104.33
Massachusetts Mutual #4116575	10,000.00	7,476.95
Massachusetts Mutual #4228344	100,000.00	168,011.88
Old Line Life Ins. #5-1607059L	175,000.00	32,226.01
TOTAL LIFE INSURANCE POLICIES		$345,350.75

1999 disclosure

BANK & SAVINGS & LOAN ACCOUNTS	BALANCE
Bank One, Milwaukee, N.A., checking account	10,432.36
Bank One, Milwaukee, N.A., preferred savings	23,054.13
Bank One, Milwaukee, N.A., regular savings	807.40
M&I Lake Country Bank, Hartland, WI, checking account	3,192.18
M&I Lake Country Bank, Hartland, WI, savings	342.93
Burke & Herbert Bank, Alexandria, VA, checking account	1,749.37
Firstar, FSB, Butler, WI, IRA accounts	68,699.09
TOTAL BANK & SAVINGS & LOAN ACCOUNTS	$108,277.46

1999 disclosure

MISCELLANEOUS	VALUE
1994 Cadillac Deville	$14,775.00
1991 Buick Century automobile - blue book retail value	$4,750.00
Office furniture & equipment (estimated)	$1,000.00
Furniture, clothing & personal property (estimated)	$150,000.00
Stamp collection (estimated)	$52,000.00
Interest in Wisconsin retirement fund	$212,054.00
Deposits in Congressional Retirement Fund	$117,730.26
Deposits in Federal Thrift Savings Plan	$109,326.92
Traveller's checks	$7,418.96
20 ft. Manitou pontoon boat & 35 hp Force outboard motor (estimated)	$45,000.00
17 ft. Boston Whaler boat & 70 hp Johnson outboard motor (estimated)	$7,000.00
TOTAL MISCELLANEOUS	$721,055.14
TOTAL ASSETS	$10,280,904.06

1999 disclosure

LIABILITIES	
Nations Bank Mortgage Company , Louisville, KY on Alexandria, VA residence Loan #39758-77	$86,936.33
Miscellaneous charge accounts (estimated)	$0 00
TOTAL LIABILITIES	$86,936.33
NET WORTH	$10,193,968.06

1999 disclosure

STATEMENT OF 1998 TAXES PAID

Federal income tax	$108,494.00
Wisconsin income tax	$24,027.00
Menomonee Falls, WI property tax	$2,140.00
Chenequa, WI property tax	$15,036.00
Alexandria, VA property tax	$6,820.00

I further declare that I am trustee of a trust established under the will of my later father, Frank James Sensenbrenner, Sr., for the benefit of my sister, Margaret A. Sensenbrenner, and of my two sons, F. James Sensenbrenner, III, and Robert Alan Sensenbrenner. I am further the direct beneficiary of two trusts, but have no control over the assets of either trust. My wife, Cheryl Warren Sensenbrenner, and I are trustees of separate trusts established for the benefit of each son under the Uniform Gift to Minors Act. Also, I am neither an officer nor a director of any corporation organized under the laws of the State of Wisconsin or of any other state or foreign country.

F. JAMES SENSENBRENNER, JR.,
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

FORM A

For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

José E. Serrano (Full Name)			
910 Grand Concourse (Mailing Address)		Daytime Telephone	
Bronx	N.Y. 10451	(202)225-4361	(Office Use Only)

Filer Status
- [X] Member of the U.S. House of Representatives — State NY District 16
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII** — Yes [ ] No [X]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII** — Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4 §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL — José E. Serrano

DATE (Month/Day/Year) May 17, 1999

3015

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	N/A		

For payments to charity in lieu of honoraria, use Schedule II.

Name José E. Serrano	Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C. XYZ Magazine	Speech Article	Feb. 2, 1998 Aug. 13, 1998	$2,000 $500
N/A			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name José E. Serrano — Page 4 of 9

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP, DC, JT	*Examples*													X																		P
SP	Mega Corp Stock						X																									
DC	Simon & Schuster			Indefinite																Royalties												
JT	1st Bank of Paducah, KY accounts						X									X									X							
SP	Putman Capital Opportunities			X																N/A	X										Gift from relative Dec. 1998
DC	MFS Strategic Growth			X																N/A	X										" "
DC	MFS New Discovery			X																N/A	X										" "
DC	Colonial/Stein Roe Young Investores			X																N/A	X										" "

For additional assets and unearned income, use next page.

3018

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name José E. Serrano | Page 5 of 9

	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
SP, DC, JT		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000, 001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	N/A																															

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name José E. Serrano | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	N/A														

This page may be copied if more space is required.

3020

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

...ces space is required.

3021

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
NONE							

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	Manhattan College

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
NONE		

GPO 1999 55-195 (mac)

Use additional sheets if more space is required

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN -4 PM 2:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

JOSÉ E. SERRANO
16TH DISTRICT, NEW YORK

WASHINGTON OFFICE
2342 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3216
(202) 225-4361
FAX (202) 225-8820

DISTRICT OFFICE
890 GRAND CONCOURSE
BRONX, NY 10451-2828
(718) 538-5400
FAX (718) 588-3652

HAND DELIVERED

COMMITTEE
APPROPRIATIONS
SUBCOMMITTEES
RANKING DEMOCRAT
COMMERCE, JUSTICE, STATE, THE JUDICIARY AND RELATED AGENCIES
TRANSPORTATION AND RELATED AGENCIES

MEMBER CONGRESSIONAL HISPANIC CAUCUS
MEMBER CONGRESSIONAL BLACK CAUCUS
VICE CHAIR
DEMOCRATIC STEERING COMMITTEE

Congress of the United States
House of Representatives
Washington, DC 20515–3216

June 3, 1999

(2)

Mr. Jeff Trandahl
Clerk of the House
Legislative Resource Center
B-106 Cannon HOB
Washington, D.C. 20515-6157

✓
MC

Dear Mr. Trandahl:

Please amend Schedule III in my 1998 Ethics in Government Act-Financial Disclosure Statement which was filed on May 17, 1999 to include my NYS Employee Retirement System. Enclosed please find the corrected Schedule III – Assets and "Unearned" Income. It was inadvertently omitted.

Thank you so much for your kind attention to this matter.

Sincerely,

JOSE E. SERRANO
Congressman

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reportin year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT *Examples:* SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	NYS Employee Retirement System	None	Not applicable	NA	NA
SP	Putman Capital Opportunities	$1,001 - $15,000	Not applicable	None	gift from relative Dec 1998
DC	MFS Strategic Growth	$1,001 - $15,000	Not applicable	None	gift from relative Dec. 1998
DC	MFS New Discovery	$1,001 - $15,000	Not applicable	None	gift from relative Dec. 1998
DC	Colonial/Stein Roe Young Investores	$1,001 - $15,000	Not applicable	None	gift from relative Dec. 1998

3025

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 17 PM 2:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

Pete Sessions (Full Name)
PO Box 38585 Dallas, Texas (Mailing Address) 214.874.0225 (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: TEXAS District: 05	☐ Officer or Employee	Employing Office
Report Type	☑ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Pete Sessions

DATE (Month/Day/Year): 5.11.99

3026

Name	PETE SESSIONS	Page 1 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
SouthWestern Bell Mobile Systems	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

3027

Name PETE SESSIONS | Page 2 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A	B	C	E	F	G	H	I	J	K	L									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
			None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, or E
SP, DC, JT	*Examples*	SP													X							Royalties												P
		SP	Mega Corp. Stock			X																													
			Simon & Schuster	Indefinite																															
			1st Bank of Paducah, KY accounts				X																												
	AMERITECH				X									X										X										
	BELL SOUTH				X									X										X										
	FIDELITY GROWTH 1						X							X			X										X							P
	FIDELITY GROWTH 2							X						X			X										X							P
	A.G. EDWARDS CASH MM				X									X		X								X										P
	AT&T				X									X										X										P

For additional assets and unearned income, use next page.

3028

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETE SESSIONS | Page 3 of 9

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset — A: None	B: $1 – $1,000	C: $1,001 – $15,000	D: $15,001 – $50,000	E: $50,001 – $100,000	F: $100,001 – $250,000	G: $250,001 – $500,000	H: $500,001 – $1,000,000	I: $1,000,001 – $5,000,000	J: $5,000,001 – $25,000,000	K: $25,000,001 – $50,000,000	L: Over $50,000,000	BLOCK C: Type of Income — DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D: Amount of Income — I: None	II: $1 – $200	III: $201 – $1,000	IV: $1,001 – $2,500	V: $2,501 – $5,000	VI: $5,001 – $15,000	VII: $15,001 – $50,000	VIII: $50,001 – $100,000	IX: $100,001 – $1,000,000	X: $1,000,001 – $5,000,000	XI: Over $5,000,000	BLOCK E: Transaction
	BELL ATLANTIC			X									X									X										P, S
	DALLAS Telco Credit Union		X													X						X										
	Lincoln Life - Growth Fund 403-B			X									X										X									
	NationsBank - Fr. Sav. IRA				X											X								X								
	SBC				X								X											X								
	SERVICE MASTER			X									X												X							
	US House of Reps - Savings				X								X													X						
	US Savings Bonds			X												X							X									
	American Century - IRA Select			X									X				X						X									
JT	LUCENT Technologies			X									X				X						X									
JT	BRAZORIA - TAX EXEMPT			X												X							X									
JT	A.G. Edwards Money Market			X												X							X									
JT	American Century - Select Fund			X									X				X						X									
JT	Congressional Credit Union			X												X							X									
JT	Dreyfus Intermediate Muny Bond			X												X							X									
JT	EXXON				X								X				X							X								
JT	Nuveen Municipal Bond Fund				X								X				X							X								
JT	Oppenheimer Discipline Mkt			X													X							X								
JT	USAA Tax Exempt Long Term			X													X							X								
JT	USAA Money Market	X															X					X										
JT	DALLAS Telco Credit Union	X															X						X									
JT	Property in Hurst, Texas			X																	LESS THAN 2 ACRES	X										

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETE SESSIONS Page 4 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	AMERITECH			X									X										X								
SP	BellSOUTH			X									X										X								
SP	SKYTEL COMM		X										X			X						X									P
SP	AG Edwards Centennial MM				X											X						X									P
SP	NationsBank Ft. Sam Houston IRA			X												X							X								
SP	SBC									X			X													X					
SP	SBC - STOCK OPTIONS Held							X		X																					
DC	BellSOUTH			X									X										X								
DC	EXXON			X									X										X								
DC	Fidelity GROWTH 2			X	X								X			X							X		X						P
DC	LUCENT			X									X										X								P
DC	SBC			X									X										X								P
DC	US WEST INC.			X									X										X								P
DC	A.G. Edwards Centennial MM			X	X											X							X								P
DC	Media ONE (US West)			X									X										X								
DC	USAA TAX FREE Long Term		X													X	X						X								
DC	US SAVINGS Bonds			X												X	X						X								
DC	BellSOUTH			X									X										X								
DC	EXXON			X									X										X								
DC	Fidelity GROWTH II			X	X								X										X		X						P
DC	US WEST INC.			X									X										X								
DC	Media ONE (US West)			X									X										X								

This page may be copied if more space is required.

3030

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: PETE SESSIONS | Page 5 of 9

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
DC	AGEdwards Contentm			X										X								X									P
DC	SERVICE MASTER			X										X								X									P
DC	SBC			X										X								X									P
	PFIZER	X												X			X										X				S
DC	AIRTOUCH	X												X			X								X						S
DC	SERVICE MASTER			X										X			X							X							S

This page may be copied if more space is required.

3031

Name PETE SESSIONS | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
	US TREASURY SECURITY - IRA		X		1.8.98				X						
	Fidelity Advisor Gro II-IRA	X			1.8.98				X						
	Fidelity Advisor Gro I-IRA	X			6.2.98				X						
	Fidelity Advisor Gro II-IRA	X			6.2.98				X						
DC	AIRTOUCH		X		1.8.98	X									
DC	Southwestern Bell (SBC)	X			8.4.98	X									
	SERVICE MASTER		X		1.8.98	X									
	Pfizer		X		6.2.98					X					
	TRANSFER of IRA FROM NationsBank/Ft Sam to AGEdwards Centennial		X		12.29.98	X									
DC	USAA LONG TERM FUND		X		2.20.98	X									
SP	NATIONS BANK IRA Transfer to AG Edwards Centennial MM		X		12.21.98	X									
DC	SERVICE MASTER		X		1.8.98	X									
	AGEdwards Centennial Money Market	X			12.29.98	X									
	AT&T	X			5.8.98	X									
	SERVICE MASTER	X			5.8.98	X									

This page may be copied if more space is required.

3032

Name PETE SESSIONS | Page 7 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10–12–98		X								
	SERVICE MASTER	X			8.26.98	X									
JT	AG EDWARDS CENTENNIAL MONEY MARKET FUND	X			12.7.98		X								
JT	EXXON	X			12.24.98	X									
SP	SKYTEL COMMUNICATIONS	X			1.14.98	X									
SP	AG EDWARDS CENTENNIAL MM FUND	X			12.21.98		X								
DC	FIDELITY GROWTH II TRANSACTIONS	X			1.13.98		X								
DC	SBC	X			8.4.98	X									
DC	AG EDWARDS CENTENNIAL MONEY MARKET FUND	X			11.11.98		X								
DC	FIDELITY ADVISOR GROWTH II	X			1.13.98		X								
DC	AG EDWARD CENTENNIAL MONEY MARKET FUND	X			11.11.98	X									
DC	SERVICE MASTER	X			8.26.98	X									
DC	SBC	X			8.4.98	X									

This page may be copied if more space is required.

3033

Name PETE SESSIONS | Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
US Mexico Chamber of Comm.	3.1-3.2	Dallas-Mexico City-Dallas	Y	Y	Y	N
Brainstorm Group	4.5-4.6	Dallas-Atlanta-Dallas	Y	Y	N	N
United Parcel Service	5.25-5.26	Tyler, TX-Guanajuato, MX-Dallas	Y	Y	N	N
Citizens Against Govt Waste	5.29-5.30	Dallas-San Antonio-Dallas	Y	Y	Y	N
Chinese National Association of Industry & Commerce	11.8-11.15	Dallas-LA-Taipei-LA-Dallas	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	EAST DALLAS YMCA
Advisory Member	Disciples of Holy TRINITY (DOT)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Patsy T. Mink, State of Hawaii Retirement	System	* 10,000
John F. Mink, Spouse	Profersional	NA
Vice President, Subchapter S Corp		
Mink and Yuen Inc		
(Partnership in George A.L. Yuen and Associates dissolved in 1998)		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C. / XYZ Magazine	Speech / Article	Feb. 2, 1998 / Aug. 13, 1998	$2,000 / $500
WESTOP	Speech	1998	$2000

This page may be copied if more space is required.

Name Patty T. Mink | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A
Asset and/or Income Source
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP	SP	Mega Corp. Stock
DC	*Examples*	Simon & Schuster
JT		1st Bank of Paducah KY accounts

BLOCK B
Value of Asset
at close of reporting year
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

	A	B	C	E	F	G	H	I	J	K	L
	None	$1 - $1,000	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
		Indefinite										
JT Comp. Unions Credit Union			X									
JT Central Pacific Bank 01 489 5169-7				X								
JT Alexander & Baldwin Stock				X								
JT Evergreen Equitable Life					X							
SP												

BLOCK C
Type of Income

	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example Partnership Income or Farm Income)	Royalties
JT Comp. Unions Credit Union			X					
JT Central Pacific Bank 01 489 5169-7			X					
JT Alexander & Baldwin Stock	X							
JT Evergreen Equitable Life	X		X					
SP	X		X					

BLOCK D
Amount of Income
For IRA's and retirement plans that are *not* self-directed, you may write in "N/A" for income

	None	I $1 - $200	II $201 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	XI
JT Comp. Unions Credit Union			X									
JT Central Pacific Bank 01 489 5169-7				X								
JT Alexander & Baldwin Stock					X							
JT Evergreen Equitable Life						X						
SP				X								

BLOCK E
Transaction
Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	P	S	E
JT Comp. Unions Credit Union			
JT Central Pacific Bank 01 489 5169-7			
JT Alexander & Baldwin Stock			
JT Evergreen Equitable Life			
SP			

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Patsy T. Mink | Page 5 of 9

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Central Pacific Bank IRA 19536-7			X											None								None							
	Morgan Stanley Dean Witter IRA 124170626			X											none								none							Rolled over from CPB
Sp	Merrill Lynch IRA 145 83137 SEP						X								none								none							
Sp	Merrill Lynch IRRA 14587 A 34				X										none								none							
Sp	Merrill Lynch IRRA 145 87 A 33							X							none								none							
Sp	Morgan Stanley-Dean Witter IRA 128175444					X									none								none							combined
Jt	Putnam Investments Tax Exempt OA 337451 1			X										X								X								
	Prudential Life Ins. Policy			X											none								none							
	Patsy T. Mink Campaign Committee loans						X								none								none							

This page may be copied if more space is required.

3039

Name Patty T. Mink | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example*	Mega Corporation Common Stock	X			10–12–98		X								
	None															

This page may be copied if more space is required.

3040

Name Patty T. Mink | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

3041

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
None							

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President (honorary)	Uncompensated ; Americans for Democratic Action
Chair Congressional	Asian Pacific American Caucus (unpaid)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Jan 1987	State of Hawaii Retirement System	Retirement and Health Benefits

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 2:42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(3)

HAND DELIVERED
(Office Use Only)

John Joseph Moakley
(Full Name)

235 Cannon HOB
(Mailing Address)

Washington D.C. DC 20515
Daytime Telephone: 202-225-8273

Filer Status	[X] Member of the U.S. House of Representatives	State MA District 09	[ ] Officer or Employee	Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file the report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Joe Moakley

DATE (Month/Day/Year): 5/12/99

3044

Name John Joseph Moakley	Page 1 of 1

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
Examples:	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Massachusetts	Legislative Pension	$7,000.00
	United States Veterans Administration	Disability	$1,150.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20.		BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, *Examples* JT	SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
		Legg Mason Putman Growth & Income Mutual Fund	$50,001 - $100,000	Capital Gains and Dividends	$2,501 - $5,000	NA
		Mt Washington Co-operative Bank of South Boston	$50,001 - $100,000	Interest	$1,001 - $2,500	NA
		Wright-Patman Congressional Credit Union	$1,001 - $15,000	Interest	$1 - $200	NA

Name John Joseph Moakley	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug.6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Invest to Compete Alliance	July 3-July 6	Boston-Chatham, Ma.	Y	Y	N	None

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Board of Trustees	Suffolk University, Boston Massachusetts
Board of Directors	Biotechnology Center of Excellence Corporation

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

Alan B. Mollohan
(Full Name)

2346 Rayburn Building
Washington, DC 20515
(Mailing Address)

Daytime Telephone
202/225-4172

MC
(Office Use Only)

Filer Status

[X] Member of the U.S House of Representatives — State WV District 1st

[ ] Officer or Employee — Employing Office

Report Type

[X] Annual (May 17)

[ ] Amendment

[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Alan B. Mollohan **DATE** (Month/Day/Year) 5-19-99

3049

Name	Alan B. Mollohan	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Remington, Inc.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

3050

Name Alan B. Mollohan | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B													BLOCK C								BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	*Examples*	SP	Mega Corp Stock				X								X																				P
DC			Simon & Schuster	Indefinite																		Royalties													
JT			1st Bank of Paducah, KY accounts					X									X									X									
JT			Rental property -60 G St. SW, WDC 20024					X								X												X							
JT			50% ownership - Remington, Inc.		X											X							X												
SP			City of Fairmont, WV Sewer Revenue Bonds			X											X									X									
SP			Kanawha County, WV Building Revenue Bonds			X											X									X									
SP			WV Hospital Finance Authority Bonds			X											X									X									
SP			PaineWebber Money Fund		X												X							X											

For additional assets and unearned income, use next page.

3051

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Alan B. Mollohan | Page 4 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP	1/5 int. coal, oil & gas 6.5ac Linn Lick, Center Dist. Gilmer		X																	Royalty		X									
SP	1/5 int. coal, oil & gas 27ac Linn Lick, Center Dist. Gilmer		X																	Royalty		X									
SP	3.33% int. oil & gas 35ac Bull Fork, DeKalb Dist. Gilmer			X																Royalty		X									
SP	5/32 int. oil & gas 242ac NS Kanawha, Glenville Dist, Gilmer				X															Royalty				X							
SP	PaineWebber Putnam Global Growth IRA			X																NA											
SP	Huntington Nat'l Bank Money Market Account				X											X							X								
JT	3 promissory notes for property purchases: Remington, Inc., Washington, DC				X											X									X						

This page may be copied if more space is required.

3052

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St , Dover, Del				X						
JT	GE Capital Mortgage Services	Mortgage-60 G St. SW, Washington, DC 20024				X						
JT	Huntington National Bank	Revolving credit line		X								
JT	Huntington National Bank	Loan against WV bonds		X								
	FERS Thrift Savings	Loan for children's educations	X									
JT	Travelers' Bank Visa	Revolving charge acct	X									
JT	First USA Visa	Revolving charge acct		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 PM 6:00
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
MAY 11 1999
(Office Use Only)

(9)

DENNIS W. MOORE
(Full Name)

8319 Mullen Rd, Lenexa, KS 66215
(Mailing Address)

Daytime Telephone 913-383-2013

Filer Status
[X] Member of the U.S. House of Representatives — State KS, District 3rd
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5-10-99

3054

Name DENNIS W. MOORE	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	ERKER & MOORE, LLC	PARTNERSHIP	74,12.50
	Midwest PERINATAL ASSOCIATES, P.A.	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

3055

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
None			

This page may be copied if more space is required.

Name Dennis W Moore | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP		SP	Mega Corp. Stock
DC	*Examples*		Simon & Schuster
JT			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source	B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	E: $50,001 – $100,000	F: $100,001 – $250,000	G: $250,001 – $500,000	H: $500,001 – $1,000,000	I: $1,000,001 – $5,000,000	J: $5,000,001 – $25,000,000	K: $25,000,001 – $50,000,000	L: Over $50,000,000	C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $1,000,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	E: P, S, E
	Indefinite					X								X							Royalties										P
	1st Bank of Paducah, KY accounts						X																			X					
	All American Life & Annuity (IRA)					X								X		X	X					N/A									
	American Century International Growth (IRA)				X												X					N/A									
	American Century Money Market (IRA)			X										X								N/A									
JT	Capital Federal Sav.			X												X								X							
	Fidelity Cash Reserves (IRA)				X									X								N/A									
	ICMA Retirement (IRA)				X									X			X					N/A									

For additional assets and unearned income, use next page.

3057

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: DENNIS W. MOORE | Page 5 of 9

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset — A: None	B: $1 – $1,000	C: $1,001 – $15,000	D: $15,001 – $50,000	E: $50,001 – $100,000	F: $100,001 – $250,000	G: $250,001 – $500,000	H: $500,001 – $1,000,000	I: $1,000,001 – $5,000,000	J: $5,000,001 – $25,000,000	K: $25,000,001 – $50,000,000	L: Over $50,000,000	BLOCK C: Type of Income — DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D: Amount of Income — I: None	II: $1 – $200	III: $201 – $1,000	IV: $1,001 – $2,500	V: $2,501 – $5,000	VI: $5,001 – $15,000	VII: $15,001 – $50,000	VIII: $50,001 – $100,000	IX: $100,001 – $1,000,000	X: $1,000,001 – $5,000,000	XI: Over $5,000,000	BLOCK E: Transaction — P, S, E
	Invesco Funds (IRA)				X									X			X						N/A									
SP	Reliant Trust Group Stock			X										X								X										
SP	Security Bank (IRA)				X											X							X									
	Sun Company Stock			X										X								X										
	Vanguard Wellington (IRA)			X										X			X					N/A										
	Vanguard Index Trust (IRA)					X								X			X					N/A										
	Vanguard US Growth (IRA)				X									X			X					N/A										
	Vanguard Energy (IRA)			X										X			X					N/A										
JT	Western Resources Stock			X										X								X										
	AMANA Funds			X										X			X					X										
SP	Mutual Fiduciary Bd Investing (IRA)					X								X			X					N/A										
JT	INVESCO			X										X			X					X										
DC	Jones Enterprises			X										X		X						X										
	Condo - 8289 Monrovia Lenexa Ks					X									X											X						

This page may be copied if more space is required.

3058

Name | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10–12–98		X								

This page may be copied if more space is required.

3059

Name | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	BHOK - United Steelworkers Assn of Texas	Mortgage on 8384 Monrovia		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

3060

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days

This page may be copied if more space is required.

3061

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	JOHNSON COUNTY COMMUNITY COLLEGE
PARTNER	ERKER & MOORE, L.L.C.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55–195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 17 PM 1:36

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

5

James P. Moran, Jr.
(Full Name)

205 West Uhler Terrace
(Mailing Address)

202-225-4376
Daytime Telephone

Alexandria, Virginia 22301

Filer Status	[X] Member of the U S House of Representatives	State Va District 8th	[ ] Officer or Employee	Employing Office	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [X] No [ ]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [ ] No [X]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS— Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U S C app 4, §104 and 18 U S C §1001)

SIGNATURE OF REPORTING INDIVIDUAL James P. Moran

DATE (Month/Day/Year) 5/17/99

3063

Name James P. Moran, Jr.	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Century 21 Quality Homes (spouse)	Real estate commission	$1,500

For payments to charity in lieu of honoraria, use Schedule II.

Name James P. Moran, Jr. | Page ___ of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
Nissan North America	Program participation	March 9, 1998	$980
Independent Insurance Agents of North America	"House that Congress Built" program	April 8, 1998	$1000
National Technical Services Association	Speech	June 11, 1998	$250
KMart Family Foundation/Kids Race Against Drugs	Program participation	Sept. 16, 1998	$2,000

This page may be copied if more space is required.

Name	James P. Moran, Jr.	Page _ of _

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Congressional Credit Union	Revolving charge	X									
JT	First USA Bank	Revolving charge	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Center for Strategic and International Studies		3/19-23	Washington-Skopje, Macedonia-Washington	Y	Y	N	N
Global Legislators Organ. for a Balanced Environment		8/23-26	Washington-Boston-Washington	Y	Y	Y	N

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 2:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

JERRY MORAN
(Full Name)

2758 Thunderbird Drive, Hays, Ks 67601 (202) 225-2715
(Mailing Address) Daytime Telephone

Filer Status
[X] Member of the U S House of Representatives — State Kansas, District First
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Jerry Moran

DATE (Month/Day/Year) May 12, 1999

3068

Name JERRY MORAN | Page 2 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

			A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E
SP		SP	Mega Corp Stock				X																										P
DC	*Examples*		Simon & Schuster			Indefinite																Royalties												
JT			1st Bank of Paducah, KY accounts					X									X									X								
JT	Emprise Bank, N.A., accounts Hays, Ks.						X										X								X								
	Federated Investors, mutual funds							X									X										X						
DC	Emprise Bank, N.A., accounts Hays, Ks.				X												X						X										
DC	Sunflower Bank, N.A., accnts Hays, Ks.				X												X						X										
	American Capital World Growth, mutual fund					X									X		X	X							X								
DC	Golden Plains Credit Union Hays, Ks. (accounts)			X													X						X										

For additional assets and unearned income, use next page.

3069

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JERRY MORAN

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
	First Fed.Lincoln accounts Plainville,KS.				X											X								X							
JT	Highlands Insurance Co., common stock		X											X							X										
JT	Halliburton Corporation, common stock			X										X								X									
JT	Franklin Gold Fund, mutual fund		X											X			X					X									
JT	Exxon Corporation,Common stock				X									X									X								
JT	Boeing Co.,common stock			X										X								X									
JT	Texaco Inc.,common stock			X										X								X									
	Aetna Variable Fund, mutual fund			X										X			X						X								
	Aetna Fixed Account mutual fund			X												X								X							
	Fidelity VIP-Portfolio, mutual fund			X										X			X						X								
	Janus Aspen Growth Portfolio,mutual fund			X										X			X						X								
SP	Lincoln Life Growth & Income,mutual fund			X										X			X						X								
SP	Lincoln Life Special Opportunities,mutual fund			X										X			X						X								
JT	Schlumberger Ltd.,common stock			X										X								X									
DC	Missouri College Savings Bond			X												X					X										Gift relative
DC	Series E Bonds			X												X					X										Gift relative
JT	Fidelity Growth Opportunity,mutual fund			X										X			X						X								P

This page may be copied if more space is required.

3070

SCHEDULE IV — TRANSACTIONS

Name JERRY MORAN

SP, DC, JT	Asset	Type of Transaction: PURCHASE	Type of Transaction: SALE	Type of Transaction: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
JT	Fidelity Growth Opportunity,mutual fund	X			02-11-98 03-03-98	X									

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Chicago Mercantile Exchange	Feb.6'98	Wash.,DC-Chicago-Hays,Ks.	N	Y	N	N

This page may be copied if more space is required.

3072

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Ft.Hays State University Endowment Association, Hays,Ks.
Governor	Bd.of Governors, University of Ks.,School of Law, Lawrence,Ks.
Executive Member	The Eisenhower Foundation, Abilene,Ks.

SCHEDULE IX — AGREEMENTS -None

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 17 PM 3:31

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

(Office Use Only)

9

CONSTANCE A. MORELLA
(Full Name)

2228 RAYBURN BUILDING
WASHINGTON, D.C. 20515
(Mailing Address)

202-225-5341
Daytime Telephone

Filer Status
☑ Member of the U.S. House of Representatives — State MD District 8
☐ Officer or Employee — Employing Office

Report Type
☑ Annual (May 17)
☐ Amendment
☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes ☑ No ☐

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes ☐ No ☑

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes ☑ No ☐

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes ☑ No ☐

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes ☑ No ☐

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes ☐ No ☑

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes ☑ No ☐

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes ☑ No ☐

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Constance A. Morella — **DATE** (Month/Day/Year) MAY 17, 1999

3074

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	AMERICAN UNIVERSITY, WASHINGTON, D.C.	SPOUSE SALARY	NA
	STATE OF MARYLAND	TEACHER/LEGISLATOR PENSION & ANNUITY	$ 18,585

For payments to charity in lieu of honoraria, use Schedule II.

3075

Name CONSTANCE A. MORELLA | Page 3 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A

Asset and/or Income Source
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B

Value of Asset
at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C

Type of Income

BLOCK D

Amount of Income
For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E

Transaction
Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block B — Value of Asset

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000
SP (Examples)	Mega Corp Stock					X							
DC (Examples)	Simon & Schuster		Indefinite										
JT (Examples)	1st Bank of Paducah, KY accounts						X						
	CAGLES, INC.			X									
	DU PONT			X									
	EXXON CORP.					X							
	FLUOR CORP.				X								
	GIANT FOOD, INC.				X								
	HRC MANOR CARE				X								

Block C — Type of Income

Asset and/or Income Source	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)
Mega Corp Stock	X						
Simon & Schuster							Royalties
1st Bank of Paducah, KY accounts			X				
CAGLES, INC.	X						
DU PONT	X						
EXXON CORP.	X						
FLUOR CORP.	X						
GIANT FOOD, INC.	X			X			
HRC MANOR CARE	X						

Block D — Amount of Income; Block E — Transaction

Asset and/or Income Source	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Mega Corp Stock				X								P
Simon & Schuster									X			
1st Bank of Paducah, KY accounts						X						
CAGLES, INC.		X										
DU PONT		X										P
EXXON CORP.				X								
FLUOR CORP.		X										S
GIANT FOOD, INC.		X										S
HRC MANOR CARE	X											P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name CONSTANCE A. MORELLA | Page 4 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	MERCK & CO				X									X									X								
	MINNESOTA MINING				X									X									X								
	NEW PLAN REALTY TR.				X									X									X								
	PFIZER, INC.						X							X									X								
	RIGGS NATIONAL CORP.				X									X							X										P
	USX - MARATHON				X									X									X								
	U.S. TREAS. NOTE					X										X								X							
	SCHWAB MONEY MKT					X										X								X							
	NATIONS BANK : IRA				X											X					NA										
	OPPENHEIMER FUNDS			X										X							NA										
	EAST CAMBRIDGE SAVINGS			X												X						X									
	MD STATE DEFERRED				X											X					NA										
SP	K MART CORP			X										X								X									
SP	OCCIDENTAL PETROL.			X										X									X								
SP	POTOMAC ELECTRIC			X										X								X									
SP	ST. PAUL COMPANIES (USF&G)			X										X								X									
SP	U.S. TREAS. NOTE				X											X								X							
SP	SCHWAB MONEY MKT.			X												X							X								
SP	NATIONS BANK : IRA				X											X					NA										
SP	OPPENHEIMER FUNDS				X									X							NA										
SP	TIAA-CREF PENSION FUND								X												NA										
JT	VAN KAMPEN MERIT			X												X							X								

This page may be copied if more space is required.

3077

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name CONSTANCE A. MORELLA | Page 5 of 9

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	U.S. SAVINGS BONDS					X															NA										
JT	607 TWINBROOK PKWY. ROCKVILLE, MD						X																									
	CONGRESSIONAL CREDIT UNION				X											X							X									

This page may be copied if more space is required.

3078

Name CONSTANCE A. MORELLA | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–98		X								
	GIANT FOOD		X		10-28-98		X								
	FLUOR CORP.		X		12-29-98		X								
	DU PONT	X			7-27-98		X								
	HCR MANOR CARE, INC.	X			11-20-98		X								
	RIGGS NATIONAL CORP.	X			12.23.98		X								

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

This page may be copied if more space is required.

3079

Name CONSTANCE A. MORELLA | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	IRWIN MORTGAGE CORP.	HOME MORTGAGE: 6601 MILLWOOD RD BETHESDA, MD			X							
SP	INLAND MORTGAGE CO.	MORTGAGE CO-MAKER: 506 LINTHICUM ST. ROCKVILLE, MD		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

3080

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
ASPEN INSTITUTE: EDUCATION OF AMERICAN YOUTH PARTICIPANT	FEB 13-16	WASH, D.C.-CHARLESTON, S.C.-WASH, D.C.	Y	Y	Y	N
ASPEN INSTITUTE: U.S.-CHINA RELATIONS PARTICIPANT	APR 13-19	WASH, D.C.-LANAI, HAWAII-WASH, D.C.	Y	Y	Y	N
AMERICAN ISRAEL EDUCATION FOUNDATION, D.C.	MAY 23-29	WASH, D.C.-ISRAEL-WASH, D.C.	Y	Y	Y	N
BRAIN STORM GROUP'S Y2K National Series SPEAKER	JUNE 22	WASH., D.C.-CHICAGO-WASH., D.C.	N	Y	N	N
GREATER ROCHESTER VISITORS ASSN-150th ANNIV SENECA FALLS, N.Y. WOMEN'S MTGS	JULY 18	WASH., D.C.-ROCHESTER-WASH., D.C.	N	Y	N	N
BRAIN STORM GROUP'S Y2K NATIONAL SERIES SPEAKER	AUG. 8-11	WASH., D.C.-SAN FRAN, CA-WASH., D.C.	Y	Y	Y	N
GLAMOUR MAGAZINE: WOMEN OF YEAR AWARDS	NOV 11-13	WASH., D.C.-N.Y.C.-WASH., D.C.	Y	Y	Y	1 DAY

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
ADVISORY BOARD	CAFRITZ FOUNDATION, WASHINGTON, D.C.

3082

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55–195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 12:02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

6

JOHN P. MURTHA, JR.
(Full Name)

109 COLGATE AVENUE
(Mailing Address)

JOHNSTOWN, PA 15905
Daytime Telephone

Filer Status
[X] Member of the U S House of Representatives — State: PA Distrct: 12TH
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL
X Joe P. M

DATE (Month/Day/Year)
5/11/99

3083

Name JOHN P. MURTHA, JR.	Page 1 of 5

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C. XYZ Magazine	Speech Article	Feb. 2, 1998 Aug. 13, 1998	$2,000 $500
McGRAW-HILL 9TH ANNUAL DEFENSE BUDGET CONFERENCE	SPEECH	MARCH 26, 1998	$2,000

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples* SP Mega Corp Stock					X								X										X						P
	Examples Simon & Schuster		Indefinite																	Royalties											
	Examples 1st Bank of Paducah, KY accounts						X									X										X					
JT	CHARLES SCHWAB			X										X								X									
SP	CONGRESSIONAL F.C.U.			X												X							X								
	WESBANCO, INC.				X									X										X							P
	CENWEST NATIONAL BANK			X												X					N/A	-	IRA								E*
SP	CENWEST NATIONAL BANK			X												X					N/A	-	IRA								E*
	CONGRESSIONAL FCU			X												X					N/A	-	IRA								

*THE CENWEST IRA'S WERE ROLLED OVER TO NEW IRA'S AT THE CONGRESSIONAL F.C.U.

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN P. MURTHA, JR. | Page 3 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	CONGRESSIONAL F.C.U.			X												X						N/A - IRA									
	CONGRESSIONAL F.C.U.		X													X					X	(LESS THAN $1.)									
SP	MENTOR GROWTH PORT CL B				X												X							X							
SP	J.R. FINDEISEN (PARENTS ESTATE)	X														X							X								
	EQUITABLE COS. INC.			X										X								X									
	(STOCK RECEIVED JULY, 1992 - ASSET VALUATION INCREASED IN 1998 - PREVIOUSLY, INCOME AND ASSET VALUATION WERE BELOW REPORTABLE AMOUNTS.)																														

This page may be copied if more space is required.

3086

SCHEDULE IV — TRANSACTIONS

Name JOHN P. MURTHA, JR. | Page 4 of 5

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	WESBANCO, INC. QUARTERLY	X			1-02-98										
	WESBANCO, INC. DIVIDEND	X			4-01-98	X									
	WESBANCO, INC. REINVESTMENT	X			7-01-98										
	WESBANCO, INC.	X			10-01-98										

This page may be copied if more space is required.

3087

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash , D.C.—Chicago—Wash., D C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
VALLEY FORGE MILITARY ACADEMY	APRIL 4-5	WASH, D.C.-WAYNE,PA-WASH DC	Y	N	Y	N
SUFFOLK UNIVERSITY	MAY 23-24	WASH, D.C.-BOSTON-WASH DC	Y	Y	Y	N

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 5:25
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(3)

HAND DELIVERED
(Office Use Only)

SUELLEN WILKINS MYRICK
(Full Name)

9225-C OTTER CREEK DRIVE
(Mailing Address)

CHARLOTTE, NORTH CAROLINA 28277

Daytime Telephone: (704)225-1976

Filer Status
- [X] Member of the U.S. House of Representatives — State: NORTH CAROLINA District: 09
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Sue Wilkins Myrick

DATE (Month/Day/Year): 5/13/99

3089

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	MYRICK ENTERPRISES	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

3090

Name SUELLEN WILKINS MYRICK | Page 3 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	BLOCK C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp Stock					X								X																		P
			Simon & Schuster		Indefinite																	Royalties												
			1st Bank of Paducah, KY accounts						X								X										X							
	310 W. 8th STREET									X																								
	505 N. POPLAR STREET																																	
	(THOUGH TWO ADDRESSES ARE LISTED,																																	
	IT IS ONE HOUSE WITH ONE																																	
	MORTGAGE.)																																	

For additional assets and unearned income, use next page.

3091

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 3:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC HAND DELIVERED
(Office Use Only)

Jerrold Lewis Nadler
(Full Name)

315 West 70th Street, apt. 3C
New York, N.Y. 10023
(Mailing Address)

(202) 225-5635
(202) 334-3207
Daytime Telephone

Filer Status
☒ Member of the U.S House of Representatives — State: N.Y. District: 8
☐ Officer or Employee — Employing Office:

Report Type
☒ Annual (May 17)
☐ Amendment
☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒ No ☐
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☒
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL
Jerrold Nadler

DATE (Month/Day/Year)
5/4/99

3092

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	New York State Comptroller's Office	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Jerrold Lewis Nadler | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

				A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock				X									X									X								P
			Simon & Schuster		Indefinite																	Royalties												
			1st Bank of Paducah, KY accounts					X										X								X								
	Smith-Barney Money Funds						X											X							X								S
	N.Y.S. Energy Res. + Dev. Auth. Bond			X														X					X										
	State of Israel Bond				X													X					X										
	Chase Manhattan Bank Accnt					X												X					X										
	Eott Energy Partners, L.L.P.					X												Partnership Income					X										
	Amerigas Partners, LLP					X												Partnership Income					X										

For additional assets and unearned income, use next page.

3094

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jerrold Lewis Nadler

SP. DC. J	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Alabama Power Company			X												X							X							P
	N.Y.C. zero coupon bond	X														X					X				Zero coupon					S
	Republic National Bank			X												X						X								
	Histadrut Charitable Remainder Trust			X												X						X								
	U.S. Savings Bonds			X												X						X								

Name Jerrold Lewis Nadler | Page 5 of 7

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	Alabama Power Company Bond	X			2/20/98	X									
	N.Y.S. Energy Research + Dev. Authority Bond		X		1/1/98		X								
	N.Y.C. Gen'l. Obligatin zero coupon Bond		X		2/1/98	X									

This page may be copied if more space is required.

9603

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Southern California Americans for Democratic Action	Apr 17-19	N.Y.C. - L.A. - N.Y.C.	Y	Y	N	N
National Association of Consumer Bankruptcy Attorneys	May 1	N.Y.C. - Miami - N.Y.C.	N	Y	N	N
National Conference of Bankruptcy Judges	Oct 23-24	N.Y.C. - Dallas - N.Y.C.	Y	Y	N	N
American Israel Public Affairs Committee	Nov 8	N.Y.C. - Atlanta - N.Y.C.	N	N	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President	Americans for Democratic Action
Member, Bd. of Directors	Community Free Democrats
Vice President	Bnai Zion

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED HAND DELIVERED FOR
99 MAY 17 PM 3:21
OFFICE OF HOUSE OF REPRESENTATIVES
99 MAY 17 PM 3:18
(Office Use Only)
4
MC

Grace F. Napolitano
(Full Name)

1407 Longworth House Office Building
(Mailing Address)

Washington, DC 20515

Daytime Telephone: 202/225-5256

Filer Status
- [X] Member of the U.S. House of Representatives — State: California, District: 34
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date:

If FILED OR RECEIVED IN FINANCE OFFICE A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Grace F. Napolitano

DATE (Month/Day/Year): May 17, 1998

3099

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Rountable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
California State Assembly	Salary	$ 66,000.00
California State Assembly	Per Diem/Travel Reimbursement	24,436.00
Ford Motor Co. Retirement Pension Plan	Pension	14,360.16
State of California Public Employees Retirement System	Pension	3,424.66
United States Railroad Retirement Board	Spouse's Pension	N/A
The Girls	Sole Proprietor	1,000.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Grace F. Napolitano | Page 3 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster			Indefinite															Royalties													
			1st Bank of Paducah, KY account					X									X									X								
	Fidelity Investments IRA						X													NA													
	Napolitano For Assembly Loan To Committee (Paid Off In 1998)		X																	Loan Repayment					X								
	Napolitano For Congress Loans To Committee							X												Loan Repayment						X							

For additional assets and unearned income, use next page.

Name Grace F. Napolitano | Page 4 of 4

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wells Fargo Bank	Home Equity Line of Credit			X							
	Dearborn Federal Credit Union	Loan	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

58-074 99 - 40

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 18 AM 9:38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(5)

INSIDE MAIL

MC
(Office Use Only)

Richard E. Neal
(Full Name)

2236 Rayburn House Office Building
(Mailing Address)

202 225-5601
Daytime Telephone

Washington, D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives	State MA District 2nd	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Richard E Neal

DATE (Month/Day/Year) 5/13/99

3103

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Massachusetts (University of MA)	Approved Teaching	$8,524.
	Commonwealth of Massachusetts	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C. XYZ Magazine	Speech Article	Feb. 2, 1998 Aug. 13, 1998	$2,000 $500
Association for Advanced Life Underwriting	Speech	May 4,1998	$2,000
National Association of Life Underwriters	Speech	May 21, 1998	$500

This page may be copied if more space is required.

Name RICHARD E. NEAL Page 4 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D										BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock				X								X										X							P
			Simon & Schuster	Indefinite																	Royalties												
			1st Bank of Paducah, KY accounts					X									X									X				X			
	Sun America Money Mt				X										X									X								
	Springfield Institute for Savings				X												X						X									
	Sprin gfield, MA Credit Union			X													X						X									
	Congressional FCU			X													X						X									
	City of Springfield Retirement Fund					X									NA							NA										

For additional assets and unearned income, use next page.

3106

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
MA Society of CPAs (by personal car)	Jan. 19 - Jan. 20	Springfield, MA-Boston, MA- Springfield, MA	Y	Y	N	N
Sinn Fein	Apr.15- Apr. 19	New York - Dublin - New York	Y	Y	Y (son)	N
Invest to Compete Alliance	July 3- July 6	Springfield,MA - Chatham, MA...return	Y	Y	Y (son)	N
Northeast Public Power Assn	Aug 16- Aug 19	Springfield, MA - Burlington, VT..return	Y	Y	Y (son)	N

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 2:23
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

(9)

George R Nethercutt, Jr
(Full Name)

1527 Longworth House Office Bldg (202) 225-2006
(Mailing Address) Daytime Telephone

Washington DC. 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: Washington District 5th	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL George R. Nethercutt Jr

DATE (Month/Day/Year) 5/17/99

3108

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	United States Senate	Spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
K-Mart Company	Appearance at rally	Sept. 16, 1998	$500.00

This page may be copied if more space is required.

3110

Name George R Nethercutt Jr | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C						BLOCK D										BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1 000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP.		SP					X								X																P
DC.	*Examples*				Indefinite																Royalties										
JT								X									X									X					
	E 706 Highland Blvd								X							X										X					
	Fam Mar Partnership Summerville SC					X										X										X					
	Midwest Diamond Baseball Club Limited Partnerships				X										partnership income																
	Carolina Diamond Baseball Club Limited Partnerships					X									partnership income																
	1127 Yankees Investment Club				X										X		X	X						X							
	Van Kampen Amer Cap Trust East Amer Pace Fund IRA				X										NA - IRA							NA									

For additional assets and unearned income, use next page.

3111

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name George R Nethercutt, Jr | Page 5 of 9

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	Over $5,000,000	P, S, E
	Dean Witter Am Value Fund IRA				X									NA - IRA							NA										
SP	Dean Witter Am Value Fund IRA				X									NA - IRA							NA										
	Cap Gdn Trust Company ~~Growth~~ Fund of America IRA				X									NA - IRA							NA										
	Cap Gdn Trust Company New Perspective Fund IRA					X								NA - IRA							NA										
	Cap Gdn Trust Company ~~European~~ Growth Fund IRA				X									NA - IRA							NA										
	Cap Gdn Trust Company American Mutual Fund IRA					X								NA - IRA							NA										
	Piper Jaffray Money Market IRA				X									NA - IRA							NA										
SP	Cap Gdn Trust Company New Perspective Fund IRA					X								NA - IRA							NA										
	Petite Creations, Inc (royalty)		X											royalties										X							
	Congressional Federal Credit Union						X									X								X							

This page may be copied if more space is required.

3112

SCHEDULE IV — TRANSACTIONS

Name George R Nethercutt Jr | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	Alaska unimproved River lot		X		6-17-98		X								

This page may be copied if more space is required.

3113

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Washington Mutual Bank - Spokane WA	mortgage on & 706 longview Drive - Spokane WA				X						
	Washington Trust Bank - Spokane WA	line of Credit		X								
	Wachovia National Bank - Summerville, S.C	Farm mortgage on 310 N. Main, Summerville, SC		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None	NA	NA

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C —Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash , D C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Congressional Economic Leadership Institute	Nov. 29-Dec 6	Wash DC – Brussels - London - WASH DC	Y	Y	Y	N
Glaxo - Wellcome Company	Nov. 4	Wash. DC – N Carolina - Wash D.C.	N	Y	N	N
Aspen Institute - environmental forum	Nov. 12-17	Baltimore - Bermuda - Baltimore, Md.	Y	Y	Y	N
Eli Lilly + Company - American Diabetes Assn meeting	June 12	Wash DC — Chicago - Wash. DC	N	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Limited Partner	Midwest Diamond Baseball Club, Carolina Diamond Baseball Club
Limited Partner	NCNG Energy Company of Indiana
Partner	1927 Yankees Investment Club

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	NA

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

"ITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 APR 12 PM 1:25

S. OF REPRESENTATIVES

HC HAND DELIVERED
(Office Use Only)

9

ROBERT William NEY (Full Name)

112 OVERLOOK COURT ST. CLAIRSVILLE, OHIO 43950 (Mailing Address) 202-225-6265 (Daytime Telephone)

Filer Status	☒ Member of the U.S. House of Representatives	State OHIO District 18TH	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Robert W. Ney

DATE (Month/Day/Year): April 13, 1999

3117

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
NONE			

This page may be copied if more space is required.

Name ROBERT W. NEY | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset (at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None.")

BLOCK C — Type of Income

SAVINGS ACCOUNT

BLOCK D — Amount of Income (For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.)

BLOCK E — Transaction (Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.)

			BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock					X								X																	P
			Simon & Schuster		Indefinite																	Royalties												
			1st Bank of Paducah, KY accounts						X								X										X						
	SAVINGS ACCOUNT BANK ONE BELLAIRE, OHIO					X											X						X										

For additional assets and unearned income, use next page.

3120

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ROBERT W. NEY | Page 5 of 9

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	-NONE-																												

This page may be copied if more space is required.

3121

Name ROBERT W. NEY | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example*	Mega Corporation Common Stock	X			10–12–98		X								
		-NONE-														

This page may be copied if more space is required.

3122

Name Robert W. Ney | Page 2 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	MBNA	Credit Card		X								
	American Express	" "		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	—NONE—	

Use additional sheets if more space is required.

3123

Name ROBERT W. NEY | Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
PUBLIC POLICY INSTITUTE		APRIL 15-16	WASH D.C. – CARBONDALE	N	Y	N	N
SENATOR PAUL SIMON DIR.			ILL. – WASH D.C.				
			(AIRFARE)				

This page may be copied if more space is required.

3124

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	- NONE -

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	- NONE -	

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 13 AM 5:16

U.S. HOUSE OF REPRESENTATIVES

NC (Office Use Only)

ANNE MEAGHER NORTHUP
(Full Name)

3340 LEXINGTON ROAD
(Mailing Address)

(502) 582-5129
Daytime Telephone

LOUISVILLE KENTUCKY 40206

Filer Status	[X]	Member of the U.S. House of Representatives	State KENTUCKY District 03	[ ] Officer or Employee	Employing Office
Report Type	[X]	Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL
Anne M. Northup

DATE (Month/Day/Year)
5/15/99

3126

Name ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S. HOUSE OF REPRESENTATIVES	CONGRESSIONAL SALARY	$136,443
	RADIO SOUND, INC.	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
AMERICAN RENTAL ASSOCIATION	SPEECH	JUNE 4, 1998	$500

This page may be copied if more space is required.

Name ANNE MEAGHER NORTHUP | Page 1 of 2

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples* SP																													P
	Mega Corp Stock			Indefinite									X										X					X		
	Simon & Schuster														X				Royalties								X			
	1st Bank of Paducah, KY accounts					X																		X						
	BANC ONE COMMON STOCK						X						X											X						
SP	FIDELITY SELECT ELECTRONIC			X									X							X										
SP	FIDELITY CONTRAFUND			X									X								X									
SP	FIDELITY SELECT HEALTH			X									X									X								
SP	FIDELITY NEW MILLENIUM			X									X								X									
SP	FIDELITY DISCIPLINED EQUITY			X									X									X								

For additional assets and unearned income, use next page.

3129

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ANNE MEAGHER NORTHUP | Page 2 of 2

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	FIDELITY LOW PRICED STOCK				X									X									X									
SP	FIDELITY STOCK SELECTOR				X									X									X									
SP	FIDELITY EMERGING GROWTH				X									X								X										
SP	FIDELITY DEV COMMUNICATIONS				X									X								X										
SP	FIDELITY ASSET MANAGER				X									X									X									
SP	RADIO SOUND INC C.S.										X			X															X			
SP	HARLEY DAVIDSON C.S.		X											X								X										
JT	MERRILL LYNCH MONEY MARKET				X									X									X									
SP	ROYAL GOLD C.S.		X																		X											
JT	CLEAR CHANNEL COMM. C.S.			X																	X											
JT	COMPAQ COMPUTER C.S.			X										X								X										
JT	PFIZER INC. C.S.			X										X								X										
	C.S. - COMMON STOCK																															

This page may be copied if more space is required.

3130

Name ANNE MEAGHER NORTHUP | Page 1 of 1

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001 - $15,000)	C ($15,001 - $50,000)	D ($50,001 - $100,000)	E ($100,001 - $250,000)	F ($250,001 - $500,000)	G ($500,001 - $1,000,000)	H ($1,000,001 - $5,000,000)	I ($5,000,001 - $25,000,000)	J ($25,000,001 - $50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
JT	VENCOR-VENTAS COMMON STOCK		X		7-21-98	X									
JT	CLEAR CHANNEL COMM COMMON STOCK	X			9-04-98	X									
JT	CLEAR CHANNEL COMM COMMON STOCK	X			3-25-98	X									
JT	COMPAQ COMPUTER COMMON STOCK	X			9-04-98	X									
JT	PFIZER COMMON STOCK	X			4-30-98	X									
SP	FIDELITY STOCK SELECTOR M.F.	X			12-04-98	X									
SP	FIDELITY CONTRAFUND M.F.	X			12-31-98	X									
SP	FIDELITY NEW MILLENNIUM M.F.	X			12-18-98	X									
SP	FIDELITY DISCIPLINED FUND M.F.	X			12-04-98	X									
SP	FIDELITY LOW PRICED STOCK FUND M.F.	X			9-04-98	X									
SP	FIDELITY ASSET MANAGER M.F.	X			12-18-98	X									

M.F.-Mutual funds

This page may be copied if more space is required.

3131

Name ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B	C	D	E	F	G	H	I	J	K	
			$10,001– $15,000	$15,001 – $50,000	$50,001– $100,000	$100,001– $250,000	$250,001– $500,000	$500,001– $1,000,000	$1,000,001– $5,000,000	$5,000,001– $25,000,000	$25,000,001– $50,000,000	Over $50,000,000	
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X							
	NONE TO REPORT												

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE TO REPORT		

Use additional sheets if more space is required.

Name ANNE MEAGHER NORTHUP	Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
A.I.P.A.C.		MAY 23-29	LOUISVILLE - ISRAEL - LOUISVILLE	Y	Y	Y	N
U.S. CHAMBER OF COMMERCE		SEPT 09	LOUISVILLE - WHITE SULPHUR SPRINGS N.Y. - LOUISVILLE	N	Y	N	N

This page may be copied if more space is required.

HAND DELIVERED

MATHER, HAMILTON & CO.
CERTIFIED PUBLIC ACCOUNTANTS & CONSULTANTS
A PROFESSIONAL SERVICE CORPORATION

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 15 PM 1:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(5)

V

MC

Tony Haywood, Counsel
House Committee on Standards of Official Conduct
Washington, D.C. 20515-6328

Re: Representative Anne M. Northup

Tony:

Enclosed is an amended financial disclosure statement for Representative Northup.

The amendment includes 1998 transactions for the Pacific Funds A and B. These IRA funds were sold during 1998 and replaced with Weingarten Fund B. The appropriate transactions are presented in Schedules III and IV.

You also questioned the Vencor stock held at the end of 1997 and sold during 1998. Vencor split into two companies during 1998 calling the second company Ventas. Both blocks of shares were sold in 1998 and are on schedule IV. Neither Vencor nor Ventas produced any unearned income during 1998. Currently, both companies are near bankruptcy and were so during 1998.

Call with any questions you may have.

With kind regards,

Bruce C. Smith
Certified Public Accountant

REPUBLIC BANK PLACE 661 S. HURSTBOURNE PKWY SUITE 300
LOUISVILLE KY 40222
502-429-0800 800 745-5444 FAX 429 6971

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees.

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 15 AM 11:43
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

ANNE MEAGHER NORTHUP
(Full Name)

3340 LEXINGTON ROAD
(Mailing Address)

LOUISVILLE KENTUCKY 40206

Daytime Telephone
(502) 582-5129

Filer Status	[X] Member of the U.S. House of Representatives	State: KENTUCKY District: 03	[ ] Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[ ] Annual (May 17)	[X] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Anne M. Northup

DATE (Month/Day/Year) 6/14/99

3135

Name ANNE MEAGHER NORTHUP | Page 1 of 2

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																		P
			Simon & Schuster			Indefinite																Royalties												
			1st Bank of Paducah, KY accounts						X								X										X							
	BANC ONE COMMON STOCK									X					X												X						
SP	FIDELITY SELECT ELECTRONIC						X								X							X											
SP	FIDELITY CONTRAFUND						X								X								X										
SP	FIDELITY SELECT HEALTH						X								X									X									
SP	FIDELITY NEW MILLENIUM						X								X								X										
SP	FIDELITY DISCIPLINED EQUITY						X								X									X									

For additional assets and unearned income, use next page.

3136

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ANNE MEAGHER NORTHUP

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	FIDELITY LOW PRICED STOCK				X									X									X								
SP	FIDELITY STOCK SELECTOR				X									X									X								
SP	FIDELITY EMERGING GROWTH				X									X								X									
SP	FIDELITY DEV COMMUNICATIONS				X									X								X									
SP	FIDELITY ASSET MANAGER				X									X									X								
SP	RADIO SOUND INC C.S.										X			X														X			
SP	HARLEY DAVIDSON C.S.		X											X								X									
JT	MERRILL LYNCH MONEY MARKET				X									X									X								
SP	ROYAL GOLD C.S.		X																		X										
SP	CLEAR CHANNEL COMM. C.S.			X																	X										
SP	COMPAQ COMPUTER C.S.			X										X								X									
SP	PFIZER INC. C.S.			X										X								X									
	WEINGARTEN B FUND (IRA)			X																	X										
SP	WEINGARTEN B FUND (IRA)			X																	X										
	C.S.- COMMON STOCK																														

This page may be copied if more space is required.

3187

SCHEDULE IV — TRANSACTIONS

Name ANNE MEAGHER NORTHUP | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	**Asset**														
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	VENCOR-VENTAS COMMON STOCK		X		7-21-98	X									
SP	CLEAR CHANNEL COMM COMMON STOCK	X			9-04-98	X									
SP	CLEAR CHANNEL COMM COMMON STOCK	X			3-25-98	X									
SP	COMPAQ COMPUTER COMMON STOCK	X			9-04-98	X									
SP	PFIZER COMMON STOCK	X			4-30-98	X									
SP	FIDELITY STOCK SELECTOR M.F	X			12-04-98	X									
SP	FIDELITY CONTRAFUND M.F.	X			12-31-98	X									
SP	FIDELITY NEW MILLENNIUM M.F.	X			12-18-98	X									
SP	FIDELITY DISCIPLINED FUND M.F.	X			12-04-98	X									
SP	FIDELITY LOW PRICED STOCK FUND M.F.	X			9-04-98	X									
SP	FIDELITY ASSET MANAGER M.F.	X			12-18-98	X									
	WEINGARTEN B FUND (IRA)	X			12-31-98	X									
SP	WEINGARTEN B FUND (IRA)	X			12-31-98	X									
	MERRILL LYNCH PACIFIC FUND A (IRA)		X		12-31-98	X									
SP	MERRILL LYNCH PACIFIC FUND B (IRA)		X		12-31-98	X									
	M.F. - MUTUAL FUNDS														

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 5:54
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

(12)

Eleanor Holmes Norton
(Full Name)

10 Ninth Street, S.E.
(Mailing Address)

(202) 225-8050
Daytime Telephone

Washington, D.C. 20003

Filer Status
[X] Member of the U.S. House of Representatives — State: ___ District: DC
[ ] Officer or Employee — Employing Office: ___

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date: ___

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Eleanor H Norton

DATE (Month/Day/Year) 5/17/99

3139

Name	Eleanor Holmes Norton	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Georgetown University	Approved Teaching Fee	$6,899
	Pitney Bowes (this item is not subject to the limit on outside earned income)	Retirement Fee	$12,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D										BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify; For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X																	P
			Simon & Schuster		Indefinite																Royalties											
			1st Bank of Paducah, KY accounts					X									X									X						
	Congressional Federal Credit Union				X												X							X							
	Boston Capital Private ~~Tax~~ Credit Fund				X												X						X								

For additional assets and unearned income, use next page.

3141

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Eleanor Holmes Norton

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset									BLOCK C: Type of Income				BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B	C $1,001 – $15,000	D	E $50,001 – $100,000	F	G $250,001 – $500,000	H	I $1,000,001 – $5,000,000	J	K $25,000,001 – $50,000,000	L	DIVIDENDS	RENTS, ROYALTIES, OR LONG-TERM CAPITAL GAINS	INTEREST	EXCEPTED TRUST	LONG-TERM CAPITAL GAINS FROM QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1,001 – $2,500	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Intergen Investors																			X									
	RTC Cash Account			X												X					X								
	Anchor National Life					X										X								X					P
	Insurance Annuity Season																												
	Alliance Premier Growth					X																	X						P
	Fund Class C																												
	Mass. Investor Growth					X																	X						P
	Stock Fund Class C																												
	MFS Emerging Growth					X																X							P
	Fund Class C																												
	Fidelity Advisor Growth					X										X							X						P
	Opportunities Fund Class																												
	High Equity Partners			X										X							X								
	Series 85																												
	High Equity Partners			X										X							X								
	Series 86																												
	Technology Funding			X										X								X							
	Venture Partners IV																												
	Technology Funding																			X									
	Venture Partners V																												
	Westmed 1 L.P.			X																X									
	Synthetic Industries L	P												X							X								

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Eleanor Holmes Norton

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,001	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	LG&E Energy Co.				X									X									X								
	Davis NY Venture Fund					X								X									X								
	Davis NY Venture Fund					X											X							X							
	Romeo MI Community School District				X															OID *			X								
	Indiana Univ. Revs				X															OID			X								
	Student Cook Co. IL SD				X															OID			X								
	CMA Tax-Exempt				X									X									X								

This page may be copied if more space is required.

* OID = Ordinary Interest and Dividends

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Eleanor Holmes Norton

	BLOCK A	BLOCK B Year-End Value of Asset										BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E
SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Met Life Pension			X																Income Yet To Be Derived											
	Annuity																														
	TIAA-CREF		Indefinite																	Income Yet To Be Derived											

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Eleanor Holmes Norton

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	Rotor Tool, L.P.		X		3/98		X								
	MFS Emerging Growth Fund Class B		X		3/10/98			X							
	Davis NY Venture Fund	X	(Dividend		12/3/98	X									
			Reinvestment)												
	Anchor National Life Insurance	X			12/4/97			X							
	Annuity Seasons														
	Alliance Premier Growth Fund Class C	X			3/18/98		X								
		X	(Capital		12/16/98	X									
			Gains Reinvestment)												
	Massachusetts Investor Growth Stock	X			3/18/98		X								
	Fund Class C	X	(Cap.Gains Reinvestment)		12/17/98	X									
	Fidelity Advisor Growth Opportunities	X			3/18/98 3/25/98		X								
	Fund Class C	X	(Cap. Gains & Div.)		12/11/98	X									
	Fidelity Advisor Growth Opportunities Fund Class T		X		3/10/98				X						
	MFS Emerging Growth Fund Class C	X			3/18/98		X								

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Marietta College	Feb.12-13	Wash., DC - Marietta, OH - Wash., DC	Y	N	N	N
West Palm Beach Chapter of NOW	Feb.20-21	Wash., DC - West Palm Beach, FL - Wash., DC	Y	Y	N	N
Congressman Martin Meehan	May 1	Wash., DC - Boston - Wash., DC	N	N	N	N
The ARCA Foundation	May 15-17	Wash., DC - Jacksonville, FL - Wash., DC	Y	Y	N	N
Spelman College	Oct.29-30	Wash., DC - Atlanta - Wash., DC	Y	N	N	N
Skinner Farm Leadership Institute	Nov.12-15	Wash., DC - Montego Bay, Jamacia - Wash., DC	Y	Y	N	N
Center for the Study of Women and Society	Dec. 1	Wash., DC - New York - Wash., DC	N	N	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	Please see attached exhibit.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
7/27/98	Georgetown University	Extended Leave of Absence from position as Professor of Law
	(Please see attached Letter of Approval from the Committee on Standards of Official Conduct and Letter of Agreement from Georgetown University Law School)	Part-time faculty appointment to teach a seminar in 1997-98 academic year

GPO: 1999 55-105 (mac)

Use additional sheets if more space is required.

The Honorable Eleanor Holmes Norton
Financial Disclosure Statement for Calendar Year 1998
Exhibit
Schedule VIII, Positions

Position	Name of Organization
Professor of Law*	Georgetown University
Member of the Board	Center for Budget and Policy Priorities
Member	Citizens Commission for Civil Rights
Member	Council on Foreign Relations
Member of the Board	Economic Policy Institute
Member of the Board	Environmental Law Institute
Member of the Board	Lawyers Committee for Civil Rights Under Law
Member of the Board	Ronald McDonald House
Chair	Women's Law and Public Policy Fellowship Program
Member of the Board	Work in America Institute
Chair	Workplace Health Fund
Member of the Board	YMCA of the USA

* on extended leave of absence; teaching seminar during 1998-99 academic year (See Schedule IX and attached letters)

JAMES V. HANSEN, UTAH
CHAIRMAN
LAMAR SMITH, TEXAS
JOEL HEFLEY, COLORADO
BOB GOODLATTE, VIRGINIA
JOE KNOLLENBERG, MICHIGAN

HOWARD L. BERMAN, CALIFORNIA
RANKING DEMOCRATIC MEMBER
MARTIN OLAV SABO, MINNESOTA
ED PASTOR, ARIZONA
CHAKA FATTAH, PENNSYLVANIA
ZOE LOFGREN, CALIFORNIA
SUITE HT-2, THE CAPITOL
(202) 225-7103

ONE HUNDRED FIFTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Washington, DC 20515–6328

September 1, 1998

The Honorable Eleanor Holmes Norton
U.S. House of Representatives
1424 Longworth House Office Building
Washington, D.C. 20515

Dear Colleague:

This is to notify you of Committee approval of your request of August 10, 1998 to teach a course for compensation this fall at Georgetown University Law Center. With your letter you enclosed a copy of a letter from the Dean of the Law Center. Your letter states that you have taught this course since 1991, and that you will use no official House resources, including staff time, in teaching this course.

These arrangements appear to be similar to those that the Committee has approved in each of the past several years, pursuant to the guidelines issued by the Committee on teaching by Members and senior staff. As noted previously, your teaching compensation is subject to the 15 percent outside earned income limit of House Rule XLVII. For 1998, that limit is $20,505. The income must also be reported on your annual Financial Disclosure Statement.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-3787.

Sincerely,

James V. Hansen
Chairman

Howard L. Berman
Ranking Democratic Member

JVH/HLB:jev

GEORGETOWN UNIVERSITY LAW CENTER

July 27, 1998

Office of the Dean

The Honorable Eleanor Holmes Norton
U. S. House of Representatives
1424 Longworth House Office Building
Washington, D.C. 20515

Dear Eleanor:

I am delighted that you have agreed to teach a seminar at the Law Center during the 1998-99 academic year. This letter confirms the terms of your faculty appointment.

Consistent with Georgetown University's faculty leave policy, your leave of absence from the full-time faculty has been extended through June 30, 1999.

Your seminar will be offered as part of the regular J.D. program of instruction at the Law Center. Students will receive regular credit toward their law degrees for their attendance and participation in your seminar.

Your salary will be $8,000, which will be paid out of Law Center operating funds. No federal funds will be devoted to your salary. The compensation you will receive does not exceed the salary the Law Center pays to those whose professional qualifications are similar to yours and who provide a comparable level of instruction and amount of work. Barbara Duncan, administrative officer in charge of Law Center payroll, will contact you regarding arrangements for payment.

Enclosed is a copy of the 1998-99 Law Center academic calendar. If you have any questions about the scheduling of your seminar, please contact Assistant Dean Carol O'Neil at (202) 662-9041.

You are eligible to hire a student research assistant to assist in preparation of the seminar materials. The student will be paid out of Law Center operating funds.

Sincerely,

Judith Areen
Dean

Understood and accepted

ELEANOR HOLMES NORTON Date 8/5/98

600 New Jersey Avenue NW Washington DC 20001-2075
202-662-9030 FAX 202-662-9055

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 AM 10:36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Jim Nussle
(Full Name)

303 Cannon House Office Building 202-225-2911
(Mailing Address) Daytime Telephone

Washington, D.C. 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: Iowa District: 2	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Jim Nussle **DATE** (Month/Day/Year) 5/12/99

3151

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Jim Nussle

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	BLOCK B												BLOCK C							BLOCK D											BLOCK E
				A	B	C		E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
				None	$1 – $1,000	$1,001 – $15,000		$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp Stock													X										X								P
			Simon & Schuster				Indefinite																Royalties								X				
			1st Bank of Paducah, KY accounts						X									X										X							
			Firstar Bank Stock				x									x									x										
			Pfizer Stock (Intrafamily Transfer)				x									x								x											
			U.S. Savings Bonds		x													x					x												

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 15 PM 1:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

James L. Oberstar
(Full Name)

2365 Rayburn House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: 202/225-6211

Filer Status
[X] Member of the U.S. House of Representatives — State: MN District: 08
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? If yes, complete and attach Schedule VII.	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If yes, complete and attach Schedule IV.	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [X]	No [ ]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL: James L. Oberstar

DATE (Month/Day/Year): 6-15-99

3153

Name James L. Oberstar	Page 1 of 1

SCHEDULE I – EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Kurth & Company	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT	*Examples:* SP 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	Mega Corp. Stock $15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
SP	Kurth & Co. (Money Purchase Plan retirement fund)	$500,001 - $1,000,000	na	$2,501 - $5,000	NA
SP	Kurth & Co. (Defined benefit retirement fund)	$500,001 - $1,000,000	na	NA	NA
SP	Kurth & Co. ByPass Trust	Excepted Trust	Excepted Trust	None	NA
SP	Kurth QTip A Trust	Excepted Trust	Excepted Trust	None	NA
SP	Prudential Money Fund	$1,001 - $15,000	Dividends	$1 - $200	NA
SP	Johnson & Johnson	$15,001 - $50,000	Dividends	$201 - $1,000	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	Trion, Inc.	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	Xerox Corporation	$15,001 - $50,000	Dividends	$201 - $1,000	NA
SP	Safeway, Inc.	$15,001 - $50,000	Interest	$1,001 - $2,500	NA
SP	Central European Media Enterprise, Ltd.	None	Capital Gains	None	S
SP	Bethlehem Steel Corp.	$15,001 - $50,000	Dividends	None	P
SP	C&D Technologies	None	Capital Gains and Dividends	$15,001 - $50,000	S
SP	GRC International	$15,001 - $50,000	Dividends	None	P
SP	Iron Mountain	$50,001 - $100,000	Dividends	None	NA
SP	LeCroy Corp.	$15,001 - $50,000	Dividends	None	NA
SP	Pharmakinetics Labs	$1,001 - $15,000	Dividends	None	NA
SP	Reptron	$1 - $1,000	Dividends	None	NA
SP	Unisys Corp.	$100,001 - $250,000	Capital Gains	$15,001 - $50,000	Partial Sale
SP	Vitesse Semiconductor	$50,001 - $100,000	Dividends	None	NA
SP	Xicor Inc.	$1,001 - $15,000	Dividends	None	P
SP	Reptron (IRA)	$1,001 - $15,000	Dividends	None	
SP	Starwood Lodgings (IRA)	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	Money Market (IRA)	$1,001 - $15,000	Dividends	$1 - $200	NA
SP	Johnson & Johnson (IRA)	$15,001 - $50,000	Dividends	$201 - $1,000	NA
SP	Stevens International, Inc. (IRA)	$1,001 - $15,000	Dividends	None	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	Unisys Corp. (IRA)	$100,001 - $250,000	Dividends	None	NA
SP	Saul Centers, Inc. (IRA)	$1,001 - $15,000	Dividends	$201 - $1,000	P
SP	IRT (IRA)	None	Capital Gains and Dividends	$2,501 - $5,000	S
SP	JKO, Inc.	$50,001 - $100,000	Interest	None	NA
SP	Southview & Prince William	$15,001 - $50,000	Rent	None	NA
SP	2402 37th St., NW, Wash., DC (50%)	$50,001 - $100,000	Rent	$5,001 - $15,000	NA
SP	Paine Webber	$15,001 - $50,000	Dividends	$1,001 - $2,500	NA
JT	Smith Barney Managed Muncipal Fund	$15,001 - $50,000	Dividends	$1,001 - $2,500	NA
JT	Smith Barney Managed High Income Fund	$15,001 - $50,000	Dividends	$201 - $1,000	NA
	Prudential Money Fund	$15,001 - $50,000	Dividends	$1,001 - $2,500	NA
	US Treasury Notes	$15,001 - $50,000	Interest	$1,001 - $2,500	NA
SP	Aztec Technology Partners	$1 - $1,000	Dividends	None	NA
SP	C & D Technologies Inc.	$50,001 - $100,000	Dividends	$1 - $200	NA
SP	Iron Mountain	$50,001 - $100,000	Dividends	None	NA
SP	Navigant International Inc.	$1 - $1,000	Dividends	None	NA
SP	Saul Centers, Inc.	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
SP	Scan Optics, Inc.	$1,001 - $15,000	Dividends	None	NA
SP	School Specialty Inc.	$1 - $1,000	Dividends	None	NA
SP	Stevens International Inc.	$1,001 - $15,000	Dividends	None	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	US Office Products Co.	$1 - $1,000	Dividends	None	E
SP	Work Flow Management, Inc (Spinoff of US Office Products)	$1 - $1,000	Dividends	None	E
SP	Xicor, Inc.	$1,001 - $15,000	Dividends	None	NA
SP	Arch Communications Group	$15,001 - $50,000	Interest	$5,001 - $15,000	NA
SP	Prudential Money Fund	$15,001 - $50,000	Dividends	$1,001 - $2,500	NA
SP	IRT Property Co.	None	Capital Gains and Dividends	$5,001 - $15,000	S
SP	Iron Mountain	$1,001 - $15,000	Dividends	None	NA
SP	Johnson and Johnson	$1,001 - $15,000	Dividends	$1 - $200	NA
SP	LeCroy Corporation	$1,001 - $15,000	Dividends	None	NA
SP	Orion Capital Corp.	$1,001 - $15,000	Dividends	$1 - $200	NA
SP	Reptron Electronics	$1,001 - $15,000	Dividends	None	NA
SP	Saul Centers	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
SP	Xerox	$1,001 - $15,000	Dividends	$1 - $200	NA
SP	Command Money Fund	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	John Hancock Class B	$50,001 - $100,000	Dividends	$2,501 - $5,000	NA
SP	Vista Mutual Growth & Income Fund	$250,001 - $500,000	Dividends	$15,001 - $50,000	NA
SP	Great Hall Gov. Money Market Fund	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	Zurich Gov. Money Market Fund (was Kemper Money Market)	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	Kemper High Yield	$15,001 - $50,000	Dividends	$201 - $1,000	NA

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	Pioneer Growth Class B	$50,001 - $100,000	Dividends	$2,501 - $5,000	NA
SP	John Hancock Class B	$100,001 - $250,000	Dividends	$5,001 - $15,000	NA
	Cong. Federal Credit Union	$100,001 - $250,000	Interest	$5,001 - $15,000	NA
	Cong. Federal Credit Union (IRA)	$1,001 - $15,000	Interest	$1 - $200	NA
	Chevy Chase Bank	$1,001 - $15,000	Interest	$1 - $200	NA

Name James L. Oberstar	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
Example:	Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
SP	Bethlehem Steel Corp.	Purchase	4/20/98	$15,001 - $50,000
SP	C & D Technology	Sold	4/20/98	$15,001 - $50,000
SP	GRC International Inc.	Purchase	11/3/98	$15,001 - $50,000
SP	Unisys Corp.	Sold	11/3/98	$15,001 - $50,000
SP	Xicor Inc.	Purchase	11/3/98	$1,001 - $15,000
SP	IRT Property Co.	Sold	3/20/98	$15,001 - $50,000
SP	US Office Products	Exchange	6/10/98	$1,001 - $15,000
SP	Saul Centers Inc.	Purchase	3/20/98	$15,001 - $50,000
SP	Saul Centers Inc.	Purchase	3/25/98	$15,001 - $50,000
SP	Saul Centers (IRA)	Purchase	3/20/98	$1,001 - $15,000
SP	IRT	Sold	3/20/98	$1,001 - $15,000

3160

Name James L. Oberstar	Page 1 of 1

SCHEDULE VII – TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash. D.C.-Chicago-Wash. D.C.	N	N	N	N
Mega Corporation	Aug 8-13	Wash. D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Intl Mgmt & Dev. Institute	1/14-20/98	DC-London-Oslo-DC	Y	Y	Y	None
Assn of Corporate Travel Executives	5/29-6/1/98	DC-New Orleans-DC	Y	Y	Y	2
San Jose State University Intl Inst. for Surface Transp.Policy Studies	7/31-8/3/98	DC-SanJose-Sacramento-DC	Y	Y	N	1
Continental Airlines/Honeywell Corp.	9/21/98	DC-Newark-DC	N	Y	N	None
Aspen Institute	11/12-15/98	DC-Bermuda-DC	Y	Y	Y	None

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.
Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Honorary Board Member	George Washington University Medical Center, Cancer Center Advisory Board
Board of Trustees (Position by federal statute)	John F. Kennedy Center for the Performing Arts
Board of Trustees (Position by federal statute)	Int'l Institute for Surface Transportation Policy Studies

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
99 MAY 14 PM 4:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC HAND DELIVERED (4)
(Office Use Only)

David R. Obey
(Full Name)

2314 Rayburn House Office Bldg.
(Mailing Address)

Washington DC 20515

Daytime Telephone: (202) 225-3365

Filer Status: [X] Member of the U S House of Representatives — State: WI, District: 07 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? (See Instructions, pages 10-11) Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? (See Instructions, page 11.) Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C app. 4, 104 and 18 U.S.C 1001)

SIGNATURE OF REPORTING INDIVIDUAL — **DATE** (Month/Day/Year) 5-14-99

3163

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. For further information, see instructions, pages 12-13.

	Source	Type	Amount
	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
Examples:	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Georgetown University Hospital	Spouse Salary (Medical Social Worker)	

For payments to charity in lieu of honoraria, use Schedule II.

3164

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, *Examples:* SP JT	Mega Corp Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Fidelity Trust	$15,001 - $50,000	(IRA)	NA	
SP	First Union National Bank	$1,001 - $15,000	(IRA)	NA	
SP	The Vanguard Group	$1,001 - $15,000	(IRA)	NA	
SP	U.S. Savings Bond Series EE	$1,001 - $15,000	(No Income Yet Derived)		
SP	U.S. Treasury Bill	$1,001 - $15,000	Interest	$201 - $1,000	
JT	Wright-Patman Congressional Federal Credit Union	$1,001 - $15,000	Interest	$201 - $1,000	

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you. For further information, see Instructions, pages 24-26.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples. Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Aspen Institute (Conference on Child Development and Education)	Feb. 13-16	Wash., D.C.-Charleston, S.C.-Wash., D.C.	Y	Y	Y	N
Aspen Institute (Conference on U.S.-China Relations. The Aspen Institute is not a lobbyist organization. It does no lobbying. It allows no congressional staff, lobbyist, or special interests to participate of any kind.)	Apr. 13-17	Wash., D.C.-Lanai, Hawaii-Wash., D.C.	Y	Y	Y	N

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 10: 34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

John W. Olver
(Full Name)

Box 28 — 202-225-5335
(Mailing Address) — Daytime Telephone:

Amherst, MA 01004

Filer Status	[xx] Member of the U.S. House of Representatives	State: Mass. District: 1	[ ] Officer or Employee	Employing Office:
Report Type	[xx] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [x]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [xx]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [x]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [xx]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [x]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL John W. Olver — **DATE** (Month/Day/Year) 13 May 99.

3167

Name	John W. Olver	Page 1 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Amherst College -- Amherst, MA	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: John W. Olver — Page 2 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X																		P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
JT	AMCAP Fund, Inc.				X										X				X						X								
JT	Carlyle Real Estate Ltd.				X												X	X						X									
JT	Daily Cash Accumulation Fund				X										X								X										
JT	Canada So. Petro. LTD				X																	X											
JT	Magellan Petroleum Corp.				X																	X											
JT	33 Myrtle St., Boston MA						X									X											X						

For additional assets and unearned income, use next page.

3169

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John W. Olver

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction	
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	120 acres unimproved land, 117 Central St. Plainfield	MA				x															x										
JT	27 acres unimproved land, Buffam Rd., Pelham, MA					x															x										
JT	45 acres unimproved land, N. Valley Rd., Pelham, MA				x																x										
JT	25 acres unimproved land, Beachlake, Berlin Tsp., PA					x															x										
	Mass. State Employee Pension					x														pension						x					
SP	Fleet Bank, 1 S. Pleasant St. Amherst, MA				x											xx								x							
SP	Scudder N.E. fund mutual fund			x										x			x						x								P
SP	Texas Instruments stock			x																	x										P
SP	Monsanto Chemical Co. stock			x																	x										P
SP	Eastman Kodak stock			x																	x										P
SP	Mass. Ind. Fin. Agcy. bonds			x																	x										P
SP	Mass. Water Resources Auth. bonds			x																	x										P

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: John W. Olver — Page 4 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	x			10-12-98		x								
SP	Scudder N.E. Fund	mutual fund	x			9-21-98	x									
SP	Texas Instruments	stock	x			9-9-98	x									
SP	Monsanto Chemical Corp.	stock	x			9-9-98	x									
SP	Eastman Kodak	stock	x			9-9-98	x									
SP	Mass. Industrial Finance Agency	Bonds	x			9-9-98	x									
SP	Mass. Water Resources Authority	Bonds	x			9-10-98	x									

This page may be copied if more space is required.

3171

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Fleet Bank, 1 Monarch Pl., Springfield, MA	mortgage on 33 Myrtle St. #2 Boston, MA		X								

3172

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President	Americans for Democratic Action, 1625 K St., NW, Washington, DC 20006

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
6-14-91	Mass. State Employees Life Insurance Program	*Continuing benefits as a former state employee
6-14-91	Mass. State Employee Retirement Program	Retirement effective: November 20, 1992

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 14 PM 3:50
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Solomon Porfirio Ortiz
(Full Name)

2136 Rayburn House Office Bldg Wash DC 20515 202/225-7742
(Mailing Address) (Daytime Telephone)

MANUALLY ENTERED
(Office Use Only)

Filer Status	[X]	Member of the U.S. House of Representatives	State Texas District: 27th	[ ] Officer or Employee	Employing Office
Report Type	[X]	Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Solomon P. Ortiz

DATE (Month/Day/Year): 5/13/99

3174

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
NONE			

This page may be copied if more space is required.

Name Solomon Porfirio Ortiz | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP	*Examples:* SP Mega Corp Stock					X								X																	P
DC	SP Simon & Schuster			Indefinite																Royalties											
JT	SP 1st Bank of Paducah, KY accounts						X									X									X						
	1250 4th St SW #511 Wash DC				X										X										X						
JT	4601 Carmen #2104 Rancho Viejo, TX				X										X										X						
JT	1011 Ennis Joslin #108 Corpus Christi, TX				X										X										X						
	Amtex Security Inc. Corpus Christi, TX				X									X											X						
	Wright-Patman Fed. Cong. Credit Union - Wash DC						X									X								X							
	Unimproved lots (3) Robstown, TX				X															none	X										

For additional assets and unearned income, use next page.

3177

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Solomon Porfirio Ortiz

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income								BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Van Kempen Funds				X									X													X					
	Pace Fund A - IRA																															
	Cross Country Bank CD			X												X							X									
	Equitable Common Stock				X									X											X							
	Alliance																															
	Equitable Non-qualified IRA						X									X					N/A											
	Equitable Qualified IRA				X											X					N/A											
	Equit. Whole Life Insurance					X										X					N/A											
DC	Equit. Whole Life Insurance				X											X					N/A											
	Equit. Whole Life Insurance			X												X					N/A											
	PLM Securities Inc.																															
	Equipment Growth Fund II					X								X								X										
JT	Principal Princor Emerging																															
	Growth Fund INC-CLA				X									X								X										S
JT	Principal Ivy Growth																															
	w/Income Fund CLB						X							X										X								S
JT	Principal Money Market Cash																															
	Mgmt. Fund Class A				X											X						X										S
	Norwest Bank - Texas			X																												S
	(account closed)																															

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: Solomon Pirfirio Ortiz | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	Principal Princor Emerging Growth Fund														
	INC-CLA		X		8/28/98		X								
JT	Principal Ivy Growth w/Income Fund CLB		X		8/28/98				X						
JT	Principal Money Market Cash Management														
	Fund Class A		X		8/28/98	X									
	Norwest Bank, Texas - (account closed & cash	X			3/26/98	X									
	put into Equitable Qualified IRA)														

This page may be copied if more space is required.

3179

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Alliance Mortgage, Charlotte, NC	Mortgage on 1250 4th St SW	X									
JT	Mercantile Bank, Corpus Christi, TX	Loan to Amtex Security				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Fundacion Consejo Espania - EEU & United States - Spain Council	Feb 16-22	Wash DC - Madrid - La Roija Wash DC	Y	Y	N	N
Chinese National Association of Industry & Commerce	April 2-9	Wash DC - Taiwan - Wash DC	Y	Y	N	N
Chinese People's Institute of Foreign Affairs	May 23-31	Wash DC - Shangai - Xiang - Beijing - Wash DC	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Amtex Security Inc. - Corpus Christi, Texas
Board Member	Congressional Hispanic Caucus Institute
Board Member	Overcoming Obstacles
Board Member	National Association of Latino Elected Officials

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

SOLOMON P. ORTIZ
27TH DISTRICT, TEXAS

2136 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515–4327
202–225–7742

DISTRICT OFFICES
3649 LEOPARD, SUITE 510
CORPUS CHRISTI, TX 78408
512–883–5868

3505 BOCA CHICA BOULEVARD, SUITE 200
BROWNSVILLE, TX 78521
956–541–1242

Congress of the United States
House of Representatives
Washington, DC 20515–4327

COMMITTEES
ARMED SERVICES
SUBCOMMITTEES
RANKING MINORITY, MILITARY READINESS
MILITARY INSTALLATIONS AND FACILITIES
MORALE, WELFARE AND RECREATION PANEL

RESOURCES
SUBCOMMITTEES
ENERGY AND MINERAL RESOURCES
FISHERIES, CONSERVATION, WILDLIFE AND OCEANS

INSIDE MAIL

May 19, 1999

Mr. Jeff Trandahl
Clerk of the House
H-154, The Capitol
Washington, D C

MC

99 MAY 21 PM 5:00
RECEIVED
HOUSE MAIL CENTER

Dear Mr. Trandahl:

I would like to amend my Financial Disclosure Statement for 1998.

On the first page, under Preliminary Information, I neglected to indicate that a check should be placed in the "yes" box under Item IV.

If you have any questions, please do not hesitate to contact me at 225-7742

Sincerely,

Solomon P Ortiz
Member of Congress

SPO/rb

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
99 MAY 14 PM 3:52
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Douglas A. Ose
(Full Name)

4013 Park Road
(Mailing Address)

Sacramento CA 95841

Daytime Telephone: 916-339-9684

Filer Status	[X]	Member of the U.S. House of Representatives	State: CA District: 03	[ ]	Officer or Employee
Report Type	[X]	Annual (May 15)	[ ] Amendment	[ ]	Termination Date: Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Douglas A. Ose

DATE (Month/Day/Year): 5/14/99

3184

Name Douglas A. Ose	Page 1 of 1

SCHEDULE I – EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Lockerooms 2, A California Limited Partnership	Management Fee	$5,000.00
	Melenco, Inc.	Director's Fee	$2,000.00
	California State Automobile Association, San Francisco	Director's Fee	$400.00
	Inter Insurance Bureau, San Francisco	Director's Fee	$3,333.33
	Mercy General Hospital, Sacramento	Salary	$20,000.00

For payments to charity in lieu of honoraria, use Schedule II.

Name	Page 1 of 1

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
	XYZ Magazine	Article	Aug. 13, 1998	$ 500
	NONE			

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. Exclude: Your personal residence(s) (unless rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT *Examples:*	SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Ose Properties, Inc., Sacramento		$5,000,001 - $25,000,000	Subchapter S Income	$50,001 - $100,000	
	Ose Properties #2, Sacramento		$500,001 - $1,000,000	Partnership Income	None	
	Ose Properties #3, Sacramento		$1,000,001 - $5,000,000	Partnership Income	None	
	Ose Properties #4, Sacramento		$1,000,001 - $5,000,000	Partnership Income	$15,001 - $50,000	S
	MMSD Inc., Sacramento		$500,001 - $1,000,000	Partnership Income	None	S
	2250 University Ave., Sacramento		$100,001 - $250,000	Rent	$5,001 - $15,000	
	Ose Properties #5		$1,000,001 - $5,000,000	Partnership Income	$5,001 - $15,000	

3187

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Ose LP	$500,001 - $1,000,000	Partnership Income	$5,001 - $15,000	S
	Melenco Inc.	$250,001 - $500,000	Subchapter S Income	$5,001 - $15,000	
	River Court West LP	$1,000,001 - $5,000,000	Partnership Income	$15,001 - $50,000	
	Levee Road LP	$1,000,001 - $5,000,000	Partnership Income	$15,001 - $50,000	
JT	Natomas Racquet Club	$1,001 - $15,000	Partnership Income	$1,001 - $2,500	
	4 Sylvan LP	$100,001 - $250,000	Partnership Income	None	
	Lockeroom 2 LP	$100,001 - $250,000	Partnership Income	$2,501 - $5,000	
	Sydling Court Investment Company, Inc.	$50,001 - $100,000	Subchapter S Income	None	
	Mellow LP	$1,000,001 - $5,000,000	Partnership Income	$1,001 - $2,500	S
	Broadstone Racquet Club LP	$100,001 - $250,000	Partnership Income	$5,001 - $15,000	
JT	IBM Common	$15,001 - $50,000	Dividends	$1,001 - $2,500	
DC	Bank of America Common	$50,001 - $100,000	Dividends	$1,001 - $2,500	
DC	St. Joe Corp. Common	$15,001 - $50,000	Dividends	$1 - $200	
SP	Lucent Technology Common	$15,001 - $50,000	Dividends	$1 - $200	
SP	AT&T Common	$1,001 - $15,000	Dividends	$201 - $1,000	
DC	Hewlett Packard Common	$15,001 - $50,000	Dividends	$1 - $200	
	Time Warner Common	$1,001 - $15,000	Dividends	None	
SP	Intel Corp. Common	$1,001 - $15,000	Dividends	$1 - $200	
	State Street Bank	$15,001 - $50,000	Dividends	$1 - $200	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

DC	Lucent Technology Common	$15,001 - $50,000	Dividends	$1 - $200	
	AT&T Common	$1,001 - $15,000	Dividends	$201 - $1,000	

Name Douglas A. Ose	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Ose Properties #4	Sold	02/98	$500,001 - $1,000,000
	MMSD	Sold	10/98	$100,001 - $250,000
	Ose LP	Sold	05/98	$500,001 - $1,000,000
	Mellow LP	Sold	09/98	$500,001 - $1,000,000

8190

Name Douglas A Ose	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child.
amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
	Wells Fargo Bank	Non Recourse Mortgage on Lockeroom 2	$1,000,001 - $5,000,000
	California Dept of Transportation	Non Recourse Mortgage on 4 Sylvan	$15,001 - $50,000

Name	Page 1 of 1

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold

Note: The gift rule (House Rule 51, re-numbered to clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270
NONE		

Name Douglas A. Ose	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash. D.C.-Chicago-Wash. D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash. D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
California Council for International Trade	11/98	Sacramento-Monterey-Sacramento	N	Y	N	N

Name Douglas A. Ose	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
General Partner	Lockeroom 2, A California Limited Partnership
General Partner	4 Sylvan
Director	Ose Properties Inc.
Director	Melenco Inc.
Director	MMSD Inc.
Director	California State Automobile Association
Director	Inter-Insurance Bureau
Director	Sydling Court Investment Company, Inc
Director	Lockeroom Management Inc.

Name	Page 1 of 1

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U S Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 3:17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Major Robert Owens
(Full Name)
135 Eastern Parkway Apt. 3H
(Mailing Address)
Brooklyn, New York 11238
(718) 773-3100
Daytime Telephone

MC HAND DELIVERED
(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State: New York District: 11th	☐ Officer or Employee	Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	☒ Annual (May 17)	☐ Amendment	☐ Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☒	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Major R. Owens **DATE** (Month/Day/Year) May 13, 1999

3196

Name Major R. Owens | Page 2 of 3

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
University of Pittsburg School of Information Service	April 9	Wash. D.C - Pittsburgh - New York	Y	X	N	N
Association for Equality and Excellence in Education	May 2	Wash. D.C - Puerto Rico - New York	X	Y	Y	N
The Aspen Institute	Aug. 16-23	New York - Budapest - New York	X	Y	Y	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Communovations Associates

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 14 PM 4:17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Michael Garver Oxley
(Full Name)

2233 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone: (202) 225-2676

Filer Status
[X] Member of the U.S. House of Representatives — State: Ohio District: 4th
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year): 6/14/99

3199

Name	OXLEY	Page 2 of 10

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Weichert Realtors	Spouse Commissions	N/A

For payments to charity in lieu of honoraria, use Schedule II.

3200

Name	OXLEY	Page 3 of 10

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
National Cable Television Association	Speech	June 24, 1998	$ 1,500
Edward D. Jones Securities	Speech	Feb. 24, 1998	$ 2,000

This page may be copied if more space is required.

Name OXLEY | Page 4 of 10

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D										BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP	Mega Corp. Stock				X								X										X							P
		Simon & Schuster		Indefinite																	Royalties								X			
		1st Bank of Paducah, KY accounts					X									X									X							
		The Ohio Bank Keough Plan			X											X						N/A										
		Wright Patman Federal Credit Union		X												X								X								
SP		Nations Bank (VA)		X												X								X								
		Northwestern Mutual Life	X													X							X									E

For additional assets and unearned income, use next page.

3202

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name OXLEY

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
JT	Merrill Lynch Money Market				X											X															
JT	Merrill Lynch Mutual Funds				X												X							X							
JT	Van Kampen American			X																											
JT	Alliance Permier Gro			X																											
JT	Amern Centy Inc. & Gr			X																											
JT	National Marsico Focu			X																											
JT	CMA Money Fund	X																													
JT	Phoenix International			X																											
	Merrill Lynch IRA																														
	ML Retirement Rsrvs	X																		N/A											N/A
	Van Kampen American			X																N/A											N/A
	Alliance Premier Gro			X																N/A											N/A
	Robertson Steph Emer			X																N/A											N/A
	Amern Centy Inc. & Gr				X															N/A											N/A
	Nations Marsico Grwt			X																N/A											N/A
	Phoenix International			X																N/A											N/A
	Massachusetts Invest			X																N/A											N/A

This page may be copied if more space is required.

3203

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name OXLEY

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Spouse Keough Plan																															
S	ML Retirement Rsrvs		X																	N/A												N/A
S	Van Kampen American			X																N/A												N/A
S	Alliance Permier Gro			X																N/A												N/A
S	Robertson Steph Emer			X																N/A												N/A
S	Amern Centy Inc.&Gr.				X															N/A												N/A
S	Janus Twenty Fund			X																N/A												N/A
S	Phoenix International			X																N/A												N/A

This page may be copied if more space is required.

3204

SCHEDULE IV — TRANSACTIONS

Name OXLEY

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
JT	Cooper Tire Common Stock (inheritance)		X		2/12/98	X									
JT	Kimberly Clark Corp. Common Stock (inheritance)		X		2/12/98	X									
JT	Pepsico Common Stock (inheritance)		X		2/12/98	X									
JT	Northwestern Mutual Life			X	2/12/98		X								

This page may be copied if more space is required.

3205

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Congressional Economic Leadership Institute	Nov. 29 - Dec. 6	Wash. D.C. - Brussels - London - Wash. D.C.	Y	Y	Y	N
Ripon Education Fund	Nov. 7 - Nov. 14	Wash. D.C. - Madrid - Washington, D.C.	Y	Y	Y	Y (2 days)
Invest to Compete Alliance	July 2 - July 5	Wash. D.C. - Hyannis MA - Wash. D.C.	Y	Y	Y	N
CSX Corporation	May 22 - May 25	Wash. D.C. - Lewisburg W. VA - Wash. D.C.	Y	Y	Y	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 27 PM 3:36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

Ronald C. Packard
(Full Name)

2372 Rayburn HOB
(Mailing Address)

Washington DC 20515

Daytime Telephone
202 225 3906

Filer Status	[X]	Member of the U S House of Representatives	State District	CA 48	[ ]	Officer or Employee — Employing Office
Report Type	[X]	Annual (May 15)	[ ]	Amendment	[ ]	Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app 4, 104 and 18 U S C 1001)

SIGNATURE OF REPORTING INDIVIDUAL Ron Packard **DATE** (Month/Day/Year) 5-27-99

3209

Name Ronald C. Packard	Page 1 of 1

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude**: Your personal residence(s) (unless there rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP DC *Examples* JT	Mega Corp. Stock SP 123 Main St., Dover, Del 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT	Coin Collection	$1,001 - $15,000	Capital Gains	None	NA
	Investment Diamonds	$15,001 - $50,000	Capital Gains	None	NA
	Charles Schwab IRA self Directed	$15,001 - $50,000	Capital Gains	None	NA
	Appel Orthodontics (stock) in Schwab IRA above	$1,001 - $15,000	Capital Gains	None	NA
	Appel Orthodontics (stock) not in IRA	$1,001 - $15,000	Capital Gains	None	P

3210

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
JT	Appel Orthodontics	Sold	4/28/98	$15,001 - $50,000
JT	Appel Orthodontics	Purchase	5/2798	$1,001 - $15,000
JT	Appel Orthodontics	Purchase	5/28/98	$15,001 - $50,000
JT	British Steel	Sold	4/17/98	$1,001 - $15,000
JT	Compaq Computers	Sold	4/17/98	$1,001 - $15,000
JT	Orthodontics Centers of America	Purchase	4/17/98	$1,001 - $15,000
JT	Orthodontics Centers of America	Sold	6/29/98	$1,001 - $15,000
JT	Appel Orthodontics	Purchase	7/16/98	$1,001 - $15,000

Name Ronald C Packard	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U S C 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash , D C -Chicago-Wash , D C	N	N	N	N
Mega Corporation	Aug 6-13	Wash , D C -Los Angeles-Cleveland	Y	Y	Y	4 Days
American Association of Airport Executives 1998 Aviation Issues Conference	1/4/98 - 1/12/98	San Diego-Maui-San Diego	Y	Y	Y	4
Utah Families Golf Tournament, UT Lodging and food only.	8/24/98-8/25/98	traveled on our own	Y	Y	Y	0
Danny Thompson Memorial Golf Tournament Sun Valley, ID. Lodging and Food only	8/2698-8/3098	traveled on our own	Y	Y	Y	0

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
99 JUN 15 PM 4:04
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED

(Office Use Only)

Ronald C. Packard
(Full Name)

2372 RHOB
(Mailing Address)

Washington DC 20515

Daytime Telephone 202 225 3906

Filer Status	[X]	Member of the U S House of Representatives	State CA District 48	[ ]	Officer or Employee	Employing Office
Report Type	[ ]	Annual (May 15)	[X] Amendment	[ ]	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period?
If yes, complete and attach Schedule II. Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS-Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION-Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C app 4, 104 and 18 U S C 1001)

SIGNATURE OF REPORTING INDIVIDUAL Ronald C. Packard

DATE (Month/Day/Year) 6-15-99

3213

Name Ronald C Packard	Page 1 of 1

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is ***self-directed*** provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is ***not*** **self-directed**, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are ***not*** **self-directed**, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, *Examples*: SP JT	Mega Corp. Stock 123 Main St , Dover, Del 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT	Coin Collection	$1,001 - $15,000	Capital Gains	None	NA
	Investment Diamonds	$15,001 - $50,000	Capital Gains	None	NA
	Charles Schwab Self Directed IRA (Spouse)	$1,001 - $15,000	Capital Gains	None	NA
	Charles Schwab Self Directed IRA (Member)	$1,001 - $15,000	Capital Gains	None	NA
	Appel Orthodontics Stock-Not in IRA	$15,001 - $50,000	Capital Gains	None	NA

3214

Name Ronald C Packard	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000 Include transactions that resulted in a loss Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
SP	First Eagle Fund of America in Spouse IRA	Sold	3/17/98	$1,001 - $15,000
SP	Compaq Computer in Spouse IRA	Purchase	3/17/98	$1,001 - $15,000
SP	British Steel	Sold	4/17/98	$1,001 - $15,000
SP	Compaq Computers in Spouse IRA	Sold	4/17/98	$1,001 - $15,000
SP	Orthodontic Centers of America in Spouse IRA	Purchase	4/17/98	$1,001 - $15,000
SP	Orthodontic Centers of America in Spouse IRA	Purchase	4/17/98	$1,001 - $15,000
SP	Orthodontic Centers of America in Spouse IRA	Sold	6/29/98	$1,001 - $15,000
SP	Appel Orthodontics in Spouse IRA	Purchase	7/17/98	$1,001 - $15,000
SP	Appel Orthodontics in Spouse IRA	Purchase	7/17/98	$1,001 - $15,000
SP	Appel Orthodontics in Spouse IRA	Sold	7/22/98	$1,001 - $15,000
	Appel Orthodontics in Member IRA	Sold	4/28/98	$1,001 - $15,000
	Appel Orthodontics in Member IRA	Purchase	5/28/98	$1,001 - $15,000
	Appel Orthodontics Stock not in IRA	Sold	4/28/98	$15,001 - $50,000
	Appel Orthodontics Stock not in IRA	Purchase	5/27/98	$1,001 - $15,000
	Appel Orthodontics Stock not in IRA	Purchase	5/28/98	$1,001 - $15,000

Name Ronald C Packard	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce Mega Corporation	Mar 2 Aug 6-13	Wash., D.C.-Chicago-Wash., D.C. Wash., D.C.-Los Angeles-Cleveland	N Y	N Y	N Y	N 4 Days
American Association of Airport Executives 1998 Aviation Issues Conference	1/4/98-1/12/98	San Diego-Maui-San Diego	Y	Y	Y	4
Utah Families Golf Tournament, UT: Lodging and Food Only	8/24/98-8/25/98	traveled on our own	Y	Y	Y	0
Danny Thompson Memorial Golf Tournament. Sun Valley ID. Lodging and Food only	8/26/98-8/30/98	traveled on our own	Y	Y	Y	0

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 3:56
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

FRANK J PALLONE JR
(Full Name)

1187 OCEAN AVE
LONG BRANCH, NJ 07740
(Mailing Address)

Daytime Telephone: 202-225-4671

Filer Status	[X] Member of the U S House of Representatives	State: NJ District: 6th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Frank Pallone Jr. **DATE** (Month/Day/Year) 5/17/99

3217

Name FRANK J PALLONE JR | Page 2 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: D $15,001 – $50,000	B: E $50,001 – $100,000	B: F $100,001 – $250,000	B: G $250,001 – $500,000	B: H $500,001 – $1,000,000	B: I $1,000,001 – $5,000,000	B: J $5,000,001 – $25,000,000	B: K $25,000,001 – $50,000,000	B: L Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP,		SP	Mega Corp. Stock					X								X																	P
DC,	*Examples:*		Simon & Schuster		Indefinite																	Royalties											
JT			1st Bank of Paducah, KY accounts						X									X									X						
			Congressional FCU Accounts		X													X					X										
			First Union Bank Long Branch, NJ Accounts		X													X					X										
SP			General Electric Co Corp Pension				X											Pension									X						
JT			Chevrae Bank Washington DC Accounts			X												X						X									

For additional assets and unearned income, use next page.

3218

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: FRANK J PALLONE JR | Page 3 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	WHEAT FIRST SECURITIES Inc Glen Allen VA																														
	– EATON VANCE MACARTHUR National			X										X			X						X								
	MFS SERIES IV				X									X			X							X							D
	– MFS Total Return Class B				X									X			X						X								P
	– US TREAS CPN			X										X								X									
	– QUA Tom Corp	X												X							X										S
	– EATON VANCE Wall Mun. Class B			X										X			X						X								P
DC	CRESTAR BANK Richmond VA Accounts			X												X							X								
DC	Wheat First Securities Glen Allen VA																														
	– General Electric Co NFSC				X									X			X						X								
	– MFS RESEARCH Class H				X									X			X							X							PS
	– MFS EMERGING Growth Cl B				X									X			X						X								
	– Bristol Myers Squibb C.			X										X									X								P
	– Clorox Co			X										X							X										P
	– Intel Corp			X										X							X										P
	– Microsoft			X										X							X										P
	– Walt Disney Co	X												X								X									S
	– CRT Money Market		X											X								X									

This page may be copied if more space is required.

3219

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name FRANK J PALLONE

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Wheat First Securities Glen Allen VA SEP / IRA Accounts						X							X		Y	X							NA	IRA						
SP	First Nat Bank of MD IRA Accounts		X													X								NA	IRA						
	Wheat First Securities Glen Allen VA IRA - Accounts			X										X										N/A	IRA						
SP	First Union Bank Long Beach Accounts		X													X					X										
SP	First Union Bank Washington DC Accounts		X													X					X										

This page may be copied if more space is required.

3220

Name FRANK T PALLONE JR | Page 5 of 7

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	MFS Total Return	X			12-9-98	X									
	Quantum Corp		X		12-31-98	X									
	MFS SER TR IY	X			12-14-98	X									
	Eaton Vance Muns Tr	X			various	X									
DC	Bristol Myers Squibb Co	X			9-8-98	X									
	Clorox Co	X			11-18-98	X									
	Intel Corp	X			11-18-98	X									
	MFS Research	X			12-14-98	X									
	Microsoft Corp	X			9-8-98	X									
	Vertex Pharm Inc	X			11-18-98	X									
	MFS Research	X			11-18-98	X									
	Bristol Myers	X	X		8-13-98 9-8-98	X									
	Microsoft	X	X		8-13-98 9-8-98	X									
	Disney	X	X		8-13-98 9-8-98	X									

This page may be copied if more space is required.

3221

Name Frank J Pallone JR | Page 6 of 7

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
The Armenian Assembly of America		Jan 1, 1998 Jan 13, 1998	Wash DC - Republic of Armenia Republic of Armenia	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Member of Board	Rainbow Foundation
Honorary Member of Board	Ranney School Tinton Falls, NJ
Member of Board	Zimmerli Museum Rutgers University

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

COMMERCE COMMITTEE
HEALTH AND ENVIRONMENT SUBCOMMITTEE
ENERGY AND POWER SUBCOMMITTEE
FINANCE AND HAZARDOUS MATERIALS SUBCOMMITTEE
RESOURCES COMMITTEE
FISHERIES, WILDLIFE AND OCEANS SUBCOMMITTEE
DEMOCRATIC POLICY COMMITTEE
COMMUNICATIONS VICE CHAIR
email:Frank.Pallone@mail.house.gov

FRANK PALLONE, JR.
6TH DISTRICT, NEW JERSEY

HAND DELIVERED

Congress of the United States
House of Representatives
Washington, DC 20515–3006

REPLY TO
WASHINGTON OFFICE
420 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515–3006
TELEPHONE: (202) 225–4671
DISTRICT OFFICES
504 BROADWAY
LONG BRANCH, NJ 07740
(732) 571–1140
67/69 CHURCH ST
KILMER SQUARE
NEW BRUNSWICK, NJ 08901
(732) 249–8892
1E1 AIRPORT PLAZA
(SUITE 104) HIGHWAY 36
HAZLET, NJ 07730–1701
(732) 264–9104

June 11, 1999

Mr. Jeff Trandahl
Clerk of the House of Representatives
H-154, The Capitol
Washington, DC 20515

Dear Mr. Trandahl:

Upon further review of my Financial Disclosure Form for 1998, I noticed two clerical errors that I would like to correct.

On page one of the form in the preliminary information section, question VII should be "yes." On page six of the form, it should have been indicated that five days of the trip to Armenia were at my personal expense.

Sincerely,

Frank Pallone, Jr.

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 14 AM 11:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

PRINTED ON RECYCLED PAPER

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 AM 10:35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

William James Pascrell Jr
(Full Name)

441 Ninth Avenue
(Mailing Address)
Paterson New Jersey 07514

973-523-5152
Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State: New Jersey — District: 8 — [ ] Officer or Employee — Employing Office: ____

Report Type: [X] Annual (May 17) — [ ] Amendment — [ ] Termination — Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: William Pascrell Jr

DATE (Month/Day/Year): 5/10/99

3225

Name William James Pascrell, Jr	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	US House of Representatives (H)	Salary	126,443
	State of New Jersey (H)	Pension	40,322
	Yuma State (Inc) (W)	Salary	22,499

For payments to charity in lieu of honoraria, use Schedule II.

3226

Name William James Pissarell, Jr | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A	B	C		E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, or E
SP																															
DC	*Examples*	SP	Mega Corp. Stock				X																								
JT			Simon & Schuster																												
		Indefinite	1st Bank of Paducah, KY accounts					X													Royalties					X						P
JT	State of New Jersey - Pension								X																						
JT	Lexington County Schools			X												X						X									
JT	County of Essex				X											X							X								
JT	City of Perth Amboy			X												X						X									
JT	Ashest		X													X						X									
JT	Evergreen Money Trust			X												X						X									

For additional assets and unearned income, use next page.

3227

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name William James Pascrell, Jr. | Page 4 of 5

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Ryan Bank				X											X							X								
JT	First Union Bank						X									X								X							
JT	Chase Manhattan Bank						X									X									X						
JT	Dime Savings Bank				X											X							X								
JT	Negotiable Bank					X										X									X						
JT	US Series E Bonds					X										X								Y							
JT	US Series EE Bonds			X												X						X									
JT	Fidelity Investments (IRA)						X									X															
JT	First Union (IRA)			X												X															
JT	Putnam Tax Free				X											X							X								
JT	Franklin Variable Annuity					X										X															
JT	Hudson United Bank (IRA)				X											X															
JT	Putnam (401K)			X												X															
JT	Value (Deferred Comp)						X									X															

This page may be copied if more space is required.

3228

Name William James Pascrell Jr | Page 5 of 5

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
New Jersey Chamber of Commerce		Feb 2	Newark, NJ - Wash D.C. Newark, NJ	N	Y	N	Y

This page may be copied if more space is required.

3229

BILL PASCRELL, JR.
8TH DISTRICT, NEW JERSEY

WASHINGTON, OFFICE
1722 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5751
(202) 225-5782 FAX

PATERSON OFFICE
ROBERT A. ROE FEDERAL BUILDING
200 FEDERAL PLAZA, SUITE 500
PATERSON, NJ 07505
(973) 523-5152
(973) 523-0637 FAX

Congress of the United States
House of Representatives

COMMITTEES
COMMITTEE ON TRANSPORTATION AND INFRASTRUCTURE
SUBCOMMITTEE ON GROUND TRANSPORTATION
SUBCOMMITTEE ON WATER RESOURCES AND ENVIRONMENT
COMMITTEE ON SMALL BUSINESS
RANKING MEMBER
SUBCOMMITTEE ON REGULATORY REFORM AND PAPERWORK REDUCTION

INSIDE MAIL

(1)

May 24, 1999

V
MC

Clerk
House of Representatives
Room B 106
Cannon House Office Building
Washington, DC 20515-3008

RECEIVED
INFORMATIVE RESOURCE CENTER
99 JUN -3 P 4:03
OFFICE OF THE CLERK

Gentlemen:

This letter shall serve as an amendment to my recently filed Financial Disclosure Statement for calendar year 1998. The amendment is to better define on Schedule III - Assets and "Unearned" Income relative to the Fidelity Investment (IRA). This investment is in the Magellan Mutual Fund.

Very truly yours,

William J. Pascrell, Jr.
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 1:02
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC HAND DELIVERED (8)
(Office Use Only)

Edward L. Pastor
(Full Name)

1151 West Thomas Road
(Mailing Address)

Phoenix AZ 85013-4206

Daytime Telephone
202-225-4065

Filer Status	[X]	Member of the U S House of Representatives	State AZ District 02	[ ]	Officer or Employee	Employing Office
Report Type	[X]	Annual (May 15)	[ ] Amendment	[ ]	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS-Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION-Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C. app. 4, 104 and 18 U S C 1001)

SIGNATURE OF REPORTING INDIVIDUAL Edward Pastor

DATE (Month/Day/Year) 5-13-99

3231

Name Edward L Pastor	Page 1 of 1

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	US House of Representatives	Salary	$133,600.00
	WestEd, Inc	Spouse Salary	$66,126.99
	Arizona State Retirement System	Spouse pension	$27,137.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude**: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year if you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None "	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, JT *Examples:*	SP Mega Corp Stock SP 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT	Residential lot, Guadalupe, AZ	$1,001 - $15,000	Capital Gains	None	NA
SP	Bank One Checking Account, Phx , AZ	$1,001 - $15,000	Interest	$1 - $200	NA
SP	AZ St Savings & Credit Union, (IRA)	$1,001 - $15,000	Interest	NA	NA
	Bank One, Phx., AZ (IRA)	$1,001 - $15,000	Interest	NA	NA
SP	AZ State Retirement Pension Fund	$50,001 - $100,000	Interest	NA	NA
	AZ State Retirement Pension Fund	$15,001 - $50,000	Interest	NA	NA
	Central Life Insurance Co Whole Life	$15,001 - $50,000	Dividends	$201 - $1,000	NA

3283

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	AZ State Savings & Credit Union Savings	$1,001 - $15,000	Interest	$1,001 - $2,500	NA
	Congressional Federal CU - Savings	$1,001 - $15,000	Interest	$1 - $200	NA
SP	PEBSCO Deferred Comp Plan, Phx, AZ	$15,001 - $50,000	Cap Gains, Interest	NA	NA
SP	Teachers Insurance & Annuity Assn	$15,001 - $50,000	Cap gains/int/contributions	NA	P
SP	Park Avenue Portfolio, Kansas City, MO	$1,001 - $15,000	Capital Gains and Dividends	NA	P
SP	Prudential (Prico Securities) Ins Co	$1,001 - $15,000	Capital Gains and Dividends	NA	P
	Thrift Savings Plan, Washington, DC	$100,001 - $250,000	Cap gains/interest/contributions	NA	NA

Name Edward L Pastor	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000 Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
SP	Investment Acct, Teachers Ins & Annuity Assn	Purchase	March, 1998	$1,001 - $15,000
SP	Park Avenue Portfolio Investment Account	Purchase	April, 1998	$1,001 - $15,000
SP	Prudential Ins (Pruco Securities) Investment Acct	Purchase	March, 1998	$1,001 - $15,000

Name Edward L Pastor	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year

Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
JT	Bank of America, Phx., AZ	Mortgage on 1151 W Thomas Rd., Phx., AZ	$15,001 - $50,000
JT	Bank One, Phx., AZ	Home Equity Line on above	$15,001 - $50,000
JT	Bank One, Phx., AZ	Mortgage on 1015 W Campbell, Phx., AZ	$50,001 - $100,000
	Norwest Mortgage, Palatine, IL	Mortgage on 649 C St, #304, Wash DC	$50,001 - $100,000

Name Edward L Pastor	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash , D C -Chicago-Wash , D C	N	N	N	N
Mega Corporation	Aug 6-13	Wash , D C -Los Angeles-Cleveland	Y	Y	Y	4 Days
National Cuban Planning Council	2/19-21/1998	Phx-Miami-Phx	Y	Y	Y	No
National Council of La Raza	7/18-20/1998	Wash DC-Phila ,PA-Wash DC	Y	Y	Y	No, Wife a Bd Member
Labor Council for Latin American Advancement	8/19-20/1998	Phx-San Juan,PR-Phx	Y	Y	Y	No
CO Transportation Minority Conference	8/24-25/1998	Phx-Denver-Phx	Y	Y	N	No

Name Edward L Pastor	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Member	Congressional Hispanic Caucus, Washington, DC
Member	Congressional Hispanic Caucus Institute, Washington, DC
Boardmember	National Association of Latino Elected Officials, Wash., DC
Boardmember	Neighborhood Housing Services of America, Oakland, CA

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 12 PM 2:30
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

MAY 7 1999
(Office Use Only)

Ronald E. Paul
(Full Name)

2422 Bluewater Highway
(Mailing Address)

Surfside, TX 77541

Daytime Telephone: (409) 265-3034

Filer Status	[X] Member of the U.S. House of Representatives	State: TX District: 14	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Ron Paul

DATE (Month/Day/Year): 5-3-99

3239

Name Ronald E. Paul | Page 2 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset (at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None.")

BLOCK C — Type of Income

BLOCK D — Amount of Income (For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income)

BLOCK E — Transaction (Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.)

	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT																														
Examples SP					X								X						Royalties											P
SP			Indefinite			X																								
	56 Flag Lake Plaza Lake Jackson, TX					X								X						X	Vacant during 1998									
	C&R Shrimp Co., Inc. Lake Jackson, TX					X													Sub S Corp											
	Swim-Ten, Inc. - Lake Jackson, TX-Recreational business					X													Sub S Corp						X					
	58 Flag Lake Plaza, LJ, TX held by CARR, Ltd				X														Partner-ship Inc	X										
	40 acres farmland, Hwy 332 LJ, TX held by Carona, Ltd					X													Partner-ship Inc						X					
	Ron Paul & Assoc., Inc. LJ, TX-Newsletter Publ.				X								X							X	Inactive									

For additional assets and unearned income, use next page.

3240

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income								BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
	House-504 Elm St, Oyster Creek, TX	X															X							X									P/S
	Condominium, 5300 Holmes Run Pkwy, Alexandria, VA						X																										
	Acreage-9 B.T. Archer, Lots 70-72, 1-2, 30-33, 49, Oyster Ck, TX					X																											
	Lot 3, Oyster Ck, TX	X															X					X											S
	Lot 4, Oyster Ck, TX	X															X					X											S
	Townhouse, 1856 Veranda Dr West Columbia, TX	X															X						X										S
	Coins - Semi-numismatic						X																										
	First National Bank of LJ Defined Benefit Acct					X										X							X										
	Rydex-Ursa Mutual Fund 6116 Exec Blvd #400 Rockville, MD				X									X							X												

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul | Page 4 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Pegasus Gold Inc. Common Stk			X										X							X										
	Amax Gold Inc. Common Stk			X										X							X										
	Freeport McMoran Copper & Gold Inc. Step-up conv. preferred shs				X									X										X							
	Minorco Common Stk				X									X							X										
	Sunshine Mining & Refining Co. Common Stock			X										X							X										
	Dundee Bancorp Inc. Class A Common Stock			X										X							X										
	Vista Gold Corp. Common Stk			X										X							X										
	Royal Oak Mines Common Stk			X										X							X										
	Newmont Corp. Common Stk			X										X									X								
	Goldcorp Inc. Class A Common				X									X							X										
	TVX Gold Inc Common Stk				X									X							X										
	WMC Limited Common Stk			X										X									X								
	Agnico Eagle Mines Ltd Common Stock				X									X							X										
	Echo Bay Mines Ltd Common				X									X								X									
	Battle Mtn Gold Co. Common				X									X							X										
	Battle Mtn Gold Co. Common			X										X							X										
	Homestake Mining Co. Common				X									X									X								

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ronald E. Paul

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Placer Dome Inc Common Stk				X									X								X									
	Barrick Gold Corp Common Stk						X							X								X									
	Glamis Gold Ltd Common Stk			X										X							X										
	Battle Mtn Gold Co Common			X										X							X										
	Oppenheimer Real Asset Mutual Fund, PO Box 5270 Denver, CO			X										X							X										
	Prudent Bear Mutual Fund 8140 Walnut Hill Ln #405 Dallas, TX			X										X								X									P
	First National Bank of Lake Jackson, TX Savings Account				X											X							X									
	Congressional Federal Credit Union, Wash DC			X												X							X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Ronald E. Paul | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example.* Mega Corporation Common Stock	X			10-12-98		X								
	House-504 Elm St, Oyster Creek, TX	X			3-17-98			X							
	House-504 Elm St, Oyster Creek, TX		X		6-22-98			X							
	9 B.T. Archer Lot 4, Oyster Creek, TX		X		6-22-98	X									
	Prudent Bear Mutual Fund	X			8-21-98	X									
	9 B.T. Archer Lot 3, Oyster Creek, TX		X		9-7-98	X									
	Townhouse-1856 Veranda Dr, West Columbia, TX		X		9-11-98		X								

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
California Libertarians	Feb 14-15	Houston-Los Angeles-Houston	N	N	N	N
Rothbard-Rockwell Report	Feb 20-22	Houston-San Francisco-Houston	Y	Y	N	N
Utah Republican Assembly	March 19-21	Wash DC-Salt Lk City-Houston	Y	Y	N	N
Capital Financial	May 31-June 1	Houston-Aruba-Wash DC	Y	Y	N	N

This page may be copied if more space is required.

3245

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Ronald E. Paul, M.D. Association
President	Ron Paul & Associates, Inc.
President	C & R Shrimp Co., Inc.
President	Swim-Ten, Inc.
Partner	Carona Limited
Partner	CARR, Ltd.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Honorary Chairman	Foundation for Rational Economics and Education, Inc.
Honorary Chairman	Liberty Study Committee

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 5:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Donald Milford Payne
(Full Name)

21 Bock Avenue
(Mailing Address)

Newark NJ 07112
Daytime Telephone 202-225-3436

Filer Status
[X] Member of the U.S. House of Representatives — State NJ District 10
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Donald M Payne

DATE (Month/Day/Year) 5·17·99

3248

Name Donald Milford Payne	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name	Donald Milford Payne	Page ___ of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C	Speech	Feb 2, 1998	$2,000
XYZ Magazine	Article	Aug 13, 1998	$500

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Donald Milford Payne | Page ___ of ___

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
	A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	*Examples*	SP	Mega Corp. Stock			X							X												X					P
			Simon & Schuster		Indefinite										X				Royalties								X			S
			1st Bank of Paducah, KY accounts			X																								E
	First Union Bancorp Stock				X								X										X							
	19 Bock Ave. Newark, NJ					X								X											X					
	Merck & Co. Stock			X									X									X								
	Copeland Co. Pension Plan				X															X										
	Smith Barney Shearson IRA					X														X										

For additional assets and unearned income, use next page.

3251

SCHEDULE IV — TRANSACTIONS

Name: Donald Milford Payne | Page of

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								

This page may be copied if more space is required.

3252

Name	Donald Milford Payne	Page	of

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	First Union Bank, Newark, NJ	Mortgage on 19 Bock Avenue			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name	Donald Milford Payne	Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
The Aspen Institute		Aug.16-23	Newark-Frankfort-Budapest-Frankfort-Newark	Y	Y	Y	N
The Aspen Institute		Nov.12-16	Newark-Bermuda-Newark	Y	Y	Y	N
Continental Airlines		Dec.8-12	Newark-Tokyo-Newark	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Council	U.S. Committee for UNICEF
Board of Trustees	Newark Boys' and Girls' Clubs
Board of Directors	Newark Day Center
Board of Directors	Newark YMWCA
Board of Directors	Congressional Award Foundation
Board of Directors	Congressional Black Caucus Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Newark Fighting Back Initiatives
Board of Directors	National Endowment for Democracy

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

APR 30 AM 10: 12

HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Edward Allan Pease
(Full Name)

119 Cannon House Office Building
(Mailing Address)

202-225-5805
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State Indiana District 07	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL [signature]

DATE (Month/Day/Year) 4-22-99

3257

Name Edward Allan Pease | Page 2 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			BLOCK A	B: A None	B: B $1 – $1,000	B: C $1,001 – $15,000	B: E $15,001 – $50,000	B: F $50,001 – $100,000	B: G $100,001 – $250,000	B: H $250,001 – $500,000	B: I $500,001 – $1,000,000	B: J $1,000,001 – $5,000,000	B: K $5,000,001 – $25,000,000	B: L $25,000,001 – $50,000,000	B: Over $50,000,000	C: DIVIDENDS	C: RENT	C: INTEREST	C: CAPITAL GAINS	C: EXCEPTED TRUST	C: QUALIFIED BLIND TRUST	C: Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	D: I None	D: II $1 – $200	D: III $201 – $1,000	D: IV $1,001 – $2,500	D: V $2,501 – $5,000	D: VI $5,001 – $15,000	D: VII $15,001 – $50,000	D: VIII $50,001 – $100,000	D: IX $100,001 – $1,000,000	D: X $1,000,001 – $5,000,000	D: XI Over $5,000,000	E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X										X							P
			Simon & Schuster		Indefinite																	Royalties											
			1st Bank of Paducah, KY accounts						X								X										X						
			Teachers Insurance & Annuity Association/ College Retirement Equities Fund						X														N/A										
			Massachusette Mutal Life Insurance Company						X														N/A										

For additional assets and unearned income, use next page.

3258

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C —Los Angeles—Cleveland	Y	Y	Y	4 Days
Aspen Institute			DC-Hershey, PA-DC	Y	Y	N	N

This page may be copied if more space is required.

3259

1999 Bipartisan Congressional Retreat

Planning Committee

Rep. Ray LaHood - IL
Co-Chair
Rep. Tom Sawyer - OH
Co-Chair
Rep. David Bonior - MI
Rep. Eva Clayton - NC
Rep. Chris Cox - CA
Rep. Joe Crowley - NY
Rep. Jim DeMint - SC
Rep. Jo Ann Emerson - MO
Rep. Anna Eshoo - CA
Rep. Tillie Fowler - FL
Rep. Amo Houghton - NY
Rep. Charlie Stenholm - TX
Debbie Dingell
Spouse Representative
Sandie Knollenberg
Spouse Representative

MEMO TO: Hershey Participant

FROM: Jerry Cleary

APR 15 1999

RE: Hershey Financial Disclosure

DATE: 4/13/99

As you know, House Rules require you to file a financial disclosure form for the Bipartisan Congressional Retreat at Hershey (March 19 -21, 1999) within 30 days of the conference. Below are listed the expenses for the weekend broken down into the categories on the form.

The Aspen Institute should be listed as the sponsoring entity for the retreat. (The Pew Charitable Trusts made a grant to the Aspen Institute which then contracted with the Congressional Institute to execute the retreat. The legally sponsoring organization was the Aspen Institute).

- Travel costs: $118 per person (includes bus and train transportation)
- Room and Meals: $298 per adult
- Meals for children: $62 per child
- Other expenses: offset by registration fees

We are unable to provide a breakdown between lodging and meals for adults because they were charged as a package by the Hershey Lodge and Convention Center.

If you only spent part of the time at Hershey or provided your own transportation, you may pro-rate your fees accordingly.

The 1997 FEC Advisory Opinion allowed the use of excess campaign funds to pay for the registration fees of Members, spouses and children as well as travel expenses. As noted in the opinion, because these expenses are not campaign related, they should be reported as "other disbursements." The purpose of the disbursements and other information must be disclosed for payments that exceed $200 to the same payee. In 1999, the FEC reaffirmed their previous opinion. The House Committee on Standards also granted a waiver of the gift rule for participation in this program. Copies are enclosed.

If we can answer any questions, please give us a call.

Administrative Office: 316 Pennsylvania Ave. SE #403, Washington, DC 20003 (202) 547-4600 Fax:(202) 547-3556

Not printed at taxpayer expense

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member of the Board	Wabash Valley Council, Boy Scouts of America
Member	National Advisory Council, Boy Scouts of America
Director	Historical Landmarks Foundation of Indiana
Director	Visiting Nurses Association/Hospice
Director	National Interfraternity Conference and NIC Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	TIAA/CREF (see Schedule III)	Retirement Annuity
	State of Indiana Publice Employees Retirement Fund	Pension

Use additional sheets if more space is required.

32261

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 17 PM 5:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

Nancy Pelosi
(Full Name)

One Bush Street
(Mailing Address)

(415) 788-8600
Daytime Telephone

San Francisco, CA 94104

HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 8th	[ ] Officer or Employee	Employing Office	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Nancy Pelosi

DATE (Month/Day/Year) March 1999

3262

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Nancy Pelosi	None	
Spouse:		
Financial Leasing Services, Inc.	Salary	N/A
Isolep Enterprises, Inc.	Management Fee	N/A
Yerac Associates (Carey Associates)	Management Fee	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
None			

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Nancy Pelosi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income**	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "N/A" for income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
		A B C E F G H I J K L None $1 – $1,000 $1,001 – $15,000 $15,001 – $50,000 $50,001 – $100,000 $100,001 – $250,000 $250,001 – $500,000 $500,001 – $1,000,000 $1,000,001 – $5,000,000 $5,000,001 – $25,000,000 $25,000,001 – $50,000,000 Over $50,000,000	DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED TRUST QUALIFIED BLIND TRUST Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	None I $1 – $200 II $201 – $1,000 III $1,001 – $2,500 IV $2,501 – $5,000 V $5,001 – $15,000 VI $15,001 – $50,000 VII $50,001 – $100,000 VIII $100,001 – $1,000,000 IX $1,000,001 – $5,000,000 X Over $5,000,000	P, S, E
SP DC JT	*Examples:* SP Mega Corp. Stock Simon & Schuster 1st Bank of Podunk, NY account	X Indefinite X	X Royalties X	X X	P P
SP	Act Networks Common Stock	X		X	S
SP	Adaytum Investors, LP	X		X	P
SP	Advanced Fibre Communications	X		X	P
SP	Affiliated Research Investors, LP	X		X	P
SP	Agile Investors, LP	X		X	P
SP	Apogee Investors, LP	X		X	P

For additional assets and unearned income, use next page.

05/17/1999 13:24 415-788-2550 FINANCIAL LEASING PAGE 03

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Pelosi

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: None	A	$1 – $1,000	B	$1,001 – $15,000	C	$15,001 – $50,000	D	$50,001 – $100,000	E	$100,001 – $250,000	F	$250,001 – $500,000	G	$500,001 – $1,000,000	H	$1,000,001 – $5,000,000	I	$5,000,001 – $25,000,000	J	$25,000,001 – $50,000,000	K	Over $50,000,000	L	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	None	BLOCK D Amount of Income: I $1 – $200	II	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Ashlar, Inc., Common Stock, Sunnyvale, CA						X																		X							X											
SP	Auberge du Soleil, Common Stock, Rutherford, CA																X								X	X																	
	Owns resort Hotel																																											
SP	Bank of America San Francisco, CA								X																		X							X									
SP	Barringer Wine Partnership										X																	X							X							
SP	Borel Estate Company								X																		X	X														
	Owns shopping center												X																													
JT	BF Enterprises Public Common Stock						X																		X							X										
SP	Calico Investments, LP				X																				X							X								P	
SP	Cammeale Corp., Public Common Stock						X																																	S	
SP	Carey Vessel, Assoc., LP, San Mateo, CA																X								X	X														X	
	Leisure business																																									
SP	Carey International, Inc. Common Stock																X								X							X									
SP	Clinton and preferred Stock																																									
SP	Castelle Inc., Santa Clara, CA														X										X							X								S	
SP	Chalone, Inc., Public Common Stock								X																	X								X							
SP	Collaborative Investors, LP							X																	X							X								P	
SP	Commerce One Investors, LP							X																	X							X								P	
SP	Compaq, Inc., Common Stock Washington, DC																								X							X								S	
JT	Congressional Credit Union							X																				X												P	
SP	CopperCom Investors, LP																								X							X								P	
SP	Critical Path Investors, LP						X																		X							X								P	
SP	Digital Intelligence Investors, LP						X																		X							X								P	

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Pelosi

Page 6 of 18

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income								BLOCK D Amount of Income									BLOCK E Transaction
		A	B	C	D	E	F	G	H	I	J	K	L	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, E
	None	$1 – $1,000		$1,001 – $15,000		$15,001 – $50,000		$50,001 – $100,000		$100,001 – $250,000		$250,001 – $500,000										None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	
SP	EDI Associates, Mill Valley, CA						X							X	X																	
	Hotel Investment																											X				
SP	Entertainment Express, SSF, CA							X														X										S
	Operates cable TV Services																															
SP	Fibrestar, Inc. Public Common Stk.				X																	X										S
SP	Financial Leasing Services, SF, CA								X													X										
	Investment Company																															
SP	Force Energy Common Stock				X																	X										
SP	Forty-Five Belden Corp. SF, CA								X					X															X				
	Investment Company																															
SP	Fritz Companies, Inc. Common Stk.				X																	X										S
SP	Frontline Investors, LP				X				X													X										P
SP	Fusion Medical Tech, Inc.				X																	X										
	Common Stock																															S
SP	Garden Prospe Investors, LP				X																	X										P
SP	Grantline 208, LP., Sacramento, CA								X													X										
	208 acres of raw land																															
SP	Getty Communications PLC				X																	X										
	Common stock																															
SP	Hambrecht & Quist Group						X															X										
	Common Stock																															
SP	IMGIS Investors (Alforce, Inc.) LP				X																	X										P

This name may be needed if more space is required

05/17/1999 13:24 415-788-2550 FINANCIAL LEASING PAGE 05

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Pelosi

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset (A None; B $1–$1,000; C $1,001–$15,000; D $15,001–$50,000; E $50,001–$100,000; F $100,001–$250,000; G $250,001–$500,000; H $500,001–$1,000,000; I $1,000,001–$5,000,000; J $5,000,001–$25,000,000; K $25,000,001–$50,000,000; L Over $50,000,000)	BLOCK C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify))	BLOCK D: Amount of Income (I None; II $1–$200; III $201–$1,000; IV $1,001–$2,500; V $2,501–$5,000; VI $5,001–$15,000; VII $15,001–$50,000; VIII $50,001–$100,000; IX $100,001–$1,000,000; X $1,000,001–$5,000,000; XI Over $5,000,000)	BLOCK E: Transaction (P; S; E)
SP	InfoSpace Investors LP	C		I	P
SP	Japan Partners Investors, LP	C		I	P
SP	Peerless Systems Common Stock	C		I	S
SP	Microtest, Inc. Public Common Stk.	C		I	S
SP	Mother Partners LP, Sacramento, CA	H	DIVIDENDS	II	
SP	Real estate partnership, NationsBank, Washington, DC	E	RENT	III	
SP	Savings account	C	INTEREST	II	
SP	Nantilus Leasing Services Container leasing company	E	INTEREST	III	
SP	Netscape Communications, Corp. Public common stock	D	CAPITAL GAINS	III	S
SP	Nexus Investors, LP	C	RENT	I	P
SP	Nexbit Investors, LP	C	RENT	I	P
SP	Nine Forty Five Battery LLC SF, CA Real Estate Partnership	I	RENT	I	P
SP	Nine Hundred One Battery Street SF, CA Real Estate Partnership	E	RENT	VI	
SP	Okialla, Inc. Public Common Stk	E		I	S (Partial)
SP	Pegasus Common Stock	C	CAPITAL GAINS	III	S
SP	Personal Communications Investors, LP	E		III	P
SP	McGrath Rentcorp Public Common Stock	I	RENT	I	S (Partial)

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Pelosi

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	PTA-A Avenel, Potomac, MD5							X							X	X													X			
	Real Estate Development																															
SP	PTA-Nabelli Communities, Gaithersburg, MD							X													X											
	Real Estate Development Company																															
SP	Piatti Restaurant Co., Tiburon, CA									X				X															X			
	Restaurant operating company																															
SP	Plumtree Investors, LP				X																X											P
SP	Potomac Investment Assoc.,									X				X		X													X			
	Gaithersburg, MD																															
	Real Estate Development Company																															
SP	Read-Rite Corp., Milpitas, CA							X								X											X					S (Partial)
	Public Common Stock																															
JT	Robert Half International									X						X													X			S (Partial)
	Public Common Stock																															
SP	San Ysidro Ranch, LP Montecito, CA						X														X											
	Owns resort hotel																															
SP	San Ysidro Ranch Management Co.							X													X											
	Montecito, CA Manages resort hotel																															
SP	SERA Investors, LP (Learning Tech.)			X																	X											P
SP	Snap Track Investors, LP			X																	X											P
SP	Stoneridge LLC Real Estate Pinnacle								X												X											
SD	TelliLabs, Inc. Common Stock							X																					X			S (Partial)

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Pelosi | Page 9 of 8

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset (A: None; B: $1 – $1,000; C: $1,001 – $15,000; D: $15,001 – $60,000; E: $50,001 – $100,000; F: $100,001 – $250,000; G: $250,001 – $500,000; H: $500,001 – $1,000,000; I: $1,000,001 – $5,000,000; J: $5,000,001 – $25,000,000; K: $25,000,001 – $50,000,000; L: Over $50,000,000)	BLOCK C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify))	BLOCK D: Amount of Income (I: None; II: $1 – $200; III: $201 – $1,000; IV: $1,001 – $2,500; V: $2,501 – $5,000; VI: $5,001 – $15,000; VII: $15,001 – $50,000; VIII: $50,001 – $100,000; IX: $100,001 – $1,000,000; X: $1,000,001 – $5,000,000; XI: Over $5,000,000)	BLOCK E: Transaction (P; S; E)
SP	Ticket Master (Common Stock)			I	P
SP	Thirteen Hundred One Sansome LLC Real Estate Partnership	C		IV	
SP	Tripath Investors, LP	C		I	P
SP	Trux-Gate Associates, LP Real Estate Partnership	H		I	P
SP	Union Bank, San Francisco, CA Savings account	C	RENT; INTEREST	IV	
SP	Vanguard Airlines, Inc. Public Common Stock	F		I	
SP	Ravelgrace Investors, LP	C		I	P
SP	Yantra Investors, LP	C		I	P
SP	You Tools Investors, LP	D		I	P
JT	Wells Fargo Bank, San Francisco, CA Savings account	D		III	
JT	Alpine Place, Alpine Meadows, CA Condominium apartment	F		I	
SP	45 Belden Place, San Francisco, CA Four story commercial building	H	RENT	IX	
SP	3239 Webster St., San Francisco, CA Single Family residence	H	RENT; INTEREST	IX	S

This page may be copied if more space is required.

FINANCIAL LEASING 415-788-2550 05/17/1999 13:24 PAGE 07

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nancy Pelosi

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	Skellenger Lane, Napa, CA								X						X														X		
	8 acre vineyard																														
JT	Zinfandel Lane, St. Helena, CA									X					X														X		
	18 acre vineyard and rental property																														
JT	1600 Atlas Peak Road, Napa, CA							X							X												X				
	Real estate investment																														
SP	25 Point Lobos, SF, CA									X					X														X		
	Commercial property																														

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
SP	Act Networks Common Stock		S		1/20/98	X									
SP	Adaytum Investors, LP	P			12/18/98	X									
SP	Advanced Fibre Communications	P			8/05/98						X				
SP	Affiliated Research Investors, LP	P			8/19/98	X									
SP	Agile Investors, LP	P			7/17/98		X								
SP	Apogee Investors, LP	P			7/17/98		X								
SP	Berringer Wine Common Stock		S		10/6/98	X									
SP	Calico Investors, LP	P			10/21/98	X									
SP	Cannondale Corp Public Common Stock		S		9/18/98	X									
SP	Castelle Inc. Santa Clara,CA Common & Pref. Stk		S		12/29/98	X									
SP	Collaborative Investors, LP	P			12/18/98	X									
SP	Commerce One Investors, LP	P			9/9/98	X									
SP	Compurad (Lumisys)		S		1/20/98	X									
SP	Coppercom Investors, LP	P			11/18/98	X									
SP	Critical Path Investors, LP	P			12/18/98	X									
SP	Digital Intelligence Investors, LP	P			12/2/98	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Nancy Pelosi | Page 12 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
SP	Fibre Star Inc. Public Common Stock		S		9/21/98	X									
SP	Fritz Companies		S		10/06/98	X									
SP	Frontline Investors, LP	P			8/04/98		X								
SP	Fusion Medical Tech. INc. Common Stock		S		10/06/98	X									
SP	Garden Escape Investors, LP	P			7/17/98		X								
SP	Genelabs Technologies	P			1/20/98			X							
SP	Genelabs Technologies		S		10/06/98		X								
SP	IMGIS Investors, LP (Adforce, Inc.)	P			7/17/98		X								
SP	InfoSpace Investors, LP	P			9/09/98		X								
SP	Japan Partners Investors, LP	P			11/18/98	X									
SP	McGrath Rentcorp Public Common Stock		S		10/29/98				X						
SP	Mercury Interactive Common Stock		S		9/18/98		X								
SP	Microtest Inc. Public Common Stock		S		9/19/98	X									
SP	Netscape Communications Corp. Public CommonStk.		S		1/20/98	X									
SP	Navis Investors, LP	P			11/25/98	X									
SP	Nexibit Investors, LP	P			7/17/98	X									

This page may be copied if more space is required.

3273

SCHEDULE IV — TRANSACTIONS

Name Nancy Pelosi | Page 13 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
SP	Odwalla Inc. Public Common Stock		S		10/07/98	X									
SP	Pegasus Common Stock		S		1/20/98	X									
SP	Personal Communications Investors, LP	P			9/9/98		X								
SP	Plum Tree Investors, LP	P			7/17/98		X								
SP	Read-Rite Corp. Milpitas, CA		S		10/06/98		X								
SP	Robert Half International		S		10/23/98					X					
SP	SERA Investors, LP (Learning Tech.)	P			8/5/98	X									
SP	Snap Track Investors, LP	P			7/17/98	X									
SP	TellLabs		S		10/15/98				X						
SP	Ticket Master Common Stock	P			12/03/98				X						
SP	Tripath Investors, LP	P			10/21/98	X									
SP	US Franchise Sys. Inc.	P			3/17/98				X						
SP	US Franchise Sys. Inc.		S		10/15/98			X							
SP	Wavetrace Investors, LP	P			7/17/98	X									
SP	Yantra Investors, LP	P			11/25/98	X									
SP	You Tools Investors, LP	P			7/15/98		X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Nancy Pelosi

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
SP	3239 Webster Street, San Francisco, CA		S		12/21/98						X				

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Name	Page
Nancy Pelosi	15 of 18

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Placer Savings, CA	Mortgage on Alpine Place Alpine Meadows, CA			X							
SP	Wells Fargo Bank	Mortgage on 45 BeldenPl. San Francisco, CA						X				
JT	Bank of America, CA	Mortgage on 11 Zinfandel Lane St. Helena, CA							X			
JT	World Savings, CA	Mortgage on 1600 Atlas Peak Rd. Napa, CA				X						
SP	First Union, NC	Mortgage on 25 Point Lobos San Francisco, CA							X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

SCHEDULE V — LIABILITIES

Name Nancy Pelosi | Page 16 of 18

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Nations Bank, Washington, DC	Line of Credit					X					
SP	Union Bank, San Francisco, CA	Line of Credit						X				
SP	Hambrecht & Quist, San Francisco, CA	Margin Account							X			
SP	Golden Gate Bank, San Francisco, CA	Loan Re: 1301 Sansome LLC							X			
SP	Pacific Bank, San Francisco, CA	Loan Re: 945 Battery St., LLC							X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
NBC Meet the Press	2/8/98	Wash., D.C. - New York New York - Wash., D.C.	N	N	N	N
Xerox Corp. 150th Anniversary of Womens Rights Convention	7/18/98	Wash., D.C. - Rochester, N.Y. Rochester, N.Y. - Wash., D.C.	N	Y	N	N
RFK Foundation - Human Rights Trip to Guatemala	7/24/98	Travel paid personally Lodging paid personally	N	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	United States Botanic Gardens
Board of Directors	National Italian American Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

HAND DELIVERED

Nancy Pelosi
One Bush Street
San Francisco, CA 94104
(415) 788-8600

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 15 PM 2:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

June 15, 1999

(1)

Committee on Standards of Official Conduct
U.S. House of Representatives
Washington, DC 20515-63285

RE: 1998 Financial Disclosure
Congresswoman Nancy Pelosi
Submitted May 16, 1999

Dear Sir:

Please accept this letter as an amendment to the subject report in the following categories:

1. Item: Nine Forty Five Battery LLC
   Schedule III, page 7, Block E should be marked with a "P".
   Schedule IV: This item should be listed as a purchase on 1/1/98 as a category "H".

2. All of the following assets on Schedule III which were completely sold during the year should all have been listed in Block B as "A" (No year end value):

ACT Networks	Fusion Medical Tech., Inc.
Berringer Wine Estates	Mercury Interactive
Cannondale Corp.	Microtest
Castelle, Inc.	Netscape Communications
Compurad, Inc.	Pegasus, Inc.
Fiberstar	3239 Webster Street
Fritz Companies	

All of these items were correctly reported in Schedule IV, Transactions.

Sincerely,

Nancy Pelosi

Nancy Pelosi
Member of Congress
CA 8th District

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 3:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Collin C. Peterson
(Full Name)

Route 2 Box 287
(Mailing Address)

Detroit Lakes MN 56501

Daytime Telephone: 202-225-2165

Filer Status: [X] Member of the U.S House of Representatives — State: MN — District: 07 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? (See Instructions, pages 10-11.) — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? (See Instructions, page 11.) — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C.1001).

SIGNATURE OF REPORTING INDIVIDUAL — **DATE** (Month/Day/Year) 5-13-99

3281

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. For further information, see Instructions, pages 12-13

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Hall for Congress Committee	musician fee	$300.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP DC JT	*Examples:* SP | Mega Corp. Stock SP | 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Peterson Fox, Inc. - common stock	$50,001 - $100,000	common stock	None	
	Lakeland Estates, Inc. - notes/common stock	$15,001 - $50,000	interest, capital gains	None	
	Lakewest Properties - partnership	$15,001 - $50,000	Rent	$5,001 - $15,000	
	Bekkerus Schott & Co. - contract	$50,001 - $100,000	interest, capital gains	$5,001 - $15,000	
	Technimar Industries, Inc.- stock	$15,001 - $50,000	common stock	None	

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000. For further information, see instructions, pages 21-22.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
	Peterson Fox, Inc.: 50% ownership	demand loan created when the stock was issued 1/1/90	$15,001 - $50,000

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor

Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you. For further information, see Instructions, pages 24-26.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Safari Club International	1/29/98-2/2/98	DCA-RENO-DCA	Y	Y	N	none
Cong. Sportsmen's Foundation	1/17/98-1/18/98	MSP-MOULTRIE-MSP	Y	Y	N	none
Dairy Farmers of America, Inc.	10/17/98-10/19/98	MSP-BISMARCK-MSP	Y	Y	N	none

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. For further information, see instructions, page 26

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I

Position	Organization
President & Unpaid Director	Peterson Fox, Inc.
President & Unpaid Director	Lakeland Estates, Inc.
Partner	Lakewest Properties

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer. For further information, see Instructions, pages 26-27

Date	Parties To	Terms of Agreement
12/27/90	self & Bekkerus Schott & Co., Ltd.	payments for former business payable over 6 years

COLLIN C. PETERSON
7TH DISTRICT, MINNESOTA

COMMITTEE ON AGRICULTURE

COMMITTEE ON
VETERANS' AFFAIRS

...OC CENTER
INSIDE MAIL 99 MAY 28 AM 9:05
OFFIC...
U.S. HOUSE...

2159 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
TELEPHONE: (202) 225-2165
FAX: (202) 225-1593
INTERNET: Tocollin.Peterson@mail.house.gov

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, DC 20515

May 27, 1999

Honorable LaMar Smith
Chairman
Committee on Ethics and Standards
HT 2 Capitol
Washington, D.C.

MC

Dear Mr. Chairman:

Please be advised of a typographical error - Box IV was inadvertently checked as we were using last years form for the Financial Disclosure report for 1998. Please be advised that I did not sell or exchange any reportable asset worth more than $1,000 in the reporting period.

Sincerely,

Collin C. Peterson
Member of Congress

DISTRICT OFFICES

714 LAKE AVENUE
SUITE 107
DETROIT LAKES, MN 56501
(218) 847-5056
FAX: (218) 847-5109

110 S. 2ND STREET
SUITE 112
WAITE PARK, MN 56387
(320) 259-0559
FAX: (320) 259-0413

MN WHEAT GROWERS BLDG.
2803 WHEAT DRIVE
RED LAKE FALLS, MN 56750
(218) 253-4356
FAX: (218) 253-4373

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

2000 MAY -7 PM 1:09

U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED (4)
MC
(Office Use Only)

John E. Peterson
(Full Name)

248 N Main
(Mailing Address)

Pleasantville PA 16341

Daytime Telephone 814/589-5241

Filer Status
[X] Member of the U.S. House of Representatives — State: PA, District: 05
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more tha 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? (See Instructions, pages 10-11.)	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? (See Instructions, page 11.)	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001)

SIGNATURE OF REPORTING INDIVIDUAL John E Peterson

DATE (Month/Day/Year) 5/6/99

3289

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000. For further information, see Instructions, pages 12-13.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Penn.	Pension	$15,060.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium
A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct An envelope for transmitting the list is included in each Member's filing package. For further information, see Instructions, pages 13-14

Source		Activity	Date	Amount
Examples:	Association of American Associations, Wash., D C.	Speech	Feb 2, 1994	$2,000
	XYZ Magazine	Article	Aug 13, 1994	$500
NONE				

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account. **Exclude:** Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC JT	*Examples:* SP — Mega Corp Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	288 N. Main, Pleasantville, PA	$50,001 - $100,000	Rent	$2,501 - $5,000	
	130 N. 2nd St., Pleasantville, PA	$15,001 - $50,000	Rent	$2,501 - $5,000	
	IDS Growth Fund, Class A	$50,001 - $100,000	Capital Gains	$1,001 - $2,500	
	Prudential-Common Fund	$15,001 - $50,000	Variable Appreciable Life	None	
	Prudential Utility Fund	$15,001 - $50,000	IRA	None	
	Prudential Natural Resource Fund	$15,001 - $50,000	Variable Appreciable Life	None	
	Prudential High Dividend Fund	$1,001 - $15,000	Variable Appreciable Life	None	

3292

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Prudential Equity Income Fund	$1,001 - $15,000	IRA	None	
	Franklin Templeton Fund	$15,001 - $50,000	IRA	None	
	Northstar Growth Fund	$15,001 - $50,000	IRA	None	
	Putnam Fund	$15,001 - $50,000	IRA	None	
	Larbarge, Inc	$1,001 - $15,000	Dividends	$201 - $1,000	
	Braintech Corp common stock	$1,001 - $15,000	Capital Gains	None	
	Blair Corp Common Stock	$1,001 - $15,000	Capital Gains	None	
	ERHC common stock	$1,001 - $15,000	Capital Gains	None	
	Northwest Bank common stock	$1,001 - $15,000	Capital Gains	None	
	Webco IND INC common stock	$1,001 - $15,000	Capital Gains	None	

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see instructions, pages 20-21.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
	Aqua Penn common stock	Sold	12/10	$1,001 - $15,000
	Blair Corp common stock	Purchase	1/5	$1,001 - $15,000
	ERHC common stock	Purchase	5/21	$1,001 - $15,000
	Northwest Bank common stock	Purchase	2/8	$1,001 - $15,000
	Webco IND INC common stock	Purchase	2/8	$1,001 - $15,000

3294

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000. For further information, see Instructions, pages 21-22.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	Northwest Savings Bank	Prime Line	$15,001 - $50,000

SCHEDULE VI -- GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.
Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold
Note: The gift rule (House Rule 52 in the 104th Congress, renumbered as House Rule 51 of the 105th Congress) prohibits gifts except as specifically provided in the rule.
For further information, see Instructions, pages 22-24.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270
NONE		

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. **Exclude:** Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you. For further information, see Instructions, pages 24-26.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar.2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug.6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
State Voc/Tech Ed. Directors	Dec. 10-11	Franklin-NO-Franklin	Y	Y	N	none

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1997

FORM A

For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 11:36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)
10

THOMAS E. PETRI
(Full Name)

N5329 DENEVEU LANE
FOND DU LAC WISCONSIN 54935
(Mailing Address)

920-922-1180
Daytime Telephone

Filer Status: [✓] Member of the U.S. House of Representatives — State WISCONSIN District SIXTH; [ ] Officer or Employee — Employing Office

Report Type: [✓] Annual (May 15); [ ] Amendment; [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [✓] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [✓] No [ ]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [✓] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** — Yes [✓] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [✓] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [✓]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [✓] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [✓] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [✓]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [✓]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL — Thomas E. Petri

DATE (Month/Day/Year) — May 14, 1999

3298

Name T. E. PETRI	Page 2 of 18

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	AMERICAN COUNCIL OF TRUSTEES AND ALUMNI	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

3299

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1997	$2,000
XYZ Magazine	Article	Aug. 13, 1997	$500
NATIONAL RAILROAD CONSTRUCTION & MAINTENANCE ASSOCIATION	SPEECH	JAN. 10, 1998	$1,000
ASSOCIATION OF AMERICAN RAILROADS	SPEECH	JAN. 17, 1998	$1,000

This page may be copied if more space is required.

3300

Name T.E. PETRI | Page 4 of P

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is not selfdirected, name the institution holding the account.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	BLOCK A: Asset and/or Income Source		BLOCK B: Value of Asset												BLOCK C: Type of Income						BLOCK D: Amount of Income										BLOCK E: Transaction
			A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	*Examples*	SP	Mega Corp. Stock				X								X																P, S, E
			Simon & Schuster																		Royalties										P
			1st Bank of Paducah, KY accounts	Indefinite				X																								
	WISCONSIN RETIREMENT ACCT					X								X		X	X				NA									P
	STRONG TOTAL RETURN FUND					X								X		X	X				NA									P
	STRONG GROWTH FUND				X									X		X	X				NA									P
	JANUS WORLDWIDE FUND					X								X		X	X				NA									P
	JANUS FUND					X								X		X	X				NA									P
	AIM FUND (GROWTH)				X									X		X	X				NA									P

For additional assets and unearned income, use next page.

3301

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. E. PETRI | Page 5 of 10

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P S E
	IVY INTERNATIONAL CL B													X								NA									
	FIRSTAR CORP, STOCK										X			X		X											X			P,S	
	AMERICAN HOME PRODUCTS, STOCK				X									X									X								
	AMERICAN EXPRESS, STOCK						X							X									X								
	WALGREEN CO., STOCK					X					X			X												X				S	
	BANDAG CO., STOCK													X										X						P	
	ASSOCIATED BANCORP, STOCK			X										X									X								
	ODLE CO, STOCK			X										X									X								
	OSHKOSH STRAWBOARD CORP, STOCK				X									X										X							
	CASTLE & COOKE, STOCK			X										X								X									
	MARSHALL & ILSLEY CORP, STOCK								X					X											X						
	NORTHWEST NUTRIL, CORP INS.				X									X										X							
	BERKSHIRE HATHAWAY, STOCK							X						X								X									
	UAL CORP, STOCK				X									X								X									
	LLOYD'S of LONDON								X					X		X											X			P,S	
	HORACE CLARK CASH MGT. ACT.						X							X		X									X						
	FIRSTAR TRUST CASH	X												X		X							X								
	PORTICO U.S. TREASURY FUND					X								X		X								X							
	U.S. TREASURY BILLS & NOTES					X								X		X									X						
	A.T.&T. CORP, STOCK					X								X									X								
	AIRTOUCH COMMUNICATIONS, STOCK					X								X								X									
	AMGEN TECH CORP, STOCK					X								X										X							

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. E. PETRI | Page 6 of 10

SP. DC. JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset — A: None	B: $1 – $1,000	C: $1,001 – $15,000	D: $15,001 – $50,000	E: $50,001 – $100,000	F: $100,001 – $250,000	G: $250,001 – $500,000	H: $500,001 – $1,000,000	I: $1,000,001 – $5,000,000	J: $5,000,001 – $25,000,000	K: $25,000,001 – $50,000,000	L: Over $50,000,000	BLOCK C: Type of Income — DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D: Amount of Income — I: None	II: $1 – $200	III: $201 – $1,000	IV: $1,001 – $2,500	V: $2,501 – $5,000	VI: $5,001 – $15,000	VII: $15,001 – $50,000	VIII: $50,001 – $100,000	IX: $100,001 – $1,000,000	X: $1,000,001 – $5,000,000	XI: Over $5,000,000	BLOCK E: Transaction — P, S, E
	AMERICAN ELECTRIC POWER, STOCK				X									X										X							
	BELL ATLANTIC CORP., STOCK				X									X									X								
	BELLSOUTH CORP., STOCK					X								X									X								
	SBC COMMUNICATIONS, STOCK				X									X									X								
	~~U.S. WEST COMM. STOCK~~																															
	LUCENT TECH., STOCK				X									X								X									
	NCR CORP., STOCK		X																			X									
	U.S. WEST, INC.,			X																		X									
	DISNEY CORP., STOCK		X											X									X								
	EQUITUS, TRUST				X																	X									
	MEDIAONE GROUP, STOCK			X																		X									
	(FORMERLY U.S. WEST NEW MEDIA)																															

This page may be copied if more space is required.

3303

SCHEDULE IV — TRANSACTIONS

Name T. E. PETRI | Page 7 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10-12-97		X								
	STRONG TOTAL RETURN FUND	X			401K PAYROLL WITHHOLDING	X									
	STRONG GROWTH FUND	X			"	X									
	JANUS WORLDWIDE FUND	X			"	X									
	JANUS FUND	X			"	X									
	AIM GROWTH FUND	X			401K PAYROLL WITHHOLDING	X									
	IVY INTERNATIONAL CL B FUND	X			"	X									
	FIRSTAR CORP. STOCK		X		4/20/98			X							
	WALGREEN CORP. STOCK		X		4/20/98			X							
	FIRSTAR CORP. STOCK	X			2/17/98	X									
	FIRSTAR CORP. STOCK	X			6/9/98	X									
	FIRSTAR CORP. STOCK	X			8/24/98	X									
	FIRSTAR CORP. STOCK	X			12/28/98	X									
	LLOYD'S OF LONDON		X		VARIOUS		X								
	LLOYD'S OF LONDON	X			VARIOUS		X								

This page may be copied if more space is required.

3304

Name T. E. PETRI | Page 8 of 10

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	MERRILL LYNCH	LOAN SECURED BY STOCK				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

3305

Name T. E. PETRI | Page 9 of 12

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
NAT'L RAILROAD CONSTRUCTION & MAINTENANCE ASSN.	JAN. 9-10	MILWAUKEE, WISC. - MIAMI - WASH, D.C.	Y	Y	N	N
ASSOC. OF AMERICAN RAILROADS	JAN 16-17	NAPLETON, WIS. - SAN CLEMENTE, CAL - WASH, D.C.	Y	Y	N	N
ASPEN INSTITUTE	FEB 13-16	WASH, D.C. - CHARLESTON, SC - WASH, D.C.	Y	Y	Y	N

This page may be copied if more space is required.

3306

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	LLOYDS UNDERWRITING INSURANCE RISKS, LONDON, ENGLAND
DIRECTOR	SOCIETY INSURANCE, FOND DU LAC, WISCONSIN

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1998 46-260 (mac)

Use additional sheets if more space is required.

3307

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 19 PM 1:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

DAVID D. PHELPS
(Full Name)

35 DEWEY ROAD
(Mailing Address)

ELDORADO, IL 62930

Daytime Telephone
(618) 993-2148 Wayne E. Tate, CPA

Filer Status	[X] Member of the U S House of Representatives	State: ILLINOIS District 19th	[ ] Officer or Employee	Employing Office.	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL David D. Phelps

DATE (Month/Day/Year) 5-14-99

33308

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
STATE OF ILLINOIS		SALARY	$ 48,786
ELDORADO UNIT #4 SCHOOL DISTRICT		SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DAVID D. PHELPS | Page 3 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT — *Examples:* SP					X								X										X							P
DC			Indefinite																Royalties											
JT						X									X									X						
JT First Nat'l Bank - CD				X											X							X								
JT C.P.Burnett & Sons Accounts			X												X						X									
JT Farmer'S State Bank				X											X							X								
SP MBNA America				X											X							X								
JT Mobile Home			X										X							X										
JT House 2206 Fourth Street				X										N/A						X										

For additional assets and unearned income, use next page.

3310

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DAVID D. PHELPS

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Phelps Brothers Quartet Musical A Partnership, IL Group			X																Partnership Income	X									
SP	Horace Mann To Annuity			X										X							N/A – Tax Deferred Annuity									
	Illinois State Retirement							X												N/A										
SP	Teachers Retirement System				X															N/A										

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	PHELPS BROTHERS QUARTET

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 PM 12:34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Charles W "Chip" Pickering, Jr. (Full Name)

427 Cannon House Office Building/US House of Representatives (Mailing Address)

(Daytime Telephone)

WDC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State: MS District: 3rd	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Charles W. "Chip" Pickering, Jr.

DATE (Month/Day/Year): 5-12-99

3313

Name: Charles W "Chip" Pickering, Jr. Page 2 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			Block A	A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, or E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock					X								X																		P
DC,			Simon & Schuster		Indefinite																	Royalties												
JT			1st Bank of Paducah, KY accounts						X									X										X						
	Laurel Federal S&L (MS)				X													X						X									
	Washington Mutual Investors Fund					X										X								X									
DC	The First Bancshares, Inc.					X										X								X									
	~~Lamar County, MS~~																																	
SP	US Treasury Bill/Memphis, TN ~~Boatman's Bank~~						X											X							X									
	3.3 acres Jones County, MS section 25, Township 10, Range 11					X																Land		X										

For additional assets and unearned income, use next page.

3314

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Charles W. "Chip" Pickering, Jr. | Page 3 of 6

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income						BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	CD Bank of Bartlett Memphis, TN				X											X								X						
DC	* Soloman Smith Barney Mutual Fund, Education IRA			X												X						X								* P
	* Gift given by relative.																													

This page may be copied if more space is required.

3315

SCHEDULE IV — TRANSACTIONS

Name: Charles W. "Chip" Pickering, Jr. | Page 4 of 6

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	MasonCreek, Inc. (Delaware)(Stock)		X		04-28-98	X									
DC	Soloman Smith Barney Mutual Fund ED-IRA	X *			07-02-98	X									
	*Gift given by relative.														

This page may be copied if more space is required.

3316

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wright Patman Cong. Federal Credit Union	Signature Loan	X									
	Wright Patman Cong. Federal Credit Union	Visa Revolving Charge	X									
	(Above located in WDC)											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Assoc. of American Railroads	Jan 16-19	WDC-Carlsbad,CA-WDC	Y	Y	Y	N
Softdrink Association	Apr 25-26	Golden Triangle Airpot to Gulfport, MS Airport	Y	Y	N	N
MS Poultry Association	Sep 12-14	Oxford, MS-Destin, FL	Y	Y	N	N

This page may be copied if more space is required.

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 9:07
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

OWEN BRADFORD PICKETT
(Full Name)

2133 Rayburn House Office Building
(Mailing Address)

Washington DC 20515
Daytime Telephone: (202) 225-4215

Filer Status
[X] Member of the U S House of Representatives — State: VA, District: 02
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 15)
[ ] Amendment
[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? (See Instructions, pages 10-11.)	Yes [ ]	No [X]
EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? (See Instructions, page 11.)	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Owen B Pickett

DATE (Month/Day/Year) 5/14/99

3319

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium.
A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package. For further information, see Instructions, pages 13-14.

Source		Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1994	$2,000
	XYZ Magazine	Article	Aug. 13, 1994	$500
Armed Forces Marketing Council		Speech	04/16/98	$ 1,000

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed*, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see Instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, *Examples:* JT				
	Mega Corp Stock SP 123 Main St , Dover, Del 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Beverly Enterprises - Mortgage Receivable	$250,001 - $500,000	Interest & Capital Gains	$15,001 - $50,000	
	Cranston Lane Assocs (Business: Ownership, rental, improvement & sale of real estate, Va. Beach, VA)	$250,001 - $500,000	Rent & Interest	$15,001 - $50,000	
	Lynnhaven Station Co (Business Ownership & rental of commercial offices, Va. Beach, VA)	$500,001 - $1,000,000	Rent & Interest	$15,001 - $50,000	
	Sherwood Crossing Assocs (Business Apartment construction & rental, Richmond, VA)	$15,001 - $50,000	Rent & Interest	$2,501 - $5,000	
	Toll South Assocs (Business. Ownership, rental improvement & sale of real estate, Va. Beach, VA)	$250,001 - $500,000	Rent	$15,001 - $50,000	
	Caroline County, VA Farms (571 acres plus 328 acres half-interest)	$500,001 - $1,000,000	Rent	$5,001 - $15,000	P

3321

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	Magnolia Meadows Assocs. (Business: Ownership, rental improvement & sale of real estate, Va. Beach, VA)	$250,001 - $500,000	Rent & Interest	$50,001 - $100,000	
	Acreage, Hanover Co., VA (1/2 int. in 26 acres)	$250,001 - $500,000		None - $200	
	Sylekame, Inc. (Business. Ownership, rental, improvement & sale of real estate, Va. Beach, VA)	$250,001 - $500,000	Rent, Interest & Capital Gains	$15,001 - $50,000	
	Amber Farm Corporation (Business: Ownership, rental improvement & sale of real estate, Va. Beach, VA)	$1,001 - $15,000	Rent & Interest	$5,001 - $15,000	
	Amber Farm Limited Partnership	$500,001 - $1,000,000	Rent & Interest	$5,001 - $15,000	
	Cenit (formerly Princess Anne) Bank CD	$100,001 - $250,000	Interest	$5,001 - $15,000	
	VA Retirement System	$1,001 - $15,000	Dividends	$1,001 - $2,500	
SP	AT&T	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	Acadia Realty Trust	$1,001 - $15,000	Dividends	None - $200	P
SP	BB&T Corp.	$1,001 - $15,000	Dividends	None - $200	
SP	Bradley Real Estate Inc. Conv. Ser A (formerly Mid-America Realty)	$1,001 - $15,000	Dividends	$1,001 - $2,500	E
SP	Capital One Financial Corp.	$50,001 - $100,000	Dividends	None - $200	
SP	Chase Manhattan Corp.	$15,001 - $50,000	Dividends	$201 - $1,000	
SP	Cenit Bancorp Inc.	$100,001 - $250,000	Dividends	$2,501 - $5,000	
SP	Ethyl Corp.	$15,001 - $50,000	Dividends	$201 - $1,000	P
SP	First Union Corp.	$50,001 - $100,000	Dividends	$1,001 - $2,500	
SP	IRT PPTY Co.	$15,001 - $50,000	Dividends	$2,501 - $5,000	P
SP	LSI Logic Corp.	$1,001 - $15,000	Dividends	None - $200	
SP	Loral Space & Comm.	$1,001 - $15,000	Dividends	None - $200	
SP	MGI Properties	$15,001 - $50,000	Dividends	$1,001 - $2,500	
SP	Nationwide Health Properties	$250,001 - $500,000	Dividends	$15,001 - $50,000	
SP	Old Kent Financial Corp. (acquired through inheritance)	$100,001 - $250,000	Dividends	$201 - $1,000	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	Unisys Corp.	$15,001 - $50,000	Dividends	None - $200	
SP	UTD Dominion Realty TR	$15,001 - $50,000	Dividends	$201 - $1,000	P
SP	Urstadt Biddle (formerly HRE PPTYS)	$1,001 - $15,000	Dividends	$201 - $1,000	S
SP	Money Market - Merrill Lynch (formerly with First Union)	$15,001 - $50,000	Interest	$1,001 - $2,500	
SP	IRA - Paine Webber Acct:				
	AT&T	$15,001 - $50,000	Dividends	$201 - $1,000	
	Ethyl Corp.	$1,001 - $15,000	Dividends	None - $200	P
	General Electric	$15,001 - $50,000	Dividends	$201 - $1,000	
	IRT Property Co	$1,001 - $15,000	Dividends	$201 - $1,000	
	Nationwide Health PPTY	$50,001 - $100,000	Dividends	$2,501 - $5,000	
	Bradley Real Estate Inc. Conv Ser A (formerly Mid America Realty)	$1,001 - $15,000	Dividends	$1,001 - $2,500	E
	MGI Properties	$1,001 - $15,000	Dividends	None - $200	S
	Urstadt Biddle Prop (formerly HRE Properties)	$1,001 - $15,000	Dividends	None - $200	S
	BRE Properties	$1,001 - $15,000	Dividends	None - $200	S
	Paine Webber CashFund	$15,001 - $50,000	Dividends	$201 - $1,000	
	IRA (SELF) - Paine Webber Acct.				
	AT&T	$15,001 - $50,000	Dividends	$201 - $1,000	
	Acadia Realty Trust (formerly Mark Centers Trust)	$15,001 - $50,000	Dividends	None - $200	P
	BRE Properties	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Bradley Real Estate Inc. Conv. Ser A (formerly Mid America Realty)	$15,001 - $50,000	Dividends	$1,001 - $2,500	E
	Chase Manhattan Corp New	$50,001 - $100,000	Dividends	$1,001 - $2,500	
	Eastgroup Properties Inc.	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Ethyl Corp	$50,001 - $100,000	Dividends	$1,001 - $2,500	P
	Federal Realty Inv TR	$15,001 - $50,000	Dividends	$1,001 - $2,500	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	First Financial Fund Inc.	$15,001 - $50,000	Dividends	None - $200	P
	First Union Corp	$100,001 - $250,000	Dividends	$2,501 - $5,000	S
	IRT Property Co.	$50,001 - $100,000	Dividends	$2,501 - $5,000	P
	MGI Properties	$50,001 - $100,000	Dividends	$1,001 - $2,500	
	Nationwide Health PPTY	$50,001 - $100,000	Dividends	$2,501 - $5,000	
	Norfolk Southern Corp	$15,001 - $50,000	Dividends	$201 - $1,000	P
	Urstadt Biddle Properties (formerly HRE PPTYS)	$15,001 - $50,000	Dividends	$1,001 - $2,500	S
	RMA Gov't Portfolio (Cash/MM)	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Georgia Pacific Corp	$1,001 - $15,000	Dividends	$201 - $1,000	S
	Loral Space & Communications Lmt.	$1,001 - $15,000	Dividends	None - $200	S
	LSI Logic Corp	$1,001 - $15,000	Dividends	None - $200	S
	Georgia Pacific Timber Group	$1,001 - $15,000	Dividends	None - $200	S
	CabletronSys.	$1,001 - $15,000	Dividends	None - $200	S

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see Instructions, pages 20-21.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
	Caroline Co., VA Farms - 244 acres known as "Solitude"	Purchase	07/13/98	$100,001 - $250,000
SP	Acadia Realty Trust	Purchase	08/17/98, 08/18/98	$1,001 - $15,000
SP	Ethyl Corp.	Purchase	06/16/98	$1,001 - $15,000
SP	IRT PPTY Co.	Purchase	06/15/98, 08/11/98	$15,001 - $50,000
SP	UTD Dominion Realty TR	Purchase	10/16/98	$15,001 - $50,000
SP	Urstadt Biddle (formerly HRE PPTYS)	Sold	07/14/98	$1,001 - $15,000
SP	Bradley Real Estate Inc. Conv. Ser A (formerly Mid-America)	Exchange	08/11/98	$1,001 - $15,000
SP	IRA - Paine Webber Acct:			
	Bradley Real Estate Inc. Conv. Ser A (formerly Mid-America)	Exchange	08/11/98	$1,001 - $15,000
	Ethyl Corp.	Purchase	06/12/98	$1,001 - $15,000
	MGI Properties	Sold	07/14/98	$1,001 - $15,000
	Urstadt Biddle Prop (formerly HRE Properties)	Sold	07/14/98	$1,001 - $15,000
	BRE Properties	Sold	07/21/98	$1,001 - $15,000
	IRA (SELF) - Paine Webber Acct:			
	Acadia Realty Trust (formerly Mark Centers Trust)	Purchase	04/22/98; 09/01/98	$15,001 - $50,000
	Bradley Real Estate Inc. Conv. Ser A (formerly Mid America)	Exchange	08/11/98	$15,001 - $50,000
	Ethyl Corp.	Purchase	6 times throughout year	$50,001 - $100,000
	First Financial Fund Inc.	Purchase	04/17/98, 12/17/98	$15,001 - $50,000
	First Union Corp.	Sold	07/06/98	$15,001 - $50,000
	IRT Property Co.	Purchase	03/10/98, 06/17/98	$15,001 - $50,000
	Norfolk Southern Corp.	Purchase	07/06/98	$15,001 - $50,000
	Urstadt Biddle Properties (formerly HRE PPTYS)	Sold	12/30/98	$15,001 - $50,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see Instructions, pages 20-21.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
	Georgia Pacific Corp.	Sold	04/09/98	$1,001 - $15,000
	Loral Space & Communications Lmt.	Sold	12/14/98	$1,001 - $15,000
	LSI Logic Corp.	Sold	12/14/98	$1,001 - $15,000
	Georgia Pacific Timber Group	Sold	03/27/98	$15,001 - $50,000
	Cabletron Sys.	Sold	04/09/98	$1,001 - $15,000

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000. For further information, see Instructions, pages 21-22.

SP,DC,J	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St , Dover, Del	$100,001 - $250,000
	E.D. & C.D. Proffitt	Farm Mortgage - Caroline Co	$50,001 - $100,000
	M/M Plotkin	Farm Mortgage - Caroline Co	$100,001 - $250,000
	Union Bank + Trust	Farm Mortgage - Caroline	$100,001 - $250,000

98 074 00 47

3327

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. **Exclude:** Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you. For further information, see Instructions, pages 24-26.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar.2	Wash., D C -Chicago-Wash , D C	N	N	N	N
Mega Corporation	Aug.6-13	Wash., D C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
U.S. German Roundtables for the 21st Century	Feb 16-20, 1998	Wash., DC-Frankfurt, Germany-Wash.,DC	Y	Y	N	No

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. For further information, see Instructions, page 26.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I

Position	Organization
Officer & Director	Sylakama, Inc.
Partner	Lynnhaven Station Co
Partner	Toll South Assoc
Partner	Cranston Lane Assoc
Partner	Magnolia Meadows Assoc.
Partner	Sherwood Crossing Assoc., LP
Officer & Director	Amber Farm Corporation
Partner	Amber Farm Limited Partnership

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 12:01
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

7

Joseph Russell Pitts
(Full Name)

905 Mitchell Farm Lane
(Mailing Address)

Kennett Square, PA 19348

Daytime Telephone: (610) 444-4581

Filer Status
[X] Member of the U.S. House of Representatives — State: PA, District: 16th
[ ] Officer or Employee — Employing Office:

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? If yes, complete and attach Schedule VII.	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Joseph R Pitts

DATE (Month/Day/Year): 5-14-99

3330

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
Commonwealth of Pennsylvania (not subject to outside earned income limit)		Legislative Pension	$83,674
College Retirement Equities Fund (TIAA/CREF)		Spouse Pension	$39,975

For payments to charity in lieu of honoraria, use Schedule II.

Name: Joseph Russell Pitts | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C						BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*																													
SP	Mega Corp. Stock					X								X										X						P
	Simon & Schuster		Indefinite																	Royalties										
	1st Bank of Paducah, KY accounts						X									X									X					
	Peoples Bank of Oxford				X											X						X								
	Miracle Grow Invest Club	X												X			X					X								
SP	Miracle Grow Invest Club	X												X			X					X								
	Common of PA Deferred Comp (admin by Copeland Companies)				X										N/A							N/A								
	IRA (admin by Putnam Invest)						X								N/A							N/A								

For additional assets and unearned income, use next page.

3332

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph Russell Pitts

BLOCK A	BLOCK B											BLOCK C						BLOCK D										BLOCK E
Asset and/or Income Source	Year-End Value of Asset											Type of Income						Amount of Income										Transaction
SP, DC, JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP TIAA/CREF					X								N/A								N/A								

This page may be copied if more space is required.

3333

SCHEDULE IV — TRANSACTIONS

Name Joseph Russell Pitts | Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: A $1,001–$15,000	B $15,001–$50,000	C $50,001–$100,000	D $100,001–$250,000	E $250,001–$500,000	F $500,001–$1,000,000	G $1,000,001–$5,000,000	H $5,000,001–$25,000,000	I $25,000,001–$50,000,000	J Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
	Miracle Grow Investment Club		X		08-14-98	X									
SP	Miracle Grow Investment Club		X		08-14-98	X									
	(separate interest for which the														
	combined total equals less than $15,000)														

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Mongolian Government	April 4-11	Wash,,D.C.-Ulaanbaatar, Mongolia-Wash.,D.C.	Y	Y	N	N
Institute for Democratic Strategies	July 6-12	Wash.,D.C.-Tindouf, Algeria,-Wash.,D.C.	Y	Y	N	N
		Travel Stop Madrid, Spain				
International Foundation	Nov 26* - Dec 5*	Wash.,D.C.-Georgia-Kyrgystan-Kazakhstan-Uzbekistan-Turkmenistan-Azerbaijan-Russia-Wash.,D.C.	Y	Y	N	N
*Nov 26, 27 and Dec 5 were travel days						

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	County Corrections Gospel Mission
Advisory Board Member	Eastern College Advisory Board
Advisory Board Member	Keystone State Games
Advisory Board Member	Greystone Society
Advisory Board Member	Crosslinks
Advisory Board Member	Congressional Youth Leadership Council
Advisory Board Member	International Health Services Board

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 1999 55-185 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
99 MAY 18 PM 3:36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Richard William Pombo
(Full Name)

2411 Rayburn HOB
Washington, D.C. 20515
(Mailing Address)

(202)225-1947
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 11	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII**	Yes [X] No [ ]
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5/16/99

3337

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Richard W. Pombo | Page 2 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples.*		Indefinite			X	X							X		X				Royalties					X			X			P
	R. Pombo Ranch II					X														Partnership					X						

For additional assets and unearned income, use next page.

3338

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St , Dover, Del.				X						
	Congressional Federal Credit Union	Revolving Charge	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Safari Club International	1/30-1/31	Wash.,D.C.-Reno-Wash.DC	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	R. Pombo Ranch II

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO-1999 55-195 (mac)

Use additional sheets if more space is required

RICHARD W. POMBO
11TH DISTRICT, CALIFORNIA

COMMITTEE ON AGRICULTURE

CHAIRMAN-SUBCOMMITTEE ON LIVESTOCK, DAIRY AND POULTRY

COMMITTEE ON RESOURCES

Congress of the United States
House of Representatives
Washington, DC 20515-0511

WASHINGTON ADDRESS
2411 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-0511
(202) 225-1947

DISTRICT OFFICE ADDRESS
2495 WEST MARCH LANE
SUITE 104
STOCKTON, CA 95207
(209) 951-3091

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN -8 PM 4:30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

June 8, 1999

Mr. Jeff Trandahl
Clerk of the House
United States Capitol
Washington, DC 20515

Dear Mr. Trandahl,

This letter serves as an amendment to my Financial Disclosure Statement.

I inadvertently failed to sign the statement submitted on May 18. All other information on the statement is accurate.

Sincerely,

Richard Pombo

Richard Pombo

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 PM 4:34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

Congressman Earl Pomeroy
(Full Name)

1533 Longworth House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: 202-225-2611

Filer Status [X] Member of the U.S House of Representatives — State: ND District 00 — [ ] Officer or Employee — Employing Office:

Report Type [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II** Yes [X] No [ ]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL Earl Pomeroy **DATE** (Month/Day/Year) 5/13/99

3343

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium.
A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$ 500
NATIONAL ASSOCIATION OF INDEPENDENT INSURERS	SPEECH	04/13/98	$500.00
INTERNATIONAL FOUNDATION OF EMPLOYEE BENEFITS PLAN	SPEECH	05/06/98	$1,000.00

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, JT *Examples*	SP Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	GUARANTEE MUTUAL LIFE COMPANY	$1,001 - $15,000	Interest	$1 - $200	
SP	BANK CENTER FIRST (SAVINGS)	$1,001 - $15,000	Interest	$201 - $1,000	
SP	VALIC (Rolled over from American Express Financial Services (IDS) (a/c 201338547049002, a/c 122638547044002, a/c 1732385470410002)	$15,001 - $50,000	N/A	NA	
SP	TWEEDY, BROWNE AMERICAN VALUE FUND (IRA) (Rolled over from American Express Financial Services)	$15,001 - $50,000	N/A	NA	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	TWEEDY, BROWNE GLOBAL VALUE FUND (IRA) (Rolled over from American Express Financial Services)	$1,001 - $15,000	N/A	NA	
	VALIC (Rolled over from American Express Financial Services (IDS) (a/c 112638547045002, a/c 163238547042002)	$50,001 - $100,000	N/A	NA	
	TWEEDY, BROWNE AMERICAN VALUE FUND (IRA) (Rolled over from American Express Financial Services)	$15,001 - $50,000	N/A	NA	
	TWEEDY, BROWNE GLOBAL VALUE FUND (IRA) (Rolled over from American Express Financial Services)	$1,001 - $15,000	N/A	NA	
	PUBLIC EMPLOYEES RETIREMENT SYSTEM*	$15,001 - $50,000	N/A	NA	
SP	PUBLIC EMPLOYEES RETIREMENT SYSTEM*	$15,001 - $50,000	N/A	NA	
SP	FIRST AMERICAN FUNDS (MUTUAL FUND) (Includes transfer from American Express Financial Services)	$1,001 - $15,000	Dividends	$1,001 - $2,500	

* Invested with institution; individual has no discretion regarding purchases or sales of assets in accounts.

Name Congressman Earl Pomeroy | Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce Mega Corporation	Mar 2 Aug 6-13	Wash., D.C.-Chicago-Wash., D.C. Wash., D.C.-Los Angeles-Cleveland	N Y	N Y	N Y	N 4 Days
NAT'L CONFERENCE ON PUBLIC EMPLOYEE RETIREMENT SYSTEMS	04/20/98	BISMARCK-DENVER-DC	N	N	N	NONE
PENSION RESEARCH COUNCIL	04/27/98	BISMARCK-PHILADELPHIA-DC	N	Y	N	NONE
LIFE USA BOARD OF DIRECTORS	06/14/98	BISMARCK-KEY WEST, FL-DC	Y	Y	N	NONE
CIGNA RETIREMENT & INVESTMENT SERVICES	11/05/98	BISMARCK-CHICAGO-BISMARCK	N	N	N	NONE
NEW YORK LIFE INSURANCE	11/17/98	DC-NYC-DC	N	Y	N	NONE

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 24 AM 9:15
OFFICE OF CLERK
U.S. HOUSE OF REPRESENTATIVES

MAY 17 1999
MC
(Office Use Only)

JOHN EDWARD PORTER
(Full Name)
1625 SHERIDAN ROAD
(Mailing Address)
WILMETTE, IL 60091
Daytime Telephone: 847-940-0202

Filer Status
- [X] Member of the U.S. House of Representatives — State: — District: —
- [ ] Officer or Employee — Employing Office:

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? N.A.	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? N.A.	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year): MAY 17, 1999

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
(5)	THE HUMAN RIGHTS ALLIANCE, FDN	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name: JOHN EDWARD PORTER — Page 3 of 8

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	BLOCK A		BLOCK B													BLOCK C								BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000		E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP.		SP																																	
DC.	*Examples:*		Mega Corp Stock				X									X																			P
JT			Simon & Schuster		Indefinite																	Royalties								X				
			1st Bank of Paducah, KY accounts						X								X										X								
JT	ALLSTATE CORP. STOCK (COMMON)					X									X									X											
JT	BRISTOL-MYERS SQUIBB CO. STOCK (COMMON)					X									X									X											
JT	CENTURY TELEPHONE ENTERPRISES STOCK (COMMON)					X									X								X												
JT	CITIGROUP, INC. STOCK (COMMON)					X									X									X											
JT	COMPUTER ASSOC. INTL INC. STOCK (COMMON)					X									X								X												
JT	EXXON CORP STOCK (COMMON)						X								X										X										

For additional assets and unearned income, use next page.

3350

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: JOHN EDWARD PORTER

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
JT	PPG CORP. STOCK (COMMON)				X																X										
JT	AT&T / ATT CORP. STOCK (COMMON)				X									X									X								
JT	SEARS, ROEBUCK & CO. STOCK (COMMON)			X										X									X								
JT	3 COM CORP. STOCK (COMMON)				X																X										
JT	NYCO INT'L LTD STOCK				X																X										
JT	FRANKLIN US GOVT SEC. FUND - CLASS I					X										X									X						
JT	FORD MOTOR CREDIT BOND (FORD MTR CR) 6.75% 8-15-04			X												X							X								
JT	GEN. MOTORS ACCEPT. CORP. BOND (GMAC) 6.85% 3-1-00			X												X							X								
JT	COMPAQ COMP. CO., EDMANTON, ED (COMPAQ ACCT)															X							X								
JT	ALTERA CORP. STOCK (COMMON)													X								X									
JT	TENNECO CORP. STOCK (NEW)													X								X									
JT	EL PASO GAS STOCK (NEW)													X								X									
JT	SEARS, ROEBUCK & CO. NOTE (7-1/8% 11-1-99)															X							X								
JT	US SURGICAL CORP. STOCK (COMMON)													X								X									
JT	FIRST OF AMERICA BANK CORP. STOCK (COMMON)													X								X									
	CONG. FED. CREDIT UNION LIFE RETIREMENT ACCT				X											X								X							
	FRANKLIN US GOVT SEC. FUND INV. RETIREMENT ACCT				X											X								X							
S	FIRST METHODIST BANK, OKEECHOBEE, FL I.R.A.				X											X								X							
S	FRANKLIN US GOVT SEC. FUND INV. RETIREMENT ACCT				X											X								X							
S	CONG. FEDERAL CREDIT UNION LIFE RETIREMENT ACCT				X											X								X							
	CONG. FED. CREDIT UNION SAVINGS ACCT				X											X							X								
JT	LAND TR. INT. IN RAVENSWOOD AT SEBASTIAN HIGHLANDS, FL				X																X										

Name JOHN EDWARD PORTER Page 5 of 8

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
JT	ALTEN CORP (COMMON) STOCK		X		04-08-98	X									
JT	AMPHENOL CORP (CLASS A) STOCK		X		06-18-98	X									
JT	TENNECO (NEW) STOCK		X		04-08-98	X									
JT	EL PASO GAS (NEW) STOCK		X		04-08-98	X									
JT	SEARS (8.45% 11-01-98) NOTE		X		11-01-98	X									
JT	US SURGICAL (COMMON) STOCK	X			04-08-98	X									
JT	FDX (COMMON) STOCK	X			04-01-98	X									
	NOTE: THE FOLLOWING ASSETS WERE EXCHANGED AS A RESULT OF MERGER OR ACQUISITION:														
	– CITICORP STOCK (COMMON) TO CITIGROUP STOCK (COMMON)														
	– FIRST OF AMERICA BANK CORP. STOCK (COMMON) TO NBD CITY CORP STOCK (COMMON)														
	– U.S. SURGICAL CORP. STOCK (COMMON) TO TYCO INT'L LTD. STOCK (NEW)														

This page may be copied if more space is required.

3852

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	CONG. FED. CREDIT UNION	LINE OF CREDIT	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
THE ASPEN INSTITUTE	FEB 13–FEB 16	WASH. D.C.–CHARLESTON S.C.–WASH. D.C.	Y	Y	Y	N
THE SOCIETY OF SURGICAL ONCOLOGY, INC.	MAR 27–MAR 28	WASH. D.C.–SAN FRAN. CALIF.–WASH. D.C.	Y	Y	N	N
GLOBE INTERNATIONAL	AUG 23–AUG 26	WASH., D.C.–DENNIS, MA.–WASH. D.C.	Y	Y	Y	N
THE ASPEN INSTITUTE	NOV. 12–NOV. 17	BALT. M.D.–BERMUDA–BALT., MD	Y	Y	Y	N

This page may be copied if more space is required.

3354

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	POPULATION RESOURCE CENTER
CO-CHAIRMAN	CONGRESSIONAL HUMAN RIGHTS CAUCUS
CO-CHAIRMAN	CONGRESSIONAL CAUCUS ON ARMENIAN ISSUES
CO-CHAIRMAN	CONGRESSIONAL HONG KONG CAUCUS
PRESIDENT & CHAIRMAN	GENERAL RESOURCES CORPORATION
CHAIRMAN	GLOBE USA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 JUN 11 AM 10:34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(10)

(Office Use Only)

ROBERT J. PORTMAN
(Full Name)

203 MIAMI AVENUE
(Mailing Address)

Daytime Telephone

TERRACE PARK OH 45174 202-225-3164

Filer Status

[ ] Member of the U.S. House of Representatives — State: OH, District: 02

[ ] Officer or Employee — Employing Office

Report Type

[X] Annual (May 15)

[ ] Amendment

[ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS--Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Rob Portman

DATE (Month/Day/Year): 6/11/99

3356

Name ROBERT J. PORTMAN	Page 1 of 1

SCHEDULE I – EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Richard Saunders, International, Cincinnati, Ohio	Spouse's Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

3357

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year
SP, DC, JT — *Examples.* — SP — Mega Corp. Stock; 123 Main St., Dover, Del.; 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
NUVEEN MUNICIPAL VALUE FUND	$1,001 - $15,000	Interest	$201 - $1,000	
ASHWOOD FARMS, INC. SPRINGBORO, OH (WARREN CO)	$1,001 - $15,000	Dividends	None	
GRADISON U.S. GOV'T RESERVES	$1,001 - $15,000	Dividends	$1,001 - $2,500	
GOLDEN LAMB TRUST - LAND, BLDG LOCATED ON BROADWAY STREET IN LEBANON, OH	$50,001 - $100,000	Rent	$15,001 - $50,000	
LEBANON HOUSE INC. - CINCINNATI, OH	$250,001 - $500,000	Dividends	$50,001 - $100,000	

3358

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	GRADISON-MCDONALD OHIO TAX FREE INCOME FUND	$15,001 - $50,000	Interest	$1,001 - $2,500	P
	GRADISON-MCDONALD ESTABLISHED VALUE FUND	$50,001 - $100,000	Dividends	$2,501 - $5,000	P
	GRADISON-MCDONALD OPPORTUNITY VALUE FUND	$50,001 - $100,000	Dividends	$2,501 - $5,000	
	PORTMAN EQUIPMENT COMPANY (INCLUDING STOCK HELD IN IRREVOCABLE TRUST WHICH MEMBER IS A BENEFICIARY) - CINCINNATI, OH	$1,000,001 - $5,000,000	DIVIDEND ISSUED ONLY TO PAY TAXES AS SUB S SHAREHOLDER	$132,125	
	PEAVLER PTN. - LAND AND BLDG.: - LOCATED ON ROSSPLAIN RD IN CINCINNATI, OH AND JAMIKE AVENUE IN ERLANGER, KY	$250,001 - $500,000	Rent	$110,487	
	MARIEMONT VILLAGE PROPERTIES - LIMITED PARTNERSHIP	$1,001 - $15,000	Rent	$1 - $200	
	PROCTOR & GAMBLE CO	$1,001 - $15,000	Dividends	$201 - $1,000	P
	SHAKER PROPERTIES LTD - LAND & BUILDING LOCATED ON FUJITEC DRIVE IN LEBANON & SPANGLER ROAD IN FAIRBORN, OHIO	$1 - $1,000	Rent	None	
	GRAUSTARK, LLC - LAND & BUILDING LOCATED ON TRIPORT ROAD IN GEORGETOWN, KY	$15,001 - $50,000	Rent	$1,001 - $2,500	
	GRAYDON HEAD & RITCHEY - RETIREMENT PLAN, CINCINNATI, OH	$50,001 - $100,000	NA	NA	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	GRADISON-MCDONALD INTERNATIONAL FUND	$50.001 - $100.000	Dividends	None	P
	ADVANCE HANDLING SYSTEMS, INC - NOTE RECEIVABLE	None	Interest	$201 - $1.000	NOTE PAID OFF IN 1998
SP	VMBF - INTERMEDIATE TERM	None	Dividends	$1.001 - $2.500	P AND S
SP	GRADISON- MCDONALD US GOVERNMENT RESERVES	$15.001 - $50.000	Interest	$1.001 - $2.500	
SP	IRA, GRADISON-MCDONALD	$15.001 - $50.000	Interest	NA	
SP	NUVEEN FLAGSHIP LTD TERM MUNICIPAL BOND FUND	$15.001 - $50.000	Interest	$1.001 - $2.500	P
SP	NUVEEN MUNICIPAL VALUE FUND	$50.001 - $100.000	Dividends	$2.501 - $5.000	
SP	CCB FINANCIAL CORPORATION	$15.001 - $50.000	Dividends	$201 - $1.000	
SP	AMERICAN EXPRESS CO. NONCALL NOTES	$1.001 - $15.000	Interest	$1 - $200	
DC	FLAG INVESTORS EQUITY A FUND	$50.001 - $100.000	Dividends	$201 - $1.000	P
DC	PROCTOR & GAMBLE CO	$50.001 - $100.000	Dividends	$1.001 - $2.500	P
DC	IRREVOCABLE TRUSTS	$250.001 - $500.000	Dividends	$15.001 - $50.000	P
	GRADISON-MCDONALD GROWTH & INCOME FUND	$50.001 - $100.000	Dividends	$1.001 - $2.500	P
	IRA, GRADISON-MCDONALD GOVERNMENT INCOME FUND	$1.001 - $15.000	Dividends	$201 - $1.000	P
	IRA, GRADISON-MCDONALD ESTABLISHED VALUE FUND	$1.001 - $15.000	Dividends	$201 - $1.000	P

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	IRA, GRADISON-MCDONALD GROWTH & INCOME FUND	$1,001 - $15,000	Dividends	$1 - $200	P
	IRA, GRADISON-MCDONALD INTERNATIONAL FUND	$1,001 - $15,000	Dividends	None	
	IRA, GRADISON-MCDONALD U.S. GOVERNMENT RESERVES	$1,001 - $15,000	Dividends	$1 - $200	
SP	COLONIAL SELECT VALUE FUND	$100,001 - $250,000	Dividends	$2,501 - $5,000	P
SP	STATE STREET RESEARCH EQUITY INCOME FUND C	$50,001 - $100,000	Dividends	$2,501 - $5,000	P
SP	TEMPLE FOREIGN SERIES II	$50,001 - $100,000	Dividends	$5,001 - $15,000	P
SP	REAL ESTATE, FT. PIERCE, FL	$1 - $1,000	Capital Gains	None	S

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	PROCTOR & GAMBLE CO.	Purchase	QTR DIV REIN	$1,001 - $15,000
	IRA, GRADISON-MCDONALD GROWTH & INCOME FUND	Purchase	MON DIV REIN	$1,001 - $15,000
SP	COLONIAL SELECT VALUE FUND CLASS A	Purchase	MON DIV REIN	$1,001 - $15,000
SP	STATE STREET RESEARCH EQUITY INCOME FUND C	Purchase	1998, MON DIV REIN	$15,001 - $50,000
SP	TEMPLETON FOREIGN SERIES II	Purchase	1998, MON DIV REIN	$15,001 - $50,000
	GRADISON-MCDONALD OHIO TAX FREE INCOME	Purchase	MON DIV REIN	$1,001 - $15,000
	GRADISON-MCDONALD ESTABLISHED VALUE FUND	Purchase	MON DIV REIN	$1,001 - $15,000
	GRADISON-MCDONALD OPPORTUNITY VALUE FUND	Purchase	MON DIV REIN	$1,001 - $15,000
	GRADISON-MCDONALD INTERNATIONAL FUND	Purchase	6-2-98	$1,001 - $15,000
SP	VMBF - INTERMEDIATE TERM	Purchase	MON DIV REIN	$1,001 - $15,000
DC	FLAG INVESTORS EQUITY A FUND	Purchase	MON DIV REIN	$1,001 - $15,000
DC	IRREVOCABLE TRUSTS	Purchase	MON DIV REIN/2-26-98, 5-4-98.	$50,001 - $100,000
DC	PROCTOR & GAMBLE CO.	Purchase	QTR DIV REIN	$1,001 - $15,000
	GRADISON-MCDONALD OPPORTUNITY VALUE FUND	Purchase	4-23-98, 6-1-98	$50,001 - $100,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
Example:	Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	GRADISON-MCDONALD GROWTH & INCOME FUND	Purchase	6-2-98	$1,001 - $15,000
SP	VMBF-INTERMEDIATE TERM	Sold	1998	$50,001 - $100,000
SP	REAL ESTATE, FT. PIERCE, FL	Sold	1998	$1,001 - $15,000
	IRA, GRADISON-MCDONALD GOVERNMENT INCOME FUND	Purchase	MON DIV REIN	$1,001 - $15,000
	IRA, GRADISON-MCDONALD ESTABLISHED VALUE FUND	Purchase	MON DIV REIN	$1,001 - $15,000
	GRADISON-MCDONALD ESTABLISHED VALUE FUND	Purchase	6-1-98	$15,001 - $50,000
	GRADISON-MCDONALD GROWTH & INCOME FUND	Purchase	MON DIV REIN	$1,001 - $15,000

Name ROBERT J. PORTMAN	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
TRUSTEE	THE SPRINGER SCHOOL
MEMBER	UNITED WAY & COMMUNITY CHEST GOV'T RELATIONS COMMITTEE
PARTNER	PEAVLER PARTNERSHIP
LIMITED PARTNER	MARIEMONT VILLAGE PROPERTIES
DIRECTOR	COMMUNITY ANTI-DRUG COALITION OF AMERICA (CADCA)
DIRECTOR & PRESIDENT	COALITION FOR A DRUG FREE GREATER CINCINNATI
LLC MEMBER	SHAKER PROPERTIES, LTD
LLC MEMBER	GRAUSTARK LLC

SCHEDULE IX -- AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U S Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	GRAYDON HEAD & RITCHEY CINCINNATI, OH RETIREMENT PLAN	TERMINATED PARTICIPANT WITH ACCOUNT BALANCE ONLY

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 18 PM 2:40
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

David Eugene Price
(Full Name)

2200 N. Lakeshore Dr.
(Mailing Address)

Chapel Hill, NC 27514

Daytime Telephone (202) 225-1784

Filer Status	[X] Member of the U.S. House of Representatives	State: NC District: 04	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL David E. Price

DATE (Month/Day/Year) 5/11/99

3366

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Westview Press	book royalties	680.33
	North Carolinians Against Gun Violence Education Fund	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name David Eugene Price | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A	B	C	E	F	G	H	I	J	K	L	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, E
			None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000								None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	
SP, DC, JT	*Examples:*	SP	Mega Corp Stock				X							X																		P
			Simon & Schuster	Indefinite																	Royalties											
			1st Bank of Paducah, KY accounts					X								X										X						
	Smith Barney Money Market Units				X									X										X								
	NC Eastern Municipal Power Agency Bonds				X											X							X								
	NC Municipal Power Agency #1 Bonds				X											X							X								
	Duplin Co., N.C. Municipal Bonds				X											X							X								
	American Precision Industries stock				X									X							X										
	Cisco Systems Stock				X									X							X										

For additional assets and unearned income, use next page.

11

3368

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name David Eugene Price | Page 4 of 6

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset												BLOCK C: Type of Income								BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)		I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Coca Cola Stock					X								X										X								
	Con. Natural Gas Stock			X										X									X									
	Electronic Data Systems Stock			X										X									X									
	Emerson Electric Stock			X										X										X								
	General Electric Stock				X									X										X								
	Gillete Stock			X										X									X									
	Home Depot Stock			X										X									X									
	Intel Corp. Stock			X										X									X									
	McDonald's Corp. Stock			X										X									X									
	Minn. Mining + Mfg. Stock			X										X										X								
	Motorola Inc. Stock			X										X									X									
	Pepsico Inc. Stock				X									X										X								
	Tricon Global Rest. Stock			X										X								X										
	N.W. Mutual Life Insurance Policy			X										X										X								
	TIAA/CREF Retirement Plan								X											Retirement Plan		X										

This page may be copied if more space is required.

3369

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Democratic Leadership Council	Apr. 30- May 2	Wash, DC- New Orleans- Wash, DC	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Consulting Editor	Current Magazine
Advisory Board, Congressional fellowship Program	American Political Science Assn.
Professor on Leave	Duke University

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1/99 - 2/00	Duke University	unpaid leave of absence

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 12 PM 2:05
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MAILED/DELIVERED
(Office Use Only)

Deborah D. Pryce
(Full Name)

5602 Riverside Drive, Dublin, Ohio 43017
(Mailing Address)

(614) 469-5614
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State Ohio District 15th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5/12/99

3372

Name Deborah D. Pryce	Page 2 of 11

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Commercial One Realtors, Inc., Columbus, Ohio	Spouse's Commissions	$141,036.00
United Ohio Insurance Company	Spouse's Director Fee	$ 4,100.00
Ohio Mutual Insurance Company	Spouse's Director Fee	$ 9,125.00
Charing Road Partnership	Spouse's Management Fee	$ 0.00
The Legal Center	Spouse's Consulting Fee	$ 1,500.00

For payments to charity in lieu of honoraria, use Schedule II.

Name	Deborah D. Pryce	Page 3 of 11

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
National Assoc. of Mutual Insurance Companies	Speech	June 18	$500.00

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Deborah D. Pryce | Page 4 of 11

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left:

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	BLOCK A: Asset and/or Income Source	BLOCK B: Value of Asset												BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP	*Examples*				X									X									X								P
DC			Indefinite																	Royalties												
JT							X									X									X							
	Congressional Federal Credit Union		X													X							X									
SP	Equitable Capitol Partners		X									*				X	X				X											
JT	Huntington National Bank		X													X						X										
SP	Huntington National Bank Re Acct		X													X						X										
SP	Laurianne Woods Ltd. Partnership		X												X	X					X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Deborah D. Pryce | Page 5 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Ohio Bank - Checking		X													X						x										
SP	Ohio Bank - Savings				X											X								X								
	State Savings Bank		X													X						X										
SP	Zoom, Ltd. 31-6165195 (11.67%)						X								X	X							X									
	Bank One 2797/sh@ 51.06						X							X											X							
JT	Merrill Lynch - Steele Tech		X											X								X										
SP	340 East Gay, Columbus								X						X						X											
	Charing Road Associates						X								X						X											
SP	Bonded Development Co., Ltd.						X										X								X							
	J/S #6 Ohio Company (IRA Retirement)			X										See Broker Statement								X										
	Merrill Lynch (IRA Retirement)				X									See Broker Statement								X										
SP	726 South High Street							X							X										X							
SP	32-46 W. Kossuth Street							X							X						X											
SP	510-512 S. 4th Street						X								X						X											
SP	Fore Sum, Ltd.				X															Ordinary		X									P
SP	Charing Road (Retirment)			X											X						X											
SP	Paine Webber S&P Industrial			X										X																		
SP	Ohio Savings Bank - CD		X													X																
SP	Merrill Lynch (IRA Retirement)				X									See Broker Statement										X								
	Northwestern Mutual Life			X										X									X									
SP	Penn Mutual Life Insurance			X													X				X											
	Mass Mutual Life Insurance		x											X								X										

This page may be copied if more space is required.

3376

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Deborah Pryce | Page 12 of 11

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Fifth/Third Bank OH Company (IRA Retirement)			X										See	Broker	statement															

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Deborah D. Pryce | Page 7 of 11

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
SP	S & P Industrial 1997 Ser C Select Portfolio		X		04-27-98	X									
	Banc One Corp 10% Stock Dividend				02-26-98	X									
SP	Bonded Development Co.		X		01-27-98	X		Partial Sale of Land							
SP	145 East Rich Street, LLC	X			09-25-98	X									

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	The Ohio Bank	Mtg 726 S. High St., Cosl OH				X						
SP	The Ohio Bank	Mtg 32-46 W Kossuth. Cols. OH				X						
SP	The Ohio Bank	Mtg 510-12 S 4th St. Cols. OH				X						
JT	The Ohio Bank	Mtg Charing Road. Cols. OH				X						
SP	The Ohio Bank	Mtg 340 East Gay St. Cols. OH					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Donna Walker	Personal Note			X							
SP	Karen Walker	Personal Note		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

Name	Page 10 of 11

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Trustee of thm National Fund for the US Botanical Garden

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1978	State of Ohio Public Employee Retirement	Qualified Retirement Plan to begin at retirement-Age 65
	Chapter 145.01 Ohio Revised Code	

GPO 1999 86-195 (mac)

Use additional sheets if more space is required.

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/C#	PAGE #	SOCIAL SEC. #
656 82049	9824	1	299-46-3616

STATEMENT PERIOD
09/26/98 TO 12/31/98

EIN NUMBER
00-0000000

FINANCIAL CONSULTANT
PULERI/LEEMAN/CIEHAN
(800) 216-1428

ACCOUNT TYPE
IRA

OFFICE SERVING YOUR ACCOUNT
65 E. STATE ST., SUITE 2600
COLUMBUS OH 43215

MLPF& S CUST FPO
RANDY L WALKER IRA
FBO RANDY L WALKER
340 E GAY ST
COLUMBUS OH 43215-3213

***** ACCOUNT SUMMARY *****

PRICED PORTFOLIO
AS OF 12/31/98. . . . $18,277.24
AS OF 09/25/98. . . . $16,551.24

DIVIDEND/INTEREST
THIS STATEMENT..... $349.46CR
THIS YEAR $1,444.36CR

***** CONTRIBUTION INFORMATION *****

TAX YEAR 1997	TAX YEAR 1998
$.00	$.00

***** DISTRIBUTION INFORMATION *****

TAX YEAR 1997	TAX YEAR 1998
$.00	$.00

***** DAILY ACCOUNT ACTIVITY *****

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
09 26	OPENING BALANCE			$.41CR
11 09	FEE	PARTIAL FEE PAYMENT		$5.00
11 10	SALE	5 ML RETIREMENT RSRVS CL I		$5.00CR
11 10	RECEIVED	5 ML RETIREMENT RSRVS CL I		
12 15	*DIVIDEND	ML BASIC VALUE FD CL A		$185.61CR
12 15	REINVESTMENT	ML BASIC VALUE FD CL A		$185.61
12 15	*LG TM CAP GAIN	ML BASIC VALUE FD CL A		$163.85CR
12 15	REINVESTMENT	ML BASIC VALUE FD CL A		$163.85
12 15	DIVD REINV	4 ML BASIC VALUE FD CL A AGENT REINV AMOUNT $163.85 REINV PRICE $37.55 QUANTITY BOT 4.3640		
12 15	DIVD REINV	5 ML BASIC VALUE FD CL A AGENT REINV AMOUNT $185.61 REINV PRICE $37.55 QUANTITY BOT 4.9430		
12 31	CLOSING BALANCE			$.41CR

***** CURRENT PORTFOLIO *****

QUANTITY	INVESTMENT DESCRIPTION	CURRENT PRICE	MARKET VALUE	CURR. YIELD	EST. INCOME
480.7020	ML BASIC VALUE FD CL A	38.020	$18276	2.20	$402
TOTALS FOR PRICED INVESTMENTS			$18276		$402

Please advise your Financial Consultant immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please, mention your account number and address all correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms.

CODE 5029R (R2 96)

Merrill Lynch

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/C#	PAGE #	SOCIAL SEC. #
656 82049	9824	2	299-46-3616

MLPF& S CUST FPO
RANDY L WALKER IRA

***** CURRENT PORTFOLIO *****

MONEY ACCOUNT	OPENING BALANCE AS OF 09/26	CLOSING BALANCE AS OF 12/31	DIVIDENDS/INTEREST THIS STMT.	DIVIDENDS/INTEREST YEAR TO DATE
RETIREMENT RESERVES CL I	$5.83	$.83	$.00	$.00

IN CALCULATING MANDATORY DISTRIBUTIONS, OR FOR THE PURPOSE OF COMPLETING IRS FORM 860 YOU MUST USE THE VALUE OF YOUR ACCOUNT AS OF 12/31/98. THIS VALUE SHOULD INCLUDE YOUR PRICED PORTFOLIO TOTAL AND THE VALUE OF CERTAIN LIMITED PARTNERSHIPS AND OTHER DIRECT INVESTMENTS, AS WELL AS ANY SECURITIES THAT WE ARE UNABLE TO PRICE - MARKED "UNAVAILABLE". THE "YEAR-END PLAN VALUE" BELOW CONSISTS OF YOUR PRICED PORTFOLIO TOTA PLUS THE ESTIMATED VALUE OF LIMITED PARTNERSHIPS OR OTHER DIRECT INVESTMENTS REPORTED ON YOUR YEAR-END STATEMENT.

YEAR-END PLAN VALUE AS OF 12/31/98: $18,277.24

FOR IRA, IRRA AND SEP/IRA ACCOUNTS, THE YEAR-END PLAN VALUE REPRESENTS THE VALUATION WE MUST FURNISH TO YOU AND THE INTERNAL REVENUE SERVICE AS PART OF THE IRS FORM 5498 REPORTING REQUIREMENTS.

CUSTODIAL FEES FOR ROTH OR EDUCATION IRAS OPENED IN THE FIRST QUARTER OF 1998 WILL BE COLLECTED IN FEBRUARY 1999.

Please advise your Financial Consultant immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please mention your account number and address of correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms.

CODE 5229P (R2 96)

Merrill Lynch

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/C#	PAGE #	SOCIAL SEC. #
656 92C87	9824	1	294-50-5500

STATEMENT PERIOD	EIN NUMBER
09/26/98 TO 12/31/98	00-0000000

FINANCIAL CONSULTANT	ACCOUNT TYPE
PULERI/LEEMAN/CIEHAN (800) 216-1428	IRA

OFFICE SERVING YOUR ACCOUNT
65 E. STATE ST., SUITE 2600
COLUMBUS OH 43215

MLPF& S CUST FPO
DEBORAH PRYCE IRA
FBO DEBORAH PRYCE
5602 RIVERSIDE DR
DUBLIN OH 43017-1547

***** ACCOUNT SUMMARY *****

PRICED PORTFOLIO
AS OF 12/31/98. . . . $23,949.18
AS OF 09/25/98. . . . $20,035 08

DIVIDEND/INTEREST
THIS STATEMENT..... $564.82CR
THIS YEAR $687.99CR

***** CONTRIBUTION INFORMATION *****

TAX YEAR 1997	TAX YEAR 1998
$.00	$.00

***** DISTRIBUTION INFORMATION *****

TAX YEAR 1997	TAX YEAR 1998
$.00	$.00

***** MONEY ACCOUNT QUARTERLY DIVIDEND/INTEREST RECAP *****

MONEY ACCOUNT	OCTOBER	NOVEMBER	DECEMBER	CURRENT MONTH'S EFFECTIVE YIELD
RETIREMENT RESERVES CL I	$1.76	$1.25	$1.69	4.87

***** DAILY ACCOUNT ACTIVITY *****

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
09 26	OPENING BALANCE			$.92CR
11 09	FEE	1998 CUSTODIAL FEE		$40.07
11 10	SALE	40 ML RETIREMENT RSRVS CL I		$40.00CR
11 10	RECEIVED	40 ML RETIREMENT RSRVS CL I		
12 18	*LG TM CAP GAIN	MFS EMERGING GR FD CL A		$174.47CR
12 21	FUND DELIVERY	175 ML RETIREMENT RSRVS CL I		
12 21	PURCHASE	175 ML RETIREMENT RSRVS CL I		$175.00
12 28	*DIVIDEND	ML GLOBAL ALLOCATION A		$60.68CR
12 28	REINVESTMENT	ML GLOBAL ALLOCATION A		$60.68
12 28	*LG TM CAP GAIN	ML GLOBAL ALLOCATION A		$296.74CR
12 28	REINVESTMENT	ML GLOBAL ALLOCATION A		$296.74
12 28	*SH TM CAP GAIN	ML GLOBAL ALLOCATION A		$28.23CR
12 28	REINVESTMENT	ML GLOBAL ALLOCATION A		$28.23
12 28	DIVD REINV	3 ML GLOBAL ALLOCATION A AGENT REINV AMOUNT $28.23 REINV PRICE $12.36 QUANTITY BOT 2.2840		
12 28	DIVD REINV	4 ML GLOBAL ALLOCATION A AGENT REINV AMOUNT $60.68 REINV PRICE $12.36 QUANTITY BOT 4.9090		
12 28	DIVD REINV	24 ML GLOBAL ALLOCATION A AGENT		

Please advise your Financial Consultant immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please, mention your account number and address all correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms.

CODE 5029R (R2.96)

Merrill Lynch

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/C#	PAGE #	SOCIAL SEC. #
656 92C87	9824	2	294-50-5500

MLPF& S CUST FPO
DEBORAH PRYCE IRA

***** DAILY ACCOUNT ACTIVITY *****

DATE	TRANSACTION	DESCRIPTION	PRICE	AMOUNT
		REINV AMOUNT $296.74 REINV PRICE $12.36 QUANTITY BOT 24.0080		
12 31	CLOSING BALANCE			$.32C

***** CURRENT PORTFOLIO *****

QUANTITY	INVESTMENT DESCRIPTION	CURRENT PRICE	MARKET VALUE	CURR. YIELD	EST. INCOME
429.5850	MFS EMERGING GROWTH FUND CLASS A	44.600	$19159		
339.9950	ML GLOBAL ALLOCATION A	12.610	$4287	3.62	$15
	TOTALS FOR PRICED INVESTMENTS		$23446		$15

MONEY ACCOUNT	OPENING BALANCE AS OF 09/26	CLOSING BALANCE AS OF 12/31	DIVIDENDS/INTEREST THIS STMT.	YEAR TO DATE
RETIREMENT RESERVES CL I	$363.16	$502.86	$4.70	$18.12

IN CALCULATING MANDATORY DISTRIBUTIONS, OR FOR THE PURPOSE OF COMPLETING IRS FORM 860 YOU MUST USE THE VALUE OF YOUR ACCOUNT AS OF 12/31/98. THIS VALUE SHOULD INCLUDE YOUR PRICED PORTFOLIO TOTAL AND THE VALUE OF CERTAIN LIMITED PARTNERSHIPS AND OTHER DIRECT INVESTMENTS, AS WELL AS ANY SECURITIES THAT WE ARE UNABLE TO PRICE - MARKED "UNAVAILABLE". THE "YEAR-END PLAN VALUE" BELOW CONSISTS OF YOUR PRICED PORTFOLIO TOTA PLUS THE ESTIMATED VALUE OF LIMITED PARTNERSHIPS OR OTHER DIRECT INVESTMENTS REPORTED ON YOUR YEAR-END STATEMENT.

YEAR-END PLAN VALUE AS OF 12/31/98: $23,949.18

FOR IRA, IRRA AND SEP/IRA ACCOUNTS, THE YEAR-END PLAN VALUE REPRESENTS THE VALUATION WE MUST FURNISH TO YOU AND THE INTERNAL REVENUE SERVICE AS PART OF THE IRS FORM 5498 REPORTING REQUIREMENTS.

CUSTODIAL FEES FOR ROTH OR EDUCATION IRAS OPENED IN THE FIRST QUARTER OF 1998 WILL BE COLLECTED IN FEBRUARY 1999.

-0000007726 END OF STATEMENT DECEMBER, 199

Please advise your Financial Consultant immediately of any discrepancies on your statement or if you contemplate changing your address. When making inquiries, please, mention your account number and address of correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms.

CODE 5029R (R2-96)

Merrill Lynch

Fifth Third/ The Ohio Company
155 E. Broad Street, Columbus, OH 43215

Fifth Third/The Ohio Company is not a bank and securities offered by it are not insured by the FDIC or any other governmental agency, are not deposits or obligations of any bank, are not endorsed or guaranteed by any bank, and are subject to investment risks, including the possible loss of principal.

AGB F078 45518731 003510 003504 0542991 1999002 0
SELF DIRECTED IRA FBO
DEBORAH D. PRYCE
5602 RIVERSIDE DR
DUBLIN, OH. 43017

Acct #	Period	Page
45518731	09/30/98 - 12/31/98	1 of 3

Office #	Rep #	Rep Phone #
AGB	F078	(614) 464-8747

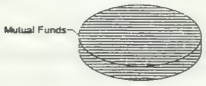
Rep Name
MR STEVEN J TRUITT

CORRECTED STATEMENT

A SPECIAL SEASON'S GREETINGS TO YOU AND YOUR FAMILY. WE WISH YOU HAPPY HOLIDAYS AND A PROSPEROUS NEW YEAR FROM FIFTH THIRD/THE OHIO COMPANY THANK YOU FOR YOUR BUSINESS AND WE LOOK FORWARD TO CONTINUING TO SERVICE YOUR INVESTMENT NEEDS

Account Value Summary

Investment Allocation
December 31, 1998



Description	This Statement	%	Last Statement	%
Mutual Funds	$9,066.10	100	$7,387.01	100
Total Assets	**$9,066.10**	**100**	**$7,387.01**	**100**
Account Value	$9,066.10		$7,387.01	
		Net Change	22.7% Increase	

Earnings Summary

Description	This Period	Year-To-Date	Description	This Period	Year-To-Date
Tax Deferred Income					
Dividends	$16.49	$75.46	Total Income	$273.82	$735.36
Capital Gains Distributions	257.33	659.90			
Total Tax Deferred Income	**$273.82**	**$735.36**	Total	$273.82	$735.36

Account Value Detail

Acct Type	Quantity	Description	Symbol	Current Price	Market Value	Est Annual Income	Est Yield
Mutual Funds							
Cash	513.079	FIFTH THIRD CARDINAL FUND CLASS A	FCFAX	17.670	9,066.10		
		Mutual Funds total market value:			**9,066.10**		
		ACCOUNT VALUE:			**9,066.10**		

Account Activity Summary

Description	This Period	Description	This Period
Debits		**Credits**	
Dividend and Interest Charged	$0.00	Dividend and Interest Income	$273.82
Other Debits / Actions Affecting Your Portfolio	273.82	Other Credits / Actions Affecting Your Portfolio	0.00
Total	$273.82	Total	$273.82

Account carried with Fifth Third Securities, Inc., Member NYSE, NASD and other principal exchanges. SIPC

Fifth Third/The Ohio Company
155 E. Broad Street, Columbus, OH 43215

Fifth Third/The Ohio Company is not a bank and securities offered by it are not insured by the FDIC or any other governmental agency, are not deposits or obligations of any bank, are not endorsed or guaranteed by any bank, and are subject to investment risks, including the possible loss of principal.

AGB F078 45518731 003510 003504 0542991 1998002 0

Acct #	Customer Name	Period	Page
45518731	PRYCE D D	09/30/98 - 12/31/98	2 of 3

Dividends, Interest and Principal

Acct Type	Date	Activity	Quantity	Description	Debit	Credit
Cash	11/27/98	DIVIDEND		FIFTH THIRD CARDINAL FUND CLASS A STCG DIV R11/23/98 P11/24/98		13.41
Cash	11/27/98	DIVIDEND		FIFTH THIRD CARDINAL FUND CLASS A LTCG DIST R11/23/98 P11/24/98		257.33
Cash	12/22/98	DIVIDEND		FIFTH THIRD CARDINAL FUND CLASS A INCOME DIV R12/17/98 P12/18/98		3.08

Other Debit and Credit Activity / Actions Affecting Your Portfolio

Acct Type	Date	Activity	Quantity	Description	Debit	Credit
Cash	11/04/98	DELIVERED	496.773-	OLD FIFTH THIRD CARDINAL FUND CLASS A CUSIP CHANGE		
Cash	11/04/98	RECEIVED	496.773	FIFTH THIRD CARDINAL FUND CLASS A CUSIP CHANGE		
Cash	11/27/98	RECEIVED	15.326	FIFTH THIRD CARDINAL FUND CLASS A CPG REINVST @ 16.790 11/24/98		
Cash	11/27/98	RECEIVED	.799	FIFTH THIRD CARDINAL FUND CLASS A DIV REINVST @ 16.790 11/24/98		
Cash	11/27/98	REINVEST DIV		FIFTH THIRD CARDINAL FUND CLASS A STCG DIV R11/23/98 P11/24/98	13.41	
Cash	11/27/98	REINVEST DIV		FIFTH THIRD CARDINAL FUND CLASS A LTCG DIST R11/23/98 P11/24/98	257.33	
Cash	12/22/98	RECEIVED	.181	FIFTH THIRD CARDINAL FUND CLASS A DIV REINVST @ 17.040 12/18/98		
Cash	12/22/98	REINVEST DIV		FIFTH THIRD CARDINAL FUND CLASS A INCOME DIV R12/17/98 P12/18/98	3.08	

Retirement Account Summary

January 1, 1998 Account Value $0.00

REMINDER - NO CONTRIBUTIONS HAVE BEEN MADE FOR CURRENT YEAR

End of Statement

Account carried with BHC Securities, Inc., a member of the NYSE, NASD and other principal exchanges. SIPC.

Fifth Third/ The Ohio Company
155 E. Broad Street, Columbus, OH 43215

Fifth Third/The Ohio Company is not a bank and securities offered by it are not insured by the FDIC or any other governmental agency, are not deposits or obligations of any bank, are not endorsed or guaranteed by any bank, and are subject to investment risks, including the possible loss of principal.

Acct #	Customer Name	Period	Page
45518731	PRYCE D D	09/30/98 - 12/31/98	3 of 3

MONEY MARKET YIELDS FOR DECEMBER 14, 1998

FIFTH THIRD COMMERCIAL PAPER FUND 4.50%
FIFTH THIRD GOVERNMENT CASH FUND 4.30%
FIFTH THIRD TAX EXEMPT FUND 2.49%
OHIO TAX FREE FUND 2.72%

The original year-end brokerage statement you received reflected incorrect dates in the Retirement Account Summary section.
We apologize for any inconvenience this may have caused.
THIS IS YOUR CORRECT YEAR-END BROKERAGE STATEMENT.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 13 AM 9:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

JACK QUINN
(Full Name)
221 CANNON OFFICE BUILDING
(Mailing Address)
WASHINGTON DC 20515
Daytime Telephone

Filer Status
- [X] Member of the U.S. House of Representatives — State NY District 30
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 15)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Jack Quinn

DATE (Month/Day/Year): 5-12-99

3390

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	OLV HOSPITAL 55 MCGRAW LACKAWANNA, NY 14218	SPOUSL SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

58-074 99 - 49

3391

Name JACK QUINN | Page 2 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, or E
SP, DC, JT	*Examples*	SP	Mega Corp Stock				X								X										X							P
			Simon & Schuster		Indefinite																Royalties												
			1st Bank of Paducah, KY accounts					X								X									X							
JT			KEY BANK CDS			X									X										X							
JT			MUTUAL FUNDS WASHINGTON MUTUAL INVES.			X									X										X							
JT			PAYROLL SAVINGS		X										X								X									
JT			MONSANTO COMP STOCKS		X										X							X										P
DC			MUTUAL FUNDS WASHINGTON MUTUAL INVES.		X										X								X									
DC			MUTUAL FUNDS WASHINGTON MUTUAL INVES			X									X										X							

For additional assets and unearned income, use next page.

3392

Name JACK QUINN | Page 3 of 4

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
JT	MONSANTO COMPANY STOCK	X			12-2-98	X									

This page may be copied if more space is required.

JACK QUINN
30TH DISTRICT, NEW YORK

TRANSPORTATION AND INFRASTRUCTURE

SUBCOMMITTEES

AVIATION
GROUND TRANSPORTATION
WATER RESOURCES AND ENVIRONMENT

VETERANS' AFFAIRS

SUBCOMMITTEE CHAIRMAN

BENEFITS

Congress of the United States
House of Representatives
Washington, D.C. 20515-3230

PLEASE RESPOND TO:

WASHINGTON OFFICE
229 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-3306
FAX (202) 225-0347

MAIN OFFICE
403 MAIN STREET
SUITE 240
BUFFALO, NY 14203-2199
(716) 845-5257
FAX (716) 847-0323

SATELLITE OFFICE
1490 JEFFERSON AVENUE
BUFFALO, NY 14208
(716) 886-4076

May, 1999

Financial Disclosure Addendum

Our family financial situation continues to be affected by Educational Expenses for the third year.

College tuition for our son, a junior at Siena College in Albany, New York, was approximately $22,000 in 1998.

Our daughter continues as a junior at Nardin Academy in Buffalo, at an estimated cost of $3,000 in 1998.

We receive no financial assistance and expect this situation to continue for the future.

Jack Quinn
May 10, 1999

JACK QUINN
30TH DISTRICT, NEW YORK

TRANSPORTATION AND INFRASTRUCTURE

SUBCOMMITTEES

AVIATION
GROUND TRANSPORTATION
WATER RESOURCES AND ENVIRONMENT

VETERANS' AFFAIRS

SUBCOMMITTEE CHAIRMAN

BENEFITS

Congress of the United States

House of Representatives
Washington, D.C. 20515-3230
May 19, 1999

INSIDE MAIL

PLEASE RESPOND TO

WASHINGTON OFFICE:
229 CANNON BUILDING
WASHINGTON, DC 20515
(202) 225-3306
FAX (202) 226-0347

MAIN OFFICE
403 MAIN STREET
SUITE 240
BUFFALO, NY 14203-2199
(716) 845-5257
FAX (716) 847-0323

SATELLITE OFFICE
1490 JEFFERSON AVENUE
BUFFALO, NY 14208
(716) 886-4076

RECEIVED
HOUSE OF REPRESENTATIVES
MAY 26 PM 3:50
OFFICIAL RESOURCE CEN...

Mr. Jeff Trandahl
Clerk of the House
H-154 Capitol
Washington, D.C. 20515

Dear Mr. Trandahl:

I wish to amend Schedule III, P.2 of my 1998 Financial Disclosure forms to reflect the following:

1. to clarify that:

   A. The payroll savings account is at the Key Bank in Hamburg, New York, and

   B. The Washington Mutual Investment asset is one mutual fund.

Thank you very much for your assistance.

Very truly yours,

Jack Quinn
Member of Congress

JFQ/eal

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

Form A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 14 AM 9:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED (7)
(Office Use Only)

George P. Radanovich
(Full Name)

123 Cannon House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone
202 225-4540

Filer Status	[X]	Member of the U S House of Representatives	State CA District 19	[ ]	Officer or Employee	Employing Office
Report Type	[X]	Annual (May 15)	[ ]	Amendment	[ ]	Termination Date: Termination

A $200 penalty shall be assessed against anyone who files more tha 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, apppearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1 000 in the reporting period? **If yes, complete and attach Schedule IV.** Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U S C. app. 4, 104 and 18 U S C 1001)

SIGNATURE OF REPORTING INDIVIDUAL: George Radanovich

DATE (Month/Day/Year): 5/12/99

3396

Name George P. Radanovich	Page 1 of 1

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$10,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Woodward & McDowell - Political Consulting - Palo Alto, CA	Salary of Ethie Weaver (wife)	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name George P. Radanovich	Page 1 of 1

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC *Examples* JT	SP Mega Corp. Stock SP 123 Main St., Dover, Del 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	Stock in Radanovich Winery, Inc	$100,001 - $250,000	None	None	NA
	Property 3891 Ben Hur Road	$100,001 - $250,000	None	None	P

Name George P Radanovich	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	Repurchase of Radanovich Winery Stock from Mary White (2nd year)	Purchase	various	$1,001 - $15,000
	Increased Louise Hudson Note on 3891 Ben Hur Road Property	Purchase	January, 1998	$15,001 - $50,000

3399

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.

Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
	Congressional Credit Union	Signiture Loan	$10,001 - $15,000
	Louise Hudson, Mariposa CA	1st Trust Deed 3891 Ben Hur Road	$50,001 - $100,000
	Charlie Sihota, Tulare, Ca	2nd Trust Deed 3891 ben Hur Road	$15,001 - $50,000

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
American Bakers Assn	March 22-23,1998	Fresno-Palm Springs-DC	Y	Y	Y	none
Building Service Contractors	April 6- 7, 1998	DC-LAX-DC	Y	Y	N	none
Western Regional Council	Nov 11-12, 1998	SFO- Phoenix,AZ-DC	Y	Y	N	none

Name George P. Radanovich	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I

Position	Organization
President (uncompensated)	Radanovich Winery, Inc

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 17 PM 2:36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

179

Nick Joe Rahall, II
(Full Name)

1801 Harper Road
(Mailing Address)

Beckley, WV 25801

Daytime Telephone: (202) 225-3452

Filer Status	[X] Member of the U.S. House of Representatives	State: WV District: 3rd	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Nick Joe Rahall, II

DATE (Month/Day/Year) 5/17/99

3403

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples. Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
WINJ Radio Station, WV Broadcasting, Inc.	Bonus and Non-Employee Comp.	7,200

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
National Tank Truck Carriers, Inc., Alex., VA	Speech	May 19, 1998	$2,000

This page may be copied if more space is required.

Name Nick Joe Rahall, II | Page 4 of 16

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset at close of reporting year

If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples.* SP Mega Corp. Stock					X								X										X							P
	Simon & Schuster		Indefinite													X				Royalties								X			
	1st Bank of Paducah, KY accounts						X																		X						
JT	EGR Industries, Inc. –							X							X												X				
	Investment Bldg & Land –																														
	Harper Road Co-Mac,																														
	Cellular One, Beckley, WV																														
	and Condo in South																														
	Carolina – ½ with brother																														

For additional assets and unearned income, use next page.

3406

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Nick Joe Rahall, II

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income										BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	WV Broadcasting WTNJ –			X											X										X						
	P. O. Box 1127																														
	Beckley, WV 25802-1127																														
	Equipment Lease																														
JT	Hernando Co. FL; Land with				X																X										
	brother, cousins and																														
	friends – Approximately																														
	250 Acres																														
JT	H. L. & R Corp. – Raleigh							X						X		X					X										
	County, WV Land and																														
	money market – ½ with																														
	brother																														
	Rahall Realty – Beckley,			X										X							X										
	WV (12½%)																														
	Bank of Northern, VA			X												X						X									
	Checking Account																														

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
	Hilton Head SC Time - Share Property - two week peak season			X																	X									
	WV Broadcasting stock							X													X									
	Wheat First Securities Account - See Attachment 1								X					X		X	X									X					Attach. 18
	Salomon Smith Barney Account - See Attachment 2									X				X		X	X									X					Attach. 2 P.6
	Dean Witter Reynolds Access #1 Acct. See Attachments 3 and 4						X							X		X	X									X					Attach. 19
	Dean Witter Reynolds Access #2 Acct. See Attachments 5 and 6						X							X		X	X							X							Attach. 20

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Dean Witter Reynolds Access #3 Acct. See Attachments 7 and 8					X								X		X	X					X								Attach. 21
	Dean Witter Reynolds IRA Account. See Attachment 9				X									X		X	X							X						Attach. 26
	Barington Capital (Formerly D H Blair & Co., Inc.) Account See Attachment 10			X										X		X	X					X								Attach. 22
	Congressional Fed Credit Union Account		X													X						X								
DC	N. J. Rahall, III - son - Wheat First Account (Attachment 13) and Smith Barney Account (Attachment 14)								X					X		X	X									X				Attach. 23 and 24

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II | Page 8 of 16

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
DC	Suzanne Rahall - daughter - Wheat First Account (Attachment 15)					X								X			X	X								X					Attach. 25
DC	N. J. Rahall, III - Bank of Raleigh, WV Savings Account and Certificates of Deposit					X											X							X							
DC	N. J. Rahall, III - Bank of Northern VA Certificate of Deposit			X													X						X								
DC	N. J. Rahall, III - United National Bank, WV - Savings Account			X													X						X								

This page may be copied if more space is required.

3410

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
DC	Suzanne Rahall - U.S. Senate Credit Union Savings Account		X													X						X									
DC	N. J. Rahall, III - U.S. Senate Credit Union Savings Account		X													X						X									
	One Valley Bank, Oak Hill, WV - Checking Account			X												X						X									
	.1712% Interest Qualified Housing Partners Limited Partnership			X																Partnership	X										
	.0396% Interest Scottsdale Land Trust L.P.			X																Partnership				X							
	3.88% Interest Oxford - Columbia Associates L.P.			X																Partnership				X							

This page may be copied if more space is required.

3411

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income								BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXEMPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
	5.38% Interest SNI Development Company Partnership				X															Partnership					X						
	2.80% Interest Cambridge Heights Apartments Partnership			X																Partnership				X							
	5.38% Interest JVL Limited Partnership	X																		Partnership						X					S
	.006449% Interest SB Partners Limited Partnership		X																	Partnership		X									
	Wheat First Securities SEP IRA - Attachment 16					X								X		X	X								X						Attach. 16
	.106265% Interest Chesapeake L.P.				X															Partnership				X							

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II | Page 11 of 16

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Mainstreet Bankgroup, Inc. (Formerly Ballston Bancorp, Inc.) Stock	X												X								X									S (See Attach.18)
	.0863% Portfolio Strategy Fund Partnership						X													Partnership						X						
	Dean Witter Reynolds Account #642 115916 Attachments 11 & 12			X										X			X	X				X										
	Mercer, Bokert, Buckman & Reid Account - Attachment 17				X													X				X										Attach. 27

This page may be copied if more space is required.

3413

SCHEDULE IV — TRANSACTIONS

Name: Nick Joe Rahall, II | Page 12 of 16

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	**Asset**														
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	Wheat First Securities Account - Attachment 18	X	X		See Attached					X					
	Salomon Smith Barney Account - Attachment 2-P.6		X		03/03/98										
	Dean Witter Reynolds Access #1 Acct. Attachment 19	X	X		See Attached					X					
	Dean Witter Reynolds Access #2 Acct. Attachment 20	X	X		See Attached		X								
	Dean Witter Reynolds Access #3 Acct. Attachment 21	X	X		See Attached			X							
	Barington Capital Account - Attachment 22		X		12/03/98	X									
	5.38% Interest JVL Limited Partnership		X		12/31/98	X									

This page may be copied if more space is required.

3414

SCHEDULE IV — TRANSACTIONS

Name: Nick Joe Rahall, II

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example:* Mega Corporation Common Stock	X			10-12-98		X								
DC	N. J. Rahall, III - Wheat First Securities	X	X		See Attached				X						
	Acct. - Attachment 23														
DC	N. J. Rahall, III - Smith Barney Acct.		X		See Attached		X								
	Attachment 24														
DC	Suzanne Rahall - Wheat First Securities Acct.	X	X		See Attached				X						
	Attachment 25														
	Dean Witter Reynolds IRA Acct. - Attachment 26	X	X		See Attached			X							
	Mercer, Bokert, Buckman & Reid - Attachment 27	X	X		See Attached				X						
	Wheat First Securities SEP IRA:	X			Various	X									
	Colonial TR III & MFS Series TR I														

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	MBNA America (Acct. 749 94412)	Cash Advances & Credit Charges		X								
	Citibank Mastercard	Cash Advances & Credit Charges		X								
	MBNA America (Acct. 4264 2904)	Cash Advances & Credit Charges		X								
	Associates Rewards	Cash Advances & Credit Charges	X									
	American Express Optima	Cash Advances & Credit Charges		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C —Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
Arab American Institute	Jan. 3 - 10	Wash., DC - Lebanon - Syria Wash., DC	Y	Y	N	N
National Motor Freight Traffic Assn.	Jan. 30 - Feb. 02	Wash., DC - Ft. Lauderdale, FL - Wash., DC	Y	Y	N	N
American Road & Transportation Builders Assn.	Feb. 20 - 23	Detroit, MI - San Francisco	N	Y	N	4 Days

This page may be copied if more space is required.

3417

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	WTNJ WV Broadcasting Corporation
Vice President & Secretary	H. L. & R. Corporation
Vice President & Secretary	E G R Industries, Inc.
Member	Professional Advisory Board of ALSAC/ St. Jude's Children's Research Hospital
Member	American Task Force for Lebanon Board of Directors

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

WHEAT FIRST UNION

Client Statement

Duplicate For The Account Of:
NICK JOE RAHALL II

Capital Resource Account

Mail To:
JAMES T SHEPHERD CPA
19-F MALLARD COURT
BECKLEY WV 25801-3615

Account Number
8K08 6539-9650

December 1, 1998 - December 31, 1998
Page 1 of 4

Investment Objective
TRADING, SPECULATION
OR HIGH INCOME

Your Financial Consultant
EDWARD G RAHALL

122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

304-252-6221

Tax I.D. No.
236-78-8645

NOW AVAILABLE--THE WHEAT FIRST UNION CAP ACCOUNT. USE IT FOR YOUR INVESTMENT NEEDS, BANKING NEEDS, OR BOTH. READ INVESTOR'S SPECTRUM TO LEARN MORE--THEN TALK WITH YOUR FINANCIAL CONSULTANT.

WHEAT FIRST SECURITIES, INC. IS A NON-BANK SUBSIDIARY OF FIRST UNION CORPORATION. INVESTMENTS OBTAINED THROUGH WHEAT ARE NOT FDIC INSURED, UNLESS OTHERWISE STATED, ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF WHEAT OR ANY BANK, ARE NOT GUARANTEED BY WHEAT OR ANY BANK, AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

Portfolio Summary

COMPOSITION	ENDING BALANCE MARKET VALUE	PERCENT OF PORTFOLIO
CASH AND MONEY MARKET	372,190.17	59.7
BONDS	197,049.80	31.6
STOCKS	42,163.51	6.8
MUTUAL FUNDS	11,612.37	1.9
TOTAL ESTIMATED PORTFOLIO VALUE	623,015.85	100.0

Income Summary

DESCRIPTION	THIS PERIOD	YEAR TO DATE
MONEY MARKET FUND DIVIDENDS	1,951.60	18,493.67
CASH DIVIDENDS	4.96	4.96
TAX EXEMPT INTEREST	.00	3,625.00
TOTAL INCOME	1,956.56	22,123.63

---CONTINUED ON NEXT PAGE---

Attachment 1 - p.1

WHEN FIRST UNION

Client Statement

Duplicate For The Account Of:
NICK JOE RAHALL II

Account Number
BK08 6539-9650

December 1, 1998 - December 31, 1998
Page 2 of 4

Current Portfolio

TYPE/DESCRIPTION	SYMBOL	QUANTITY	CURRENT PRICE	ESTIMATED VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Cash and Money Market						
MONEY MARKET FUND (CRM) 4.31% AVERAGE YIELD 11/17/98-12/31/98		372,190.17	1.0000	372,190.17	16,041	4.3
TOTAL CASH AND MONEY MARKET				372,190.17	16,041	N/A
Bonds						
WEST VA ECON DEV AUTH RESIDNTL CARE FAC REV BD (EDGEWOOD SUMMIT) SER 93 CPN 5.000% DUE 11/01/99 DTD 10/15/93 FC 05/01/94 CALL 11/01/98 @ 100.000 ACCRUED INT 166.60		20,000	99.1090	19,821.80	1,000	5.0
NC EDUC FACS FIN AGY DUKE UNIV PROJ REV 88A OY-6.9% NO PER INTEREST CPN 0.000% DUE 10/01/00 DTD 03/02/88 CALL 01/22/99 @ 93.615		30,000	93.2250	27,967.50	0	0.0
BERKELEY CNTY W VA BLDG COMM HOSP REV CITY HOSP PROJ RFDG OY=6.1% CPN 6.000% DUE 11/01/01 DTD 09/15/92 FC 05/01/93 ACCRUED INT 250.00		25,000	102.8960	25,724.00	1,500	5.8
WEST VIRGINIA ST BLDG COMMN LEASE REV SUB WVA REG JAIL C AMBAC OY=4.20 CPN 4.200% DUE 07/01/03 DTD 08/01/98 FC 01/01/99 ACCRUED INT 437.50		25,000	101.1840	25,296.00	1,050	4.1
WEST VA PARKWAYS, ECON DEV & TOURISM AUTH PKWY REV SER 1989 OY-7.15% CPN 0.000% DUE 07/01/04 DTD 11/07/89		15,000	79.6490	11,947.35	0	0.0
TAMPA FLA WTR & SWR REV CPN 0.000% DUE 10/01/05 DTD 05/01/82		30,000	77.2910	23,187.30	0	0.0
RICHLAND CNTY SC HOSP FACS RFDG SC BAPTIST HOSP SER B OY=7.25% CPN 0.000% DUE 08/01/12 DTD 07/10/91 FC 08/01/12		15,000	52.2490	7,837.35	0	0.0

---CONTINUED ON NEXT PAGE---

Current Portfolio continued

TYPE/DESCRIPTION	SYMBOL	QUANTITY	CURRENT PRICE	ESTIMATED VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Bonds						
WEST VA ECON DEV AUTH RESIDNTL CARE FAC REV BD (EDGEWOOD SUMMIT) SER 93 CPN 6.500% DUE 11/01/13 DTD 10/15/93 FC 05/01/94 CALL 11/01/03 @ 102.000 ACCRUED INT 270.75		25,000	99.8320	24,958.00	1,625	6.5
KANAWHA PUTNAM CNTY HUNTINGTON CHARLESTON WV S/F MTG 84A OY=11.5% CPN 0.000% DUE 12/01/16 DTD 09/19/84		75,000	40.4140	30,310.50	0	0.0
$1,124 IS THE TOTAL ESTIMATED ACCRUED INTEREST AS DETAILED ABOVE.						
TOTAL BONDS				197,049.80	5,175	2.6
Stocks						
CHESAPEAKE L P (A MANAGED FUTURES FUND)		251.7623	118.3200	29,788.51	0	0.0
MRV COMMUNICATIONS INC	MRVC	2,000	6.1875	12,375.00	0	0.0
TOTAL STOCKS				42,163.51	0	0.0
Mutual Funds						
AIM SPL OPPORTUNITIES FDS SMALL CAP OPPORTNTES	SCPBX	992.5110	11.7000	11,612.37	4	0.0
TOTAL MUTUAL FUNDS				11,612.37	4	0.0

Estimated Annual Income and Current Yield refer to dividends and interest income only, and typically do not reflect total return.

TOTAL ESTIMATED PORTFOLIO VALUE	623,015.85	21,221	3.4

Activity Summary

BEGINNING CASH AND MONEY MARKET			369,961.96
	ADDITIONS	SUBTRACTIONS	NET AMOUNT
DIVIDENDS AND INTEREST	1,956.56	.00	1,956.56
SECURITY SALES	11,308.61	.00	11,308.61
SECURITY PURCHASES	.00	11,036.96	-11,036.96
CASH DEPOSITS AND WITHDRAWALS	27,948.25	27,948.25	.00
TOTALS	41,213.42	38,985.21	2,228.21
ENDING CASH AND MONEY MARKET			372,190.17

---CONTINUED ON NEXT PAGE---

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

REP ACCOUNT	PAGE
BK08 6539-9650	1 OF 4
TAXPAYER ID: 236-78-8645	

FOR QUESTIONS CALL:
(304)252-6221
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

NICK JOE RAHALL II
216 1/2 MAIN ST
BECKLEY WV 25801-4613

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.
ISSUE DATE:001/21/99

DIVIDENDS AND DISTRIBUTIONS

DESCRIPTION			ACTIVITY	AMOUNT	IRS BOX	COUNTRY
AIM SMALL CAP OPPOR CL B			DIVIDEND	$4.96	1	
CRT MONEY MARKET	*	(1)	DIVIDEND	$19,303.81	1	
TOTAL DIVIDENDS				$19,308.77		

IRS BOX	1099-DIV -- TOTALS FOR TAX YEAR 1998 IRS FORM 1099-DIV, OMB NO. 1545-0110	
1.	ORDINARY DIVIDENDS	$19,308.77
2A.	TOTAL CAPITAL GAINS DISTRIBUTIONS	$0.00
2B.	28 % RATE GAIN	$0.00
2C.	UNRECAP 1250 GAIN	$0.00
2D.	SECTION 1202 GAIN	$0.00
3.	NONTAXABLE DISTRIBUTIONS	$0.00
4.	FEDERAL INCOME TAX WITHHELD	$0.00
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	LIQUIDATION DISTRIBUTIONS	$0.00

(1) INCLUDES A SERVICE FEE OF/ $810.14 THAT MAY BE DEDUCTIBLE

1 - p.4

Department of the Treasury - Internal Revenue Service Copy B for Recipient WF-PG4-002 4018497

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK JOE RAHALL II
216 1/2 MAIN ST
BECKLEY WV 25801-4613

REP ACCOUNT PAGE
BK08 6539-9650 3 OF 4
TAXPAYER ID: 236-78-8645

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

ISSUE DATE:01/21/99

THIS SECTION LISTS MISCELLANEOUS INFORMATION WHICH CAN BE HELPFUL IN THE PREPARATION OF YOUR TAX RETURN. IT LISTS NON FEDERALLY REPORTABLE INCOME WHICH MAY OR MAY NOT BE TAXABLE AT THE STATE OR LOCAL LEVEL. PLEASE REVIEW THIS INFORMATION WITH YOUR TAX ADVISOR.

DESCRIPTION	ACTIVITY	AMOUNT	REF #
BERKELEY CO WV BLDG 6%01	INTEREST	$1,500.00	2
KANAWHA PUTNAM CO 0%16	OID LONG TERM	$1,070.78	2
NC ED DUKE UNIV CAB 0%00	OID LONG TERM	$1,747.48	2
RICHLAND SC HOSP 0%12	OID LONG TERM	$391.94	2
TAMPA FL 0.00%05	OID LONG TERM	$1,172.05	2
WV ECON EDGEWOOD 6.50%13	INTEREST	$1,625.00	2
WVA PKWYS AUTH 0.00%04	OID LONG TERM	$691.44	2
TOTAL TAX EXEMPT MUNI BOND INTEREST		$8,198.69	
W VA ECON EDGEWOOD 5%99	INTEREST	$500.00	6
W VA ECON EDGEWOOD 5%99	PURCH INT-EXEMPT	$111.11DR	9
WEST VIRGINIA ST 4.20%03	PURCH INT-EXEMPT	$134.17DR	9
TOTAL ACCRUED INTEREST EXEMPT		$245.28DR	

REF #	-- TOTALS FOR TAX YEAR 1998 MISCELLANEOUS INFORMATION TOTALS	
1.	MARGIN DEBIT INTEREST	$0.00DR
2.	FEDERALLY TAX EXEMPT MUNICIPAL BONDS	$8,198.69
3.	FEDERALLY TAX EXEMPT DIVIDENDS	$0.00
4.	RETURN OF CAPITAL OR PRINCIPAL PAYMENTS	$0.00
5.	INCOME SUBJECT TO ALTERNATIVE MINIMUM TAX	$0.00
6.	FEDERALLY TAX EXEMPT INTEREST	$500.00
7.	FEDERALLY TAX EXEMPT ACCRUED INTEREST	$0.00
8.	MASTER LIMITED PARTNERSHIP DISTRIBUTIONS	$0.00
9.	ACCRUED INTEREST ON PURCHASES	$245.28DR

SALOMON SMITH BARNEY

A member of citigroup

Select Client Statement

December 1 - December 31, 1998

Ref: 00005827 00027799

685L98291035030000005827IP 298365AE01 IPFOL014A
CONGRESSMAN NICK JOE RAHALL II
2269 RAYBURN BUILDING
WASHINGTON DC 20515-0534

SALOMON SMITH BARNEY INC.
Your Financial Consultant

JAMES A JOSEPH/PETER JOSEPH
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
717-421-3100

Select Service Center:1-800-423-7248
Branch: 800-445-2291

Account number 685-03503-12 291

Copies of this statement have also been sent to:

ALAN BLAKE
CONGRESSMAN NICK JOE RAHALL II

Copy for the account of: CONGRESSMAN NICK JOE RAHALL II SAM ACCOUNT
218 1/2 MAIN STREET BECKLEY WV

Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 240.00	.01
Money funds	28,280.99	28,078.46	1.63
Accrued money fund dividends	31.78	0.00	
Common stocks & options	1,546,070.26	1,693,409.77	98.36
Direct investments		*(see Portfolio details)*	
Net value	**$ 1,574,383.03**	**$ 1,721,728.23**	**100**

Earnings summary

	This period	This year Taxable	This year Non-taxable
Dividends	$ 1,471.72	$ 13,127.69	$ 0.00
Money funds earnings	105.14	0.00	677.12
Total	**$ 1,576.86**	**$ 13,127.69**	**$ 677.12**

Additional summary information

	This period	This year
Other income	$ 0.00	$ 3,710.00

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 853.58 LT $ 0.00 ST
Unrealized gain or (loss)	1,255,198.41	*Not applicable*

3424

SALOMON SMITH BARNEY
A member of citigroup

Ref: 00005827 00027800

Select Client Statement
December 1 - December 31, 1998

CONGRESSMAN NICK JOE RAHALL II Account number 685-03503-12 291

Cash and money funds balance

	This period	This year
Opening balance	**$ 28,290.99**	
Securities bought and other subtractions	0.00	
Securities sold and other additions	0.00	
Withdrawals	-1,549.39	-5,773.10
Dividends credited	1,471.72	
Money funds earnings reinvested	105.14	
Closing balance	**$ 28,318.46**	

Portfolio summary

	This period	Year-to-date
Opening value of securities	1,574,393.03	1,166,263.88
+ Opening cash balance	0.00	450.00
= Opening portfolio balance	1,574,393.03	1,166,713.88
Securities deposited/withdrawn net	0.00	0.00
Capital deposited/withdrawn net	-1,549.39	-5,773.10
Adjusted opening value	1,572,843.64	1,160,940.78
Closing value of securities	1,721,488.23	1,721,488.23
+ Closing cash balance	240.00	240.00
= Closing portfolio value	1,721,728.23	1,721,728.23

Note: Securities deposited/withdrawn net = The value of securities on the day they were debited from or credited to the account as reflected in the "Other security activity" section.
Capital deposited/withdrawn net = Cash withdrawals and deposits, Gold Card payments, FMA Money Card activity, checks written, and Money funds/Insured Deposit Account℠ transfers.

Portfolio details

The values of your holdings are as of 12/31/98. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
28,078.46	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	$ 28,078.46		2.74%
				$ 769.34
Total money funds		$ 28,078.46	$ 0.00	2.73
				$ 769.34

Common stocks & options

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
13	AT&T CORP SYMBOL: T/RATING: B+	02/03/87	$ 578.08	$ 44.467	$ 75.75	$ 984.75	$ 406.67	LT	
500		02/03/95	17,512.62	35.025	75.75	37,875.00	20,362.38	LT	
513			18,090.70	35.265		38,859.75	20,769.05		1.742
									677.16
1,200	AMERICAN EXPRESS CO SYMBOL: AXP/RATING: B+	03/13/95	40,054.98	33.018	102.50	123,000.00	82,945.02	LT	.878
									1,080.00

3425

CONGRESSMAN NICK JOE RAHALL II Account number 685-03503-12 291

Common stocks & options *continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
400	AMGEN INC SYMBOL: AMGN/RATING: B	02/08/90	$ 1,816.67	$ 4.541	$ 104.563	$ 41,825.20	$ 40,008.53	LT	
1,000		06/14/90	6,333.33	6.333	104.563	104,563.00	98,229.67	LT	
1,400			8,150.00	5.821		146,388.20	138,238.20		
208	ASSOCIATES FIRST CAPITAL CORP CLASS A SYMBOL: AFS	09/30/93	3,715.47	18.008	42.375	8,814.00	5,098.53	LT	.519 45.76
800	BRISTOL MYERS SQUIBB CO SYMBOL: BMY/RATING: A	07/12/83	8,189.48	10.09	133.813	107,050.40	98,860.92#	LT	1.286 1,376.00
1,000	COCA-COLA CO SYMBOL: KO/RATING: A+	12/28/93	22,544.75	22.257	67.00	67,000.00	44,455.25	LT	.895 600.00
800	EASTMAN KODAK CO SYMBOL: EK/RATING: B	08/28/83	29,748.85	49.581	72.00	43,200.00	13,451.35	LT	2.444 1,056.00
2,000	FEDERAL HOME LN MTG CORP SYMBOL: FRE/RATING: A+	11/23/84	24,363.64	12.031	64.438	128,876.00	104,512.48	LT	.744 960.00
400	FORD MOTOR CO SYMBOL: F/RATING: B	09/30/83	7,404.70	18.511	58.688	23,475.20	16,070.50	LT	3.135 736.00
3,000	HOME DEPOT INC SYMBOL: HD/RATING: A+	01/05/94	40,000.00	13.333	61.188	183,564.00	143,564.00	LT	.196 360.00
2,700	HOUSEHOLD INTERNATIONAL INC SYMBOL: HI/RATING: B+	02/03/95	37,406.84	13.705	39.625	106,987.50	69,580.66	LT	1.514 1,620.00
1,000	LEUCADIA NATIONAL CORP SYMBOL: LUK/RATING: B	06/01/95	23,924.87	23.925	31.50	31,500.00	7,575.13	LT	.793 250.00
8.4132	LUCENT TECHNOLOGIES INC SYMBOL: LU	02/03/87	235.04	28.008	109.938	924.93	689.29#	LT	
323.5868		02/03/95	7,138.47	22.06	109.938	35,574.49	28,436.02	LT	
332			7,374.11	22.211		36,499.42	29,125.31		.145 53.12
1,200	MCDONALDS CORP SYMBOL: MCD/RATING: A+	08/22/94	32,046.24	26.375	76.813	92,175.60	60,129.36	LT	.468 432.00

3426

SALOMON SMITH BARNEY
A member of citigroup

Ref: 00005827 00027802

Select Client Statement
December 1 - December 31, 1998

CONGRESSMAN NICK JOE RAHALL II — Account number 685-03503-12 291

Common stocks & options *continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
700	MERCK & CO INC SYMBOL: MRK/RATING: A+	12/23/86	$ 9,308.93	$ 13.22	$ 147.50	$ 103,250.00	$ 93,941.07#	LT	1.464 % $ 1,512.00
600	MINNESOTA MINING & MFG CO SYMBOL: MMM/RATING: A+	11/25/92	28,718.21	49.53	71.125	42,675.00	12,956.79	LT	3.093 1,320.00
.8109	NCR CORP NEW SYMBOL: NCR	02/03/87	28.90	35.713	41.75	33.86	4.96#	LT	
31.1891		02/03/95	875.48	28.124	41.75	1,302.14	426.66	LT	
32			904.38	28.262		1,336.00	431.62		
2,000	TIME WARNER HOLDINGS CO SYMBOL: TWX/RATING: B-	01/18/93	32,783.72	16.197	62.063	124,126.00	91,342.28	LT	.28 360.00
600	VIACOM INC CL B SYMBOL: VIAB/RATING: B-	08/30/95	29,372.31	48.375	74.00	44,400.00	15,027.69	LT	
2,400	WAL-MART STORES INC SYMBOL: WMT/RATING: A+	01/15/85	6,209.82	2.55	81.438	195,451.20	189,241.38#	LT	.38 744.00
500	WM WRIGLEY JR CO SYMBOL: WWY/RATING: A+	05/22/98	26,899.68	53.154	89.563	44,781.50	17,881.84	LT	.893 400.00
Total common stocks and options			$ 438,211.36			$ 1,693,409.77	$ 0.00	ST	.80
							$ 1,255,198.41	LT	$ 13,582.04

SALOMON SMITH BARNEY
A member of citigroup

Ref: 00004494 00101478

Select Client Statement
1998 Year End Summary

CONGRESSMAN NICK JOE RAHALL II
Account Number 685-03503-12 291 SS # 236-78-8645

Details of 1099 Reported Dividends and Distributions 1998, Boxes 1 - 3

The following details show how we derived your total dividends reported on form 1099-DIV. Amount displayed for gross dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Ordinary dividends* (Box 1a)	Short term capital gain* (Box 1)	Total capital gains distributions (Box 2a)	28% rate gain (Box 2b)	Unrecaptured Sec. 1250 gain (Box 2c)	Section 1202 gain (Box 2d)	Nontaxable distributions (Box 3)
150000100	AT&T CORP	$ 677.16						
150000200	AMERICAN EXPRESS CO	1,080.00						
150000300	ASSOCIATES FIRST CAPITAL CORP CLASS A	32.24						
150000400	BRISTOL MYERS SQUIBB CO	1,248.00						
150000500	COCA-COLA CO	600.00						
150000600	EASTMAN KODAK CO	1,056.00						
150000700	FEDERAL HOME LN MTG CORP	960.00						
150000800	FORD MOTOR CO	888.00						
150000900	HOME DEPOT INC	345.00						
150001000	HOUSEHOLD INTERNATIONAL INC	1,593.00						
150001100	LUCENT TECHNOLOGIES INC	52.29						
150001200	MCDONALDS CORP	423.00						
150001300	MERCK & CO INC	1,323.00						
150001400	MINNESOTA MINING & MFG CO	1,320.00						
150001500	TIME WARNER HOLDINGS CO	360.00						
150001600	WAL-MART STORES INC	720.00						
150001700	WM WRIGLEY JR CO	650.00						
Totals		$ 13,127.69						

*The total of these two categories equals the amount reported in Form 1099-DIV, Box 1.

1998 YEAR END SUMMARY

3428

SALOMON SMITH BARNEY
A member of citigroup

Ref: 00004494 00101479

Select Client Statement
1998 Year End Summary

CONGRESSMAN NICK JOE RAHALL II
Account Number 685-03503-12 291 SS # 236-78-8645

Details of 1099 Exempt Dividends 1998

Amounts displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Ordinary dividends	Alternative minimum tax
160000100	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	$ 877.12	$ 121.61 *
Totals		**$ 877.12**	**$ 121.61**

**This amount is the portion of "Ordinary dividends" that is subject to the Alternative Minimum Tax.*

Details of Additional Summary Information 1998

Other Income

This income consists of securities which are subject to K-1 reporting by the partnership/trust.

Reference number	Description	Amount
170000100	SCOTTSDALE LAND TRUST L P	$ 3,710.00
Total		**$ 3,710.00**

Details of Long Term Gain (Loss) 1998

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	13	LIN TELEVISION CORP	02/03/87	03/03/98	$ 717.51	$ 63.95		$ 653.56[b]
Total					**$ 717.51**	**$ 63.95**		**$ 653.56**

[b] *Based on information supplied by your Financial Consultant.*

- 1998 - YEAR END SUMMARY -

3429

MORGAN STANLEY DEAN WITTER

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

1998 Active Assets® Annual Summary Statement

Account Number FA
642 108600 022

AAA Customer Service
1-800-869-3326

NICK JOE RAHALL II 000510
ACCESS #1-NICHOLAS APPLEGATE
1301 DELAWARE AVE SW
APT #409N
WASHINGTON DC 20024 3913

Asset Summary (Year End)

	Value	Percent of assets
Money Market Funds	$7,584.52	7.0%
Stocks	100,061.92	93.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$107,646.24	100.0%
Cash	8.11	
Total Asset Value	$107,654.35	

Income Summary for 1998

Money Market Funds	$450.81
Stocks	909.89
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$1,360.70
Taxable Income	$1,360.70
Tax Exempt Income	$0.00

Activity Summary for 1998

Total Asset Value as of January 01 1998	$91,844.68

Summary of Activity Categories for 1998

Income	$1,360.70
Deposits	0.00
Assets Sold	145,808.69
Other	-2,588.70
Checking	0.00
Visa	0.00
Withdrawals	0.00
Assets Bought	-143,401.88

Changes in Asset Value for 1998

Value of Priced Assets as of 1/1/98	$85,286.35
Assets Bought	143,401.88
Assets Received	0.00
Assets Sold	-145,808.69
Assets Delivered	0.00
Change in Value of Priced Assets	17,182.38
Value of Priced Assets as of 12/31/98	100,061.92
Net Change in Asset Value	14,775.57
Total Asset Value as of December 31 1998	$107,654.35

Effective June 1, 1998, Morgan Stanley Dean Witter provides excess SIPC protection for securities of customers up to their total net equity balance and up to $1 million protection for uninvested cash. This excess SIPC protection is purchased from an independent third party insurer.

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

1998 Active Assets® Annual Summary Statement

YEAR END ASSETS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	8.11	---	---
ACTIVE ASSETS MONEY TRUST	7,584.32	7.0%	367
Total Cash and Money Market Funds	**$7,592.43**	**7.0%**	**$367**

Annualized 30 Day Yields	
Active Assets Money Trust	4.85%
Active Assets Govt. Sec. Tr.	4.54%
Active Assets California Tax Free	2.40%
Active Assets Tax Free Trust	2.78%
Active Assets Insured Account	4.13%
Active Assets Deposit Account	4.13%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
22	ABBEY NATIONAL PLC SP ADR	42 3/4	940.50	0.9%	24	2.58%	ABYNY	Next Dividend Payable 04/99
18	AFLAC INCORPORATED	43 7/8	789.75	0.7%	4	.59%	AFL	Next Dividend Payable 03/99
11	AMGEN INC	104 9/16	1,150.18	1.1%	0	0.00%	AMGN	
46	APPLE COMPUTER INC	40 15/16	1,883.12	1.7%	0	0.00%	AAPL	
12	ARM HOLDINGS PLC ADS	60 1/4	723.00	0.7%	0	0.00%	ARMHY	
19	ASCEND COMMUNICATIONS INC	65 3/4	1,249.25	1.2%	0	0.00%	ASND	
20	ASM LITHOGRAPHY HLDG NV ORD	30 1/2	610.00	0.6%	0	0.00%	ASMLF	
32	AT HOME CORPORATION	74 1/4	2,376.00	2.2%	0	0.00%	ATHM	
53	ATI TECHNOLOGY	11 3/8	602.87	0.6%	0	0.00%	ATYTF	
25	AUTOLIV INC	37 3/16	929.68	0.9%	11	1.18%	ALV	Next Dividend Payable 03/99
22	BANCO DE GAL Y BUENOS AIRE ADR	17 5/8	387.75	0.4%	4	1.28%	BGALY	Next Dividend Payable 12/99
68	BANK OF TOKYO-MITSUBISHI LTD	10 1/2	714.00	0.7%	3	.53%	MBK	Next Dividend Payable 05/99
2	BANYU PHARMACEUTICALS ADR	372 1/4	744.50	0.7%	3	.41%	BNYUY	Next Dividend Payable 05/99
7	BAYRSCHE HYP UND VER AG SP ADR	N/A	0.00	N/A	0	0.00%	HVMGY	
38	BED BATH & BEYOND INC	34 1/8	1,296.75	1.2%	0	0.00%	BBBY	
11	BENCKISER NV CLASS B	63 7/8	702.62	0.7%	3	.48%	BNV	
41	BLYTH INDUSTRIES INC	31 1/4	1,281.25	1.2%	0	0.00%	BTH	
25	BMC SOFTWARE	44 9/16	1,114.06	1.0%	0	0.00%	BMCS	
5	BRIDGESTONE CP ADR	227 3/8	1,136.87	1.1%	4	.39%	BRDCY	Next Dividend Payable 01/29/99
16	BRITISH AEROSPACE SPN ADR	33 7/8	542.00	0.5%	0	0.00%	BTASY	
4	BRITISH TELECOMMUNIC PLC ADR	151 11/16	606.75	0.6%	13	2.26%	BTY	Next Dividend Payable 02/23/99
27	BURLINGTON RESOURCES INC	35 13/16	966.93	0.9%	14	1.53%	BR	Next Dividend Payable 01/04/99
13	CABLE & WIRELESS COMMS PLC	45 3/8	589.87	0.5%	0	0.00%	CWZ	
10	CAPITAL ONE FINANCIAL CORP	115	1,150.00	1.1%	3	.27%	COF	Next Dividend Payable 02/99
15	CELLULAR COMM INTL	68	1,020.00	0.9%	0	0.00%	CCIL	
13	CERIDIAN CORPORATION	69 13/16	907.56	0.8%	0	0.00%	CEN	
11	CHINA TELECOM LTD AMERN DEP SH	34 3/4	382.25	0.4%	0	0.00%	CHL	
16	CISCO SYS INC	92 13/16	1,485.00	1.4%	0	0.00%	CSCO	
13	COLT TELECOM GROUP PLC ADR	59 7/8	778.37	0.7%	0	0.00%	COLTY	
23	COMCAST SPL A	58 11/16	1,349.81	1.3%	2	.15%	CMCSK	Next Dividend Payable 03/99
35	COMPAQ COMPUTER CORP	42	1,470.00	1.4%	2	.19%	CPQ	Next Dividend Payable 01/20/99
18	COMPUTER SCIENCES CP	64 1/4	1,156.50	1.1%	0	0.00%	CSC	
24	COMPUWARE CORP	78 1/8	1,875.00	1.7%	0	0.00%	CPWR	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 108600 FA 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
16	CONTINENTIAL ALNS INC CL B	33 1/2	536.00	0.5%	0	0.00%	CAIB	
12	CORP BANCARIA ESPANA AM DEP SH	51 1/2	618.00	0.6%	9	1.51%	AGR	Next Dividend Payable 03/99
10	DAIMLER-CHRYSLER AG ADR	96 1/16	960.62	0.9%	0	0.00%	DCX	
117	DARDEN RESTAURANTS	18	2,106.00	2.0%	9	.44%	DRI	Next Dividend Payable 05/99
30	DASSAULT SYSTEMS SA ADS	47	1,410.00	1.3%	4	.30%	DASTY	
24	DIXONS GRP PLC ADR	42 1/4	1,014.00	0.9%	16	1.60%	DXNGY	Next Dividend Payable 04/99
11	EAST TELECOM GROUP PLC ADS	38 1/2	423.50	0.4%	0	0.00%	ESATY	
8	ELAN PLC ADR	69 15/16	559.50	0.5%	0	0.00%	ELN	
7	FLEXTRONICS INTL LTD	85 5/8	599.37	0.6%	0	0.00%	FLEX	
25	FOREST LABORATORIES	53 3/16	1,329.68	1.2%	0	0.00%	FRX	
38	FRESENIUS MEDICAL CARE AG	23 1/2	893.00	0.8%	5	.58%	FMS	
20	FUJI PHOTO ADR NEW	36 5/8	732.50	0.7%	2	.34%	FUJIY	Next Dividend Payable 05/99
21	FUJITSU LTD ADR NEW	66 5/8	1,399.12	1.3%	6	.45%	FJTSY	Next Dividend Payable 05/99
28	GALLAHER GP PLC ADR	27 3/16	761.25	0.7%	32	4.21%	GLH	Next Dividend Payable 05/99
9	GILAT SATELLITE NTWK LTD ORD	55 1/8	496.12	0.5%	0	0.00%	GILTF	
6	GLOBAL CROSSING LTD	45 1/8	270.75	0.3%	0	0.00%	GBLX	
7	GLOBAL TELESYSTEM GP INC	55 3/4	390.25	0.4%	0	0.00%	GTSG	
19	GROUPE DANONE SPONSORED ADR	56 1/4	1,068.75	1.0%	8	.83%	DA	Next Dividend Payable 06/99
18	HEALTH MGMT ASSOC CL A	21 5/8	389.25	0.4%	0	0.00%	HMA	
2	HSBC HLDG PLC SPND ADR NEW	249 1/8	498.25	0.5%	18	3.66%	HSBHY	Next Dividend Payable 04/99
26	HUANENG PWR INTL SPN ADR N SHS	14 1/2	377.00	0.4%	0	0.00%	HNP	
17	HUTCHISON WHAMPOA ADR	35 1/4	599.25	0.6%	15	2.62%	HUWHY	Next Dividend Payable 05/99
24	IXOS SOFTWARE AKTIENGESELLSHAF	44 1/2	1,068.00	1.0%	0	0.00%	XOSY	
5	KAO CORP ADR	226	1,130.00	1.0%	4	.42%	KAOCY	Next Dividend Payable 05/99
25	KINGFISHER PLC SPN ADR	20 1/8	503.12	0.5%	10	2.09%	KNGFY	Next Dividend Payable 04/99
38	KONINKLIJKE AHOLD NV ADS	37	1,406.00	1.3%	11	.80%	AHO	Next Dividend Payable 04/99
46	KOREA ELECTRIC POWER CORP ADS	15 11/16	721.62	0.7%	5	.79%	KEP	Next Dividend Payable 02/15/99
20	KROGER CO	60 1/2	1,210.00	1.1%	0	0.00%	KR	
23	LEXMARK INTL GROUP INC A	100 1/2	2,311.50	2.1%	0	0.00%	LXK	
10	MANNESMANN AG SP ADR	114 5/8	1,146.25	1.1%	4	.36%	MNNSY	Next Dividend Payable 06/99
19	MARUICO LTD ADR NEW	38 1/2	731.50	0.7%	4	.68%	MAURY	Next Dividend Payable 05/99
49	METTLER TOLEDO INTL	28 1/16	1,375.06	1.3%	0	0.00%	MTD	
14	NATL GRID GROUP PLC ADR	39 3/4	556.50	0.5%	15	2.70%	NGGPY	Next Dividend Payable 02/25/99
17	NETCOM SYS AB SPONS ADR	40 3/4	692.75	0.6%	0	0.00%	NECSY	
23	NETWORKS ASSOCIATES INC	66 1/4	1,523.75	1.4%	0	0.00%	NETA	
10	NEWBRIDGE NETWORKS	30 3/8	303.75	0.3%	0	0.00%	NN	
16	NOKIA CP ADR	120 7/16	1,927.00	1.8%	9	.47%	NOKA	Next Dividend Payable 04/99
8	NOVARTIS AG ADR	98 1/4	786.00	0.7%	5	.72%	NVTSY	Next Dividend Payable 05/99
50	OLYMPUS OPTICAL SPON ADR	11 1/2	575.00	0.5%	3	.66%	OLYOY	Next Dividend Payable 05/99
30	OMNICOM GROUP	58	1,740.00	1.6%	18	1.03%	OMC	Next Dividend Payable 01/05/99
17	ORIX CORP	38	646.00	0.6%	0	0.00%	IX	
28	QWEST COMMUNICATIONS INTL INC	50	1,400.00	1.3%	0	0.00%	QWST	
4	ROCHE HOLDINGS ADR	122	488.00	0.5%	1	.38%	ROHHY	Next Dividend Payable 06/99
38	SEAGATE TECHNOLOGY	30 1/4	1,149.50	1.1%	0	0.00%	SEG	
7	SEKISUI HOUSE LTD ADR	105 7/8	741.12	0.7%	7	1.02%	SKIHY	Next Dividend Payable 05/99

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 108600 FA 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
20	SERVICE CORP INTL	38 1/16	761.25	0.7%	7	.94%	SRV	Next Dividend Payable 01/99
37	SHIRE PHARM GROUP PLC ADS	20 1/4	749.25	0.7%	0	0.00%	SHPGY	
12	SMITHKLINE PLC ADS 5	69 1/2	834.00	0.8%	10	1.21%	SBH	Next Dividend Payable 01/03/99
15	SONY CORP ADR 1974 NEW	72	1,080.00	1.0%	5	.52%	SNE	Next Dividend Payable 06/99
22	SUN MICROSYSTEMS INC	85 5/8	1,883.75	1.7%	0	0.00%	SUNM	
15	SUNAMERICA INC	82	1,230.00	1.1%	9	.73%	SAI	Next Dividend Payable 03/99
18	SWISSCOM AG	42 9/16	766.12	0.7%	0	0.00%	SCM	
58	TAIWAN SMCNDCTR MFG CO LTD ADR	14 3/16	539.12	0.5%	0	0.00%	TSM	
10	TDK CP ADR NEW	90 1/4	902.50	0.8%	3	.42%	TDK	Next Dividend Payable 06/99
19	TELE DENMARK A/S ADS	67 7/8	1,289.62	1.2%	37	2.87%	TLD	
7	TELEFONICA DE ESPANA SA ADR	135 3/8	947.62	0.9%	10	1.10%	TEF	Next Dividend Payable 01/29/99
11	TELEFONOS DE MX SA DE CV ADR	48 11/16	535.56	0.5%	16	3.08%	TMX	Next Dividend Payable 03/99
22	TOHRA SYS A/S ADR NEW	32 3/4	720.50	0.7%	0	.12%	THRAY	Next Dividend Payable 05/99
8	TOTAL SA SPONSORED ADR	49 3/4	398.00	0.4%	7	1.85%	TOT	Next Dividend Payable 06/99
26	TRANSACTION SYS ARCH INC A	50	1,300.00	1.2%	0	0.00%	TSAI	
12	TYCO INTERNATIONAL LTD NEW	75 7/16	905.25	0.8%	1	.13%	TYC	Next Dividend Payable 02/01/99
10	VALEO SPONSORED ADR	78 3/4	787.50	0.7%	8	1.01%	VLEEY	
26	VITESSE SEMICONDUCTORS	45 5/8	1,186.25	1.1%	0	0.00%	VTSS	
18	VIVENDI SPONSORED ADR	51 7/8	933.75	0.9%	7	.78%	VVDIY	
19	VNU NV SP ADR	37 5/8	714.87	0.6%	5	.79%	VNUVY	Next Dividend Payable 05/99
6	VODAFONE GROUP PUBLIC CO.	161 1/8	966.75	0.8%	6	.64%	VOD	Next Dividend Payable 02/22/99
75	VOLKSWAGEN AG SPON ADR	15 7/8	1,190.62	1.1%	7	.62%	VLKAY	Next Dividend Payable 07/99
27	WATSON PHARMACEUTICAL INC	62 7/8	1,697.62	1.5%	0	0.00%	WPI	
40	WILLIAMS CO INC	31 3/16	1,247.50	1.1%	24	1.92%	WMB	Next Dividend Payable 03/99
5	WOLTERS KLUWER NV SPON ADR	214	1,070.00	0.9%	5	.52%	WTKWY	Next Dividend Payable 06/99
4	YAHOO INC	236 15/16	947.75	0.8%	0	0.00%	YHOO	
Total Stocks			$100,061.92	93.0%	$501	.50%		

Asset Summary	Value	Est Yrly Income
Total Asset Value	107,654.35	$869

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

1099-DIV

STATEMENT FOR TAX YEAR 1998

OMB NO
1545-0110

Account Number FA
642 108600 022

Social Security Number
236-78-8045

FA Phone Number
(202) 862-9000

NICK JOE RAHALL II 000787
ACCESS #1-NICHOLAS APPLEGATE
1301 DELAWARE AVE SW
APT #409N
WASHINGTON DC 20024 3913

DIVIDENDS AND DISTRIBUTIONS

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below

Payer	1. Ordinary Dividends	2a. Total Capital Gain Distributions	2b. 28% Gain Distributions	2c. Section 1250 Gain Distributions	3. Non-Taxable Distributions	4. Federal Income Tax Withheld	6. Foreign Tax Paid
DEAN WITTER REYNOLDS INC PAYER'S FED. ID. # 94-1671384	909.89	0.00	0.00	0.00	0.00	0.00	70.79
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075005 PLAN NUMBER 260-014665391	450.81	0.00	0.00	0.00	0.00	0.00	0.00

3434

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 042 108600 FA 022

DETAIL OF DIVIDENDS AND DISTRIBUTIONS

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
AB ELECTROLOX SPON ADR							
05/19/98	16.39						2.46
AFLAC INCORPORATED							
12/01/98	1.17						
AKZO NOBEL NV ADR							
05/18/98	19.24						2.89
ALCATEL ADS							
07/22/98	12.08						1.81
AMVESCAP PLC ADR							
07/13/98	8.84						
AUTOLIV INC							
12/03/98	2.75						
AXA-UAP							
06/01/98	13.59						
BANCO BILBAO VIZCAYA SPON ADR							
06/20/98	3.84						
07/20/98	1.04						0.96
07/21/98	2.52						
BANK OF IRELAND GRP							0.63
01/22/98	7.75						
07/20/98	11.53						
BANYU PHARMACEUTICALS ADR							
12/29/98	1.69						
BARCLAYS PLC ADR							
05/05/98	21.64						3.25
BAYERISCHE VEREINSBANK AG ADR							
06/11/98	6.37						
BENCKISER NV CLASS B							
09/16/98	3.39						
BRIDGESTONE CP ADR							
04/15/98	2.51						0.38
09/17/98	2.41						0.36
BRITISH PETROLEUM PLC ADR							
02/02/98	5.53						0.83
11/02/98	5.06						0.71
CAPITAL ONE FINANCIAL CORP							
11/23/98	0.80						

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108600 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
COFLEXIP SPONSORED ADR							
06/30/98	5.78						
COMCAST SPL A							
12/24/98	0.54						
COMPAQ COMPUTER CORP							
10/20/98	0.36						
CORP BANCARIA ESPANA AM DEP SH							
03/16/98	4.23						1.06
06/23/98	4.47						1.12
09/21/98	6.33						1.58
12/21/98	3.40						0.85
CREDIT SUISSE GROUP SP ADR							
08/16/98	10.71						
DARDEN RESTAURANTS							
05/01/98	3.28						
11/01/98	4.68						
DASSAULT SYSTEMS SA ADS							
07/27/98	3.80						
DEUTSCHE BANK AG SP ADR							
06/01/98	7.94						
DEUTSCHE LUFTHANSA AG ADR							
07/03/98	29.47						7.37
DEXTER CORP							
01/09/98	2.40						
DIAGEO PLC ADS							
02/11/98	73.92						
DOLLAR GENERAL CORPORATION							
03/23/98	0.45						
05/25/98	0.44						
ELF AQUITAINE SPON ADR							
07/09/98	16.19						2.43
ERICSSON LM TEL ADR B SEK 10							
04/16/98	13.72						2.06
FUJI HEAVY INDS LTD ADR							
12/28/98	3.45						
FUJI PHOTO ADR NEW							
07/13/98	0.67						0.10
FUJITSU LTD ADR NEW							
07/16/98	0.84						0.13

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108000 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
GALLAHER GP PLC ADR							
11/13/98	11.87						1.78
GLAXO WELLCOME PLC ADS							
03/10/98	0.53						0.08
06/01/98	6.51						
10/13/98	4.58						
GROUPE DANONE SPONSORED ADR							
06/30/98	15.19						
HBO & CO							
01/21/98	0.62						
04/22/98	0.62						
07/21/98	1.04						
10/21/98	0.46						
HENKEL KGAA ADR							
09/18/98	8.99						
11/05/98	-2.81						
ING GROEP NV ADR							
06/19/98	9.99						
10/02/98	9.19						1.50
JOHNSON CONTROLS INCORPORATED							
03/31/98	3.91						
06/30/98	5.06						
K L M ROYAL DUTCH AIRLINES							
08/25/98	40.18						6.03
KAO CORP ADR							
12/17/98	2.69						
KAUFMAN & BROAD HOME CP							
02/25/98	3.60						
05/27/98	3.60						
08/27/98	3.60						
LAFARGE							
06/01/98	4.08						
09/01/98	4.08						
LEGGETT & PLATT INC							
06/15/98	3.04						
09/15/98	3.04						
LUXOTTICA GROUP SPA SPON ADR							
07/16/98	5.02						
MAGYAR TAVKOZLESIRT							
06/25/98	3.29						

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108600 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
MANNESMANN AG SP ADR							
06/12/98	4.14						
MGIC INVT CORP							
03/02/98	0.45						
06/01/98	0.45						
09/01/98	0.70						
MINEBEA LTD SPONS ADR							
07/13/98	2.34						
NATL GRID GROUP PLC ADR							
10/13/98	16.36						2.45
NATL WESTMINSTER BK PLC ADR							
05/12/98	13.45						2.02
NESTLE SPON ADR REP REG SHR							
06/22/98	17.74						2.66
NEMCOURT CREDIT							
02/27/98	0.41						
05/29/98	0.51						
08/31/98	0.48						
NEWS CP LTD ADR NEW							
05/01/98	1.14						0.17
NINTENDO CO LTD ADR NEW							
07/16/98	1.74						
12/29/98	2.51						
NO FORK BANCORP							
02/13/98	5.55						
05/15/98	6.94						
08/14/98	8.13						
NOKIA CP ADR							
04/10/98	10.65						1.60
NOVARTIS AG ADR							
05/19/98	4.18						0.63
OLYMPUS OPTICAL SPON ADR							
12/21/98	2.12						
OMNICOM GROUP							
01/05/98	3.75						
04/02/98	3.75						
07/02/98	3.75						
10/08/98	3.75						
PEARSON PLC SP ADR							
11/09/98	9.26						

3438

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 042 108000 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
PHILIPS ELECTR NV (HOLDING CO)							
04/03/98	14.52						2.18
ROLLS ROYCE PLC SPONS ADR							
07/16/98	9.47						1.42
ROYAL PTT NEDERLAND N.V. ADS							
10/09/98	6.74						
SAP AKRIEN SPN ADR							
05/18/98	4.78						1.07
SERVICE CORP INTL							
10/30/98	1.80						
SEVEN ELEVEN JAPAN CO LTD ADR							
06/19/98	1.30						
SIDERURGICA SPON ADR							
01/28/98	0.43						
SOCIETE GENERALE SP ADR							
06/10/98	20.28						
SONY CORP ADR 1974 NEW							
07/06/98	2.20						0.33
12/08/98	3.04						0.46
SOUTHCORP HOLDINGS LTD SPN ADR							
05/14/98	11.22						
SUNAMERICA INC							
12/07/98	2.25						
SUNDSTRAND CORP							
03/17/98	2.72						
06/16/98	3.57						
09/15/98	3.57						
TELE BRASILEIRAS SA SPND ADR							
06/08/98	7.91						1.19
TELE DENMARK A/S ADS							
05/11/98	24.97						6.24
TELECOM ITALIA S.P.A							
07/15/98	8.46						
TELEFONICA DE ESPANA SA ADR							
05/26/98	11.07						2.77
TELEFONOS DE MX SA DE CV ADR							
12/31/98	3.91						
TEXAS INDUSTRIES							
02/27/98	1.35						

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108600 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
TEXAS INDUSTRIES							
05/29/98	1.35						
TJX COS INC NEW							
03/05/98	1.40						
06/04/98	1.68						
09/03/98	2.28						
TOMRA SYS A/S ADR NEW							
05/18/98	3.82						0.57
TOTAL SA SPONSORED ADR							
06/14/98	8.14						
TYCO INTERNATIONAL LTD NEW							
11/02/98	0.30						
UNIFI INC							
02/06/98	1.40						
UNILEVER PLC AMER SHARES							
06/01/98	12.34						1.85
UNION BK SWITZ SPS ADR							
05/27/98	13.39						2.01
UNIVERSAL CP VA							
02/02/98	6.16						
05/04/98	6.16						
08/03/98	6.16						
11/02/98	6.16						
VIVENDI SPONSORED ADR							
06/29/98	12.61						
VNU NV SP ADR							
11/03/98	2.04						
VODAFONE GROUP PUBLIC CO.							
08/24/98	5.36						0.80
VOLKSWAGEN AG SPON ADR							
06/12/98	5.96						
WEBSTER FINCL CORP							
02/23/98	2.80						
05/18/98	3.08						
08/17/98	3.63						
WESTPAC BANKING SPONS ADR							
01/12/98	17.89						
WILLIAMS CO INC							
12/28/98	6.00						

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 042 108600 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
YPF SOCIEDAD ADS REP 1 CL-D SH							
11/30/98	4.18						
ZENECA GRP PLC SPON ADR							
05/12/98	6.61						
Total	909.89	0.00	0.00	0.00	0.00	0.00	70.79
ACTIVE ASSETS MONEY TRUST							
1998	450.81						
Total	450.81	0.00	0.00	0.00	0.00	0.00	0.00

3441

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-98 THRU 12-31-98

CHOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ORT-TERM GAIN/(LOSS) TRANSACTIONS								
165	LADBROKE GROUP PLC ADR	1-29-97	3.85	635.25	1-02-98	4.25	701.22	65.97
8	BP AMOCO PLC ADS	5-15-97	70.63	565.00	1-05-98	77.76	622.10	57.10
26	HELMERICH&PAYNE INC	2-24-97	22.25	578.50	1-06-98	30.00	780.07	201.57
10	ELF AQUITAINE SPON ADR	8-01-97	55.56	555.63	1-07-98	53.00	529.98	(25.65)
7	ELF AQUITAINE SPON ADR	8-21-97	58.13	406.88	1-07-98	53.00	370.99	(35.89)
13	ROYAL DUTCH PETE NY 1.25 GU	4-18-97	43.84	569.90	1-07-98	52.25	679.22	109.32
5	HUTCHISON WHAMPOA ADR	1-30-97	38.13	190.63	1-12-98	23.00	114.99	(75.64)
39	BELL CANADA INTL INC	10-23-97	19.00	741.00	1-15-98	13.75	536.31	(204.69)
9	REUTERS HLDGS PLC ADR B	10-10-97	73.13	658.13	1-15-98	60.06	540.54	(117.59)
5	PEREZ COMPANC SA SPS ADR	1-30-97	14.88	74.38	1-16-98	12.75	63.75	(10.63)
30	NORTHERN TELECOM LTD	2-06-97	36.00	1,079.91	1-20-98	44.09	1,322.82	242.91
10	FIRSTPLUS FINANCIAL GROUP INC	8-26-97	43.33	433.34	1-26-98	28.58	285.82	(147.52)
2	CIFRA UNSPONSORED V ADR	9-24-97	2.25	4.49	1-27-98	1.83	3.65	(.84)
20	COLT TELECOM GROUP PLC ADR	11-21-97	39.60	792.09	1-28-98	57.56	1,151.12	359.03
21	DOLLAR GENERAL CORPORATION	2-26-97	22.90	480.90	1-28-98	36.06	757.33	276.43
30	TUBOS DE ACERO NEW ADR	12-22-97	20.06	601.67	1-28-98	16.00	479.98	(121.69)
16	COM ANONIMA NATL TELE DE VEN	12-15-97	39.13	626.00	1-29-98	35.25	563.98	(62.02)
12	POLYGRAM NV	9-18-97	57.86	694.32	1-29-98	45.00	539.98	(154.34)
116	SIDERURGICA SPON ADR	9-25-97	5.97	692.80	1-29-98	2.63	304.55	(388.25)
12	GENCORP INC	8-07-97	27.74	332.83	1-30-98	24.53	294.40	(38.43)
0	CIFRA UNSPONSORED V ADR	2-04-98	.00	.00	2-04-98	.00	.40	.40
0	CIFRA SPON ADR SER V	2-04-98	.00	.00	2-04-98	.00	15.05	15.05
10	FIRSTPLUS FINANCIAL GROUP INC	8-26-97	43.33	433.33	2-04-98	30.03	300.29	(133.04)
17	TATE & LYLE PLC SPON ADR	1-28-98	35.75	607.75	2-04-98	33.37	567.36	(40.39)
23	COMPAGIE GENERALE DE GEO	12-18-97	26.38	606.63	2-10-98	20.37	468.61	(138.02)

3442

D 1

'ACCESS 605-975 Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change. 0114

MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II 1-01-98 THRU 12-31-98

ICHOLAS-APPLEGATE CAPITAL MGMT MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
HORT-TERM GAIN/(LOSS) TRANSACTIONS								
99	FIRST SOUTH AFRICA CORP LTD	6-18-97	7.51	743.44	2-11-98	6.22	615.63	(127.81)
36	CIFRA SPON ADR SER V	9-24-97	20.59	741.12	2-11-98	18.62	670.35	(70.77)
0	DIAGEO PLC SPON ADR NEW	2-11-98	.00	.00	2-11-98	.00	30.39	30.39
34	DISTRIBUCION Y SERV D&S SA ADS	12-17-97	18.63	633.25	2-12-98	15.00	509.98	(123.27)
5	COLT TELECOM GROUP PLC ADR	11-21-97	39.60	198.02	2-17-98	71.59	357.95	159.93
12	ROYAL DUTCH PETE NY 1.25 GU	4-18-97	43.84	526.07	2-17-98	52.44	629.22	103.15
5	UNILEVER PLC AMER SHARES	10-29-97	30.76	153.81	2-17-98	34.00	169.99	16.18
31	COGNEX CORP	11-26-97	26.13	809.88	2-25-98	22.50	697.47	(112.41)
10	UNIFI INC	5-05-97	32.75	327.50	2-25-98	34.81	348.11	20.61
6	BARCLAYS PLC ADR	9-23-97	99.88	599.25	2-26-98	120.79	724.75	125.50
33	COCA COLA FEMSA S A ADR	12-09-97	18.89	623.41	2-26-98	19.53	644.34	20.93
5	GLAXO WELLCOME PLC ADS	4-23-97	37.88	189.38	2-26-98	57.87	289.37	99.99
10	HONDA MOTOR COMPANY LTD ADR	9-23-97	69.00	690.04	2-26-98	69.25	692.47	2.43
8	HONDA MOTOR COMPANY LTD ADR	12-11-97	75.00	600.00	2-26-98	69.25	553.98	(46.02)
10	SEVEN ELEVEN JAPAN CO LTD ADR	5-12-97	68.75	687.50	2-27-98	69.50	695.82	8.32
10	DEXTER CORP	10-02-97	39.63	396.25	3-06-98	40.50	404.98	8.73
50	MINEBEA LTD SPONS ADR	6-11-97	22.50	1,125.00	3-13-98	21.75	1,087.46	(37.54)
13	NIPPON TELEGRAPH&TELEPHONE ADS	1-30-98	46.51	604.67	3-17-98	42.50	552.48	(52.19)
10	PHILIPS ELECTR NV (HOLDING CO)	6-25-97	72.22	722.15	3-18-98	71.12	711.23	(10.92)
5	PHILIPS ELECTR NV (HOLDING CO)	8-21-97	76.81	384.06	3-18-98	71.12	355.61	(28.45)
5	ROCHE HOLDINGS ADR	2-11-98	117.25	586.25	3-18-98	109.50	547.48	(38.77)
13	DIAGEO PLC SPON ADR NEW	12-31-97	46.31	602.00	3-19-98	46.50	604.47	2.47
6	FUJITSU LTD ADR NEW	2-04-98	60.50	363.00	3-24-98	49.50	296.99	(66.01)
5	NATL WESTMINSTER BK PLC ADR	12-19-97	104.81	524.06	3-24-98	109.50	547.50	23.44
6	NINTENDO CO LTD ADR NEW	12-31-97	12.38	74.25	3-25-98	9.62	57.75	(16.50)

3443

D 2

ACCESS 195-972 Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non factual in nature constitute only current opinions, which are subject to change.

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II 1-01-98 THRU 12-31-98

NICHOLAS-APPLEGATE CAPITAL MGMT MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
SHORT-TERM GAIN/(LOSS) TRANSACTIONS								
28	SCI SYSTEMS INC	5-19-97	28.69	803.25	3-26-98	39.08	1,094.33	291.08
10	GLAXO WELLCOME PLC ADS	4-23-97	37.88	378.75	3-27-98	51.59	515.88	137.13
5	BENCKISER NV CLASS B	12-10-97	36.01	180.07	3-30-98	51.58	257.88	77.81
3	COLT TELECOM GROUP PLC ADR	11-21-97	39.60	118.81	3-30-98	90.59	271.76	152.95
10	DASSAULT SYSTEMS SA ADS	9-25-97	31.63	316.25	3-30-98	39.16	391.59	75.34
16	FLEXTRONICS INTL LTD	2-10-98	39.73	635.72	4-02-98	40.77	652.30	16.58
8	NEW DIMENSION SOFTWARE LTD	2-19-98	22.75	182.00	4-03-98	22.48	179.85	(2.15)
0	DOLLAR GENERAL CORPORATION	4-06-98	.00	.00	4-06-98	.00	9.75	9.75
15	BANCO DE GAL Y BUENOS AIRE ADR	3-05-98	24.20	363.00	4-07-98	22.92	343.79	(19.21)
13	BANCO DE GAL Y BUENOS AIRE ADR	2-17-98	25.00	325.00	4-07-98	22.92	297.95	(27.05)
20	ORANGE PLC ADR	1-28-98	25.79	515.80	4-07-98	36.14	722.70	206.90
5	FUJITSU LTD ADR NEW	2-04-98	60.50	302.50	4-08-98	53.50	267.49	(35.01)
5	NOVARTIS AG ADR	4-23-97	64.00	320.00	4-08-98	85.87	429.36	109.36
10	ERICSSON LM TEL ADR B SEK 10	4-30-97	32.63	326.25	4-09-98	52.62	526.23	199.98
19	GENSET ADR	7-08-97	19.13	363.38	4-09-98	32.37	615.10	251.72
5	LEGATO SYSTEMS INC	11-25-97	36.49	182.43	4-09-98	62.37	311.86	129.43
5	NEWCOURT CREDIT	1-16-98	36.41	182.04	4-09-98	49.31	246.55	64.51
5	NOVARTIS AG ADR	4-23-97	64.00	320.00	4-23-98	80.00	399.98	79.98
10	AXA-UAP	12-09-97	37.62	376.24	4-27-98	55.00	549.98	173.74
5	COLT TELECOM GROUP PLC ADR	11-21-97	39.61	198.03	4-27-98	83.74	418.72	220.69
4	ORANGE PLC ADR	1-28-98	25.79	103.16	4-27-98	33.62	134.50	31.34
1	ORANGE PLC ADR	2-26-98	28.63	28.62	4-27-98	33.62	33.62	5.00
10	TELEFONICA DE ARGENTINA SA ADR	2-17-98	38.81	388.12	4-27-98	37.44	374.36	(13.76)
10	UNILEVER PLC AMER SHARES	10-29-97	30.76	307.61	4-27-98	40.00	399.98	92.37
5	ERICSSON LM TEL ADR B SEK 10	4-30-97	32.63	163.13	4-30-98	51.52	257.62	94.49

3444

0 3

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change.

MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-98 THRU 12-31-98

CHOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ORT-TERM GAIN/(LOSS) TRANSACTIONS								
20	SOUTHCORP HOLDINGS LTD SPN ADR	10-23-97	18.25	365.00	4-30-98	17.87	357.48	(7.52)
15	WESTPAC BANKING SPONS ADR	6-26-97	29.75	446.25	4-30-98	33.62	504.30	58.05
29	NEWS CP LTD ADR NEW	12-17-97	21.75	630.75	5-14-98	25.25	732.22	101.47
44	LOGITECH INTL SA ADR	3-23-98	16.38	720.50	5-15-98	14.50	637.97	(82.53)
5	PORTUGAL TELECOM S.A. ADS	2-17-98	50.63	253.13	5-15-98	52.59	262.95	9.82
15	RWE AG SPONSORED ADR	8-20-97	45.70	685.45	5-15-98	50.25	753.72	68.27
7	RWE AG SPONSORED ADR	8-21-97	45.50	318.50	5-15-98	50.25	351.74	33.24
10	GLOBAL TELESYSTEM GP INC	2-06-98	26.79	267.94	5-20-98	35.00	349.98	82.04
13	WESTPAC BANKING SPONS ADR	6-26-97	29.75	386.75	5-21-98	34.00	441.98	55.23
17	COOPER CAMERON CORP	9-08-97	65.31	1,110.31	5-27-98	58.00	985.96	(124.35)
2	TELEFONICA DE ARGENTINA SA ADR	2-17-98	38.82	77.63	5-27-98	31.68	63.36	(14.27)
5	TELEFONICA DE ARGENTINA SA ADR	3-03-98	36.38	181.88	5-27-98	31.68	158.39	(23.49)
0	NATL GRID GROUP PLC ADR	5-28-98	.00	.00	5-28-98	.00	3.03	3.03
5	BARCLAYS PLC ADR	1-05-98	109.38	546.88	6-05-98	108.75	543.73	(3.15)
42	GKN PUB LTD CO ADR	5-29-98	15.13	635.25	6-09-98	14.00	587.98	(47.27)
21	SOUTHCORP HOLDINGS LTD SPN ADR	10-23-97	18.25	383.25	6-09-98	16.50	346.48	(36.77)
4	TELE BRASILEIRAS SA SPND ADR	3-02-98	126.17	504.66	6-09-98	115.81	463.23	(41.43)
15	UNIBANCO GDS	3-11-98	35.50	532.50	6-09-98	31.25	468.73	(63.77)
5	JOHNSON CONTROLS INCORPORATED	1-28-98	50.44	252.19	6-10-98	56.96	284.81	32.62
0	NO FORK BANCORP	6-10-98	.00	.00	6-10-98	.00	12.00	12.00
2	HSBC HLDG PLC SPND ADR NEW	4-15-98	319.00	638.00	6-11-98	226.49	452.98	(185.02)
16	ASM LITHOGRAPHY HLDG NV ORD	2-13-98	38.87	621.87	6-12-98	28.90	462.35	(159.52)
22	ERICSSON LM TEL ADR B SEK 10	2-17-98	21.70	477.44	6-15-98	26.12	574.73	97.29
2	MINEBEA LTD SPONS ADR	12-23-97	21.63	43.25	6-16-98	17.25	34.50	(8.75)
25	MINEBEA LTD SPONS ADR	12-22-97	21.75	543.75	6-16-98	17.25	431.23	(112.52)

3445

D 4

(ACCESS (R5-97)) Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change.
0114

MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II 1-01-98 THRU 12-31-98

ICHOLAS-APPLEGATE CAPITAL MGMT MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
HORT-TERM GAIN/(LOSS) TRANSACTIONS								
20	ROLLS ROYCE PLC SPONS ADR	5-13-98	24.50	490.00	6-17-98	21.25	424.98	(65.02)
25	ROLLS ROYCE PLC SPONS ADR	3-12-98	23.13	578.13	6-17-98	21.25	531.23	(46.90)
9	TELEFONICA DE ESP RT 5 4 98	4-17-98	.00	.00	6-19-98	2.23	20.03	20.03
10	ALLIED WASTE INDUSTRIES NEW	1-13-98	23.00	230.00	6-25-98	25.25	252.49	22.49
36	VIRGIN EXPRESS HLDG PLC SP ADR	12-15-97	17.63	634.50	6-26-98	11.44	411.73	(222.77)
3	COLT TELECOM GROUP PLC ADR	11-21-97	39.60	118.81	7-01-98	169.99	509.98	391.17
20	GENSET ADR	7-08-97	19.13	382.50	7-06-98	30.37	607.47	224.97
5	BMC SOFTWARE	9-08-97	34.25	171.25	7-07-98	50.50	252.49	81.24
5	JOHNSON CONTROLS INCORPORATED	1-28-98	50.44	252.18	7-07-98	57.65	288.26	36.08
15	NATL GRID GROUP PLC ADR	1-29-98	28.66	429.09	7-10-98	35.40	531.03	101.14
5	UNILEVER PLC AMER SHARES	10-29-97	30.76	153.81	7-10-98	42.00	209.99	56.18
20	UNION BK SWITZ SPS ADR	12-23-97	29.75	595.00	7-10-98	36.79	735.87	140.87
15	ZENECA GRP PLC SPON ADR	1-22-98	41.21	618.13	7-10-98	39.81	597.17	(20.96)
10	AMVESCAP PLC ADR	12-19-97	40.96	409.60	7-16-98	56.00	559.98	150.38
8	BENCKISER NV CLASS B	12-10-97	36.01	288.11	7-17-98	61.47	491.72	203.61
10	NEW DIMENSION SOFTWARE LTD	2-19-98	22.75	227.50	7-23-98	28.54	285.44	57.94
6	PHILIPS ELECTR NV (HOLDING CO)	4-22-98	92.63	555.75	7-23-98	85.25	511.50	(44.25)
31	VIVENDI SPONSORED ADR	3-10-98	32.80	1,019.13	7-23-98	43.33	1,343.22	324.09
7	JOHNSON CONTROLS INCORPORATED	1-28-98	50.44	353.06	7-24-98	53.09	371.66	18.60
5	JOHNSON CONTROLS INCORPORATED	5-18-98	59.75	298.75	7-24-98	53.09	265.47	(33.28)
9	NESTLE SPON ADR REP REG SHR	9-25-97	70.50	634.50	7-24-98	106.00	953.96	319.46
18	TEXAS INDUSTRIES	11-12-97	48.59	874.68	7-27-98	40.25	724.47	(150.21)
5	TEXAS INDUSTRIES	5-20-98	61.53	307.67	7-27-98	40.25	201.24	(106.43)
11	DEUTSCHE BANK AG SP ADR	8-22-97	62.35	685.83	7-29-98	79.50	874.47	188.64
11	GLAXO WELLCOME PLC ADS	6-10-98	58.00	638.00	7-29-98	56.83	625.18	(12.82)

3446

D 5

[illegible] Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable.
However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change.

MORGAN STANLEY DEAN WITTER

ACCESS

✖ ✖ CAPITAL GAINS SCHEDULE ✖ ✖

642-108600 NICK JOE RAHALL II

1-01-98 THRU 12-31-98

[CHOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
[ORT-TERM GAIN/(LOSS) TRANSACTIONS								
16	AKZO NOBEL NV ADR	9-30-97	42.69	682.99	7-31-98	51.00	815.97	132.98
10	AKZO NOBEL NV ADR	1-05-98	43.44	434.38	7-31-98	51.00	509.98	75.60
3	TELE BRASILEIRAS SA SPND ADR	7-21-98	120.44	361.31	8-03-98	118.81	356.42	(4.89)
13	NOVEL DENIM HOLDINGS LTD	9-12-97	25.63	333.13	8-05-98	18.43	239.61	(93.52)
18	NOVEL DENIM HOLDINGS LTD	1-30-98	20.25	364.50	8-05-98	18.43	331.76	(32.74)
2	UNILEVER PLC AMER SHARES	10-29-97	30.76	61.52	8-10-98	38.50	77.01	15.49
10	UNILEVER PLC AMER SHARES	4-01-98	40.02	400.24	8-10-98	38.50	385.02	(15.22)
5	UNILEVER PLC AMER SHARES	6-16-98	44.02	220.10	8-10-98	38.50	192.51	(27.59)
5	UNILEVER PLC AMER SHARES	6-17-98	45.74	228.70	8-10-98	38.50	192.52	(36.18)
0	BP AMOCO PLC ADS	8-11-98	.00	.00	8-11-98	.00	4.31	4.31
10	AMVESCAP PLC ADR	2-17-98	48.13	481.25	8-12-98	45.58	455.82	(25.43)
4	AMVESCAP PLC ADR	12-19-97	40.96	163.84	8-12-98	45.58	182.33	18.49
11	NEWCOURT CREDIT	1-16-98	36.41	400.47	8-12-98	44.14	485.56	85.09
1	SHIRE PHARM GROUP PLC ADS	7-23-98	27.24	27.24	8-12-98	19.14	19.14	(8.10)
18	SHIRE PHARM GROUP PLC ADS	7-20-98	27.39	492.96	8-12-98	19.14	344.57	(148.39)
14	GLOBAL TELESYSTEM GP INC	2-06-98	26.79	375.12	8-13-98	49.40	691.58	316.46
5	GLOBAL TELESYSTEM GP INC	2-26-98	38.21	191.06	8-13-98	49.40	247.00	55.94
6	GLOBAL TELESYSTEM GP INC	7-28-98	52.88	317.25	8-13-98	49.40	296.39	(20.86)
5	CREDIT SUISSE GROUP SP ADR	6-30-98	56.00	280.00	8-20-98	53.55	267.77	(12.23)
15	CREDIT SUISSE GROUP SP ADR	3-11-98	44.93	673.92	8-20-98	53.55	803.29	129.37
7	BP AMOCO PLC ADS	3-24-98	90.88	636.13	8-21-98	80.01	560.08	(76.05)
9	FOMENTO ECONOMICO MEXICANO	7-22-98	34.25	308.25	8-21-98	22.81	205.30	(102.95)
5	TELECOMUNICACOES BRAS SA ADS	8-05-98	109.88	549.38	8-24-98	82.84	414.18	(135.20)
48	BANCO BILBAO VIZCAYA SPON ADR	2-09-98	13.02	625.07	8-25-98	15.00	719.97	94.90
13	ELF AQUITAINE SPON ADR	5-15-98	73.63	957.13	8-27-98	50.50	656.47	(300.66)

D G

(ECSI (05-97) Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC. Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change. 6116

✖ ✖ CAPITAL GAINS SCHEDULE ✖ ✖

642-108600 NICK JOE RAHALL II

1-01-98 THRU 12-31-98

CHOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ORT-TERM GAIN/(LOSS) TRANSACTIONS								
10	NO FORK BANCORP	5-18-98	24.81	248.13	8-27-98	20.20	202.02	(46.11)
10	TOTAL SA SPONSORED ADR	3-30-98	61.00	610.00	8-27-98	48.46	484.59	(125.41)
9	GETTY IMAGES INC	10-24-97	16.00	144.00	8-28-98	14.67	132.05	(11.95)
21	OMV AG SPONSORED ADR	5-29-98	29.25	614.25	8-28-98	18.53	389.14	(225.11)
10	OMV AG SPONSORED ADR	6-18-98	27.38	273.75	8-28-98	18.53	185.31	(88.44)
1	NEWCOURT CREDIT	1-16-98	36.41	36.41	8-31-98	33.93	33.93	(2.48)
10	NEWCOURT CREDIT	2-19-98	43.25	432.50	8-31-98	33.93	339.31	(93.19)
7	AXA-UAP	12-09-97	37.62	263.36	9-01-98	55.06	385.42	122.06
5	AXA-UAP	12-26-97	39.00	195.00	9-01-98	55.06	275.30	80.30
3	BAYERISCHE VEREINSBANK AG ADR	12-11-97	63.13	189.30	9-01-98	78.00	233.99	44.61
4	VODAFONE GROUP PUBLIC CO.	4-02-98	104.93	419.74	9-01-98	121.66	486.64	66.90
25	LUXOTTICA GROUP SPA SPON ADR	3-31-98	18.80	470.00	9-02-98	12.62	315.61	(154.39)
30	LUXOTTICA GROUP SPA SPON ADR	6-18-98	16.81	504.24	9-02-98	12.62	378.74	(125.50)
28	WEBSTER FINCL CORP	12-26-97	31.38	878.50	9-02-98	22.05	617.52	(260.98)
5	WEBSTER FINCL CORP	5-18-98	33.06	165.31	9-02-98	22.05	110.27	(55.04)
5	ORANGE PLC ADR	2-26-98	28.63	143.13	9-03-98	46.25	231.24	88.11
19	ROYAL PTT NEDERLAND N.V. ADS	8-14-98	42.30	805.13	9-04-98	36.55	694.49	(110.64)
18	MGIC INVT CORP	1-29-98	67.99	1,223.80	9-08-98	42.75	769.47	(454.33)
10	MGIC INVT CORP	5-18-98	60.00	600.00	9-08-98	42.75	427.49	(172.51)
24	SOCIETE GENERALE SP ADR	3-02-98	30.61	734.69	9-08-98	34.24	821.83	87.14
8	BANK OF IRELAND GRP	1-07-98	65.25	522.00	9-09-98	63.42	507.37	(14.63)
11	ING GROEP NV ADR	4-02-98	61.42	675.59	9-11-98	53.56	589.17	(86.42)
5	ING GROEP NV ADR	4-07-98	65.25	326.25	9-11-98	53.56	267.80	(58.45)
15	TELESYSTEMS INTL WIRELESS INC	6-22-98	19.75	296.25	9-14-98	11.00	164.99	(131.26)
16	TELESYSTEMS INTL WIRELESS INC	8-05-98	20.12	321.85	9-14-98	11.00	175.99	(145.86)

3448

D 7

(ACCS (95-97)

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., Member SIPC.
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable.
However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change.

8116

MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II 1-01-98 THRU 12-31-98

ICHOLAS-APPLEGATE CAPITAL MGMT MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
HORT-TERM GAIN/(LOSS) TRANSACTIONS								
14	ALCATEL ADS	5-27-98	42.71	597.93	9-17-98	19.00	265.99	(331.94)
10	SOCIETE GENERALE SP ADR	3-02-98	30.61	306.12	9-17-98	27.15	271.48	(34.64)
5	SOCIETE GENERALE SP ADR	6-30-98	41.88	209.38	9-17-98	27.15	135.74	(73.64)
10	CBT GROUP PLC ADR	8-14-98	55.98	559.78	9-18-98	30.79	307.94	(251.84)
1	GLAXO WELLCOME PLC ADS	9-01-98	58.90	58.90	9-18-98	61.12	61.12	2.22
5	GLAXO WELLCOME PLC ADS	6-18-98	58.67	293.35	9-18-98	61.12	305.61	12.26
4	GLAXO WELLCOME PLC ADS	6-10-98	58.00	232.00	9-18-98	61.12	244.49	12.49
9	GLAXO WELLCOME PLC ADS	9-01-98	58.90	530.06	9-21-98	60.00	539.98	9.92
25	MAGYAR TAVKOZLESIRT	12-17-97	23.98	599.44	9-21-98	21.12	528.11	(71.33)
7	ALLIED IRISH BK SPON ADR ORD	8-27-98	85.53	598.72	9-22-98	79.03	553.20	(45.52)
5	ALCATEL ADS	6-05-98	41.44	207.19	9-23-98	19.69	98.43	(108.76)
8	ALCATEL ADS	5-27-98	42.71	341.67	9-23-98	19.69	157.49	(184.18)
5	ALCATEL ADS	6-17-98	39.63	198.13	9-23-98	19.69	98.44	(99.69)
10	CORP BANCARIA ESPANA AM DEP SH	2-20-98	36.44	364.38	9-23-98	42.90	428.95	64.57
48	KAUFMAN & BROAD HOME CP	9-24-97	20.47	982.59	9-23-98	21.34	1,024.32	41.73
34	LAFARGE	4-14-98	38.99	1,325.53	9-23-98	29.50	1,003.13	(322.40)
5	ARM HOLDINGS PLC ADS	4-22-98	42.25	211.25	9-25-98	44.75	223.74	12.49
8	CABLE & WIRELESS COMMS PLC	8-05-98	44.91	359.26	9-25-98	31.50	251.99	(107.27)
5	CABLE & WIRELESS COMMS PLC	8-21-98	45.15	225.77	9-25-98	31.50	157.49	(68.28)
9	EAST TELECOM GROUP PLC ADS	8-11-98	36.13	325.13	9-25-98	32.65	293.87	(31.26)
20	TJX COS INC NEW	5-20-98	24.25	485.00	9-25-98	17.58	351.59	(133.41)
11	COLT TELECOM GROUP PLC ADR	11-21-97	9.90	108.91	9-28-98	36.25	398.73	289.82
3	CBT GROUP PLC ADR	8-14-98	55.98	167.94	9-30-98	12.00	36.00	(131.94)
5	CBT GROUP PLC ADR	8-28-98	52.00	260.00	9-30-98	12.00	59.99	(200.01)
25	AB ELECTROLOX SPON ADR	4-29-98	36.24	906.07	10-02-98	25.45	636.21	(269.86)

3449

D 8

(ACCE (05-97) Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. 0116
However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change.

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-98 THRU 12-31-98

NICHOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
SHORT-TERM GAIN/(LOSS) TRANSACTIONS								
6	BANCO COMER PORTUGUES ADS	7-28-98	33.00	198.00	10-02-98	25.06	150.37	(47.63)
19	BANCO COMER PORTUGUES ADS	7-22-98	34.00	646.00	10-02-98	25.06	476.17	(169.83)
11	BANCO COMER PORTUGUES ADS	7-23-98	33.63	369.88	10-02-98	25.06	275.68	(94.20)
5	BENCKISER NV CLASS B	12-10-97	36.02	180.07	10-05-98	50.13	250.65	70.58
7	BAYERISCHE VEREINSBANK AG ADR	12-11-97	63.12	441.87	10-05-98	67.50	472.48	30.61
5	VIAG AG ADR	9-01-98	63.92	319.61	10-05-98	58.28	291.41	(28.20)
9	AXA-UAP	12-26-97	39.00	351.00	10-06-98	41.16	370.41	19.41
8	CORP BANCARIA ESPANA AM DEP SH	2-20-98	36.44	291.50	10-06-98	37.85	302.83	11.33
5	CORP BANCARIA ESPANA AM DEP SH	6-30-98	45.00	225.00	10-06-98	37.85	189.27	(35.73)
11	HENKEL KGAA ADR	1-29-98	57.08	627.86	10-06-98	65.54	720.91	93.05
5	HENKEL KGAA ADR	8-11-98	74.75	373.75	10-06-98	65.54	327.69	(46.06)
7	NATL GRID GROUP PLC ADR	1-29-98	28.66	200.61	10-06-98	33.94	237.55	36.94
11	NATL GRID GROUP PLC ADR	6-22-98	32.75	360.25	10-06-98	33.94	373.30	13.05
5	SAP AKTIENGESELLSCHAFT ADS	8-11-98	50.21	251.05	10-06-98	33.50	167.49	(83.56)
43	VARCO INTERNATIONAL INC	4-03-98	27.38	1,177.13	10-06-98	6.69	287.55	(889.58)
5	DIXONS GRP PLC ADR	9-25-98	30.25	151.25	10-08-98	26.52	132.58	(18.67)
24	DIXONS GRP PLC ADR	9-17-98	30.00	720.00	10-08-98	26.52	636.38	(83.62)
17	ECSOFT GROUP PLC ADR	7-20-98	39.25	667.25	10-08-98	12.62	214.62	(452.63)
9	LHS GROUP INC	7-20-98	72.00	648.00	10-08-98	38.62	347.61	(300.39)
10	ORANGE PLC ADR	3-05-98	27.10	270.96	10-08-98	35.13	351.26	80.30
6	ORANGE PLC ADR	2-26-98	28.63	171.75	10-08-98	35.13	210.76	39.01
14	STMICROELECTRONICS NV	8-21-98	61.64	863.01	10-08-98	38.50	539.04	(323.97)
37	GETTY IMAGES INC	10-24-97	16.00	592.00	10-13-98	9.07	335.56	(256.44)
36	PEARSON PLC SP ADR	5-22-98	17.75	639.00	10-22-98	15.19	546.73	(92.27)
35	PEARSON PLC SP ADR	6-18-98	18.63	651.88	10-22-98	15.19	531.54	(120.34)

D 9

(ACCE 105-97) Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable.
However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change.
0114

MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-98 THRU 12-31-98

CHOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ORT-TERM GAIN/(LOSS) TRANSACTIONS								
30	VOLVO AB ADR B	7-02-98	32.18	965.25	10-22-98	21.25	637.47	(327.78)
15	FUJI HEAVY INDS LTD ADR	9-02-98	55.12	826.76	10-23-98	46.32	694.84	(131.92)
34	LEGATO SYSTEMS INC	11-25-97	18.24	620.20	10-27-98	37.82	1,285.78	665.50
10	LEGATO SYSTEMS INC	1-28-98	22.63	226.25	10-27-98	37.82	378.17	151.92
29	BORDERS GROUP INC	12-31-97	31.00	899.00	10-30-98	24.94	723.17	(175.83)
5	BORDERS GROUP INC	5-18-98	33.13	165.63	10-30-98	24.94	124.68	(40.95)
8	MODERN TIMES GP MTG AB ADR	8-21-98	75.19	601.50	10-30-98	54.00	431.98	(169.52)
5	SUNDSTRAND CORP	5-18-98	65.94	329.69	10-30-98	47.31	236.55	(93.14)
16	SUNDSTRAND CORP	2-27-98	60.37	965.99	10-30-98	47.31	756.97	(209.02)
10	TRANSACTION SYS ARCH INC A	5-18-98	40.06	400.55	10-30-98	36.00	359.99	(40.56)
60	VOLKSWAGEN AG SPON ADR	4-01-98	15.90	954.00	10-30-98	15.00	899.97	(54.03)
10	VOLKSWAGEN AG SPON ADR	6-16-98	10.70	107.00	10-30-98	15.00	149.99	(37.01)
20	VOLKSWAGEN AG SPON ADR	6-30-98	19.35	387.00	10-30-98	15.00	299.99	(87.01)
7	TELEFONICA DE ESPANA SA ADR	1-28-98	99.75	698.25	11-04-98	139.19	974.31	276.06
4	BRITISH TELECOMMUNIC PLC ADR	10-07-98	122.25	489.00	11-13-98	135.56	542.22	53.22
2	BRITISH TELECOMMUNIC PLC ADR	10-06-98	126.91	253.82	11-13-98	135.56	271.11	17.29
24	QUINTILES TRANSNATIONAL	12-30-97	37.73	905.54	11-16-98	47.15	1,131.66	226.12
6	QUINTILES TRANSNATIONAL	1-23-98	41.75	250.50	11-16-98	47.15	282.91	32.41
5	RYANAIR HLDGS PLC ADR	5-14-98	35.00	175.00	11-16-98	30.00	149.99	(25.01)
0	DAIMLER-CHRYSLER AG ADR	11-17-98	.00	.00	11-17-98	.00	4.23	4.23
9	ELAN PLC ADR	1-28-98	50.00	450.00	11-17-98	68.18	613.66	163.66
17	HEINEKEN NV ADR	10-13-98	44.95	764.23	11-17-98	54.09	919.47	155.24
10	GROUPE DANONE SPONSORED ADR	11-20-97	31.00	310.00	11-19-98	58.37	583.73	273.73
16	MEDIAONE GROUP INC	8-27-98	45.56	728.91	11-19-98	38.12	609.97	(118.94)
28	ALLIED WASTE INDUSTRIES NEW	1-13-98	23.00	644.00	11-20-98	20.50	573.98	(70.02)

3451

D 10

[illegible] Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable.
However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change
8114

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II 1-01-98 THRU 12-31-98

ICHOLAS-APPLEGATE CAPITAL MGMT MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
HORT-TERM GAIN/(LOSS) TRANSACTIONS								
38	LEGGETT & PLATT INC	2-26-98	24.97	948.73	11-20-98	22.29	847.04	(101.69)
22	UNIVERSAL CP VA	1-06-98	41.75	918.50	11-20-98	35.50	780.97	(137.53)
5	POWERGEN PLC ADR FINAL ISTLMNT	9-24-98	61.71	308.53	11-23-98	57.54	287.71	(20.82)
8	POWERGEN PLC ADR FINAL ISTLMNT	9-22-98	59.50	476.00	11-23-98	57.54	460.33	(15.67)
10	EDP-ELECTRICADE DE PORTUGAL	10-19-98	52.00	520.00	11-25-98	44.00	439.98	(80.02)
5	NESTLE SPON ADR REP REG SHR	1-05-98	76.30	381.88	11-27-98	103.00	514.98	133.10
19	YPF SOCIEDAD ADS REP 1 CL-D SH	10-22-98	28.19	535.55	12-01-98	28.31	537.92	2.37
9	VIAG AG ADR	9-01-98	63.92	575.29	12-03-98	57.50	517.48	(57.81)
15	BANCO DE GAL Y BUENOS AIRE ADR	10-16-98	15.25	228.75	12-07-98	19.00	284.99	56.24
19	PETROLIUM GEO SVCES A/S ADS	8-25-98	15.66	297.48	12-08-98	15.39	292.46	(5.02)
10	PETROLIUM GEO SVCES A/S ADS	2-27-98	28.50	284.97	12-08-98	15.39	153.92	(131.05)
20	UNIBANCO GDS	11-10-98	19.50	390.00	12-09-98	17.52	350.46	(39.54)
2	RAILTRACK GP PLC SPONS ADR	10-15-98	280.75	561.50	12-10-98	229.99	459.98	(101.52)
3	ARM HOLDINGS PLC ADS	4-22-98	42.25	126.75	12-16-98	63.62	190.87	64.12
14	FRANCE TELECOM	10-08-98	68.16	954.18	12-16-98	72.50	1,014.96	60.78
15	KINGFISHER PLC SPN ADR	10-07-98	19.15	287.32	12-16-98	20.75	311.23	23.91
37	NINTENDO CO LTD ADR NEW	12-31-97	12.38	457.88	12-17-98	11.37	420.86	(37.02)
20	NINTENDO CO LTD ADR NEW	6-18-98	12.25	245.00	12-17-98	11.37	227.49	(17.51)
6	EAST TELECOM GROUP PLC ADS	8-11-98	36.12	216.75	12-18-98	37.75	226.49	9.74
5	TELECOMUNICACOES BRAS SA ADS	10-26-98	77.71	388.54	12-18-98	77.11	385.54	(3.00)
8	FLEXTRONICS INTL LTD	8-25-98	38.50	308.00	12-21-98	79.08	632.63	324.63
0	BANCO DE GAL Y BUENOS AIRE ADR	12-24-98	.00	.00	12-24-98	.00	14.96	14.96
	TOTAL SHORT-TERM GAIN							12,226.71
	TOTAL SHORT-TERM LOSS							(15,376.21)
	TOTAL SHORT-TERM			119,327.92			116,178.42	(3,149.50)

3452

D 11

JACOB (05-97) Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC. Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change. 0114

✖ ✖ CAPITAL GAINS SCHEDULE ✖ ✖

642-108600 NICK JOE RAHALL II

1-01-98 THRU 12-31-98

CHOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
NG-TERM GAIN/(LOSS) TRANSACTIONS								
27	COFLEXIP SPONSORED ADR	5-14-96	18.38	496.13	1-07-98	52.82	1,426.03	929.90
7	PETROLIUM GEO SVCES A/S ADS	10-07-96	31.50	220.50	1-07-98	59.25	414.73	194.23
7	PETROLIUM GEO SVCES A/S ADS	10-25-96	34.13	238.88	1-07-98	59.25	414.74	175.86
104	CORE LABORATORIES N V	5-22-96	8.00	832.00	1-12-98	15.09	1,569.23	737.23
24	HUTCHISON WHAMPOA ADR	12-26-95	30.13	723.00	1-12-98	23.00	551.98	(171.02)
8	GILAT SATELLITE NTWK LTD ORD	12-13-96	25.88	207.00	1-13-98	23.62	188.99	(18.01)
49	PEREZ COMPANC SA SPS ADR	10-08-96	11.90	583.00	1-16-98	12.75	624.72	41.72
13	TECHNOMATIX TECH LTD ORD	5-28-96	24.54	319.07	1-20-98	27.12	352.61	33.54
37	ELECTROBRAS ADR PRF	1-15-97	19.50	721.50	1-30-98	22.50	832.47	110.97
8	BAAN COMPANY NV ADR	4-15-96	14.14	113.10	2-04-98	40.25	321.99	208.89
3	BAAN COMPANY NV ADR	8-30-96	16.08	48.24	2-04-98	40.25	120.74	72.50
5	SONY CORP ADR 1974 NEW	5-24-96	64.21	321.06	3-10-98	88.02	440.10	119.04
17	NINTENDO CO LTD ADR NEW	12-10-96	9.50	161.50	3-13-98	10.37	176.37	14.87
18	NINTENDO CO LTD ADR NEW	11-12-96	8.63	155.25	3-13-98	10.37	186.74	31.49
13	FUJI PHOTO ADR NEW	5-22-96	31.81	413.56	3-25-98	35.62	463.11	49.55
26	NINTENDO CO LTD ADR NEW	12-10-96	9.50	247.00	3-25-98	9.62	250.23	3.23
5	BAAN COMPANY NV ADR	8-30-96	16.08	80.39	3-30-98	47.12	235.62	155.23
10	FUJI PHOTO ADR NEW	5-22-96	31.81	318.13	4-08-98	37.62	376.23	58.10
5	HBO & CO	11-20-95	19.94	99.72	4-09-98	60.28	301.38	201.66
15	PORTUGAL TELECOM S.A. ADS	1-28-97	34.38	515.63	4-27-98	50.87	763.10	247.47
45	TOMRA SYS A/S ADR NEW	4-18-96	9.00	405.00	4-28-98	30.87	1,389.33	984.33
15	BANK OF IRELAND GRP	4-23-97	42.25	633.75	4-30-98	81.27	1,219.07	585.32
10	BAAN COMPANY NV ADR	8-30-96	16.08	160.78	5-01-98	45.62	456.23	295.45
12	BAAN COMPANY NV ADR	8-30-96	16.08	192.94	5-07-98	40.73	488.73	295.79
5	PORTUGAL TELECOM S.A. ADS	1-28-97	34.37	171.87	5-15-98	52.59	262.94	91.07

3453

D 12

(ACCESS 195-97) Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC. Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change. 9116

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-98 THRU 12-31-98

NICHOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
LONG-TERM GAIN/(LOSS) TRANSACTIONS								
12	SYBRON INTL CP WISC	10-03-96	14.91	178.96	5-27-98	22.57	270.88	91.92
10	ERICSSON LM TEL ADR B SEK 10	4-30-97	16.31	163.12	6-15-98	26.12	261.24	98.12
5	MINEBEA LTD SPONS ADR	6-11-97	22.50	112.50	6-16-98	17.25	86.25	(26.25)
7	SAP AKTIENGESELLSCHAFT ADS	4-18-97	55.83	390.80	6-16-98	210.99	1,476.95	1,086.15
29	HBO & CO	11-20-95	9.97	289.20	7-20-98	33.48	971.03	681.83
13	TOMRA SYS A/S ADR NEW	4-18-96	9.00	117.00	7-22-98	30.12	391.61	274.61
11	DOLLAR GENERAL CORPORATION	2-26-97	18.74	206.10	7-24-98	41.56	457.19	251.09
18	JONES APPAREL GRP INC	5-28-97	23.11	415.99	7-27-98	27.00	485.98	69.99
11	COFLEXIP SPONSORED ADR	5-14-96	18.37	202.12	7-28-98	49.59	545.52	343.40
8	CONTINENTIAL ALNS INC CL B	5-05-97	31.96	255.68	7-28-98	55.56	444.48	188.80
12	JONES APPAREL GRP INC	5-28-97	23.11	277.33	8-26-98	22.32	267.84	(9.49)
27	K L M ROYAL DUTCH AIRLINES	8-21-97	37.00	999.00	8-26-98	35.63	961.99	(37.01)
55	NO FORK BANCORP	2-26-97	13.72	754.36	8-27-98	20.20	1,111.13	356.77
14	RYANAIR HLDGS PLC ADR	8-20-97	23.99	335.92	8-27-98	29.08	407.18	71.26
5	BANK OF IRELAND GRP	4-23-97	42.25	211.25	9-09-98	63.42	317.11	105.86
56	TJX COS INC NEW	5-27-97	12.12	678.85	9-25-98	17.58	984.46	305.61
23	DEUTSCHE LUFTHANSA AG ADR	5-13-97	16.69	383.92	10-06-98	17.87	411.11	27.19
12	SAP AKTIENGESELLSCHAFT ADS	4-18-97	13.96	167.49	10-06-98	33.50	401.99	234.50
12	TELECOM ITALIA S.P.A	6-25-97	60.75	729.00	10-08-98	53.39	640.73	(88.27)
23	HBO & CO	11-20-95	9.97	229.37	10-19-98	26.94	619.53	390.16
19	TRANSACTION SYS ARCH INC A	10-27-97	43.72	830.75	10-30-98	36.00	683.97	(146.78)
14	RYANAIR HLDGS PLC ADR	8-20-97	23.99	335.92	11-16-98	30.00	419.99	84.07
27	DEUTSCHE LUFTHANSA AG ADR	5-13-97	16.69	450.69	11-17-98	20.62	556.86	106.17
1	NESTLE SPON ADR REP REG SHR	9-25-97	70.50	70.50	11-27-98	103.00	102.99	32.49
20	PETROLIUM GEO SVCES A/S ADS	1-28-97	21.94	438.75	12-08-98	15.39	307.85	(130.90)

(ACCS 10/97) Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC. 0118
Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change.

* * CAPITAL GAINS SCHEDULE * *

642-100600 NICK JOE RAHALL II

1-01-98 THRU 12-31-98

CHOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
NG-TERM GAIN/(LOSS) TRANSACTIONS								
48	BED BATH & BEYOND INC	1-26-96	9.83	471.75	12-09-98	29.34	1,408.26	936.51
	TOTAL ALL LONG-TERM GAIN							11,373.94
	TOTAL ALL LONG-TERM LOSS							(627.73)
	TOTAL ALL LONG-TERM			18,075.32			28,821.53	10,746.21
	TOTAL ACCOUNT GAIN/(LOSS)			137,403.24			144,999.95	7,596.71

This Capital Gains Schedule is not an official tax document. It is provided for informational purposes only.

3455

D 14

(ACGS (05-97)) Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC. Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change. 0115

MORGAN STANLEY DEAN WITTER

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

1998 Active Assets® Annual Summary Statement

Account Number FA
042 113487 022

AAA Customer Service
1-800-869-3326

NICK JOE RAHALL II 000780
ACCESS #2-LAZARD FRERES
1301 DELAWARE AVENUE SW APT 409N
WASHINGTON DC 20024 3913

Asset Summary (Year End)

	Value	Percent of assets
Money Market Funds	$4,080.34	3.4%
Stocks	115,632.08	96.6
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$119,712.42	100.0%
Cash	8.80	
Total Asset Value	**$119,721.22**	

Income Summary for 1998

Money Market Funds	$375.84
Stocks	2,336.83
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	**$2,712.67**
Taxable Income	$2,712.67
Tax Exempt Income	$0.00

Activity Summary for 1998

Total Asset Value as of January 01 1998	**$100,470.11**

Summary of Activity Categories for 1998

Income	$2,712.67
Deposits	0.00
Assets Sold	13,940.15
Other	-2,700.23
Checking	0.00
Visa	0.00
Withdrawals	0.00
Assets Bought	-15,392.05

Changes in Asset Value for 1998

Value of Priced Assets as of 1/1/98	$94,514.82
Assets Bought	15,392.05
Assets Received	0.00
Assets Sold	-13,940.15
Assets Delivered	0.00
Change in Value of Priced Assets	19,665.36
Value of Priced Assets as of 12/31/98	115,632.08
Net Change in Asset Value	**21,117.26**
Total Asset Value as of December 31 1998	**$119,721.22**

Effective June 1, 1998, Morgan Stanley Dean Witter provides excess SIPC protection for securities of customers up to their total net equity balance and up to $1 million protection for uninvested cash. This excess SIPC protection is purchased from an independent third party insurer

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

3456

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

1998 Active Assets® Annual Summary Statement
YEAR END ASSETS

Account Number 642 113487 FA 022

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	8.80	---	---
ACTIVE ASSETS MONEY TRUST	4,080.34	3.4%	197
Total Cash and Money Market Funds	**$4,089.14**	**3.4%**	**$197**

Annualized 30 Day Yields

Active Assets Money Trust	4.85%
Active Assets Govt. Sec. Tr.	4.54%
Active Assets California Tax Free	2.40%
Active Assets Tax Free Trust	2.78%
Active Assets Insured Account	4.13%
Active Assets Deposit Account	4.13%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
165	ABN AMRO ADR	21 3/4	3,588.75	3.0%	96	2.69%	AAN	Next Dividend Payable 03/99
120	ALCATEL ADS	24 7/16	2,932.50	2.5%	38	1.31%	ALA	Next Dividend Payable 07/99
285	ALLIED DOMECQ PLC ADR SPON	9	2,565.00	2.1%	110	4.32%	ALDCY	Next Dividend Payable 03/01/99
60	AMERITECH CORP	63 3/8	3,802.50	3.2%	76	2.00%	AIT	Next Dividend Payable 02/01/99
141	ASTRA AB CL B ADS	20 11/16	2,916.93	2.4%	28	.96%	AAB	Next Dividend Payable 05/99
33	BANKAMERICA CORP NEW	60 1/8	1,984.12	1.7%	59	2.99%	BAC	Next Dividend Payable 03/99
50	CADBURY SCHW PLC ADR 10 ORD NH	69 1/8	3,456.25	2.9%	59	1.72%	CSG	
67	CITIGROUP INC	49 11/16	3,329.06	2.8%	48	1.44%	C	Next Dividend Payable 02/99
25	DAIMLER-CHRYSLER AG ADR	96 1/16	2,401.56	2.0%	0	0.00%	DCX	
30	DEUTSCHE BANK AG SP ADR	58 3/4	1,762.50	1.5%	21	1.22%	DTBKY	Next Dividend Payable 06/99
43	DIAGEO PLC SPON ADR NEW	46 1/4	1,988.75	1.7%	66	3.33%	DEO	
43	DU PONT EI DE NEMOURS & CO	53 1/16	2,281.68	1.9%	60	2.63%	DD	Next Dividend Payable 03/99
47	ELF AQUITAINE SPON ADR	56 5/8	2,661.37	2.2%	49	1.86%	ELF	Next Dividend Payable 07/99
160	ENDESA SA ADS	27	4,320.00	3.6%	65	1.51%	ELE	Next Dividend Payable 01/28/99
35	ENI SPA AMER DEP RCPT	67 3/4	2,371.25	2.0%	36	1.55%	E	
390	GEN ELEC PLC ADR	8 7/8	3,461.25	2.9%	74	2.16%	GNELY	Next Dividend Payable 04/99
30	GENERAL ELECTRIC CO	102	3,060.00	2.6%	42	1.37%	GE	Next Dividend Payable 01/25/99
31	HEINEKEN NV ADR	60 1/8	1,863.87	1.6%	7	.40%	HINKY	Next Dividend Payable 04/99
45	HOECHST AKTIENGESELLSHAFT	41	1,845.00	1.5%	27	1.51%	HOE	
5	HSBC HLDG PLC SPND ADR NEW	249 1/8	1,245.62	1.0%	45	3.66%	HSBHY	Next Dividend Payable 04/99
20	INTL BUSINESS MACHINES CORP	184 3/8	3,687.50	3.1%	17	.47%	IBM	Next Dividend Payable 03/99
40	JOHNSON & JOHNSON	83 7/8	3,355.00	2.8%	40	1.19%	JNJ	Next Dividend Payable 03/99
20	LOCKHEED MARTIN CORP	84 3/4	1,695.00	1.4%	35	2.07%	LMT	Next Dividend Payable 03/99
70	MANNESMANN AG SP ADR	114 5/8	8,023.75	6.7%	28	.36%	MNNSY	Next Dividend Payable 06/99
25	MERCK & CO	147 1/2	3,687.50	3.1%	54	1.46%	MRK	Next Dividend Payable 01/04/99
20	MOBIL CORP DELAWARE	87 1/8	1,742.50	1.5%	45	2.61%	MOB	Next Dividend Payable 03/99
52	NATIONAL POWER PLC ADS	36 3/4	1,911.00	1.6%	99	5.19%	NP	Next Dividend Payable 04/16/99
50	NESTLE SPON ADR REP REG SHR	108 3/4	5,437.50	4.5%	47	.86%	NSRGY	Next Dividend Payable 06/99
37	NOVARTIS AG ADR	98 1/4	3,635.25	3.0%	26	.72%	NVTSY	Next Dividend Payable 05/99
72	PHILIP MORRIS CO INC	53 1/2	3,852.00	3.2%	126	3.28%	MO	Next Dividend Payable 01/11/99
75	RHONE-POULENC AMER DEPOSIT SHS	50 1/4	3,768.75	3.1%	35	.93%	RP	Next Dividend Payable 06/99
50	RICOH LTD ADR	46 1/8	2,306.25	1.9%	18	.78%	RICOY	Next Dividend Payable 05/99
38	ROYAL DUTCH PETE NY 1.25 GU	47 7/8	1,819.25	1.5%	51	2.84%	RD	Next Dividend Payable 03/99

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

1998 Active Assets® Annual Summary Statement

Account Number 642 113487 FA 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
30	SEARS ROEBUCK & CO	42 1/2	1,275.00	1.1%	27	2.16%	S	Next Dividend Payable 01/04/99
75	SOCIETE GENERALE SP ADR	32 3/8	2,428 12	2 0%	44	1.84%	SCGLY	
33	SONY CORP ADR 1974 NEW	72	2,376 00	2 0%	12	52%	SNE	Next Dividend Payable 06/99
90	UNILEVER PLC AMER SHARES	45	4,050 00	3 4%	53	1 32%	UL	Next Dividend Payable 06/99
130	VIVENDI SPONSORED ADR	51 7/8	6,743.75	5 6%	52	.78%	VVDIY	
Total Stocks			$115,632.08	96.6%	$1,833	1.58%		

Asset Summary	Value	Est Yrly Income
Total Asset Value	119,721.22	$2,031

3458

MORGAN STANLEY DEAN WITTER

1099-DIV

STATEMENT FOR TAX YEAR 1998

OMB NO. 1545-0110

Account Number 642 113487 FA 022

NICK JOE RAHALL II 003297
ACCESS #2-LAZARD FRERES
1301 DELAWARE AVENUE SW APT 409N
WASHINGTON DC 20024 3913

Social Security Number 230-78-8645

FA Phone Number (202) 862-8000

DIVIDENDS AND DISTRIBUTIONS

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1. Ordinary Dividends	2a. Total Capital Gain Distributions	2b. 28% Gain Distributions	2c. Section 1250 Gain Distributions	3. Non-Taxable Distributions	4. Federal Income Tax Withheld	6. Foreign Tax Paid
DEAN WITTER REYNOLDS INC. PAYER'S FED. ID. # 94-1671384	2,336.65	0.00	0.00	0.00	0.00	0.00	115.69
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075005 PLAN NUMBER 260-014981854	375.84	0.00	0.00	0.00	0.00	0.00	0.00

3459

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #12-LAZARD FRERES

Account Number 842 113487 FA 022

DETAIL OF DIVIDENDS AND DISTRIBUTIONS

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
ABN AMRO ADR							
06/05/98	22.78						
09/18/98	39.16						
ALCATEL ADS							
07/22/98	45.29						6.79
ALLIED DOMECQ PLC ADR SPON							
03/02/98	64.15						
08/03/98	41.47						
AMERITECH CORP							
02/02/98	18.00						
05/01/98	18.00						
08/01/98	18.00						
11/02/98	18.00						
ASTRA AB CL B ADS							
05/18/98	33.25						4.99
BANKAMERICA CORP							
03/11/98	10.35						
06/11/98	10.35						
09/11/98	10.35						
BANKAMERICA CORP NEW							
12/23/98	14.85						
CADBURY SCHW PLC ADR 10 ORD NW							
06/01/98	40.68						
11/30/98	19.06						
CITIGROUP INC							
11/25/98	12.06						
DAIMLERBENZ AKTIENGESELLSCHAFT							
06/02/98	16.49						
06/17/98	205.77						
DEERE & CO							
02/02/98	7.70						
05/01/98	7.70						
08/03/98	7.70						
11/02/98	7.70						
DEUTSCHE BANK AG SP ADR							
06/01/98	21.64						
DIAGEO PLC ADS							
02/11/98	231.00						

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

3460

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

Account Number 842 113467 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
DIAGEO PLC SPON ADR NEW							
05/01/98	35.78						
12/04/98	30.56						
DU PONT EI DE NEMOURS & CO							
03/14/98	13.55						
06/12/98	15.05						
09/12/98	15.05						
12/14/98	15.05						
ELF AQUITAINE SPON ADR							
07/09/98	58.52						
ENDESA SA ADS							
01/28/98	29.57						8.78
07/15/98	51.27						7.39
ENI SPA AMER DEP RCPT							12.82
07/15/98	36.83						
FIAT SPA PREF AM DEP SH							
08/12/98	42.04						
GEN ELEC PLC ADR							
04/15/98	22.94						
10/19/98	61.02						3.44
GENERAL ELECTRIC CO							9.15
01/26/98	9.00						
04/27/98	9.00						
07/27/98	9.00						
10/26/98	9.00						
HEINEKEN NV ADR							
05/29/98	4.95						
10/14/98	4.35						0.74
HOECHST AKTIENGESELLSHAFT							0.65
05/12/98	27.99						
HSBC HLDG PLC SPND ADR NEW							
05/06/98	31.12						
10/09/98	23.13						4.67
INTL BUSINESS MACHINES CORP							3.47
03/10/98	4.00						
06/10/98	4.40						
09/10/98	4.40						
12/10/98	4.40						
JOHNSON & JOHNSON							
12/08/98	10.00						

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

Account Number 642 113487 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
LOCKHEED MARTIN CORP							
03/31/98	8.00						
06/30/98	8.00						
09/30/98	8.00						
12/31/98	8.80						
MANNESMANN AG SP ADR							
06/12/98	28.96						
MATSUSHITA EL INDL ADR							
07/06/98	4.82						0.72
12/17/98	5.82						0.87
MOBIL CORP DELAWARE							
03/10/98	11.40						
06/10/98	11.40						
09/10/98	11.40						
12/10/98	11.40						
NATIONAL POWER PLC ADS							
01/16/98	37.95						5.69
08/28/98	75.48						11.32
NESTLE SPON ADR REP REG SHR							
06/22/98	59.15						8.87
NOVARTIS AG ADR							
05/19/98	30.94						4.64
PHILIP MORRIS CO INC							
01/12/98	28.80						
04/10/98	28.80						
07/10/98	28.80						
10/13/98	31.68						
RHONE-POULENC AMER DEPOSIT SHS							
06/15/98	39.72						
RICOH LTD ADR							
07/13/98	5.76						0.86
12/21/98	6.99						1.05
ROYAL DUTCH PETE NY 1.25 GU							
05/28/98	33.94						5.09
09/30/98	26.86						4.03
SEARS ROEBUCK & CO							
01/02/98	6.90						
04/01/98	6.90						
07/01/98	6.90						

3462

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL
STATEMENT FOR TAX YEAR 1998

NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

Account Number 842 113487 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
SEARS ROEBUCK & CO							
10/01/98	6.90						
SOCIETE GENERALE SP ADR							
06/10/98	44.73						
SONY CORP ADR 1974 NEW							
07/06/98	8.06						
12/08/98	6.68						1.21
TRAVELERS GROUP INC							1.00
02/27/98	8.38						
05/22/98	8.38						
08/28/98	8.38						
UNILEVER PLC AMER SHARES							
06/01/98	27.44						
12/29/98	22.22						4.12
VIVENDI SPONSORED ADR							3.33
06/29/98	52.90						
ZURICH INSURANCE CO REG ADR							
07/10/98	47.72						
Total	2,336.83	0.00	0.00	0.00	0.00	0.00	11549
ACTIVE ASSETS MONEY TRUST							
1998	375.84						
Total	375.84	0.00	0.00	0.00	0.00	0.00	0.00

3463

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-113487 NICK JOE RAHALL II 1-01-98 THRU 12-31-98

ZARD FRERES ASSET MANAGEMENT MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ORT-TERM GAIN/(LOSS) TRANSACTIONS								
0	DIAGEO PLC SPON ADR NEW	2-11-98	.00	.00	2-11-98	.00	7.38	7.38
0	HEINEKEN NV ADR	5-28-98	.00	.00	5-28-98	.00	8.87	8.87
25	DAIMLER-BENZ AG RTS 6/25/98	6-12-98	1.50	37.50	6-23-98	1.19	29.68	(7.82)
0	BANKAMERICA CORP NEW	10-06-98	.00	.00	10-06-98	.00	50.72	50.72
65	ABN AMRO ADR	7-14-98	25.63	1,665.63	10-29-98	18.44	1,198.40	(467.23)
0	DAIMLER-CHRYSLER AG ADR	11-17-98	.00	.00	11-17-98	.00	10.58	10.58
	TOTAL SHORT-TERM GAIN							77.55
	TOTAL SHORT-TERM LOSS							(475.05)
	TOTAL SHORT-TERM			1,703.13			1,305.63	(397.50)
NG-TERM GAIN/(LOSS) TRANSACTIONS								
25	MITSUI MARINE&FIRE INS LTD ADR	4-26-96	82.62	2,065.50	3-06-98	53.10	1,327.56	(737.94)
20	ZURICH INSURANCE CO REG ADR	3-18-97	61.38	1,227.50	6-19-98	126.26	2,525.27	1,297.77
15	ZURICH INSURANCE CO REG ADR	2-18-97	59.25	888.75	6-19-98	126.26	1,893.96	1,005.21
35	DEERE & CO	2-27-96	39.82	1,393.63	10-02-98	30.00	1,049.96	(343.67)
184	FIAT SPA PREF AM DEP SH	3-23-94	10.50	1,932.00	10-08-98	7.40	1,362.36	(569.64)
11	MATSUSHITA EL INDL ADR	7-22-94	174.50	1,919.50	10-19-98	151.34	1,664.70	(254.80)
85	ABN AMRO ADR	6-10-97	19.25	1,636.17	10-29-98	18.44	1,567.13	(69.04)
30	RICOH LTD ADR	1-11-96	55.45	1,663.50	10-29-98	41.75	1,252.45	(411.05)
	TOTAL ALL LONG-TERM GAIN							2,302.98
	TOTAL ALL LONG-TERM LOSS							(2,386.14)
	TOTAL ALL LONG-TERM			12,726.55			12,643.39	(83.16)
	TOTAL ACCOUNT GAIN/(LOSS)			14,429.68			13,949.02	(480.66)

D 1

ACCESS (05-97) Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC. Information contained herein is based on data obtained from recognized statistical services, issuer reports or communications, or other sources believed to be reliable. However, we cannot make any representation as to its accuracy or completeness. Any statements non-factual in nature constitute only current opinions, which are subject to change. 0116

MORGAN STANLEY DEAN WITTER

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

1998 Active Assets® Annual Summary Statement

Account Number FA
642 122048 022

AAA Customer Service
1-800-869-3326

THE HONORABLE NICK JOE RAHALL II 001154
ACCESS #J3-EAGLE ASSET MGMT
1301 DELAWARE AVENUE SW
APT #409N
WASHINGTON DC 20024 3913

Asset Summary (Year End)

	Value	Percent of assets
Money Market Funds	$1,583.93	1.8%
Stocks	81,944.66	98.2
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$83,528.59	100.0%
Cash	0.00	
Total Asset Value	**$83,528.59**	

Income Summary for 1998

Money Market Funds	$298.29
Stocks	348.98
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	**$647.27**
Taxable Income	$647.27
Tax Exempt Income	$0.00

Activity Summary for 1998

Total Asset Value as of January 01 1998 **$104,014.13**

Summary of Activity Categories for 1998

Income	$647.27
Deposits	0.00
Assets Sold	49,756.78
Other	-2,801.06
Checking	0.00
Visa	0.00
Withdrawals	0.00
Assets Bought	-48,202.89

Changes in Asset Value for 1998

Value of Priced Assets as of 1/1/98	$101,830.30
Assets Bought	48,202.89
Assets Received	0.00
Assets Sold	-49,756.78
Assets Delivered	0.00
Change in Value of Priced Assets	-18,331.75
Value of Priced Assets as of 12/31/98	81,944.66

Net Change in Asset Value **-19,885.64**

Total Asset Value as of December 31 1998 **$83,528.59**

Effective June 1, 1998, Morgan Stanley Dean Witter provides excess SIPC protection for securities of customers up to their total net equity balance and up to $1 million protection for uninvested cash. This excess SIPC protection is purchased from an independent third party insurer.

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

3465

MORGAN STANLEY DEAN WITTER

THE HONORABLE NICK JOE RAHALL II
ACCESS #3-EAGLE ASSET MGMT

Account Number 842 122048 FA 022

1998 Active Assets® Annual Summary Statement
YEAR END ASSETS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
ACTIVE ASSETS MONEY TRUST	1,583.93	1.8%	76
Total Cash and Money Market Funds	**$1,583.93**	**1.8%**	**$76**

Annualized 30 Day Yields	
Active Assets Money Trust	4.85%
Active Assets Govt. Sec. Tr	4.54%
Active Assets California Tax Free	2.40%
Active Assets Tax Free Trust	2.78%
Active Assets Insured Account	4.13%
Active Assets Deposit Account	4.13%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
150	ABR INFORMATION SVCS	19 5/8	2,943.75	3.5%	0	0.00%	ABRX	
75	ACTION PERFORM COS INC	35 3/8	2,653.12	3.2%	0	0.00%	ACTN	
200	ARTESYN TECH INC	14	2,800.00	3.4%	0	0.00%	ATSN	
75	BELL & HOWELL COMPANY NEW	37 13/16	2,835.93	3.4%	0	0.00%	BHW	
75	BORON LEPORE & ASSOCIATES	34 1/2	2,587.50	3.1%	0	0.00%	BLPG	
400	CASH AMER INTERNATIONAL INC	15 3/16	6,075.00	7.3%	20	.32%	PHN	Next Dividend Payable 02/99
100	CERNER CORP	26 3/4	2,675.00	3.2%	0	0.00%	CERN	
300	CLAIRES STORES	20 1/2	6,150.00	7.4%	48	.78%	CLE	Next Dividend Payable 02/99
75	COMMERCIAL FEDERAL CORP	23 3/16	1,739.06	2.1%	19	1.12%	CFB	Next Dividend Payable 01/14/99
100	GARTNER GROUP CL A	21 1/4	2,126.00	2.5%	0	0.00%	IT	
500	GENESCO INC	5 11/16	2,843.75	3.4%	0	0.00%	GCO	
300	HUGHES SUPPLY INC	29 1/4	8,775.00	10.5%	102	1.16%	HUG	Next Dividend Payable 02/99
200	INTERIM SVCS INC	23 3/8	4,675.00	5.6%	0	0.00%	IS	
166	LEGG MASON INC	31 9/16	5,239.37	6.3%	43	.82%	LM	Next Dividend Payable 01/11/99
100	LNR PROPERTY CORP	19 15/16	1,993.75	2.4%	5	.25%	LNR	Next Dividend Payable 02/99
150	MAIL WELL INC	11 7/16	1,715.62	2.1%	0	0.00%	MWL	
200	MERISTAR HOSPITALITY	18 9/16	3,712.50	4.4%	404	10.88%	MHX	Next Dividend Payable 01/29/99
100	MICRO WAREHOUSE INC	33 13/16	3,381.25	4.0%	0	0.00%	MWHS	
107	NOVA CORP GEORGIA	34 11/16	3,711.56	4.4%	0	0.00%	NIS	
100	PERFORMANCE FOOD GROUP COMPANY	28 1/8	2,812.50	3.4%	0	0.00%	PFGC	
500	PHARMERICA INC	6	3,000.00	3.6%	0	0.00%	DOSE	
100	PROTEIN DESIGN LABS	23 1/8	2,312.50	2.8%	0	0.00%	PDLI	
225	PSC INC	9 1/2	2,137.50	2.6%	0	0.00%	PSCX	
100	SYKES ENTERPRISES INC	30 1/2	3,050.00	3.6%	0	0.00%	SYKE	
Total Stocks			**$81,944.66**	**98.2%**	**$641**	**.78%**		

Asset Summary	Value	Est Yrly Income
Total Asset Value	**$3,528.59**	**$718**

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

1099-DIV
STATEMENT FOR TAX YEAR 1998

OMB. NO. 1545-0110

Account Number FA 042 122048 022

Social Security Number 230-78-8645

FA Phone Number (202) 862-9000

THE HONORABLE NICK JOE RAHALL II 001519
ACCESS #3-EAGLE ASSET MGMT
1301 DELAWARE AVENUE SW
APT #409N
WASHINGTON DC 20024 3913

DIVIDENDS AND DISTRIBUTIONS

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1. Ordinary Dividends	2a. Total Capital Gain Distributions	2b. 28% Gain Distributions	2c. Section 1250 Gain Distributions	3. Non-Taxable Distributions	4. Federal Income Tax Withheld	6. Foreign Tax Paid
DEAN WITTER REYNOLDS INC. PAYER'S FED. ID. # 94-1671384	348.98	0.00	0.00	0.00	0.00	0.00	0.00
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075005 PLAN NUMBER 260-080148780	298.29	0.00	0.00	0.00	0.00	0.00	0.00

3467

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and Services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL

STATEMENT FOR TAX YEAR 1998

THE HONORABLE NICK JOE RAHALL II
ACCESS #3-EAGLE ASSET MGMT

Account Number FA
642 122048 022

DETAIL OF DIVIDENDS AND DISTRIBUTIONS

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
DEAN WITTER REYNOLDS INC.							
CASH AMER INTERNATIONAL INC							
02/17/98	5.00						
05/19/98	5.00						
08/18/98	5.00						
11/17/98	5.00						
CLAIRES STORES							
02/18/98	9.00						
05/20/98	12.00						
08/26/98	12.00						
11/27/98	12.00						
COMMERCIAL FEDERAL CORP							
10/14/98	4.13						
HUGHES SUPPLY INC							
02/20/98	24.00						
05/15/98	24.00						
08/21/98	24.00						
11/20/98	25.50						
IMCO RECYCLING							
03/31/98	20.00						
06/30/98	20.00						
09/30/98	20.00						
LEGG MASON INC							
01/12/98	14.63						
04/06/98	9.13						
07/13/98	9.13						
10/26/98	10.79						
LENNAR CORPORATION							
02/16/98	2.50						
05/15/98	2.50						
08/17/98	2.50						
LNR PROPERTY CORP							
02/16/98	2.50						
05/15/98	2.50						
08/17/98	2.50						
MERISTAR HOSPITALITY							
10/30/98	63.67						
Total	348.98	0.00	0.00	0.00	0.00	0.00	0.00

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

1099-DIV DETAIL

STATEMENT FOR TAX YEAR 1998

THE HONORABLE NICK JOE RAHALL II
ACCESS #/3-EAGLE ASSET MGMT

Account Number 642 122048 FA 022

Payer/Pay Date	Ordinary Dividends	Long Term Capital Gain Distributions	28% Gain Distributions	Section 1250 Gain Distributions	Non-Taxable Distributions	Federal Income Tax Withheld	Foreign Tax Paid
ACTIVE ASSETS MONEY TRUST							
1998	298.29						
Total	298.29	0.00	0.00	0.00	0.00	0.00	0.00

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

EAGLE
Asset Management Inc.
(727) 573-2453 • (800) 237-3101

STATEMENT

NICK JOE RAHALL, II

70219000/642-122048-022
SmallCap Equity

PORTFOLIO VALUATION AND STATEMENTS

AS OF 12/31/98

CURRENT PERIOD STARTED ON 01/01/98
YEAR-TO-DATE STARTED ON 01/01/98

SCHEDULE OF REALIZED CAPITAL GAINS AND LOSSES
01/01/98 TO 12/31/98

QTY OR PRINCIPAL	DESCRIPTION	SYMBOL	DATE PURCHASED	DATE SOLD	TOTAL COST	TOTAL PROCEEDS	REALIZED GAIN/(LOSS) LONG TERM	REALIZED GAIN/(LOSS) SHORT TERM
50	LEGG MASON INCORPORATED	LM	05/16/96	01/13/98	1,251.56	2,493.66	1,242.10	
200	HOUGHTON MIFFLIN COMPANY	HTN	04/25/96	01/27/98	4,475.00	5,899.80	1,424.80	
400	SYMMETRICOM INCORPORATED	SYMM	05/27/97	02/17/98	6,500.00	3,649.87		(2,850.13)
400	BANK PLUS CORPORATION	BPLS	11/29/95	03/03/98	3,300.00	5,799.80	2,499.80	
25	BANK PLUS CORPORATION	BPLS	11/30/95	03/03/98	206.25	362.49	156.24	
200	COMPUTER PRODUCTS INCORPORATED	CPRD	02/13/96	05/07/98	0.00	0.00		
0	ENVIROSOURCE INCORPORATED	ENSO	11/20/95	06/25/98	2.73	2.17	(0.56)	
1300								
200	COHERENT INCORPORATED	COHR	01/29/97	07/27/98	4,575.00	2,400.40	(2,174.60)	
200	CAPSTAR HOTEL COMPANY	CHO	09/13/96	08/03/98	0.00	0.00		
257	ENVIROSOURCE INCORPORATED	ENSO	11/20/95	08/10/98	5,397.27	4,400.98	(996.29)	
200	MERISTAR HOTEL AND RESORTS	MHH	08/03/98	09/01/98	656.00	537.48		(118.52)
150	PMT SERVICES INC	PMTS	04/02/98	09/28/98	0.00	0.00		
0	NOVA CORPORATION	NIS	04/02/98	09/30/98	6.58	7.84		1.26
.2500	GEORGIA							
100	ALTERNATIVE LIVING SERVICES	ALI	08/12/98	10/13/98	2,443.75	1,887.43		(556.32)
200	IMCO RECYCLING INCORPORATED	IMR	11/29/95	10/29/98	4,425.00	2,646.79	(1,778.21)	
200	LNR PROPERTY CORPORATION	LNR	01/12/96	10/29/98	3,034.75	3,499.88	465.13	
200	LENNAR CORPORATION	LEN	01/12/96	10/29/98	1,940.25	3,974.86	2,034.61	
200	IMCO RECYCLING INCORPORATED	IMR	05/29/97	10/29/98	3,325.00	2,646.79	(678.21)	
200	SYKES ENTERPRISES INCORPORATED	SYKE	08/29/97	10/29/98	5,525.00	3,824.87	(1,700.13)	
400	PHARMERICA INCORPORATED	DOSE	02/02/98	10/29/98	4,600.00	1,437.45		(3,162.55)
150	SERVICO INCORPORATED	SER	03/24/98	10/29/98	3,000.00	721.85		(2,278.15)
100	COMPX INTERNATIONAL INC CL A	CIX	03/25/98	10/29/98	2,362.50	1,837.43		(525.07)
75	AVID TECHNOLOGY INC	AVID	08/12/98	10/29/98	2,559.38	1,724.94		(834.44)
				TOTAL			494.68	(10,323.92)
	NET LONG TERM AND SHORT TERM REALIZED GAIN(LOSS)							(9,829.24)

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 1998

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Account Number 642 115917 FA 022

Date of Birth May 20, 1949

NICK JOE RAHALL II
1301 DELAWARE AVENUE SW #409N
WASHINGTON DC 20024 3913

IRA Standard
Dated 03/22/94

Asset Summary

	Value	Percent of assets
Money Market Funds	$13,521.04	34.2%
Stocks	21,825.00	55.3
Municipal Bonds	0.00	0.0
Corporate Fixed Income	4,125.00	10.5
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$39,471.04	100.0%
Cash	0.00	
Total Asset Value	$39,471.04	

Income Summary

	This Month	Year To Date
Money Market Funds	$54.42	$323.90
Stocks	4.00	670.50
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	116.53
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$58.42	$1,110.93

Activity Summary

Total Asset Value as of November 30 1998		**$39,125.12**
Cash/Money Market Activity for December		
Closing Balance as of 11/30	$13,462.62	
Income	58.42	
Deposits	0.00	
Assets Sold	0.00	
Other	0.00	
Withdrawals	0.00	
Assets Bought	0.00	
Closing Balance as of 12/31	13,521.04	
Net Change Cash/Money Market Activity		**58.42**
Changes in Asset Value for December		
Value of Priced Assets as of 11/30	$25,662.50	
Assets Bought	0.00	
Assets Received	0.00	
Assets Sold	0.00	
Assets Delivered	0.00	
Change in Value of Priced Assets	287.50	
Value of Priced Assets as of 12/31	25,950.00	
Net Change in Asset Value		**287.50**
Total Asset Value as of December 31 1998		**$39,471.04**

3471

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

NICK JOE RAHALL II
1301 DELAWARE AVENUE SW #409N

Account Number 642 115917 FA 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
MSDW LIQUID ASSET FUND	13,521.04	34.2%	643
Total Cash and Money Market Funds	**$13,521.04**	**34.2%**	**$643**

Annualized 30 Day Yields

MSDW Liquid Asset Fund..... 4.76%
MSDW US Gvt Mymkt..... 4.30%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
100	ARM FINCL GP INC CLASS A	22 3/16	2,218.75	5.6%	16	.72%	ARM	Next Dividend Payable 03/99
450	HOUSEHOLD INTL INC	39 5/8	17,831.25	45.2%	270	1.51%	HI	Next Dividend Payable 01/15/99
100	LASALLE HOTEL PROPERTIES	10 3/8	1,037.50	2.6%	150	14.45%	LHO	Next Dividend Payable 01/15/99
100	UNICAPITAL CORPORATION	7 3/8	737.50	1.9%	0	0.00%	UCP	
Total Stocks			**$21,825.00**	**55.3%**	**$436**	**1.99%**		

Corporate Fixed Income		Current Price	Value	Pct of Assets	Est Yrly Income	Current Yield	Accruing Interest	Additional Information
Fixed-Rate Capital Securities								
100	MORGAN STANLEY DEAN WITTER AND CO RESET PERQS	41 1/4	4,125.00	10.5%	237	5.74%	0	
Total Corporate Fixed Income			**$4,125.00**	**10.5%**	**$237**	**5.74%**	**0**	

Asset Summary	Value	Est Yrly Income
Total Asset Value	**39,471.04**	**$1,316**

3472

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

BARINGTON CAPITAL
888 SEVENTH AVENUE
NEW YORK NY 10019

ANTHONY PALMER
INVESTMENT REP #814-519
888 SEVENTH AVENUE
NEW YORK NY 10019
(212) 974-5700
(800) 695-2584

NICK JOE RAHALL
1301 DELAWARE AVE SW APT 409N
WASHINGTON DC 20024-3913

Your Account Statement

Portfolio overview

Previous net equity	$7,218.72
Cash into account	0.00
Dividends and interest	12.70
Net securities into account	0.00
Cash withdrawals	0.00
Net change in market value	2,147.55
Portfolio net equity as of December 31	**$9,378.97**

	This period
Adjusted previous net equity	$7,218.72
Change in adjusted net equity	$2,160.25
Percentage change in adjusted net equity	29.93%
Estimated annual yield on account	4.39%
Estimated annual income	$290.88

ACCOUNT CARRIED BY BT ALEX. BROWN INCORPORATED

News and information

As the year draws to a close, we would like to thank you for your business and wish you and your family good health and prosperity in the New Year.

3473

BARINGTON
BARINGTON CAPITAL GROUP

Holdings

Prices are provided by an independent pricing service.
For current market price quotations, call your Investment Representative.

Cash and equivalents

Market value of all cash and equivalents as of prior period	$502.72
Market value of all cash and equivalents as of December 31	**$9,378.97**

	Amount	Estimated annual income	Current annual yield
Net cash balance	$2,752.81		
**CTS PRIME CASH SERIES	6,626.16	290.88	4.39%

Equities

Market value of all equities as of December 31	**$0.00**

Common stock

Security	Symbol	Quantity	Current share price	Current market value	Estimated annual income	Estimated dividend yield
A WTS CONVERSION TECHNOLOGIES INTL INC EXP 05/15/2001	CTIXW	1,000	$0	$0.00		
B WTS CONVERSION TECHNOLOGIES INTL INC EXP 05/15/2001	CTIXZ	1,000	0	0.00		
Total common stock				**$0.00**		

Payer's Name, Street Address, City, State, Zip Code and Phone Number

PRIME CASH
SERIES
PO BOX 8600
BOSTON MA 02266
1 (800) 245-5051

RECIPIENT'S Name, Street Address (including apt. no.), City, State, and Zip Code

NICK JOE RAHALL
1301 DELAWARE AVE SW APT 409N
WASHINGTON DC 20024-3913

Copy B For Recipient

Department of the Treasury - Internal Revenue Service
(Keep for your Records)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

RECIPIENT'S Identification Number	236-78-8645
RECIPIENT'S Account Number	372-00081454462

PAYER'S Name	PAYER'S Federal Identification Number
PRIME CASH SERIES	25-1613307

☐ Corrected (if checked) (OMB No. 1545-0110)

1998 • FORM 1099-DIV • DIVIDENDS AND DISTRIBUTIONS

(1) Ordinary Dividends	(2a) Total Capital Gain Distributions	(2b) 28% Rate Gain	(2c) Unrecap. Sec. 1250 Gain	(3) Nontaxable Distributions	(4) Federal Income Tax Withheld	(6) Foreign Tax Paid
33.01	0.00	0.00	0.00	0.00	2.88	0.00

IRS Instructions for Recipient of Form 1099-DIV

***Caution:** If an amount appears in box 2a, you must report it on Schedule D (Form 1040). You may not file Form 1040A.*

Box 1.- Ordinary dividends, which include any net short-term capital gains from a mutual fund, are fully taxable. Include this amount on the "Dividends" line of Form 1040 or 1040A. Also report it on Schedule B (Form 1040) or Schedule 1 (Form 1040A) as appropriate.

Box 2a.- Total capital gain distributions (long-term) from a regulated investment company or real estate investment trust. Box 2a includes amounts shown in boxes 2b and 2c. Report the amount in box 2a in Part II, Schedule D (Form 1040). If an amount appears in box 2b or 2c, you must report it on the proper line of Schedule D (Form 1040).

Box 2b.- 28% rate gain from sales or exchanges of assets (including installment payments received) held over 1 year but not over 18 months and all collectibles gains and losses. Report this amount on Schedule D (Form 1040), Part II.

Box 2c.- Unrecaptured section 1250 gain from certain depreciable real property. Report this amount on Schedule D (Form 1040), Part IV.

Box 2d.- Section 1202 Gain. This box is not included because it is not applicable.

Box 3.- This part of the distribution is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), you must report future nontaxable distributions as capital gains even though this form shows them as nontaxable. For more information, see Pub. 550, Investment Income and Expenses.

Box 4.- Shows backup withholding. For example, persons not furnishing their taxpayer identification number to the payer become subject to backup withholding at a 31% rate on certain payments. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.- Investment Expenses. This box is not included because it is not applicable.

Box 6.- You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See your Form 1040 instructions.

Boxes 8 and 9.- Cash and Noncash Liquidation Distributions. These boxes are not included because they are not applicable.

Nominees.- If your Federal identification number is shown on this form and the form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 1998 Instructions for Forms 1099, 1098, 5498, and W-2G.

41413

MORGAN STANLEY DEAN WITTER

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

1998 Active Assets® Annual Summary Statement

Account Number FA
642 115916 022

AAA Customer Service
1-800-868-3326

NICK JOE RAHALL II 000861
1301 DELAWARE AVENUE SW APT 409N
WASHINGTON DC 20024 3913

Asset Summary (Year End)

	Value	Percent of assets
Money Market Funds	$1,046.37	0.9%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	112,903.70	99.1
Asset Value	$113,950.07	100.0%
Cash	0.00	
Total Asset Value	**$113,950.07**	

Income Summary for 1998

Money Market Funds	$29.32
Stocks	0.00
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	**$29.32**
Taxable Income	$0.00
Tax Exempt Income	$29.32

Activity Summary for 1998

Total Asset Value as of January 01 1998	**$104,145.11**
Summary of Activity Categories for 1998	
Income	$29.32
Deposits	0.00
Assets Sold	0.00
Other	-80.00
Checking	0.00
Visa	0.00
Withdrawals	0.00
Assets Bought	0.00

Changes in Asset Value for 1998	
Value of Priced Assets as of 1/1/98	$103,048.06
Assets Bought	0.00
Assets Received	0.00
Assets Sold	0.00
Assets Delivered	0.00
Change in Value of Priced Assets	9,855.64
Value of Priced Assets as of 12/31/98	112,903.70
Net Change in Asset Value	**9,855.64**
Total Asset Value as of December 31 1998	**$113,950.07**

Effective June 1, 1998, Morgan Stanley Dean Witter provides excess SIPC protection for securities of customers up to their total net equity balance and up to $1 million protection for uninvested cash. This excess SIPC protection is purchased from an independent third party insurer.

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
1301 DELAWARE AVENUE SW APT 409N

1998 Active Assets® Annual Summary Statement

Account Number 842 115910 FA 022

YEAR END ASSETS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
ACTIVE ASSETS TAX FREE TRUST	1,046.37	0.9%	29
Total Cash and Money Market Funds	$1,046.37	0.9%	$29

Annualized 30 Day Yields

Active Assets Money Trust	4.85%
Active Assets Govt. Sec. Tr	4.54%
Active Assets California Tax Free	2.40%
Active Assets Tax Free Trust	2.78%
Active Assets Insured Account	4.13%
Active Assets Deposit Account	4.13%

Other		Est. NAV	Valuation Est.	Pct of Assets	Fund Number	Date	Additional Information
Managed Futures							
43.609	PRT STRATEG FUND	(EST.VAL) 2,589.00	112,903.70	99.1%	0	12/30	
Total Other			$112,903.70	99.1%			

Asset Summary	Value	Est Yrly Income
Total Asset Value	113,950.07	$29

1998 ACTIVITY DETAILS

Income	Activity	Description	Amount	Income Category
	Tax Exempt Dividend	ACTIVE ASSETS TAX FREE TRUST	29.32	Money Market Funds
		Total Income	$29.32	

Other	Date	Activity	Description	Amount	Additional Information
	04-02	Charge	AAA ANNUAL SERVICE FEE	-80.00	
			Total	-$80.00	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc. member SIPC

3477

MORGAN STANLEY DEAN WITTER

1099-INT 1099-OID

STATEMENT FOR TAX YEAR 1998

OMB NO.
1545-0112
1545-0117

PAYER
DEAN WITTER REYNOLDS INC.
5 WORLD TRADE CENTER
NEW YORK, NY 10048
TAX ID NUMBER 94-1671384

NICK JOE RAHALL II 003390
1301 DELAWARE AVENUE SW APT 409N
WASHINGTON DC 20024 3913

Account Number FA
642 115918 022

Social Security Number
236-78-8645

FA Phone Number
(202) 862-8000

INTEREST INCOME, ORIGINAL ISSUE DISCOUNT AND INCOME NOT REPORTED TO IRS

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Interest Income (See 1099-INT Detail)

From Other Than U. S. Treasury Obligations	
Interest Credited and Allocated to Your Account	0.00
Accrued Interest Sold (See 1099-B, Gross Proceeds)	0.00
From U. S. Treasury Obligations	
U. S. Treasury Bill Interest	0.00
U. S. Treasury Coupon	0.00
Accrued Interest Sold (See 1099-B, Gross Proceeds)	0.00
Total Interest Income	0.00
Early Withdrawal Penalty	0.00
Federal Income Tax Withheld	0.00
Foreign Tax Paid	0.00

Original Issue Discount - OID (See 1099-OID Detail)

Total Original Issue Discount	0.00
Other Periodic Interest	0.00
Amount of Forfeiture	0.00
Federal Income Tax Withheld	0.00

Income Not Reported To IRS*

Municipal Interest	0.00
Municipal Original Issue Discount	0.00
Tax Free Income	29.32
Municipal Accrued Interest (See Gross Proceeds Detail)	0.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

3478

WHEAT FIRST UNION

Client Statement

Duplicate For The Account Of:
NICK JOE RAHALL II AS CUST FOR
NICK JOE RAHALL III UNDER THE
WV GIFTS TO MINORS ACT

Capital Resource Account

Mail To:
JAMES T SHEPHERD CPA
19-F MALLARD CT
BECKLEY WV 25801-3615

Account Number
BK08 6540-0350

December 1, 1998 - December 31, 1998
Page 1 of 3

Investment Objective
TRADING, SPECULATION
OR HIGH INCOME

Your Financial Consultant
EDWARD G RAHALL

122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

304-252-6221

Tax I.D. No.
233-17-4890

NOW AVAILABLE--THE WHEAT FIRST UNION CAP ACCOUNT. USE IT FOR YOUR INVESTMENT NEEDS, BANKING NEEDS, OR BOTH. READ INVESTOR'S SPECTRUM TO LEARN MORE--THEN TALK WITH YOUR FINANCIAL CONSULTANT.

WHEAT FIRST SECURITIES, INC. IS A NON-BANK SUBSIDIARY OF FIRST UNION CORPORATION. INVESTMENTS OBTAINED THROUGH WHEAT ARE NOT FDIC INSURED, UNLESS OTHERWISE STATED, ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF WHEAT OR ANY BANK, ARE NOT GUARANTEED BY WHEAT OR ANY BANK, AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

Portfolio Summary

COMPOSITION	ENDING BALANCE MARKET VALUE	PERCENT OF PORTFOLIO
CASH AND MONEY MARKET	99,197.28	75.8
MUTUAL FUNDS	31,722.68	24.2
TOTAL ESTIMATED PORTFOLIO VALUE	130,919.96	100.0

Income Summary

DESCRIPTION	THIS PERIOD	YEAR TO DATE
MONEY MARKET FUND DIVIDENDS	524.23	5,626.56
CASH DIVIDENDS	468.42	474.42
TOTAL INCOME	992.65	6,100.98

Current Portfolio

TYPE/DESCRIPTION	SYMBOL	QUANTITY	CURRENT PRICE	ESTIMATED VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Cash and Money Market						
MONEY MARKET FUND (CRM)		99,197.28	1.0000	99,197.28	4,275	4.3
4.31% AVERAGE YIELD 11/17/98-12/31/98						
TOTAL CASH AND MONEY MARKET				99,197.28	4,275	N/A

---CONTINUED ON NEXT PAGE---

WHEN FIRST UNION

Client Statement

Duplicate For The Account Of:
NICK JOE RAHALL II AS CUST FOR
NICK JOE RAHALL III UNDER THE
WV GIFTS TO MINORS ACT

Account Number
BK08 6540-0350

December 1, 1998 - December 31, 1998

Current Portfolio continued

TYPE/DESCRIPTION	SYMBOL	QUANTITY	CURRENT PRICE	ESTIMATED VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Mutual Funds						
COLONIAL TR III SELECT VALUE CL B	COGBX	489.4190	21.2100	10,380.57	14	0.1
MFS SER TR I NEW DISCOVERY FD-CL B	MNDBX	748.6350	14.0600	10,525.80	0	0.0
VK PACE FUND CL B	ACPBX	763.3250	14.1700	10,816.31	41	0.3
TOTAL MUTUAL FUNDS				31,722.68	56	0.2

Estimated Annual Income and Current Yield refer to dividends and interest income only, and typically do not reflect total return.

TOTAL ESTIMATED PORTFOLIO VALUE	130,919.96	4,332	3.3

Activity Summary

BEGINNING CASH AND MONEY MARKET			98,673.05
	ADDITIONS	SUBTRACTIONS	NET AMOUNT
DIVIDENDS AND INTEREST	992.65	.00	992.65
SECURITY PURCHASES	.00	1,296.32	-1,296.32
OTHER ACTIVITY	827.90	.00	827.90
TOTALS	1,820.55	1,296.32	524.23
ENDING CASH AND MONEY MARKET			99,197.28

Account Activity

DATE	TRANSACTION	QUANTITY	DESCRIPTION	TRANSACTION PRICE	AMOUNT
Dividends and Interest					
12 14	SHRT TRM GAIN		MFS SER TR I NEW DISCOVERY FD-CL B 121098 748.63500 AS OF 12/10/98	-	78.83
12 18	SHRT TRM GAIN		COLONIAL TR III SELECT VALUE CL B 121898 489.41900	-	120.04
12 18	SHRT TRM GAIN		VK PACE FUND CL B 121198 763.32500 AS OF 12/11/98	-	269.55
12 31	DIVIDEND		CRT MONEY MARKET PORTFOLIO 123198 98,673		524.23
TOTAL DIVIDENDS AND INTEREST					992.65

---CONTINUED ON NEXT PAGE---

6-102-002 86LD 92/14

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

REP ACCOUNT	PAGE
BK08 6540-0350	1 OF 3
TAXPAYER ID	233-17-4890

FOR QUESTIONS CALL:
(304)252-6221
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

NICK JOE RAHALL II AS CUST FOR
NICK JOE RAHALL III UNDER THE
WV GIFTS TO MINORS ACT
110 1/2 MAIN ST
BECKLEY WV 25801-4611

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

ISSUE DATE:01/21/99

DIVIDENDS AND DISTRIBUTIONS

DESCRIPTION			ACTIVITY	AMOUNT	IRS BOX	COUNTRY
CRT MONEY MARKET	*	(1)	DIVIDEND	$5,872.54	1	
COLONIAL SELECT VALUE B			SHORT TERM CAP	$120.04	1	
FIRST DATA CORP			DIVIDEND	$6.00	1	
MFS I NEW DISCOVERY CL B			SHORT TERM CAP	$78.83	1	
VK PACE FUND CL B	*		SHORT TERM CAP	$269.55	1	
TOTAL DIVIDENDS				$6,346.96		
COLONIAL SELECT VALUE B			20% RATE GAIN	$220.23	2A	
MFS I NEW DISCOVERY CL B			20% RATE GAIN	$1.66	2A	
VK PACE FUND CL B	*		20% RATE GAIN	$606.01	2A	
TOTAL CAPITAL GAINS				$827.90		

IRS BOX	1099-DIV -- TOTALS FOR TAX YEAR 1998 IRS FORM 1099-DIV, OMB NO. 1545-0110	
1.	ORDINARY DIVIDENDS	$6,346.96
2A.	TOTAL CAPITAL GAINS DISTRIBUTIONS	$827.90
2B.	28 % RATE GAIN	$0.00
2C.	UNRECAP 1250 GAIN	$0.00
2D.	SECTION 1202 GAIN	$0.00
3.	NONTAXABLE DISTRIBUTIONS	$0.00
4.	FEDERAL INCOME TAX WITHHELD	$0.00
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	LIQUIDATION DISTRIBUTIONS	$0.00

(1) INCLUDES A SERVICE FEE OF $245.98 THAT MAY BE DEDUCTIBLE

13 - p.3

Department of the Treasury - Internal Revenue Service Copy B for Recipient WF-PG3-002 3069913

SALOMON SMITH BARNEY

A member of citigroup

Ref: 00006380 00030523

Select Client Statement

December 1 - December 31, 1998

685L980250072500000638OIP 298365AE01 IPFOL014A
JAMES T SHEPHERD
FOR NICK JOE RAHALL III
LYNCH, SHEPHERD AND CO
19F MALLARD COURT
BECKLEY WV 25801-3615

SALOMON SMITH BARNEY INC.
Your Financial Consultant

PETER T. JOSEPH
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
717-421-3100

Select Service Center:1-800-423-7248
Branch: 800-445-2291

Account number 685-00725-10 025

Copies of this statement have also been sent to:

JAMES T SHEPHERD
ALAN BLAKE
NICK JOE RAHALL II

Copy for the account of N JOE RAHALL II ACF NICK JOE RAHALL III UGTMA/WVA
2269 RAYBURN BUILDING WASHINGTON DC

Account value

	Last period	This period	%
Cash balance	$ .04	$ 90.05	.02
Money funds	68,690.54	69,002.05	12.54
Accrued money fund dividends	133.76	0.00	
Common stocks & options	440,774.20	481,273.76	87.45
Net value	**$ 509,598.54**	**$ 550,371.86**	**100**

Earnings summary

	This period	This year Taxable	This year Non-taxable
Interest	$ .05	$ 4.04	$ 0.00
Dividends	475.00	3,057.00	0.00
Money funds earnings	433.85	3,284.81	0.00
Total	**$ 908.90**	**$ 6,945.85**	**$ 0.00**

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 23,885.04 LT $ 0.00 ST
Unrealized gain or (loss)	310,045.89	*Not applicable*

SALOMON SMITH BARNEY
A member of citigroup

Select Client Statement
December 1 - December 31, 1998

Ref: 00006380 00030524

N JOE RAHALL II ACF

Account number 685-00725-10 025

Cash and money funds balance

	This period	This year
Opening balance	**$ 68,600.58**	
Securities bought and other subtractions	0.00	
Securities sold and other additions	0.00	
Withdrawals	501.38	-1,949.24
Interest credited	.05	
Dividends credited	475.00	
Money funds earnings reinvested	433.85	
Closing balance	**$ 68,088.10**	

Portfolio summary

	This period	Year-to-date
Opening value of securities	508,598.50	411,428.54
+ Opening cash balance	.04	230.06
= Opening portfolio balance	508,598.54	411,658.60
Securities deposited/withdrawn net	0.00	0.00
Capital deposited/withdrawn net	-501.38	-1,949.24
Adjusted opening value	508,097.16	409,710.36
Closing value of securities	550,275.80	550,275.80
+ Closing cash balance	96.05	96.05
= Closing portfolio value	550,371.85	550,371.85

Note: Securities deposited/withdrawn net = The value of securities on the day they were debited from or credited to the account as reflected in the "Other security activity" section.
Capital deposited/withdrawn net = Cash withdrawals and deposits, Gold Card payments, FMA Money Card activity, checks written, and Money funds/Insured Deposit Account℠ transfers.

Portfolio details

The values of your holdings are as of 12/31/98. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated income (annualized)
69,002.05	SB MONEY FUNDS CASH PORT CL A	$ 69,002.05		4.68%
				$ 3,229.29
Total money funds		$ 69,002.05	$ 0.00	4.67
				$ 3,229.29

Common stocks & options

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated income (annualized)
400	AMERICAN EXPRESS CO SYMBOL: AXP/RATING: B+	03/13/95	$ 13,403.33	$ 33.018	$ 102.50	$ 41,000.00	$ 27,596.67	LT	.878% $ 360.00
600	CHASE MANHATTAN CORP NEW SYMBOL: CMB/RATING: B	09/24/98	29,221.16	48.125	71.00	42,600.00	13,378.84	ST	2.028 864.00
400	COCA-COLA CO SYMBOL: KO/RATING: A+	12/28/93	9,041.28	22.257	67.00	26,800.00	17,758.72	LT	.895 240.00
200	EASTMAN KODAK CO SYMBOL: EK/RATING: B	08/26/93	9,934.14	49.07	72.00	14,400.00	4,465.86	LT	2.444 352.00

3483

SALOMON SMITH BARNEY

A member of citigroup

Select Client Statement

December 1 - December 31, 1998

Ref: 00006380 00030525

N JOE RAHALL II ACF — *Account number 685-00725-10 025*

Common stocks & options *continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated income (annualized)
800	FEDERAL HOME LN MTG CORP SYMBOL: FRE/RATING: A+	11/23/94	$ 9,771.24	$ 12.031	$ 64.438	$ 51,550.40	$ 41,779.16	LT	.744% $ 384.00
900	HOME DEPOT INC SYMBOL: HD/RATING: A+	05/20/93	14,184.28	15.541	61.188	55,069.20	40,884.94	LT	.196 108.00
900	HOUSEHOLD INTERNATIONAL INC SYMBOL: HI/RATING: B+	02/03/95	12,515.17	13.705	39.625	35,662.50	23,147.33	LT	1.514 540.00
800	LEUCADIA NATIONAL CORP SYMBOL: LUK/RATING: B	06/01/95	14,373.32	23.625	31.50	18,900.00	4,526.68	LT	.793 150.00
497	MCI WORLDCOM INC SYMBOL: WCOM/RATING: B	01/25/79	287.00	5/7	71.75	35,659.75	35,372.75//	LT	
400	MCDONALDS CORP SYMBOL: MCD/RATING: A+	08/22/94	10,720.48	26.375	76.813	30,725.20	20,004.72	LT	.468 144.00
400	PFIZER INC SYMBOL: PFE/RATING: A	06/18/91	6,918.71	14.609	125.00	50,000.00	44,081.29	LT	.704 352.00
600	TIME WARNER HOLDINGS CO SYMBOL: TWX/RATING: B-	01/18/93	9,873.39	16.197	62.063	37,237.80	27,364.41	LT	.29 108.00
200	VIACOM INC CL B SYMBOL: VIAB/RATING: B-	08/30/95	9,822.26	46.375	74.00	14,800.00	4,977.75	LT	
300	WM WRIGLEY JR CO SYMBOL: WWY/RATING: A+	05/22/96	18,162.13	53.154	89.563	26,868.90	10,706.77	LT	.893 240.00
Total common stocks and options			$ 165,227.66			$ 491,273.75	$ 13,378.84	ST	.79
							$ 302,667.05	LT	$ 3,842.00
Total portfolio value			$ 234,229.91			$ 650,275.80	$ 13,378.84	ST	1.28
							$ 302,667.05	LT	$ 7,071.28

#Based on information supplied by your Financial Consultant.

SALOMON SMITH BARNEY

A member of citigroup

Ref: 00008323 00061328

Select Client Statement
1998 Year End Summary

N JOE RAHALL II ACF
Account Number 685-00725-10 025 SS # 233-17-4890

Details of 1099 Reported Dividends and Distributions 1998, Boxes 1 - 3

The following details show how we derived your total dividends reported on form 1099-DIV. Amount displayed for gross dividends include dividend reinvestments, money fund earnings, and accrued dividends received

Reference number	Description	Ordinary dividends*	Short term capital gain* (Box 1)	Total capital gains distributions (Box 2a)	28% rate gain (Box 2b)	Unrecaptured Sec. 1250 gain (Box 2c)	Section 1202 gain (Box 2d)	Nontaxable distributions (Box 3)
150000100	AMERICAN EXPRESS CO	$ 360.00						
150000200	CHASE MANHATTAN CORP NEW	216.00						
150000300	CITICORP	517.50						
150000400	COCA-COLA CO	240.00						
150000500	EASTMAN KODAK CO	352.00						
150000600	FEDERAL HOME LN MTG CORP	384.00						
150000700	HOME DEPOT INC	103.50						
150000800	HOUSEHOLD INTERNATIONAL INC	531.00						
150000900	MCI COMMUNICATIONS CORP	10.00						
150001000	MCDONALDS CORP	141.00						
150001100	PFIZER INC	304.00						
150001200	SB MONEY FUNDS CASH PORT CL A	3,284.81						
150001300	TIME WARNER HOLDINGS CO	108.00						
150001400	WM WRIGLEY JR CO	390.00						
Totals		**$ 6,941.81**						

** The total of these two categories equals the amount reported in Form 1099-DIV, Box 1.*

Details of Long Term Gain (Loss) 1998

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	300	CITICORP FULL PRICE IS 100.42631000	12/16/92	09/23/98	$ 29,894.83	$ 5,909.79		$ 23,985.04
Total					$ 29,894.83	$ 5,909.79		$ 23,985.04

1 - 1998 - YEAR END - SUMMARY

3485

WHEAT FIRST UNION

Client Statement

Duplicate For The Account Of:
NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

Capital Resource Account

Mail To:
JAMES T SHEPHERD CPA
19-F MALLARD COURT
BECKLEY WV 25801-3615

Account Number
8K08 6540-1050

December 1, 1998 - December 31, 1998
Page 1 of 5

Investment Objective
GROWTH & INCOME (QUALITY)

Your Financial Consultant
EDWARD G RAHALL

122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

304-252-6221

Tax I.D. No.
233-25-9977

NOW AVAILABLE--THE WHEAT FIRST UNION CAP ACCOUNT. USE IT FOR YOUR INVESTMENT NEEDS, BANKING NEEDS, OR BOTH. READ INVESTOR'S SPECTRUM TO LEARN MORE--THEN TALK WITH YOUR FINANCIAL CONSULTANT.

WHEAT FIRST SECURITIES, INC. IS A NON-BANK SUBSIDIARY OF FIRST UNION CORPORATION. INVESTMENTS OBTAINED THROUGH WHEAT ARE NOT FDIC INSURED, UNLESS OTHERWISE STATED, ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF WHEAT OR ANY BANK, ARE NOT GUARANTEED BY WHEAT OR ANY BANK, AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

Portfolio Summary

COMPOSITION	ENDING BALANCE MARKET VALUE	PERCENT OF PORTFOLIO
CASH AND MONEY MARKET	8,306.89	9.1
BONDS	26,966.09	29.6
UNIT INVESTMENT TRUST	4,850.00	5.3
STOCKS	13,350.00	14.6
MUTUAL FUNDS	37,723.77	41.4
TOTAL ESTIMATED PORTFOLIO VALUE	91,196.75	100.0

Income Summary

DESCRIPTION	THIS PERIOD	YEAR TO DATE
MONEY MARKET FUND DIVIDENDS	15.48	604.42
CASH DIVIDENDS	630.31	846.24
OTHER INTEREST	77.37	881.07
TOTAL INCOME	723.16	2,331.73

Return of Principal Summary

DESCRIPTION	THIS PERIOD	YEAR TO DATE
RETURN OF PRINCIPAL	281.95	4,918.55
TOTAL RETURN OF PRINCIPAL	281.95	4,918.55

---CONTINUED ON NEXT PAGE---

WHERE FIRST UNION

Client Statement

Duplicate For The Account Of:
NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT

Account Number
BK08 6540-1050

December 1, 1998 - December 31, 1998

Current Portfolio

TYPE/DESCRIPTION	SYMBOL	QUANTITY	CURRENT PRICE	ESTIMATED VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Cash and Money Market						
MONEY MARKET FUND (CRG) 4.06% AVERAGE YIELD 11/17/98-12/31/98		8,306.89	1.0000	8,306.89	337	4.0
TOTAL CASH AND MONEY MARKET				8,306.89	337	N/A
Bonds						
FICO STRIP SR 1 05/11/01 INTEREST PMT CPN 0.000% DUE 05/11/01 DTD 5/18/88		5,000	89.0910	4,454.55	0	0.0
FICO STRIP SR 16 10/5/02 INTEREST PMT CPN 0.000% DUE 10/05/02		10,000	83.2830	8,328.30	0	0.0
CERT ACCRUAL SER M 11/02 TREAS SECS INT PMT CPN 0.000% DUE 11/15/02		5,000	83.8920	4,194.60	0	0.0
MORGAN STANLEY 87 K3 MULTICLASS CMO CPN 8.425% DUE 09/20/18 DTD 05/20/87 FC 09/20/87 CALL 06/20/99 @ 100.000 ACCRUED INT 13.80 REMAIN BAL 1,438.47 DEC FACTOR .47949203		3,000	100.7920	1,449.86	121	8.3
FNMA 91 6ZD 9% COMPOUNDED RATE MULTICLASS CMO CPN 9.000% DUE 01/25/21 DTD 01/01/91 FC 02/25/91 REMAIN BAL 6,101.00 DEC FACTOR 2.03366871	PAC	3,000	100.0000	6,101.00	549	9.0
FNMA 92 11E MULTICLASS CMO CPN 8.000% DUE 12/25/21 DTD 01/01/92 FC 02/25/92 ACCRUED INT 16.08 REMAIN BAL 2,413.64 DEC FACTOR .80454799		3,000	101.0000	2,437.78	193	7.9
$29 IS THE TOTAL ESTIMATED ACCRUED INTEREST AS DETAILED ABOVE. $9,953 IS THE TOTAL REMAINING BALANCE OF GNMA AND OTHER FACTORED SECURITIES.						
TOTAL BONDS				26,966.09	863	3.2
Unit Investment Trusts						
MORTGAGE SECURITIES TR CMO SER 15 INTERMEDIATE PORTFOLIO UNIT MONTHLY		5,000	.9700	4,850.00	325	6.7

---CONTINUED ON NEXT PAGE---

15 - p.2

Current Portfolio continued

TYPE/DESCRIPTION	SYMBOL	QUANTITY	CURRENT PRICE	ESTIMATED VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Unit Investment Trusts						
TOTAL UIT				4,850.00	325	6.7
Stocks						
ADVANCED FIBRE COMMUNICATION INC	AFCI	100	10.9375	1,093.75	0	0.0
COLUMBIA/HCA HEALTHCARE CORP	COL	100	24.7500	2,475.00	8	0.3
DISNEY WALT COMPANY	DIS	100	30.0000	3,000.00	21	0.7
LONE STAR STEAKHOUSE SALOON	STAR	300	9.1875	2,756.25	0	0.0
MRV COMMUNICATIONS INC	MRVC	100	6.1875	618.75	0	0.0
PHYCOR INC	PHYC	500	6.8125	3,406.25	0	0.0
TOTAL STOCKS				13,350.00	29	0.2
Mutual Funds						
COLONIAL TR VI US STK FD B	CFGBX	413.0650	19.6000	8,096.07	0	0.0
MFS EQUITY RESEARCH FUND CLASS B	MFRBX	359.5380	24.4400	8,787.10	0	0.0
VAN KAMPEN SER FD INC VALUE FD CL B	MVUBX	514.5730	9.5900	4,934.75	16	0.3
VK COMSTOCK FUND CL B	ACSWX	476.6960	16.3800	7,808.28	61	0.7
VK PACE FUND CL B	ACPBX	571.4590	14.1700	8,097.57	31	0.3
TOTAL MUTUAL FUNDS				37,723.77	110	0.3

Estimated Annual Income and Current Yield refer to dividends and interest income only, and typically do not reflect total return.

TOTAL ESTIMATED PORTFOLIO VALUE	91,196.75	1,665	1.8

Activity Summary

BEGINNING CASH AND MONEY MARKET			3,004.90
	ADDITIONS	SUBTRACTIONS	NET AMOUNT
DIVIDENDS AND INTEREST	723.16	.00	723.16
SECURITY SALES	4,925.19	.00	4,925.19
SECURITY PURCHASES	.00	2,266.64	-2,266.64
OTHER ACTIVITY	1,920.28	.00	1,920.28
TOTALS	7,568.63	2,266.64	5,301.99
ENDING CASH AND MONEY MARKET			8,306.89

---CONTINUED ON NEXT PAGE---

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

REP ACCOUNT	PAGE
BK08 6540-1050	1 OF 5
TAXPAYER ID: 233-25-9977	

FOR QUESTIONS CALL:
(304)252-6221
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
110 1/2 MAIN ST
BECKLEY WV 25801-4611

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.
CORRECTION: 02/23/99

DIVIDENDS AND DISTRIBUTIONS

DESCRIPTION			ACTIVITY	AMOUNT	IRS BOX	COUNTRY
APPLEBEES INTL INC			DIVIDEND	$16.00	1	
CRT GOVERNMENT SECS	*	(1)	DIVIDEND	$630.85	1	
COLONIAL US STK FD B			SHORT TERM CAP	$25.68	1	
COLUMBIA/HCA HLTH CARE			DIVIDEND	$4.00	1	
DISNEY WALT COMPANY			DIVIDEND	$10.50	1	
FIRST DATA CORP			DIVIDEND	$3.00	1	
MORGAN STANLEY VALUE FD			DIVIDEND	$8.82	1	
VK COMSTOCK FD CL B			DIVIDEND	$67.08	1	
VK COMSTOCK FD CL B			SHORT TERM CAP	$417.97	1	
VK PACE FUND CL B	*		SHORT TERM CAP	$201.80	1	
VK VALUE FD CL B			DIVIDEND	$3.28	1	
VK VALUE FD CL B			SHORT TERM CAP	$88.11	1	
TOTAL DIVIDENDS				$1,477.09		
COLONIAL US STK FD B			20% RATE GAIN	$317.99	2A	
MFS RESEARCH FD CL B			20% RATE GAIN	$328.58	2A	
VK COMSTOCK FD CL B			20% RATE GAIN	$700.46	2A	
VK PACE FUND CL B	*		20% RATE GAIN	$453.68	2A	
TOTAL CAPITAL GAINS				$1,800.71		

IRS BOX	1099-DIV -- TOTALS FOR TAX YEAR 1998 IRS FORM 1099-DIV, OMB NO. 1545-0110	
1.	ORDINARY DIVIDENDS	$1,477.09
2A.	TOTAL CAPITAL GAINS DISTRIBUTIONS	$1,800.71
2B.	28 % RATE GAIN	$0.00
2C.	UNRECAP 1250 GAIN	$0.00
2D.	SECTION 1202 GAIN	$0.00
3.	NONTAXABLE DISTRIBUTIONS	$0.00
4.	FEDERAL INCOME TAX WITHHELD	$0.00
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	LIQUIDATION DISTRIBUTIONS	$0.00

(1) INCLUDES A SERVICE FEE OF $26.43 THAT MAY BE DEDUCTIBLE

15 - p.4

Department of the Treasury - Internal Revenue Service Copy B for Recipient WF-PG4-057 4010049

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK JOE RAHALL II AS CUST FOR	REP ACCOUNT	PAGE
SUZANNE NICOLE RAHALL UNDER	BK08 6540-1050	2 OF 5
THE WV GIFTS TO MINORS ACT	TAXPAYER ID: 233-25-9977	

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.
CORRECTION: 02/23/99

INTEREST INCOME

DESCRIPTION	ACTIVITY	AMOUNT	IRS BOX	COUNTRY
MST CMO SER 15 M 3/20/24	INTEREST	$324.40	1	

IRS BOX	1099-INT -- TOTALS FOR TAX YEAR 1998 IRS FORM 1099-INT, OMB NO. 1545-0112	
1.	INTEREST INCOME NOT INCLUDED IN IRS BOX 3.....	$324.40
3.	INTEREST ON U.S. TREASURY OBLIGATIONS.....	$0.00
4.	FEDERAL INCOME TAX WITHHELD	$0.00
5.	FOREIGN TAX PAID	$0.00

ORIGINAL ISSUE DISCOUNT

DESCRIPTION	ACTIVITY	AMOUNT	IRS BOX
CATS SER M 0%11/02 INT	OID LONG TERM	$218.70	1
FICO 1 0%05/11/01 INT	OID LONG TERM	$237.25	1
FICO 16 0%10/05/02 INT	OID LONG TERM	$437.40	1
TOTAL ORIGINAL ISSUE DISCOUNT		$893.35	

IRS BOX	1099-OID -- TOTALS FOR TAX YEAR 1998 IRS FORM 1099-OID, OMB NO. 1545-0117	
1.	ORIGINAL ISSUE DISCOUNT	$893.35
2.	OTHER PERIODIC INTEREST	$0.00
4.	FEDERAL INCOME TAX WITHHELD	$0.00

WHEAT, FIRST SECURITIES, INC. 1998

10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

I.D. NO. 54-0796506

1099 - OID

REMIC STATEMENT
1998 TAX REPORTING INFORMATION

ACCOUNT NUMBER : 8K08 6540-1050
TAX ID NUMBER : 233-25-9977

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
110 1/2 MAIN ST
BECKLEY WV
25801 4611

FOR QUESTIONS CALL
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
(304) 252-6221

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED. PLEASE USE THIS STATEMENT IN ADDITION TO ANY OTHER 1998 FORMS 1099 THAT YOU MAY HAVE RECEIVED.

ORIGINAL ISSUE DISCOUNT FOR 1998 (FORM 1099-OID, OMB NO. 1545-0117)	
IRS BOX	TOTALS FOR TAX YEAR 1998
1. ORIGINAL ISSUE DISCOUNT	775.19
2. OTHER PERIODIC INTEREST	394.54

15 - p.6

Department of the Treasury - Internal Revenue Service Copy B for Recipient WF-PG4-070 4010714

1998

1998 TAX REPORTING INFORMATION

ACCOUNT NUMBER : BK08 6540-1050
TAX ID NUMBER : 233-25-9977

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
110 1/2 MAIN ST
BECKLEY WV
25801 4611

EDWARD G RAHALL

POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
(304) 252-6221

TOTAL FOR CUSIP 31358FZB8

FNMA 91 6ZD PAC
9% COMPOUNDED RATE
MULTICLASS CMO

1. ORIGINAL ISSUE DISCOUNT 581.14
2. OTHER PERIODIC INTEREST 0.00

FROM DATE	TO DATE	DAYS HELD	QUANTITY	ACCRUED INTEREST	ACCRUED OID	INT RATE PER UNIT	OID RATE PER UNIT	ADJ ISSUE PRICE	DISCOUNT FRACTION
01/01	01/24	24	3000.00	0.00	35.23	0.00000000	0.48932090	1783.5545	.00698240
01/25	02/24	30	3000.00	0.00	45.06	0.00000000	0.50069760	1795.2982	.00724330
02/25	03/24	30	3000.00	0.00	45.02	0.00000000	0.50019550	1810.3191	.00736210
03/25	04/24	30	3000.00	0.00	48.79	0.00000000	0.54214110	1825.3250	.00810380
04/25	05/24	30	3000.00	0.00	49.32	0.00000000	0.54796390	1841.5892	.00847020
05/25	06/24	30	3000.00	0.00	48.50	0.00000000	0.53894080	1858.0282	.00862700
06/25	07/24	30	3000.00	0.00	49.52	0.00000000	0.55016900	1874.1964	.00906720
07/25	08/24	30	3000.00	0.00	50.27	0.00000000	0.55850620	1890.7015	.00951460
08/25	09/24	30	3000.00	0.00	48.46	0.00000000	0.53839660	1907.4566	.00950640
09/25	10/24	30	3000.00	0.00	48.80	0.00000000	0.54217630	1923.6085	.00980770
10/25	11/24	30	3000.00	0.00	50.34	0.00000000	0.55928180	1939.8738	.01036680
11/25	12/24	30	3000.00	0.00	51.00	0.00000000	0.56670160	1956.6523	.01084000
12/25	12/31	06	3000.00	0.00	10.83	0.00000000	0.60185840	1973.6533	.01190740

ASSET COMPOSITION	1ST QTR	2ND QTR	3RD QTR	4TH QTR
IRC SECTION 593:	95%	95%	95%	95%
IRC SECTION 7701:	95%	95%	95%	95%
IRC SECTION 856:	95%	95%	95%	95%

ASSET COMPOSITION IS AT LEAST THE LISTED PERCENTAGES.

15 - p.7

1998

1998 TAX REPORTING INFORMATION

ACCOUNT NUMBER : BK08 6540-1050
TAX ID NUMBER : 233-25-9977

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
110 1/2 MAIN ST
BECKLEY WV
25801 4611

EDWARD G RAHALL

POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
(304) 252-6221

TOTAL FOR CUSIP 31358LBZ8

FNMA 92 11E
MULTICLASS CMO

1. ORIGINAL ISSUE DISCOUNT 0.00
2. OTHER PERIODIC INTEREST 230.85

FROM DATE	TO DATE	DAYS HELD	QUANTITY	ACCRUED INTEREST	ACCRUED OID	INT RATE PER UNIT	OID RATE PER UNIT	ADJ ISSUE PRICE	DISCOUNT FRACTION
01/01	01/24	24	3000.00	16.00	0.00	0.22222220	0.00000000	975.0873	.00975190
01/25	02/24	30	3000.00	20.00	0.00	0.22222220	0.00000000	975.0873	.00990910
02/25	03/24	30	3000.00	20.00	0.00	0.22222220	0.00000000	975.0873	.01024020
03/25	04/24	30	3000.00	20.00	0.00	0.22222220	0.00000000	975.0873	.01083280
04/25	05/24	30	3000.00	20.00	0.00	0.22222220	0.00000000	975.0873	.01122890
05/25	06/24	30	3000.00	20.00	0.00	0.22222220	0.00000000	975.0873	.01177340
06/25	07/24	30	3000.00	20.00	0.00	0.22222220	0.00000000	975.0873	.01219460
07/25	08/24	30	3000.00	19.80	0.00	0.21995150	0.00000000	964.8693	.01265130
08/25	09/24	30	3000.00	18.97	0.00	0.21074540	0.00000000	923.4420	.01258650
09/25	10/24	30	3000.00	18.35	0.00	0.20383490	0.00000000	892.3443	.01275910
10/25	11/24	30	3000.00	17.58	0.00	0.19535970	0.00000000	854.2061	.01276020
11/25	12/24	30	3000.00	16.93	0.00	0.18810020	0.00000000	821.5386	.01299450
12/25	12/31	06	3000.00	3.22	0.00	0.17878840	0.00000000	779.6353	.01318850

ASSET COMPOSITION	1ST QTR	2ND QTR	3RD QTR	4TH QTR
IRC SECTION 593:	95%	95%	95%	95%
IRC SECTION 7701:	95%	95%	95%	95%
IRC SECTION 856:	95%	95%	95%	95%

ASSET COMPOSITION IS AT LEAST THE LISTED PERCENTAGES.

17 - p.d

1998

1998 TAX REPORTING INFORMATION

ACCOUNT NUMBER : 8K08 6540-1050
TAX ID NUMBER : 233-25-9977

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
110 1/2 MAIN ST
BECKLEY WV
25801 4611

EDWARD G RAHALL

POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
(304) 252-6221

TOTAL FOR CUSIP 36157TGH8

GECMS 94 29 A4
REMIC MC PASSTHRU CTF

1. ORIGINAL ISSUE DISCOUNT 38.79
2. OTHER PERIODIC INTEREST 163.69

FROM DATE	TO DATE	DAYS HELD	QUANTITY	ACCRUED INTEREST	ACCRUED OID	INT RATE PER UNIT	OID RATE PER UNIT	ADJ ISSUE PRICE	DISCOUNT FRACTION
01/01	01/24	24	4000.00	21.67	2.46	0.22569440	0.02557810	990.3035	.07790380
01/25	02/24	30	4000.00	27.08	5.27	0.22569440	0.04394180	990.9174	.14514170
02/25	03/24	30	4000.00	27.08	8.89	0.22569440	0.07411990	992.2357	.28638800
03/25	04/24	30	4000.00	22.22	7.32	0.18519670	0.06097870	815.0232	.33016900
04/25	05/24	30	4000.00	17.53	5.28	0.14607210	0.04399870	643.5084	.35565810
05/25	06/24	30	4000.00	13.59	2.45	0.11323500	0.02045110	499.3268	.25656230
06/25	07/24	30	4000.00	11.47	2.27	0.09561370	0.01888940	421.8645	.31875050
07/25	08/24	30	4000.00	9.24	2.29	0.07699510	0.01904720	339.9366	.47180020
08/25	09/24	30	4000.00	6.46	1.12	0.05386410	0.00930990	238.0196	.43658820
09/25	10/24	30	4000.00	4.70	0.92	0.03915800	0.00769950	173.1399	.64086750
10/25	11/24	30	4000.00	2.65	0.52	0.02204540	0.00431470	97.5487	1.00000000

ASSET COMPOSITION	1ST QTR	2ND QTR	3RD QTR	4TH QTR
IRC SECTION 593:	95%	95%	95%	95%
IRC SECTION 7701:	95%	95%	95%	95%
IRC SECTION 856:	95%	95%	95%	95%

ASSET COMPOSITION IS AT LEAST THE LISTED PERCENTAGES.

15 - p.9

1998

1998 TAX REPORTING INFORMATION

ACCOUNT NUMBER : BK08 6540-1050
TAX ID NUMBER : 233-25-9977

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
110 1/2 MAIN ST
BECKLEY WV
25801 4611

EDWARD G RAHALL

POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802
(304) 252-6221

TOTAL FOR CUSIP 617909AD8

MORGAN STANLEY 87 K3
MULTICLASS CMO

1. ORIGINAL ISSUE DISCOUNT 155.26
2. OTHER PERIODIC INTEREST 0.00

IRS REGULATIONS REQUIRED US TO PROVIDE ADDITIONAL INFORMATION TO HOLDERS OF SECURITIES CLASSIFIED AS COLLATERALIZED DEBT OBLIGATIONS.

FROM DATE	TO DATE	DAYS HELD	QUANTITY	ACCRUED INTEREST	ACCRUED OID	INT RATE PER UNIT	OID RATE PER UNIT	ADJ ISSUE PRICE	DISCOUNT FRACTION
01/01	03/19	79	3000.00	0.00	38.66	0.00000000	0.16314020	693.9302	.04661400
03/20	06/19	90	3000.00	0.00	41.04	0.00000000	0.15201240	645.0455	.05238460
06/20	09/19	90	3000.00	0.00	38.15	0.00000000	0.14129430	597.7942	.05306820
09/20	12/19	90	3000.00	0.00	33.73	0.00000000	0.12492410	529.3279	.05377260
12/20	12/31	11	3000.00	0.00	3.68	0.00000000	0.11157310	478.8100	.00656880

Wheat, First Securities, Inc.
901 EAST BYRD STREET, RICHMOND, VIRGINIA 23219

PAYER'S FEDERAL IDENTIFICATION NUMBER
54-0796506

NICK JOE RAHALL II SEP IRA
WFS AS CUSTODIAN
U/A DTD 12/17/86
216 1/2 MAIN ST
BECKLEY WV 25801-4613

WHEAT FIRST UNION

YOUR FINANCIAL CONSULTANT:
EDWARD G RAHALL
(304) 252-6221

Account No.	Recipient's ID No.	Page
BK08 6539-9300	236-78-8645	1

ISSUE DATE: 01/22/1999

IRA PORTFOLIO HOLDING VALUATION
AS OF DECEMBER 31, 1998

SECURITY DESCRIPTION	QUANTITY	MARKET PRICE	FACTOR	MARKET VALUE
COLONIAL TR III SELECT VALUE CL B	71.61900	21.2100		1,519.03
CRT GOVERNMENT SECS PORTFOLIO	3,014.97000	1.0000		3,014.97
FICO STRIP SR 1 11/11/11 INTEREST PMT CPN 0.000% DUE 11/11/11 DTD 05/18/88	20,000.00000	48.3290	1.00000	9,665.80
FICO STRIP SR 5 02/08/10 INTEREST PMT CPN 0.000% DUE 02/08/10	15,000.00000	54.3140	1.00000	8,147.10
FICO STRIP 1 5/11/12 INTEREST PMT CPN 0.000% DUE 05/11/12 DTD 05/18/88	20,000.00000	46.7350	1.00000	9,347.00
LASALLE BANK NA CD CHICAGO IL ACT/365 FDIC INSURED TO 100M CPN 0.000% DUE 12/14/18 DTD 12/14/98	30,000.00000	27.8910	1.00000	8,367.30
MFS SER TR I NEW DISCOVERY FD-CL B	425.13100	14.0600		5,977.34
US TREAS STRIPS 08/13 INTEREST PMT CPN 0.000% DUE 08/15/13	35,000.00000	45.5100	1.00000	15,928.50
PORTFOLIO HELD ON WFS PREMISES :				$61,967.04
TOTAL IRA PORTFOLIO VALUATION :				$61,967.04

YOUR YEAR-END VALUE IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE.
(PLEASE SEE THE REVERSE SIDE OF THIS DOCUMENT FOR ADDITIONAL INFORMATION.)
Member New York Stock Exchange, Inc. and SIPC

Attachment 16 - p.1

MERCER, BOKERT, BUCKMAN & REID, INC.
Securities Broker Dealer
75 WEST FRONT STREET
RED BANK, NEW JERSEY 07701

Client Statement

Account Of:
NICK RAHALL II
SW APT 409N

Medallion Account

Mail To:
NICK RAHALL II
SW APT 409N
1301 DELWARE AVE
WASHINGTON DC 20024

Account Number
KH03 7174-9050

December 1, 1998 - December 31, 1998

Your Registered Representative
MARK ELLIOTT

30 BROAD STREET, 17TH FLOOR
NEW YORK, NY 10004

212-809-8655

Tax I.D. No.
236-78-8645

Portfolio Summary

COMPOSITION	ENDING BALANCE MARKET VALUE	ENDING BALANCE PERCENT OF PORTFOLIO	BEGINNING BALANCE MARKET VALUE	BEGINNING BALANCE PERCENT OF PORTFOLIO
CASH AND MONEY MARKET	-5,212.83		-22,796.28	
STOCKS	17,362.50		38,864.06	
TOTAL ESTIMATED PORTFOLIO VALUE	12,149.67	N/C**	16,067.78	N/C**

N/C** - Percent of portfolio cannot be calculated due to negative values.

Current Portfolio

TYPE/DESCRIPTION	SYMBOL	QUANTITY	CURRENT PRICE	ESTIMATED VALUE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Cash and Money Market						
CASH		-5,212.83		-5,212.83	0	0.0
TOTAL CASH AND MONEY MARKET				-5,212.83	0	N/A
Stocks						
IRIDIUM WORLD COMMUNICATIONS LTD	IRIDF	100	39.5625	3,956.25	0	0.0
PATTERSON ENERGY INC	PTEN	3,300	4.0625	13,406.25	0	0.0
TOTAL STOCKS				17,362.50	0	0.0
TOTAL ESTIMATED PORTFOLIO VALUE				12,149.67	0	0.0

---CONTINUED ON NEXT PAGE---

Attachment 17 - p.1

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK JOE RAHALL II
216 1/2 MAIN ST
BECKLEY WV 25801-4613

REP ACCOUNT PAGE
BK08 6539-9650 2 OF 4
TAXPAYER ID: 236-78-8645

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

ISSUE DATE:01/21/99

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
ADVANCED FIBRE CUSIP: 00754A105	07/14/98	SALE	300.000	26.3750	$7,774.81
ADVANCED FIBRE CUSIP: 00754A105	11/06/98	SALE	3,000.000	11.6250	$34,510.48
COMVERSE TECH PAR $0.10 CUSIP: 205862402	08/28/98	SALE	200.000	47.7500	$9,429.62
LASON INC CUSIP: 51808R107	12/09/98	SALE	200.000	57.3750	$11,308.61
MAINSTREET BANKGROUP INC CUSIP: 560633109	10/22/98	SALE	431.000	37.1250	$15,715.59
S C I SYSTEMS INC CUSIP: 783890106	07/23/98	SALE	100.000	40.3750	$3,962.06
SFX ENTERTAINMENT INC CUSIP: 784178105	07/07/98	SALE	100.000	51.5625	$5,065.07
SFX ENTERTAINMENT INC CUSIP: 784178105	08/13/98	SALE	100.000	46.6250	$4,571.34
SOLECTRON CORP CUSIP: 834182107	08/06/98	SALE	100.000	49.1250	$4,826.33
SOURCE MEDIA INC CUSIP: 836153304	10/29/98	SALE	2,000.000	12.6875	$25,030.97
TOTAL PROCEEDS					$122,194.88

IRS BOX	1099-B -- TOTALS FOR TAX YEAR 1998 IRS FORM 1099-B, OMB NO. 1545-0715	
2. GROSS PROCEEDS LESS COMMISSIONS ON STOCK, BONDS, ETC		$122,194.88
4. FEDERAL INCOME TAX WITHHELD		$0.00

Attachment 18 - p.1

Department of the Treasury - Internal Revenue Service Copy B for Recipient WF-PG4-002 4018497

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
ADVANCED FIBRE	06/25/98	PURCHASE	100.000	41.0625	$4,191.65
CUSIP: 00754A105					
ADVANCED FIBRE	07/01/98	PURCHASE	200.000	17.1250	$3,531.02
CUSIP: 00754A105					
ADVANCED FIBRE	07/15/98	PURCHASE	200.000	22.8750	$4,686.40
CUSIP: 00754A105					
ADVANCED FIBRE	07/16/98	PURCHASE	100.000	24.3750	$2,493.50
CUSIP: 00754A105					
ADVANCED FIBRE	07/27/98	PURCHASE	200.000	19.6250	$4,022.34
CUSIP: 00754A105					
ADVANCED FIBRE	08/12/98	PURCHASE	500.000	13.6250	$6,977.53
CUSIP: 00754A105					
ADVANCED FIBRE	08/19/98	PURCHASE	1,000.000	10.2500	$10,484.85
CUSIP: 00754A105					
ADVANCED FIBRE	08/26/98	PURCHASE	1,000.000	9.1250	$9,333.47
CUSIP: 00754A105					
AIM SMALL CAP OPPOR CL B	07/16/98	PURCHASE	992.063	10.0800	$10,000.00
CUSIP: 008883209					
AIM SPL OPPORTUNITIES	* 12/21/98	PURCHASE	.448		$4.96
CUSIP: 008883209					

<<<< CONTINUED ON THE NEXT PAGE >>>>

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK JOE RAHALL II	REP ACCOUNT PAGE
216 1/2 MAIN ST	8K08 6539-9650 4 OF 4
BECKLEY WV 25801-4613	TAXPAYER ID: 236-78-8645

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

ISSUE DATE:@01/21/99

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
<<<< CONTINUED FROM PREVIOUS PAGE >>>>					
COMVERSE TECH PAR $0.10 CUSIP: 205862402	08/04/98	PURCHASE	100.000	49.0000	$4,997.85
COMVERSE TECH PAR $0.10 CUSIP: 205862402	08/04/98	PURCHASE	100.000	45.8750	$4,673.50
LASON INC CUSIP: 51808R107	12/01/98	PURCHASE	100.000	56.6250	$5,753.50
LASON INC CUSIP: 51808R107	12/02/98	PURCHASE	100.000	51.8750	$5,278.50
MRV COMMUNICATIONS INC CUSIP: 553477100	05/13/98	PURCHASE	200.000	26.5000	$5,424.76
MRV COMMUNICATIONS INC CUSIP: 553477100	05/29/98	PURCHASE	100.000	23.5000	$2,417.35
MRV COMMUNICATIONS INC CUSIP: 553477100	07/13/98	PURCHASE	100.000	22.5000	$2,320.66
MRV COMMUNICATIONS INC CUSIP: 553477100	07/27/98	PURCHASE	100.000	21.0000	$2,167.63
MRV COMMUNICATIONS INC CUSIP: 553477100	08/28/98	PURCHASE	1,500.000	6.9375	$10,638.93
S C I SYSTEMS INC CUSIP: 783890106	07/17/98	PURCHASE	100.000	42.5625	$4,351.20
SFX ENTERTAINMENT INC CUSIP: 784178105	06/03/98	PURCHASE	100.000	43.5625	$4,444.81
SFX ENTERTAINMENT INC CUSIP: 784178105	08/04/98	PURCHASE	100.000	42.4375	$4,339.49
SOLECTRON CORP CUSIP: 834182107	08/04/98	PURCHASE	100.000	46.5000	$4,746.00
SOURCE MEDIA INC CUSIP: 836153304	07/14/98	PURCHASE	100.000	37.0625	$3,781.80
SOURCE MEDIA INC CUSIP: 836153304	07/14/98	PURCHASE	100.000	31.5625	$3,215.48
SOURCE MEDIA INC CUSIP: 836153304	07/27/98	PURCHASE	300.000	21.5000	$6,579.38
SOURCE MEDIA INC CUSIP: 836153304	08/17/98	PURCHASE	500.000	12.6250	$6,462.59
SOURCE MEDIA INC CUSIP: 836153304	09/10/98	PURCHASE	1,000.000	6.8750	$7,046.57
W VA ECON EDGEWOOD 5%99 CUSIP: 956486AL8	06/08/98	PURCHASE	20,000.000	100.0000	$20,006.00
WEST VIRGINIA ST 4.20%03 CUSIP: 956597CP1	08/24/98	PURCHASE	25,000.000	100.0000	$25,000.00

18 - p.3

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement
1998 ACTIVITY DETAILS

Account Number 642 108600 FA 022

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	05-04	Bought	10	AB ELECTROLOX SPON ADR	90.6074	906.07	
	06-11	Stock Dividend	15	AB ELECTROLOX SPON ADR			
	10-07	Sold	25	AB ELECTROLOX SPON ADR	25.4496	636.21	
	10-16	Bought	22	ABBEY NATIONAL PLC SP ADR	36.1250	794.75	
	10-02	Bought	18	AFLAC INCORPORATED	30.1794	543.23	
	01-08	Bought	5	AKZO NOBEL NV ADR	86.8750	434.38	
	07-07	Stock Dividend	13	AKZO NOBEL NV ADR			
	08-05	Sold	26	AKZO NOBEL NV ADR	51	1,325.95	
	06-01	Bought	22	ALCATEL ALSTHOM SPON ADR	42.7093	939.60	
	06-10	Bought	5	ALCATEL ALSTHOM SPON ADR	41.4375	207.19	
	06-22	Bought	5	ALCATEL ALSTHOM SPON ADR	39.6250	198.13	
	09-22	Sold	14	ALCATEL ADS	19	265.99	
	09-28	Sold	18	ALCATEL ADS	19 11/16	354.36	
	09-01	Bought	7	ALLIED IRISH BK SPON ADR ORD	85.5320	598.72	
	09-25	Sold	7	ALLIED IRISH BK SPON ADR ORD	79.0317	553.20	
	01-16	Bought	38	ALLIED WASTE INDUSTRIES NEW	23	874.00	
	06-30	Sold	10	ALLIED WASTE INDUSTRIES NEW	25.2500	252.49	
	11-25	Sold	28	ALLIED WASTE INDUSTRIES NEW	20 1/2	573.98	
	09-04	Bought	11	AMGEN INC	58.1875	640.06	
	02-20	Bought	5	AMVESCAP PLC ADR	96.2500	481.25	
	04-24	Stock Dividend	12	AMVESCAP PLC ADR			
	07-21	Sold	10	AMVESCAP PLC ADR	56	559.98	
	08-17	Sold	14	AMVESCAP PLC ADR	45.5845	638.15	
	05-21	Bought	46	APPLE COMPUTER INC	29.5125	1,348.38	
	04-27	Bought	15	ARM HOLDINGS PLC ADS	42.2500	633.75	
	06-19	Bought	5	ARM HOLDINGS PLC ADS	40.3750	201.88	
	09-30	Sold	5	ARM HOLDINGS PLC ADS	44.7500	223.74	
	12-21	Sold	3	ARM HOLDINGS PLC ADS	63.6250	190.87	
	11-02	Bought	19	ASCEND COMMUNICATIONS INC	46.6788	886.90	
	02-19	Bought	8	ASM LITHOGRAPHY HLDG NV ORD	77.7341	621.87	
	05-13	Stock Dividend	8	ASM LITHOGRAPHY HLDG NV ORD			
	06-17	Sold	16	ASM LITHOGRAPHY HLDG NV ORD	28.8982	462.35	
	12-04	Bought	20	ASM LITHOGRAPHY HLDG NV ORD	26.7500	535.00	
	09-14	Bought	32	AT HOME CORPORATION	34.6419	1,108.54	
	12-10	Bought	53	ATI TECHNOLOGY	10.9925	582.60	
	08-14	Bought	25	AUTOLIV INC	33.1863	829.66	
	04-30	Sold	10	AXA-UAP	55	549.98	
	09-04	Sold	12	AXA-UAP	55 1/16	660.72	
	10-09	Sold	9	AXA-UAP	41.1584	370.41	
	02-09	Sold	11	BAAN COMPANY NV	40.2500	442.73	
	04-02	Sold	5	BAAN COMPANY NV	47.1250	235.62	
	05-06	Sold	10	BAAN COMPANY NV	45.6250	456.23	
	05-12	Sold	12	BAAN COMPANY NV	40.7293	488.73	
	02-12	Bought	16	BANCO BILBAO VIZCAYA SPON ADR	39.0671	625.07	
	07-13	Stock Dividend	32	BANCO BILBAO VIZCAYA SPON ADR			

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 108600 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-28	Sold	48	BANCO BILBAO VIZCAYA SPON ADR	15	719.97	
07-27	Bought	19	BANCO COMER PORTUGUES ADS	34	646.00	
07-28	Bought	11	BANCO COMER PORTUGUES ADS	33 10/16	369.88	
07-31	Bought	6	BANCO COMER PORTUGUES ADS	33	198.00	
10-07	Sold	36	BANCO COMER PORTUGUES ADS	25 1/16	902.22	
02-20	Bought	13	BANCO DE GAL Y BUENOS AIRE ADR	25	325.00	
03-10	Bought	15	BANCO DE GAL Y BUENOS AIRE ADR	24.2000	363.00	
04-13	Sold	28	BANCO DE GAL Y BUENOS AIRE ADR	22.9202	641.74	
10-21	Bought	36	BANCO DE GAL Y BUENOS AIRE ADR	15.2500	549.00	
12-10	Sold	15	BANCO DE GAL Y BUENOS AIRE ADR	19	284.99	
12-16	Stock Dividend	1	BANCO DE GAL Y BUENOS AIRE ADR			
01-12	Bought	8	BANK OF IRELAND GRP	65.2500	522.00	
05-05	Sold	15	BANK OF IRELAND GRP	81.2745	1,219.07	
09-14	Sold	13	BANK OF IRELAND GRP	63.4236	824.48	
11-09	Bought	68	BANK OF TOKYO-MITSUBISHI LTD	10.8125	735.25	
09-29	Bought	2	BANYU PHARMACEUTICALS ADR	280.5000	561.00	
01-08	Bought	5	BARCLAYS PLC ADR	109.3767	546.88	
03-03	Sold	6	BARCLAYS PLC ADR	120.7966	724.75	
06-10	Sold	5	BARCLAYS PLC ADR	108.7500	543.73	
09-04	Sold	3	BAYERISCHE VEREINSBANK AG ADR	78	233.99	
10-08	Sold	7	BAYERISCHE VEREINSBANK AG ADR	67.5000	472.48	
11-30	Bought	7	BAYERISCHE VEREINSBANK AG ADR	79.7572	558.30	
12-21	Tender	7	BAYERISCHE VEREINSBANK AG ADR			EXCHANGE
12-21	Received	7	BAYRSCHE HYP UND VER AG SP ADR			EXCHANGE
05-26	Bought	10	BED BATH & BEYOND INC	49.8932	498.93	
07-31	Stock Dividend	43	BED BATH & BEYOND INC			
12-14	Sold	48	BED BATH & BEYOND INC	29.3398	1,408.26	
01-21	Sold	39	BELL CANADA INTL INC	13.7521	536.31	
01-08	Bought	5	BENCKISER NV CLASS B	40.2500	201.25	
04-02	Sold	5	BENCKISER NV CLASS B	51.5781	257.88	
07-22	Sold	8	BENCKISER NV CLASS B	61.4676	491.72	
09-04	Bought	5	BENCKISER NV CLASS B	54.9561	274.78	
10-08	Sold	5	BENCKISER NV CLASS B	50.1326	250.65	
01-02	Bought	31	BLYTH INDUSTRIES INC	27.9990	867.97	
05-26	Bought	10	BLYTH INDUSTRIES INC	33.0733	330.73	
05-15	Stock Dividend	15	BMC SOFTWARE			
07-10	Sold	5	BMC SOFTWARE	50.5000	252.49	
01-06	Bought	29	BORDERS GROUP INC	31	899.00	
05-21	Bought	5	BORDERS GROUP INC	33.1250	165.63	
11-04	Sold	34	BORDERS GROUP INC	24.9375	847.85	
12-04	Bought	16	BRITISH AEROSPACE SPN ADR	33.7500	540.00	
01-08	Sold	8	BRITISH PETROLEUM PLC ADR	77.7663	622.10	
03-27	Bought	7	BRITISH PETROLEUM PLC ADR	90.8750	636.13	
08-26	Sold	7	BRITISH PETROLEUM PLC ADR	80.0138	560.08	
10-09	Bought	2	BRITISH TELECOMMUNIC PLC ADR	126.9109	253.82	
10-13	Bought	6	BRITISH TELECOMMUNIC PLC ADR	122.2500	733.50	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 042 108600 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-18	Sold	6	BRITISH TELECOMMUNIC PLC ADR	135.5605	813.33	
12-11	Bought	2	BRITISH TELECOMMUNIC PLC ADR	140.2500	280.50	
10-29	Bought	27	BURLINGTON RESOURCES INC	39.1722	1,057.65	
08-10	Bought	8	CABLE & WIRELESS COMMS PLC	44.9076	359.26	
08-26	Bought	5	CABLE & WIRELESS COMMS PLC	45.1542	225.77	
09-30	Sold	13	CABLE & WIRELESS COMMS PLC	31 8/16	409.48	
11-18	Bought	13	CABLE & WIRELESS COMMS PLC	42.5000	552.50	
10-23	Bought	10	CAPITAL ONE FINANCIAL CORP	95	950.00	
08-19	Bought	13	CBT GROUP PLC ADR	55.9788	727.72	
09-02	Bought	5	CBT GROUP PLC ADR	52	260.00	
09-23	Sold	10	CBT GROUP PLC ADR	30.7961	307.94	
10-05	Sold	8	CBT GROUP PLC ADR	12	95.99	
08-28	Bought	9	CELLULAR COMM INTL	62.8750	565.88	
09-29	Bought	6	CELLULAR COMM INTL	56.5000	339.00	
09-01	Bought	13	CERIDIAN CORPORATION	55.5413	722.04	
12-03	Bought	11	CHINA TELECOM LTD AMERN DEP SH	39.8800	438.68	
01-14	Received	33	CIFRA SPON ADR SER V			SUBSCRIPTION
01-26	Stock Dividend	3	CIFRA SPON ADR SER V			
02-17	Sold	36	CIFRA SPON ADR SER V	18.6216	670.35	
01-14	Received		CIFRA UNSPONSORED V ADR		10.82	SUBSCRIPTION
01-14	Tender	330	CIFRA UNSPONSORED V ADR			SUBSCRIPTION
01-30	Sold	2	CIFRA UNSPONSORED V ADR	1.8300	3.65	
11-23	Bought	16	CISCO SYS INC	72.7500	1,164.00	
01-27	Stock Dividend	22	COCA COLA FEMSA S A ADR			
03-03	Sold	33	COCA COLA FEMSA S A ADR	19.5263	644.34	
01-12	Sold	27	COFLEXIP SPONSORED ADR	52.8179	1,426.03	
07-31	Sold	11	COFLEXIP SPONSORED ADR	49.5947	545.52	
03-02	Sold	31	COGNEX CORP	22.5000	697.47	
02-02	Sold	20	COLT TELECOM GROUP PLC ADR	57.5580	1,151.12	
02-20	Sold	5	COLT TELECOM GROUP PLC ADR	71.5948	357.95	
04-02	Sold	3	COLT TELECOM GROUP PLC ADR	90.5899	271.76	
04-30	Sold	5	COLT TELECOM GROUP PLC ADR	83.7477	418.72	
07-07	Sold	3	COLT TELECOM GROUP PLC ADR	170	509.98	
09-03	Stock Dividend	18	COLT TELECOM GROUP PLC ADR			
10-01	Sold	11	COLT TELECOM GROUP PLC ADR	36.2500	398.73	
02-03	Sold	16	COM ANONIMA NATL TELE DE VEN	35.2500	563.98	
09-28	Bought	23	COMCAST SPL A	46.2500	1,063.75	
02-13	Sold	23	COMPAGIE GENERALE DE GEO	20.3750	468.61	
03-13	Bought	31	COMPAGNIE GENERALE DE EAUX ADR	32.8750	1,019.13	
09-04	Bought	24	COMPAQ COMPUTER CORP	29	696.00	
11-02	Bought	11	COMPAQ COMPUTER CORP	29.5625	325.19	
09-04	Bought	6	COMPUTER SCIENCES CP	57.9852	347.91	
09-04	Bought	12	COMPUTER SCIENCES CP	55.7852	669.42	
07-31	Sold	8	CONTINENTIAL ALNS INC CL B	55.5625	444.48	
06-01	Sold	17	COOPER CAMERON CORP	58	985.96	
01-15	Sold	104	CORE LABORATORIES N V	15.0893	1,569.23	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 108600 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-25	Bought	18	CORP BANCARIA ESPANA AM DEP SH	36 7/16	655.88	
07-06	Bought	5	CORP BANCARIA ESPANA AM DEP SH	45	225.00	
09-28	Sold	10	CORP BANCARIA ESPANA AM DEP SH	42.8968	428.95	
10-09	Sold	13	CORP BANCARIA ESPANA AM DEP SH	37.8554	492.10	
11-02	Bought	12	CORP BANCARIA ESPANA AM DEP SH	41.7500	501.00	
03-16	Bought	15	CREDIT SUISSE GROUP SP ADR	44.9278	673.92	
07-06	Bought	5	CREDIT SUISSE GROUP SP ADR	56	280.00	
08-25	Sold	20	CREDIT SUISSE GROUP SP ADR	53.5550	1,071.06	
11-16	Received	10	DAIMLER-CHRYSLER AG ORD			TENDER DISTRIBUTION FOR DAIMLERBENZ EXCHANGE
11-16	Sold		DAIMLER-CHRYSLER AG ORD		4.23	CASH IN LIEU OF FRACTIONS
10-16	Bought	10	DAIMLERBENZ AKTIENGESELLSCHAFT	77.4528	774.53	
10-21	Received	10	DAIMLERBENZ EXCHANGE			TENDER
10-21	Tender	10	DAIMLERBENZ AKTIENGESELLSCHAFT			TENDER
11-16	Tender	10	DAIMLERBENZ EXCHANGE			TENDER PAYMENT
05-26	Bought	35	DARDEN RESTAURANTS	15.2500	533.75	
04-02	Sold	10	DASSAULT SYSTEMS SA ADS	39.1612	391.59	
11-20	Bought	6	DASSAULT SYSTEMS SA ADS	36.0878	216.53	
08-03	Sold	11	DEUTSCHE BANK AG SP ADR	79.5000	874.47	
10-09	Sold	23	DEUTSCHE LUFTHANSA AG ADR	17 7/8	411.11	
11-20	Sold	27	DEUTSCHE LUFTHANSA AG ADR	20.6250	556.86	
03-11	Sold	10	DEXTER CORP	40.5000	404.98	
01-06	Bought	16	DIAGEO PLC ADS	37.6250	602.00	
02-11	Received	13	DIAGEO PLC SPON ADR NEW			EXCHANGE
02-11	Sold		DIAGEO PLC SPON ADR NEW		30.39	CASH IN LIEU OF FRACTIONS
02-11	Tender	16	DIAGEO PLC ADS			EXCHANGE
03-24	Sold	13	DIAGEO PLC SPON ADR NEW	46 8/16	604.47	
02-18	Sold	34	DISTRIBUCION Y SERV D&S SA ADS	15	509.98	
09-22	Bought	24	DIXONS GRP PLC ADR	30	720.00	
09-30	Bought	5	DIXONS GRP PLC ADR	30.2500	151.25	
10-14	Sold	29	DIXONS GRP PLC ADR	26.5169	768.96	
11-27	Bought	11	DIXONS GRP PLC ADR	34.5000	379.50	
12-02	Bought	6	DIXONS GRP PLC ADR	36.7500	220.50	
12-14	Bought	7	DIXONS GRP PLC ADR	37.6674	263.67	
02-02	Sold	21	DOLLAR GENERAL CORPORATION	36.0646	757.33	
03-23	Stock Dividend	2	DOLLAR GENERAL CORPORATION			
07-29	Sold	11	DOLLAR GENERAL CORPORATION	41.5648	457.19	
08-14	Bought	26	EAST TELECOM GROUP PLC ADS	36.1250	939.25	
09-30	Sold	9	EAST TELECOM GROUP PLC ADS	32.6537	293.87	
12-23	Sold	6	EAST TELECOM GROUP PLC ADS	37.7500	226.49	
07-23	Bought	17	ECSOFT GROUP PLC ADR	39.2500	667.25	
10-14	Sold	17	ECSOFT GROUP PLC ADR	12.6250	214.62	
10-22	Bought	10	EDP-ELECTRICADE DE PORTUGAL	52	520.00	
12-01	Sold	10	EDP-ELECTRICADE DE PORTUGAL	44	439.98	
02-02	Bought	12	ELAN PLC ADR	50	600.00	
04-06	Bought	5	ELAN PLC ADR	64.9375	324.69	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 108600 FA 022

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	11-20	Sold	9	ELAN PLC ADR	68.1875	613.66	
	02-04	Sold	37	ELECTROBRAS ADR PRF	22.5000	832.47	
	01-12	Sold	17	ELF AQUITAINE SPON ADR	53	900.97	
	05-20	Bought	13	ELF AQUITAINE SPON ADR	73.6250	957.13	
	09-01	Sold	13	ELF AQUITAINE SPON ADR	50 8/16	656.47	
	02-20	Bought	11	ERICSSON LM TEL ADR B SEK 10	43.4037	477.44	
	04-15	Sold	10	ERICSSON LM TEL ADR B SEK 10	52.6250	526.23	
	05-05	Sold	5	ERICSSON LM TEL ADR B SEK 10	51.5253	257.62	
	05-29	Stock Dividend	16	ERICSSON LM TEL ADR B SEK 10			
	06-18	Sold	32	ERICSSON LM TEL ADR B SEK 10	26.1250	835.97	
	02-17	Sold	99	FIRST SOUTH AFRICA CORP LTD	6.2188	615.63	
	01-29	Sold	10	FIRSTPLUS FINANCIAL GROUP INC	28.5829	285.82	
	02-09	Sold	10	FIRSTPLUS FINANCIAL GROUP INC	30.0297	300.29	
	02-13	Bought	16	FLEXTRONICS INTL LTD	39.7324	635.72	
	04-07	Sold	16	FLEXTRONICS INTL LTD	40.7708	652.30	
	08-28	Bought	15	FLEXTRONICS INTL LTD	38.5000	577.50	
	12-24	Sold	8	FLEXTRONICS INTL LTD	79.0823	632.63	TRADE CARRIES STOCK DISTRIB.
	07-27	Bought	9	FOMENTO ECONOMICO MEXICANO	34 4/16	308.25	
	08-26	Sold	9	FOMENTO ECONOMICO MEXICANO	22 13/16	205.30	
	11-27	Bought	25	FOREST LABORATORIES	46.7466	1,168.67	
	10-14	Bought	14	FRANCE TELECOM	68.1560	954.18	
	12-21	Sold	14	FRANCE TELECOM	72.5000	1,014.96	
	12-31	Bought	38	FRESENIUS MEDICAL CARE AG	23.5725	895.76	
	09-08	Bought	15	FUJI HEAVY INDS LTD ADR	55.1170	826.76	
	10-28	Sold	15	FUJI HEAVY INDS LTD ADR	46.3247	694.84	
	03-30	Sold	13	FUJI PHOTO ADR NEW	35.6250	463.11	
	04-14	Sold	10	FUJI PHOTO ADR NEW	37.6250	376.23	
	10-16	Bought	20	FUJI PHOTO ADR NEW	35.4375	708.75	
	02-09	Bought	11	FUJITSU LTD ADR NEW	60.5000	665.50	
	03-27	Sold	6	FUJITSU LTD ADR NEW	49.5000	296.99	
	04-14	Sold	5	FUJITSU LTD ADR NEW	53.5000	267.49	
	10-28	Bought	15	FUJITSU LTD ADR NEW	53.7500	806.25	
	11-05	Bought	6	FUJITSU LTD ADR NEW	55.5000	333.00	
	09-21	Bought	15	GALLAHER GP PLC ADR	26.5801	398.70	
	09-23	Bought	6	GALLAHER GP PLC ADR	26.9572	161.74	
	10-13	Bought	7	GALLAHER GP PLC ADR	29.2443	204.71	
	02-04	Sold	12	GENCORP INC	24.5338	294.40	
	04-15	Sold	19	GENSET ADR	32.3750	615.10	
	07-09	Sold	20	GENSET ADR	30.3750	607.47	
	02-12	Tender	46	GETTY COMMUNICATIONS PLC ADR			EXCHANGE
	02-12	Received	46	GETTY IMAGES INC			EXCHANGE
	09-02	Sold	9	GETTY IMAGES INC	14.6736	132.05	
	10-16	Sold	37	GETTY IMAGES INC	9.0696	335.56	
	01-16	Sold	8	GILAT SATELLITE NTWK LTD ORD	23.6250	188.99	
	09-14	Bought	9	GILAT SATELLITE NTWK LTD ORD	36.6035	329.43	
	06-03	Bought	42	GKN PUB LTD CO ADR	15.1250	635.25	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number G42 108600 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-12	Sold	42	GKN PUB LTD CO ADR	14	587.98	
03-03	Sold	5	GLAXO WELLCOME PLC ADS	57.8750	289.37	
04-01	Sold	10	GLAXO WELLCOME PLC ADS	51.5900	515.88	
06-15	Bought	15	GLAXO WELLCOME PLC ADS	58	870.00	
06-23	Bought	5	GLAXO WELLCOME PLC ADS	58.6701	293.35	
08-03	Sold	11	GLAXO WELLCOME PLC ADS	56.8373	625.18	
09-04	Bought	10	GLAXO WELLCOME PLC ADS	58.8956	588.96	
09-25	Sold	10	GLAXO WELLCOME PLC ADS	61.1250	611.22	
09-24	Sold	9	GLAXO WELLCOME PLC ADS	60	539.98	
12-15	Bought	6	GLOBAL CROSSING LTD	39.1320	234.79	
01-06	Sold	35	GLOBAL MARINE INC NEW	24.8077	868.24	
02-11	Bought	24	GLOBAL TELESYSTEM GP INC	26.7942	643.06	
03-03	Bought	5	GLOBAL TELESYSTEM GP INC	38.2119	191.06	
05-26	Sold	10	GLOBAL TELESYSTEM GP INC	35	349.98	
07-31	Bought	6	GLOBAL TELESYSTEM GP INC	52.8750	317.25	
08-18	Sold	25	GLOBAL TELESYSTEM GP INC	49.4008	1,234.97	
12-15	Bought	7	GLOBAL TELESYSTEM GP INC	51.9375	363.56	
11-24	Sold	10	GROUPE DANONE SPONSORED ADR	58.3750	583.73	
04-15	Sold	5	HBO & CO	60.2787	301.38	
06-09	Stock Dividend	26	HBO & CO			
07-23	Sold	29	HBO & CO	33.4852	971.03	
10-22	Sold	23	HBO & CO	26.9375	619.53	
07-17	Stock Dividend	6	HEALTH MGMT ASSOC CL A			
10-16	Bought	17	HEINEKEN NV ADR	44.9547	764.23	
11-20	Sold	17	HEINEKEN NV ADR	54.0891	919.47	
12-31	Stock Dividend	13	HELMERICH&PAYNE INC			
01-09	Sold	26	HELMERICH&PAYNE INC	30.0038	780.07	
02-03	Bought	11	HENKEL KGAA ADR	57.0779	627.86	
08-14	Bought	5	HENKEL KGAA ADR	74.7500	373.75	
10-09	Sold	16	HENKEL KGAA ADR	65.5400	1,048.60	
03-03	Sold	18	HONDA MOTOR COMPANY LTD ADR	69.2500	1,246.45	
04-20	Bought	2	HSBC HLDG PLC SPND ADR NEW	319	638.00	
06-16	Sold	2	HSBC HLDG PLC SPND ADR NEW	226.5000	452.98	
10-15	Bought	2	HSBC HLDG PLC SPND ADR NEW	209	418.00	
12-02	Bought	26	HUANENG PWR INTL SPN ADR N SHS	15.9631	415.04	
01-15	Sold	29	HUTCHISON WHAMPOA ADR	23	666.97	
10-15	Bought	17	HUTCHISON WHAMPOA ADR	32.4660	551.92	
04-07	Bought	11	ING GROEP NV ADR	61.4175	675.59	
04-13	Bought	5	ING GROEP NV ADR	65.2500	326.25	
09-16	Sold	16	ING GROEP NV ADR	53.5625	856.97	
10-14	Bought	24	IXOS SOFTWARE AKTIENGESELLSHAF	20.1978	484.75	
02-02	Bought	17	JOHNSON CONTROLS INCORPORATED	50.4373	857.43	
05-21	Bought	5	JOHNSON CONTROLS INCORPORATED	59.7500	298.75	
06-15	Sold	5	JOHNSON CONTROLS INCORPORATED	56.9646	284.81	
07-10	Sold	5	JOHNSON CONTROLS INCORPORATED	57.6531	288.26	
07-29	Sold	12	JOHNSON CONTROLS INCORPORATED	53.0966	637.13	
06-25	Stock Dividend	15	JONES APPAREL GRP INC			

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number FA
G42 108G00 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-30	Sold	18	JONES APPAREL GRP INC	27	485.98	
08-31	Sold	12	JONES APPAREL GRP INC	22.3206	267.84	
08-31	Sold	27	K L M ROYAL DUTCH AIRLINES	35.6309	961.99	
09-04	Bought	5	KAO CORP ADR	163.6872	818.44	
09-28	Sold	48	KAUFMAN & BROAD HOME CP	21.3408	1,024.32	
10-13	Bought	40	KINGFISHER PLC SPN ADR	19.1544	766.18	
12-21	Sold	15	KINGFISHER PLC SPN ADR	20.7500	311.23	
09-29	Bought	38	KONINKLIJKE AHOLD NV ADS	28.3368	1,076.80	
10-21	Bought	46	KOREA ELECTRIC POWER CORP ADS	11.5913	533.20	
11-23	Bought	20	KROGER CO	56.4544	1,129.09	
01-07	Sold	165	LADBROKE GROUP PLC ADR	4.2500	701.22	
04-17	Bought	34	LAFARGE	38.9861	1,325.53	
09-28	Sold	34	LAFARGE	29.5049	1,003.13	
02-02	Bought	5	LEGATO SYSTEMS INC	45.2500	226.25	
04-15	Sold	5	LEGATO SYSTEMS INC	62.3750	311.86	TRADE CARRIES STOCK DISTRIB.
04-17	Stock Dividend	22	LEGATO SYSTEMS INC			
10-30	Sold	44	LEGATO SYSTEMS INC	37.8183	1,663.95	
03-03	Bought	19	LEGGETT & PLATT INC	49.9330	948.73	
06-15	Stock Dividend	19	LEGGETT & PLATT INC			
11-25	Sold	38	LEGGETT & PLATT INC	22.2914	847.04	
06-29	Bought	23	LEXMARK INTL GROUP INC A	59	1,357.00	
07-23	Bought	9	LHS GROUP INC	72	648.00	
10-14	Sold	9	LHS GROUP INC	38.6250	347.61	
03-26	Bought	44	LOGITECH INTL SA ADR	16.3750	720.50	
05-20	Sold	44	LOGITECH INTL SA ADR	14.5000	637.97	
04-03	Bought	5	LUXOTTICA GROUP SPA SPON ADR	94	470.00	
04-16	Stock Dividend	20	LUXOTTICA GROUP SPA SPON ADR			
06-23	Bought	30	LUXOTTICA GROUP SPA SPON ADR	16.8081	504.24	
09-08	Sold	55	LUXOTTICA GROUP SPA SPON ADR	12.6250	694.35	
09-24	Sold	25	MAGYAR TAVKOZLESIRT	21.1250	528.11	
04-27	Bought	1	MANNESMANN AG SP ADR	792	792.00	
06-08	Stock Dividend	9	MANNESMANN AG SP ADR			
11-10	Bought	19	MARUICO LTD ADR NEW	36.5625	694.69	
09-01	Bought	16	MEDIAONE GROUP INC	45.5570	728.91	
11-24	Sold	16	MEDIAONE GROUP INC	38.1250	609.97	
07-06	Bought	10	METTLER TOLEDO INTL	20	200.00	
02-03	Bought	18	MGIC INVT CORP	67.9887	1,223.80	
05-21	Bought	10	MGIC INVT CORP	60	600.00	
09-11	Sold	28	MGIC INVT CORP	42.7500	1,196.96	
03-18	Sold	50	MINEBEA LTD SPONS ADR	21.7500	1,087.46	
06-19	Sold	32	MINEBEA LTD SPONS ADR	17.2500	551.98	
08-26	Bought	8	MODERN TIMES GP MTG AB ADR	75 3/16	601.50	
11-04	Sold	8	MODERN TIMES GP MTG AB ADR	54	431.98	
02-03	Bought	13	NATL GRID GROUP PLC ADR	48.5000	630.50	
02-09	Stock Dividend	9	NATL GRID GROUP PLC ADR			
06-25	Bought	20	NATL GRID GROUP PLC ADR	32 3/4	655.00	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 108600 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-15	Sold	15	NATL GRID GROUP PLC ADR	35.4031	531.03	
07-31	Bought	6	NATL GRID GROUP PLC ADR	36.3065	181.53	
10-09	Sold	18	NATL GRID GROUP PLC ADR	33.9375	610.85	
03-27	Sold	5	NATL WESTMINSTER BK PLC ADR	109.5038	547.50	
01-08	Bought	5	NESTLE SPON ADR REP REG SHR	76.3750	381.88	
07-29	Sold	9	NESTLE SPON ADR REP REG SHR	106	953.96	
12-02	Sold	6	NESTLE SPON ADR REP REG SHR	103	617.97	
10-22	Bought	17	NETCOM SYS AB SPONS ADR	35.1250	597.13	
12-03	Bought	23	NETWORKS ASSOCIATES INC	52.0625	1,197.44	
02-24	Bought	18	NEW DIMENSION SOFTWARE LTD	22.7500	409.50	
04-08	Sold	8	NEW DIMENSION SOFTWARE LTD	22.4826	179.85	
07-28	Sold	10	NEW DIMENSION SOFTWARE LTD	28.5447	285.44	
12-10	Bought	10	NEWBRIDGE NETWORKS	30.7356	307.36	
01-22	Bought	17	NEWCOURT CREDIT	36.4073	618.92	
02-24	Bought	10	NEWCOURT CREDIT	43.2500	432.50	
04-15	Sold	5	NEWCOURT CREDIT	49.3125	246.55	
08-17	Sold	11	NEWCOURT CREDIT	44.1433	485.56	
09-03	Sold	11	NEWCOURT CREDIT	33.9327	373.24	
05-19	Sold	29	NEWS CP LTD ADR NEW	25.2500	732.22	
01-06	Bought	43	NINTENDO CO LTD ADR NEW	12.3750	532.13	
03-18	Sold	35	NINTENDO CO LTD ADR NEW	10.3750	363.11	
03-30	Sold	32	NINTENDO CO LTD ADR NEW	9.6250	307.98	
06-23	Bought	20	NINTENDO CO LTD ADR NEW	12.2500	245.00	
12-22	Sold	57	NINTENDO CO LTD ADR NEW	11.3750	648.35	
02-04	Bought	13	NIPPON TELEGRAPH&TELEPHONE ADS	46.5128	604.67	
03-20	Sold	13	NIPPON TELEGRAPH&TELEPHONE ADS	42.5000	552.48	
05-15	Stock Dividend	18	NO FORK BANCORP			
05-21	Bought	10	NO FORK BANCORP	24.8125	248.13	
09-01	Sold	65	NO FORK BANCORP	20.2030	1,313.15	
04-15	Stock Dividend	8	NOKIA CP ADR			
01-09	Stock Dividend	15	NORTHERN TELECOM LTD			
01-23	Sold	30	NORTHERN TELECOM LTD	44.0956	1,322.82	
04-14	Sold	5	NOVARTIS AG ADR	85.8750	429.36	
04-28	Sold	5	NOVARTIS AG ADR	80	399.98	
10-29	Bought	5	NOVARTIS AG ADR	91	455.00	
12-18	Bought	3	NOVARTIS AG ADR	97	291.00	
02-04	Bought	18	NOVEL DENIM HOLDINGS LTD	20.2500	364.50	
08-10	Sold	31	NOVEL DENIM HOLDINGS LTD	18.4320	571.37	
09-29	Bought	50	OLYMPUS OPTICAL SPON ADR	10 7/8	543.75	
12-29	Stock Dividend	15	OMNICOM GROUP			
06-03	Bought	21	OMV AG SPONSORED ADR	29.2500	614.25	
06-23	Bought	10	OMV AG SPONSORED ADR	27.3750	273.75	
09-02	Sold	31	OMV AG SPONSORED ADR	18.5313	574.45	
02-02	Bought	24	ORANGE PLC ADR	25.7899	618.96	
03-03	Bought	12	ORANGE PLC ADR	28.6250	343.50	
03-10	Bought	10	ORANGE PLC ADR	27.0960	270.96	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 108600 FA 022

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	04-13	Sold	20	ORANGE PLC ADR	36.1363	722.70	
	04-30	Sold	5	ORANGE PLC ADR	33.6250	168.12	
	09-09	Sold	5	ORANGE PLC ADR	46.2500	231.24	
	10-14	Sold	16	ORANGE PLC ADR	35.1276	562.02	
	09-28	Bought	17	ORIX CORP	31.9432	543.03	
	05-28	Bought	36	PEARSON PLC SP ADR	17 12/16	639.00	
	06-23	Bought	35	PEARSON PLC SP ADR	18.6250	651.88	
	10-27	Sold	71	PEARSON PLC SP ADR	15.1875	1,078.27	
	01-22	Sold	54	PEREZ COMPANC SA SPS ADR	12.7500	688.47	
	01-12	Sold	14	PETROLIUM GEO SVCES A/S ADS	59.2500	829.47	
	03-04	Bought	5	PETROLIUM GEO SVCES A/S ADS	56.9942	284.97	
	06-24	Stock Dividend	15	PETROLIUM GEO SVCES A/S ADS			
	08-28	Bought	19	PETROLIUM GEO SVCES A/S ADS	15.6570	297.48	
	12-11	Sold	49	PETROLIUM GEO SVCES A/S ADS	15.3931	754.23	
	03-23	Sold	15	PHILIPS ELECTR NV (HOLDING CO)	71.1250	1,066.84	
	04-27	Bought	6	PHILIPS ELECTR NV (HOLDING CO)	92.6250	555.75	
	07-28	Sold	6	PHILIPS ELECTR NV (HOLDING CO)	85.2529	511.50	
	02-03	Sold	12	POLYGRAM NV	45	539.98	
	02-20	Bought	5	PORTUGAL TELECOM S.A. ADS	50 10/16	253.13	
	04-30	Sold	15	PORTUGAL TELECOM S.A. ADS	50 14/16	763.10	
	05-20	Sold	10	PORTUGAL TELECOM S.A. ADS	52.5907	525.89	
	09-25	Bought	8	POWERGEN PLC ADR FINAL ISTLMNT	59 8/16	476.00	
	09-29	Bought	5	POWERGEN PLC ADR FINAL ISTLMNT	61.7066	308.53	
	11-27	Sold	13	POWERGEN PLC ADR FINAL ISTLMNT	57.5441	748.04	
	01-05	Bought	24	QUINTILES TRANSNATIONAL	37.7307	905.54	
	01-28	Bought	6	QUINTILES TRANSNATIONAL	41.7500	250.50	
	11-19	Sold	30	QUINTILES TRANSNATIONAL	47.1540	1,414.57	
	11-27	Bought	28	QWEST COMMUNICATIONS INTL INC	41.6175	1,165.29	
	10-20	Bought	2	RAILTRACK GP PLC SPONS ADR	280.7500	561.50	
	12-15	Sold	2	RAILTRACK GP PLC SPONS ADR	230	459.98	
	01-21	Sold	9	REUTERS HLDGS PLC ADR B	60.0625	540.54	
	02-17	Bought	5	ROCHE HOLDINGS ADR	117.2500	586.25	
	03-23	Sold	5	ROCHE HOLDINGS ADR	109.5000	547.48	
	10-16	Bought	4	ROCHE HOLDINGS ADR	106	424.00	
	03-17	Bought	25	ROLLS ROYCE PLC SPONS ADR	23 1/8	578.13	
	05-18	Bought	20	ROLLS ROYCE PLC SPONS ADR	24.5000	490.00	
	06-22	Sold	45	ROLLS ROYCE PLC SPONS ADR	21.2500	956.21	
	01-12	Sold	13	ROYAL DUTCH PETE NY 1.25 GU	52.2500	679.22	
	02-20	Sold	12	ROYAL DUTCH PETE NY 1.25 GU	52.4375	629.22	
	08-19	Bought	19	ROYAL PTT NEDERLAND N.V. ADS	42.3750	805.13	
	09-10	Sold	19	ROYAL PTT NEDERLAND N.V. ADS	36.5538	694.49	
	05-20	Sold	22	RWE AG SPONSORED ADR	50.2500	1,105.46	
	05-19	Bought	5	RYANAIR HLDGS PLC ADR	35	175.00	
	09-01	Sold	14	RYANAIR HLDGS PLC ADR	29.0854	407.18	
	11-19	Sold	19	RYANAIR HLDGS PLC ADR	30	569.98	
	06-19	Sold	7	SAP AKRIEN SPN ADR	211	1,476.95	
	07-27	Stock Dividend	9	SAP AKTIENGESELLSCHAFT ADS			

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

3509

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 108600 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-14	Bought	5	SAP AKTIENGESELLSCHAFT ADS	50.2092	251.05	
10-09	Sold	17	SAP AKTIENGESELLSCHAFT ADS	33.5000	569.48	
03-31	Sold	28	SCI SYSTEMS INC	39.0848	1,094.33	
11-23	Bought	38	SEAGATE TECHNOLOGY	30.9375	1,175.63	
11-12	Bought	7	SEKISUI HOUSE LTD ADR	101.8750	713.13	
09-04	Bought	20	SERVICE CORP INTL	34.6875	693.75	
03-04	Sold	10	SEVEN ELEVEN JAPAN CO LTD ADR	69.5845	695.82	
07-23	Bought	18	SHIRE PHARM GROUP PLC ADS	27.3864	492.96	
07-28	Bought	14	SHIRE PHARM GROUP PLC ADS	27.2437	381.41	
08-06	Bought	13	SHIRE PHARM GROUP PLC ADS	23.9243	311.02	
08-17	Sold	19	SHIRE PHARM GROUP PLC ADS	19.1436	363.71	
09-01	Bought	11	SHIRE PHARM GROUP PLC ADS	19.8750	218.63	
02-03	Sold	116	SIDERURGICA SPON ADR	2.6256	304.55	
12-14	Bought	12	SMITHKLINE PLC ADS 5	65.8004	789.60	
03-05	Bought	34	SOCIETE GENERALE SP ADR	30.6121	1,040.81	
07-06	Bought	5	SOCIETE GENERALE SP ADR	41.8750	209.38	
09-11	Sold	24	SOCIETE GENERALE SP ADR	34.2441	821.83	
09-22	Sold	15	SOCIETE GENERALE SP ADR	27.1496	407.22	
03-13	Sold	5	SONY CORP ADR 1974 NEW	88.0248	440.10	
09-04	Bought	6	SONY CORP ADR 1974 NEW	74.4888	446.93	
05-05	Sold	20	SOUTHCORP HOLDINGS LTD SPN ADR	17.8750	357.48	
06-12	Sold	21	SOUTHCORP HOLDINGS LTD SPN ADR	16.5000	346.48	
08-26	Bought	14	STMICROELECTRONICS NV	61.6433	863.01	
10-14	Sold	14	STMICROELECTRONICS NV	38.5041	539.04	
10-20	Bought	22	SUN MICROSYSTEMS INC	47.6250	1,047.75	
11-27	Bought	15	SUNAMERICA INC	80.6648	1,209.97	
03-04	Bought	16	SUNDSTRAND CORP	60.3746	965.99	
05-21	Bought	5	SUNDSTRAND CORP	65.9375	329.69	
11-04	Sold	21	SUNDSTRAND CORP	47.3125	993.52	
10-09	Bought	18	SWISSCOM AG	28.7475	517.46	
02-20	Stock Dividend	6	SYBRON INTL CP WISC			
06-01	Sold	12	SYBRON INTL CP WISC	22.5739	270.88	
11-23	Bought	38	TAIWAN SMCNDCTR MFG CO LTD ADR	16.0414	609.57	
02-02	Bought	17	TATE & LYLE PLC SPON ADR	35.7500	607.75	
02-09	Sold	17	TATE & LYLE PLC SPON ADR	33.3750	567.36	
12-28	Bought	10	TDK CP ADR NEW	86.6844	866.84	
02-02	Sold	13	TECHNOMATIX TECH LTD ORD	27.1250	352.61	
03-05	Bought	4	TELE BRASILEIRAS SA SPND ADR	126.1654	504.66	
06-12	Sold	4	TELE BRASILEIRAS SA SPND ADR	115.8125	463.23	
07-24	Bought	3	TELE BRASILEIRAS SA SPND ADR	120.4375	361.31	
08-06	Sold	3	TELE BRASILEIRAS SA SPND ADR	118.8125	356.42	
10-14	Sold	12	TELECOM ITALIA S.P.A	53.3970	640.73	
08-10	Bought	5	TELECOMUNICACOES BRAS SA ADS	109 14/16	549.38	
08-27	Sold	5	TELECOMUNICACOES BRAS SA ADS	82.8400	414.18	
10-29	Bought	5	TELECOMUNICACOES BRAS SA ADS	77.7080	388.54	
12-23	Sold	5	TELECOMUNICACOES BRAS SA ADS	77.1112	385.54	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 042 108000 FA 022

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	02-02	Bought	9	TELEFONICA DE ESPANA SA ADR	99 12/16	897.75	
	02-20	Bought	12	TELEFONICA DE ARGENTINA SA ADR	38 13/16	465.75	
	03-06	Bought	5	TELEFONICA DE ARGENTINA SA ADR	36.3750	181.88	
	04-17	Stock Dividend	9	TELEFONICA DE ESP RT 5 4 98			
	04-30	Sold	10	TELEFONICA DE ARGENTINA SA ADR	37 7/16	374.36	
	06-01	Sold	7	TELEFONICA DE ARGENTINA SA ADR	31.6793	221.75	
	06-19	Sold	9	TELEFONICA DE ESP RT 5 4 98		20.03	DISTRIBUTION UNEXERCISED RTS SOLD FOR 2.225
	11-09	Sold	7	TELEFONICA DE ESPANA SA ADR	139.1930	974.31	
	12-21	Bought	5	TELEFONICA DE ESPANA SA ADR	131.8247	659.12	
	10-15	Bought	11	TELEFONOS DE MX SA DE CV ADR	46.5635	512.20	
	06-25	Bought	15	TELESYSTEMS INTL WIRELESS INC	19 3/4	296.25	
	08-10	Bought	16	TELESYSTEMS INTL WIRELESS INC	20.1157	321.85	
	09-17	Sold	31	TELESYSTEMS INTL WIRELESS INC	11	340.98	
	05-26	Bought	5	TEXAS INDUSTRIES	61.5343	307.67	
	07-30	Sold	23	TEXAS INDUSTRIES	40 4/16	925.71	
	05-26	Bought	10	TJX COS INC NEW	48.5000	485.00	
	06-25	Stock Dividend	38	TJX COS INC NEW			
	09-30	Sold	76	TJX COS INC NEW	17.5803	1,336.05	
	05-01	Sold	45	TOMRA SYS A/S ADR NEW	30.8750	1,389.33	
	07-27	Sold	13	TOMRA SYS A/S ADR NEW	30.1250	391.61	
	04-02	Bought	10	TOTAL SA SPONSORED ADR	61	610.00	
	09-01	Sold	10	TOTAL SA SPONSORED ADR	48.4614	484.59	
	10-15	Bought	8	TOTAL SA SPONSORED ADR	59	472.00	
	05-21	Bought	10	TRANSACTION SYS ARCH INC A	40.0552	400.55	
	11-04	Sold	29	TRANSACTION SYS ARCH INC A	36	1,043.96	
	12-24	Bought	26	TRANSACTION SYS ARCH INC A	43.2500	1,124.50	
	02-02	Sold	30	TUBOS DE ACERO NEW ADR	16	479.98	
	09-04	Bought	12	TYCO INTERNATIONAL LTD NEW	54.9375	659.25	
	03-16	Bought	15	UNIBANCO GDS	35.5000	532.50	
	06-12	Sold	15	UNIBANCO GDS	31.2500	468.73	
	11-16	Bought	20	UNIBANCO GDS	19.5000	390.00	
	12-14	Sold	20	UNIBANCO GDS	17.5242	350.46	
	03-02	Sold	10	UNIFI INC	34.8125	348.11	
	02-20	Sold	5	UNILEVER PLC AMER SHARES	34	169.99	
	04-06	Bought	10	UNILEVER PLC AMER SHARES	40.0237	400.24	
	04-30	Sold	10	UNILEVER PLC AMER SHARES	39.9996	399.98	
	06-19	Bought	5	UNILEVER PLC AMER SHARES	44.0194	220.10	
	06-22	Bought	5	UNILEVER PLC AMER SHARES	45.7395	228.70	
	07-15	Sold	5	UNILEVER PLC AMER SHARES	42	209.99	
	08-13	Sold	22	UNILEVER PLC AMER SHARES	38.5042	847.06	
	07-10	Sold	20	UNION BK SWITZ SPS ADR		735.87	EXCHANGE FOR CASH
	01-09	Bought	22	UNIVERSAL CP VA	41.7500	918.50	
	11-25	Sold	22	UNIVERSAL CP VA	35.5000	780.97	
	08-05	Bought	10	VALEO SPONSORED ADR	96	960.00	
	04-08	Bought	43	VARCO INTERNATIONAL INC	27 6/16	1,177.13	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

1998 Active Assets® Annual Summary Statement

Account Number 642 108000 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-09	Sold	43	VARCO INTERNATIONAL INC	6 11/16	287.55	
09-04	Bought	14	VIAG AG ADR	63.9211	894.90	
10-08	Sold	5	VIAG AG ADR	58.2835	291.41	
12-08	Sold	9	VIAG AG ADR	57.5000	517.48	
07-01	Sold	36	VIRGIN EXPRESS HLDG PLC SP ADR	11 7/16	411.73	
02-17	Bought	13	VITESSE SEMICONDUCTORS	49.1250	638.63	
05-26	Stock Dividend	13	VITESSE SEMICONDUCTORS			
07-28	Sold	31	VIVENDI SPONSORED ADR	43.3312	1,343.22	
10-20	Bought	18	VIVENDI SPONSORED ADR	44	792.00	
09-04	Bought	19	VNU NV SP ADR	43	817.00	
04-07	Bought	5	VODAFONE GROUP PUBLIC CO.	104.9349	524.67	
05-01	Bought	5	VODAFONE GROUP PUBLIC CO.	103.3125	516.56	
09-04	Sold	4	VODAFONE GROUP PUBLIC CO.	121.6649	486.64	
04-06	Bought	6	VOLKSWAGEN AG SPON ADR	159	954.00	
06-19	Bought	1	VOLKSWAGEN AG SPON ADR	187	187.00	
07-06	Bought	2	VOLKSWAGEN AG SPON ADR	193.5000	387.00	
07-13	Stock Dividend	81	VOLKSWAGEN AG SPON ADR			
11-04	Sold	90	VOLKSWAGEN AG SPON ADR	15	1,349.95	
12-24	Bought	75	VOLKSWAGEN AG SPON ADR	15.5000	1,162.50	
07-08	Bought	30	VOLVO AB ADR B	32.1750	965.25	
10-27	Sold	30	VOLVO AB ADR B	21.2500	637.47	
06-15	Bought	27	WATSON PHARMACEUTICAL INC	45 6/16	1,225.13	
04-14	Stock Dividend	14	WEBSTER FINCL CORP			
05-21	Bought	5	WEBSTER FINCL CORP	33.0615	165.31	
09-08	Sold	33	WEBSTER FINCL CORP	22.0550	727.79	
05-05	Sold	15	WESTPAC BANKING SPONS ADR	33.6212	504.30	
05-27	Sold	13	WESTPAC BANKING SPONS ADR	34	441.98	
11-27	Bought	40	WILLIAMS CO INC	29.3897	1,175.59	
09-04	Bought	4	WOLTERS KLUWER NV SPON ADR	168.2500	673.00	
10-01	Bought	1	WOLTERS KLUWER NV SPON ADR	192	192.00	
06-30	Bought	2	YAHOO INC	153.9063	307.81	
07-31	Stock Dividend	2	YAHOO INC			
10-27	Bought	19	YPF SOCIEDAD ADS REP 1 CL-D SH	28.1870	535.55	
12-04	Sold	19	YPF SOCIEDAD ADS REP 1 CL-D SH	28 5/16	537.92	
01-27	Bought	5	ZENECA GRP PLC SPON ADR	123.6250	618.13	
04-07	Stock Dividend	10	ZENECA GRP PLC SPON ADR			
07-15	Sold	15	ZENECA GRP PLC SPON ADR	39.8125	597.17	

Income

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	450.81	Money Market Funds
Dividend	AB ELECTROLOX SPON ADR	16.39	Stocks
Dividend	AFLAC INCORPORATED	1.17	Stocks
Dividend	AKZO NOBEL NV ADR	19.24	Stocks
Dividend	ALCATEL ALSTHOM SPON ADR	12.08	Stocks
Dividend	AMVESCAP PLC ADR	8.84	Stocks

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

1998 Active Assets® Annual Summary Statement

Account Number 642 113487 FA 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
30	SEARS ROEBUCK & CO	42 1/2	1,275.00	1.1%	27	2.16%	S	Next Dividend Payable 01/04/99
75	SOCIETE GENERALE SP ADR	32 3/8	2,428.12	2.0%	44	1.84%	SCGLY	
33	SONY CORP ADR 1974 NEW	72	2,376.00	2.0%	12	.52%	SNE	Next Dividend Payable 06/99
90	UNILEVER PLC AMER SHARES	45	4,050.00	3.4%	53	1.32%	UL	Next Dividend Payable 06/99
130	VIVENDI SPONSORED ADR	51 7/8	6,743.75	5.6%	52	.78%	VVDIY	
Total Stocks			$115,632.08	96.6%	$1,833	1.58%		

Asset Summary	Value	Est Yrly Income
Total Asset Value	119,721.22	$2,031

1998 ACTIVITY DETAILS

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	07-17	Bought	65	ABN AMRO ADR	25.6250	1,665.63	
	11-03	Sold	150	ABN AMRO ADR	18.4375	2,765.53	
	12-03	Bought	165	ABN AMRO ADR	20.9375	3,454.69	
	01-26	Stock Dividend	30	AMERITECH CORP			
	10-05	Received	33	BANKAMERICA CORP NEW			EXCHANGE
	10-05	Sold		BANKAMERICA CORP NEW		50.72	CASH IN LIEU OF FRACTIONS
	10-05	Tender	30	BANKAMERICA CORP OLD			EXCHANGE
	06-23	Stock Dividend	25	DAIMLER-BENZ AG RTS 6/25/98			
	06-26	Sold	25	DAIMLER-BENZ AG RTS 6/25/98	1.1875	29.68	
	11-16	Received	25	DAIMLER-CHRYSLER AG ORD			TENDER DISTRIBUTION FOR DAIMLERBENZ EXCHANGE
	11-16	Sold		DAIMLER-CHRYSLER AG ORD		10.58	CASH IN LIEU OF FRACTIONS
	10-12	Received	25	DAIMLERBENZ EXCHANGE			TENDER
	10-12	Tender	25	DAIMLERBENZ AKTIENGESELLSCHAFT			TENDER
	11-16	Tender	25	DAIMLERBENZ EXCHANGE			TENDER PAYMENT
	10-07	Sold	35	DEERE & CO	30	1,049.96	
	02-11	Received	43	DIAGEO PLC SPON ADR NEW			EXCHANGE
	02-11	Sold		DIAGEO PLC SPON ADR NEW		7.38	CASH IN LIEU OF FRACTIONS
	02-11	Tender	50	DIAGEO PLC ADS			EXCHANGE
	10-14	Sold	184	FIAT SPA PREF AH DEP SH	7.4044	1,362.36	
	05-13	Stock Dividend	26	HEINEKEN NV ADR			

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

MORGAN STANLEY DEAN WITTER

NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

1998 Active Assets® Annual Summary Statement

Account Number 042 113487 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-19	Bought	40	JOHNSON & JOHNSON	79.3485	3,173.94	
06-08	Stock Dividend	63	MANNESMANN AG SP ADR			
10-22	Sold	11	MATSUSHITA EL INDL ADR	151.3422	1,664.70	
10-21	Bought	25	MERCK & CO	134.8753	3,371.88	
03-11	Sold	25	MITSUI MARINE&FIRE INS LTD ADR	53.1044	1,327.56	
11-03	Sold	30	RICOH LTD ADR	41.7500	1,252.45	
12-03	Bought	50	RICOH LTD ADR	48.5000	2,425.00	
07-16	Bought	30	UNILEVER PLC AMER SHARES	43.3635	1,300.91	
06-24	Sold	35	ZURICH INSURANCE CO REG ADR	126.2680	4,419.23	

Income

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	375.84	Money Market Funds
Dividend	ABN AMRO ADR	61.94	Stocks
Dividend	ALCATEL ALSTHOM SPON ADR	45.29	Stocks
Dividend	ALLIED DOMECQ PLC ADR SPON	105.62	Stocks
Dividend	AMERITECH CORP	72.00	Stocks
Dividend	ASTRA AB CL B ADS	33.25	Stocks
Dividend	BANKAMERICA CORP	31.05	Stocks
Dividend	BANKAMERICA CORP NEW	14.85	Stocks
Dividend	CADBURY SCHW PLC ADR 10 ORD NW	59.74	Stocks
Dividend	CITIGROUP INC	12.06	Stocks
Dividend	DAIMLERBENZ AKTIENGESELLSCHAFT	220.26	Stocks
Dividend	DEERE & CO	30.80	Stocks
Dividend	DEUTSCHE BANK AG SP ADR	21.64	Stocks
Dividend	DIAGEO PLC ADS	231.00	Stocks
Dividend	DIAGEO PLC SPON ADR NEW	66.34	Stocks
Dividend	DU PONT EI DE NEMOURS & CO	58.70	Stocks
Dividend	ELF AQUITAINE SPON ADR	58.52	Stocks
Dividend	ENDESA SA ADS	80.84	Stocks
Dividend	ENI SPA AMER DEP RCPT	36.83	Stocks
Dividend	FIAT SPA PREF AM DEP SH	42.04	Stocks
Dividend	GEN ELEC PLC ADR	83.96	Stocks
Dividend	GENERAL ELECTRIC CO	36.00	Stocks
Dividend	HEINEKEN NV ADR	9.30	Stocks
Dividend	HOECHST AKTIENGESELLSHAFT	27.99	Stocks
Dividend	HSBC HLDG PLC SPND ADR NEW	54.25	Stocks
Dividend	INTL BUSINESS MACHINES CORP	17.20	Stocks
Dividend	JOHNSON & JOHNSON	10.00	Stocks
Dividend	LOCKHEED MARTIN CORP	32.80	Stocks
Dividend	MANNESMANN AG SP ADR	28.96	Stocks
Dividend	MATSUSHITA EL INDL ADR	10.64	Stocks
Dividend	MOBIL CORP DELAWARE	45.60	Stocks
Dividend	NATIONAL POWER PLC ADS	113.43	Stocks
Dividend	NESTLE SPON ADR REP REG SHR	59.15	Stocks
Dividend	NOVARTIS AG ADR	30.94	Stocks

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

THE HONORABLE NICK JOE RAHALL II
ACCESS #3-EAGLE ASSET MGMT

1998 Active Assets® Annual Summary Statement

Account Number 042 122048 FA 022

1998 ACTIVITY DETAILS

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	11-23	Bought	150	ABR INFORMATION SVCS	15.3750	2,306.25	
	11-30	Bought	75	ACTION PERFORM COS INC	33 3/4	2,531.25	
	08-17	Bought	100	ALTERNATIVE LIVING SERVICES	24 7/16	2,443.75	
	10-16	Sold	100	ALTERNATIVE LIVING SERVICES	18 7/8	1,887.43	
	08-17	Bought	75	AVID TECHNOLOGY INC	34 1/8	2,559.38	
	11-03	Sold	75	AVID TECHNOLOGY INC	23	1,724.94	AC CNG/SPLT REF 302E6941 ACCT/COMM CHG
	03-06	Sold	425	BANK PLUS CORP.	14.5000	6,162.29	
	12-01	Bought	75	BELL & HOWELL COMPANY NEW	33 7/16	2,507.81	
	11-12	Bought	75	BORON LEPORE & ASSOCIATES	26.2500	1,968.75	
	08-06	Tender	200	CAPSTAR HOTEL CO			EXCHANGE
	08-14	Stock Dividend	33	CAPSTAR HOTEL CO			
	08-14	Stock Dividend	33	CAPSTAR HOTEL CO			
	03-16	Bought	100	CERNER CORP	23.5443	2,354.43	
	03-01	Stock Dividend	100	COHERENT INC			
	07-30	Sold	200	COHERENT INC	12.0024	2,400.40	
	08-03	Bought	75	COMMERCIAL FEDERAL CORP	27 7/8	2,090.63	
	03-30	Bought	100	COMPX INTL INC	23 5/8	2,362.50	
	11-03	Sold	100	COMPX INTL INC	18 3/8	1,837.43	
	06-23	Received	257	ENVIRONSOURCE INC NEW			EXCHANGE
	06-23	Sold		ENVIRONSOURCE INC NEW		2.17	CASH IN LIEU OF FRACTIONS
	08-13	Sold	257	ENVIRONSOURCE INC	17.1250	4,400.98	
	06-23	Tender	1,800	ENVIROSOURCE INC OLD			EXCHANGE
	11-17	Bought	100	GARTNER GROUP CL A	18.5000	1,850.00	
	01-30	Sold	200	HOUGHTON MIFFLIN CO	29 1/2	5,899.80	
	11-03	Sold	400	IMCO RECYCLING	13.2344	5,293.58	
	01-16	Sold	50	LEGG MASON INC	49 7/8	2,493.66	
	09-25	Stock Dividend	83	LEGG MASON INC			
	11-03	Sold	200	LENNAR CORPORATION	19 7/8	3,974.86	
	11-03	Sold	200	LNR PROPERTY CORP	17 1/2	3,499.88	
	12-03	Bought	100	LNR PROPERTY CORP	19 7/16	1,943.75	
	12-03	Bought	150	MAIL WELL INC	12 15/16	1,940.63	
	08-03	Stock Dividend	200	MERISTAR HTLS RESORTS INC			
	08-06	Received	200	MERISTAR HOSPITALITY			EXCHANGE
	08-19	Stock Dividend	33	MERISTAR HTL&RES RT83198 NTRF			
	09-04	Sold	200	MERISTAR HTLS RESORTS INC	2.6875	537.48	
	10-23	Tender	33	MERISTAR HTL&RES RT83198 NTRF			EXPIRED - NO VALUE
	11-16	Bought	100	MICRO WAREHOUSE INC	22.3750	2,237.50	
	09-29	Received	107	NOVA CORP GEORGIA			EXCHANGE
	09-29	Sold		NOVA CORP GEORGIA		7.84	CASH IN LIEU OF FRACTIONS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

THE HONORABLE NICK JOE RAHALL II
ACCESS #3-EAGLE ASSET MGMT

1998 Active Assets® Annual Summary Statement

Account Number 642 122048 FA 022

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-09	Bought	100	PERFORMANCE FOOD GROUP COMPANY	25	2,500.00	
02-05	Bought	400	PHARMERICA INC	11.5000	4,600.00	
11-03	Sold	400	PHARMERICA INC	3 19/32	1,437.45	
12-03	Bought	500	PHARMERICA INC	3 15/16	1,968.75	
04-07	Bought	150	PHT SERVICE INC	18.8125	2,821.88	
09-29	Tender	150	PHT SERVICE INC			EXCHANGE
11-10	Bought	225	PSC INC	9.8750	2,221.88	
03-27	Bought	150	SERVICO INC FLA	20	3,000.00	
11-03	Sold	150	SERVICO INC FLA	4 13/16	721.85	
11-03	Sold	200	SYKES ENTERPRISES INC	19 1/8	3,824.87	
12-03	Bought	100	SYKES ENTERPRISES INC	19 15/16	1,993.76	
02-20	Sold	400	SYMMETRICOM INC	9.1250	3,649.87	

Income

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	298.29	Money Market Funds
Dividend	CASH AMER INTERNATIONAL INC	20.00	Stocks
Dividend	CLAIRES STORES	45.00	Stocks
Dividend	COMMERCIAL FEDERAL CORP	4.13	Stocks
Dividend	HUGHES SUPPLY INC	97.50	Stocks
Dividend	IMCO RECYCLING	60.00	Stocks
Dividend	LEGG MASON INC	43.68	Stocks
Dividend	LENNAR CORPORATION	7.50	Stocks
Dividend	LNR PROPERTY CORP	7.50	Stocks
Dividend	MERISTAR HOSPITALITY	63.67	Stocks
	Sub Total Stocks	$348.98	
	Total Income	$647.27	

Other

Date	Activity	Description	Amount	Additional Information
01-15	Journal Out	VISION 1Q98 FEE	-455.07	
02-13	Journal Out	VISION 1Q98 ADVFEE-EAG	-256.48	
04-16	Journal Out	VISION 2Q98 FEE	-499.10	
05-07	Journal Out	VISION 2Q98 ADVFEE-EAG	-284.30	
07-20	Journal Out	VISION 3Q98 FEE	-455.92	
08-05	Journal Out	VISION 3Q98 ADVFEE-EAG	-262.64	
10-20	Journal Out	VISION 4Q98 FEE	-337.55	
11-04	Journal Out	VISION 4Q98 ADVFEE-EAG	-250.00	
	Total		-$2,801.06	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through DS an Witter Reynolds Inc., member SIPC.

BT ALEX. BROWN INCORPORATED
P.O. BOX 1776
BALTIMORE MD 21203

1998 CONSOLIDATED FORM 1099
Page 1

Telephone Number: (212) 974-5700

RECIPIENT'S Name, Street Address, City, State, and Zip Code
NICK JOE RAHALL
1301 DELAWARE AVE SW APT 409N
WASHINGTON DC 20024-3913

Original 01/20/99 ☐ 2nd B Notice

PAYER'S FED ID NUMBER	ACCOUNT NUMBER	ACCOUNT EXECUTIVE	RECIPIENT'S FED ID NUMBER
13-3311934	814-54462	519	236-78-8645

SOME SECURITIES ARE SUBJECT TO DELAYED REPORTING OF INCOME. IF YOU OWNED MUTUAL FUNDS OR REAL ESTATE INVESTMENT TRUSTS(REITS),UTILITIES,COLLATERALIZED MORTGAGE OBLIGATIONS(CMOS), REAL ESTATE MORTGAGE INVESTMENT CONDUITS(REMICS),AND COLLATERALIZED DEBT OBLIGATIONS(CDOS) DURING THE YEAR IT MAYBE PRUDENT TO WAIT UNTIL APRIL 1,1999 TO FILE YOUR TAXES.

1998 FORM 1099-INT: INTEREST INCOME (OMB NO. 1545-0112)

*** NO REPORTABLE 1998 FORM 1099-INT INCOME ***

1998 FORM 1099-DIV: DIVIDENDS & DISTRIBUTIONS (OMB NO. 1545-0110)

*** NO REPORTABLE 1998 FORM 1099-DIV INCOME ***

1998 FORM 1099-B: PROCEEDS FROM BROKER & BARTER EXCH. TRANS. (OMB NO. 1545-0715)

(1A) DATE OF SALE	(5) DESCRIPTION	(1B) CUSIP	(2) STOCKS, BONDS, ETC GROSS PROCEEDS	(4) FED TAX WITHHELD
12/03	CONVERSION TECHNOLOGIES	21254610	16.49	0.00
12/03	UTS HEURISTIC DEVELOPMENT	42820620	587.84	0.00
12/03	VIDEO UPDATE INC-CL A	92657V10	8,259.22	0.00
	3 ITEMS TOTAL		8,863.55	0.00

1998 FORM 1099-OID: ORIGINAL ISSUE DISCOUNT (OMB NO. 1545-0117)

*** NO REPORTABLE 1998 FORM 1099-OID INCOME ***

1998 FORM 1099-MISC: MISCELLANEOUS INCOME (OMB NO. 1545-0115)

*** NO REPORTABLE 1998 FORM 1099-MISC INCOME ***

END OF 1998 CONSOLIDATED FORM 1099

Attachment 77 - Pg 1

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY WILL BE IMPOSED ON YOU IF ANY OF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK JOE RAHALL II AS CUST FOR	REP ACCOUNT	PAGE
NICK JOE RAHALL III UNDER THE	BK08 6540-0350	2 OF 3
WV GIFTS TO MINORS ACT	TAXPAYER ID: 233-17-4890	

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

ISSUE DATE:@01/21/99

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
ADVANCED FIBRE	07/01/98	SALE	200.000	18.8125	$3,677.59
CUSIP: 00754A105					
ADVANCED FIBRE	08/20/98	SALE	500.000	11.8125	$5,769.10
CUSIP: 00754A105					
DIAMOND OFFSHORE DRILLNG	02/27/98	SALE	200.000	46.0625	$9,048.72
CUSIP: 25271C102					
MRV COMMUNICATIONS INC	09/28/98	SALE	1,000.000	7.1250	$6,961.70
CUSIP: 553477100					
SOURCE MEDIA INC	10/29/98	SALE	1,000.000	12.8125	$12,576.55
CUSIP: 836153304					
TOTAL PROCEEDS					$38,033.66

IRS BOX	1099-B -- TOTALS FOR TAX YEAR 1998 IRS FORM 1099-B, OMB NO. 1545-0715	
2.	GROSS PROCEEDS LESS COMMISSIONS ON STOCK, BONDS, ETC	$38,033.66
4.	FEDERAL INCOME TAX WITHHELD	$0.00

Department of the Treasury - Internal Revenue Service Copy B for Recipient WF-PG3-002 3069913

Attachment 23 - p.1

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK JOE RAHALL II AS CUST FOR	REP ACCOUNT	PAGE
NICK JOE RAHALL III UNDER THE	BK08 6540-0350	3 OF 3
WV GIFTS TO MINORS ACT	TAXPAYER ID: 233-17-4890	

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

ISSUE DATE:@01/21/99

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION		DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT	
ADVANCED FIBRE		07/01/98	PURCHASE	200.000	17.0000	$3,505.66	-
CUSIP: 00754A105							
ADVANCED FIBRE		08/17/98	PURCHASE	500.000	10.8750	$5,600.45	-
CUSIP: 00754A105							
COLONIAL SELECT VALUE B		06/19/98	PURCHASE	472.590	21.1600	$10,000.00	
CUSIP: 196094601							
COLONIAL TR III	*	12/18/98	PURCHASE	5.937		$120.04	
CUSIP: 196094601							
COLONIAL TR III	*	12/18/98	PURCHASE	10.892		$220.23	
CUSIP: 196094601							
DIAMOND OFFSHORE DRILLNG		02/12/98	PURCHASE	200.000	43.1875	$8,811.65	-
CUSIP: 25271C102							
MFS I NEW DISCOVERY CL B		06/16/98	PURCHASE	742.390	13.4700	$10,000.00	
CUSIP: 552983546							
MFS SER TR I NEW	*	12/14/98	PURCHASE	.129		$1.66	
CUSIP: 552983546							
MFS SER TR I NEW	*	12/14/98	PURCHASE	6.116		$78.83	
CUSIP: 552983546							
MRV COMMUNICATIONS INC		05/18/98	PURCHASE	200.000	24.8750	$5,097.90	-
CUSIP: 553477100							
MRV COMMUNICATIONS INC		06/01/98	PURCHASE	100.000	23.0000	$2,371.67	-
CUSIP: 553477100							
MRV COMMUNICATIONS INC		08/05/98	PURCHASE	200.000	17.4375	$3,586.39	-
CUSIP: 553477100							
MRV COMMUNICATIONS INC		09/04/98	PURCHASE	500.000	5.6250	$2,917.13	-
CUSIP: 553477100							
SOURCE MEDIA INC		07/16/98	PURCHASE	100.000	28.0000	$2,880.01	-
CUSIP: 836153304							
SOURCE MEDIA INC		07/27/98	PURCHASE	100.000	21.5000	$2,212.38	-
CUSIP: 836153304							
SOURCE MEDIA INC		07/29/98	PURCHASE	100.000	16.5000	$1,706.00	-
CUSIP: 836153304							
SOURCE MEDIA INC		08/17/98	PURCHASE	200.000	12.6250	$2,617.66	-
CUSIP: 836153304							
SOURCE MEDIA INC		08/26/98	PURCHASE	500.000	8.2500	$4,246.21	-
CUSIP: 836153304							
VK PACE FUND CL B	*	06/19/98	PURCHASE	698.324	14.3200	$10,000.00	
CUSIP: 92113N209							
VK PACE FUND CL B	*	12/18/98	PURCHASE	20.011		$269.55	
CUSIP: 92113N209							
VK PACE FUND CL B	*	12/18/98	PURCHASE	44.990		$606.01	
CUSIP: 92113N209							

SALOMON SMITH BARNEY

A member of citigroup

Ref 00008323 00061326

Select Client Statement
Forms 1099 for 1998

N JOE RAHALL II ACF

Account Number 685-00725-10 025 SS # 233-17-4890

Payer

SALOMON SMITH BARNEY INC.
388 GREENWICH STREET
NEW YORK, NEW YORK 10013
Attn: Tax Reporting Service Center
Tax ID Number 13-1912900

Your Financial Consultant

PETER T. JOSEPH
SALOMON SMITH BARNEY INC
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
Branch Office : 717-421-3100

N JOE RAHALL II ACF
NICK JOE RAHALL III UGTMA/WVA
2269 RAYBURN BUILDING
WASHINGTON DC
20515-0534

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B Proceeds from Broker and Barter Exchange Transactions 1998

(OMB#1545-0715)

Gross proceeds from each transaction are individually reported to the IRS after deducting commissions. For bond transactions, accrued income is also deducted. Taxable accrued interest is reported on Form 1099-INT and included in your "Details of 1099 Reported Interest Income".

Reference number	Trade date (Box 1a)	CUSIP number (Box 1b)	Quantity	Description (Box 5)	Price	Gross proceeds less commissions (Box 2)	Federal income tax withheld (Box 4)
120000200	09/23/98	173034109000	300	CITICORP	$ 100.420	$ 29,894.83	
120000600	09/14/98	55268B106000		MCI WORLDCOM INC		25.97	
Totals						**$ 29,920.80**	

3520

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK JOE RAHALL II AS CUST FOR	REP ACCOUNT	PAGE
SUZANNE NICOLE RAHALL UNDER	8K08 6540-1050	3 OF 5
THE WV GIFTS TO MINORS ACT	TAXPAYER ID: 233-25-9977	

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.
CORRECTION: 02/23/99

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
APPLEBEES INTL INC CUSIP: 037899101	04/01/98	SALE	200.000	23.9375	$4,691.13
CAREER EDUCATION CORP CUSIP: 141665109	03/02/98	SALE	200.000	21.2500	$4,140.54
CUMULUS MEDIA CUSIP: 231082108	12/23/98	SALE	300.000	16.7500	$4,925.19
DAVE & BUSTERS INC CUSIP: 23833N104	03/31/98	SALE	100.000	26.8750	$2,619.92
DIAMOND OFFSHORE DRILLNG CUSIP: 25271C102	07/23/98	SALE	100.000	34.3125	$3,375.13
FNMA 92 11E 8 12/25/21 CUSIP: 31358LBZ8	12/25/98	PRINCIPAL	3,000.000		$586.34
GE94 29A4 8.125%11/25/24 CUSIP: 36157TGH8	11/27/98	REDEMPTION	4,000.000		$390.71
GE94 29A4 8.125%11/25/24 CUSIP: 36157TGH8	10/25/98	PRINCIPAL	4,000.000		$3,609.30
MSC K3 8.425%09/20/18 CUSIP: 617909AD8	12/20/98	PRINCIPAL	3,000.000		$641.01
MST CMO SER 15 M 3/20/24 CUSIP: 618901375	12/20/98	PRINCIPAL	5,000.000		$81.90
NABORS INDUSTRIES INC CUSIP: 629568106	03/02/98	SALE	200.000	24.5625	$4,796.41
PHILIP MORRIS COMPANIES CUSIP: 718154107	06/08/98	SALE	100.000	39.8125	$3,906.44
PHILIP MORRIS COMPANIES CUSIP: 718154107	06/18/98	SALE	100.000	40.4375	$3,952.52
PRECISION AUTO CARE INC CUSIP: 74018R105	03/02/98	SALE	400.000	10.6875	$4,158.86
QUANTA SVCS INC CUSIP: 74762E102	03/23/98	SALE	300.000	14.0000	$4,083.54
TRICON GLOBAL CUSIP: 895953107	03/20/98	SALE	150.000	30.6875	$4,492.80
TRICON GLOBAL CUSIP: 895953107	07/16/98	SALE	100.000	33.9375	$3,317.22
VENCOR INC CUSIP: 922602107	03/26/98	SALE	200.000	30.3125	$5,944.27
TOTAL PROCEEDS					$59,713.23

IRS BOX	1099-B -- TOTALS FOR TAX YEAR 1998 IRS FORM 1099-B, OMB NO. 1545-0715	
2. GROSS PROCEEDS LESS COMMISSIONS ON STOCK, BONDS, ETC		$59,713.23
4. FEDERAL INCOME TAX WITHHELD		$0.00

Attachment 25 - p.1

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK JOE RAHALL II AS CUST FOR	REP ACCOUNT	PAGE
SUZANNE NICOLE RAHALL UNDER	BK08 6540-1050	4 OF 5
THE WV GIFTS TO MINORS ACT	TAXPAYER ID: 233-25-9977	

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

CORRECTION: 02/23/99

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION		DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
ADVANCED FIBRE		07/21/98	PURCHASE	100.000	23.5625	$2,419.04
CUSIP: 00754A105						
CAREER EDUCATION CORP		02/06/98	PURCHASE	200.000	18.2500	$3,751.11
CUSIP: 141665109						
COLONIAL US STK FD B		04/28/98	PURCHASE	263.019	19.0100	$5,000.00
CUSIP: 196097406						
COLONIAL US STK FD B		06/16/98	PURCHASE	131.996	18.9400	$2,500.00
CUSIP: 196097406						
COLONIAL TR VI	*	12/18/98	PURCHASE	1.349		$25.68
CUSIP: 196097406						
COLONIAL TR VI	*	12/18/98	PURCHASE	16.701		$317.99
CUSIP: 196097406						
COLUMBIA/HCA HLTH CARE		05/15/98	PURCHASE	100.000	33.3125	$3,412.60
CUSIP: 197677107						
CUMULUS MEDIA		07/21/98	PURCHASE	200.000	15.9375	$3,280.35
CUSIP: 231082108						
CUMULUS MEDIA		07/23/98	PURCHASE	100.000	14.6250	$1,518.50
CUSIP: 231082108						
DAVE & BUSTERS INC		02/05/98	PURCHASE	100.000	23.5000	$2,418.02
CUSIP: 23833N104						
DIAMOND OFFSHORE DRILLNG		07/13/98	PURCHASE	100.000	34.2500	$3,509.30
CUSIP: 25271C102						
DISNEY WALT COMPANY		07/16/98	PURCHASE	100.000	38.5625	$3,944.78
CUSIP: 254687106						
LONE STAR STEAKHOUSE		05/15/98	PURCHASE	200.000	16.5625	$3,407.03
CUSIP: 542307103						
LONE STAR STEAKHOUSE		07/23/98	PURCHASE	100.000	11.9375	$1,249.75
CUSIP: 542307103						
MFS EQUITY RESEARCH	*	12/14/98	PURCHASE	14.405		$328.58
CUSIP: 552981201						
MRV COMMUNICATIONS INC		07/21/98	PURCHASE	100.000	23.3750	$2,399.97
CUSIP: 553477100						
MORGAN STANLEY VALUE XXX		03/25/98	PURCHASE	.506		$5.54
CUSIP: 61744K439						
MORGAN STANLEY VALUE XXX		06/24/98	PURCHASE	.316		$3.28
CUSIP: 61744K439						
NABORS INDUSTRIES INC		02/12/98	PURCHASE	200.000	21.5000	$4,407.73
CUSIP: 629568106						
PHILIP MORRIS COMPANIES		05/15/98	PURCHASE	100.000	35.4375	$3,628.01
CUSIP: 718154107						
PHILIP MORRIS COMPANIES		06/16/98	PURCHASE	100.000	36.6875	$3,739.94
CUSIP: 718154107						
PHYCOR INC		05/15/98	PURCHASE	200.000	19.5625	$4,015.05
CUSIP: 71940F100						
PHYCOR INC		07/23/98	PURCHASE	200.000	10.6875	$2,210.77
CUSIP: 71940F100						
PHYCOR INC		07/23/98	PURCHASE	100.000	9.5000	$961.00
CUSIP: 71940F100						
PRECISION AUTO CARE INC		02/06/98	PURCHASE	400.000	9.5625	$3,943.90
CUSIP: 74018R105						
QUANTA SVCS INC		02/13/98	PURCHASE	300.000	11.3125	$3,449.75
CUSIP: 74762E102						
TRICON GLOBAL		02/13/98	PURCHASE	100.000	27.5625	$2,837.33
CUSIP: 895953107						
TRICON GLOBAL		02/13/98	PURCHASE	50.000	27.5625	$1,415.67
CUSIP: 895953107						

<<<< CONTINUED ON THE NEXT PAGE >>>>

25 - p.2

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT	REP ACCOUNT BK08 6540-1050 TAXPAYER ID: 233-25-9977	PAGE 5 OF 5

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

CORRECTION: 02/23/99

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION		DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
<<<< CONTINUED FROM PREVIOUS PAGE >>>>						
TRICON GLOBAL CUSIP: 895953107		05/12/98	PURCHASE	100.000	30.4375	$3,115.74
VK COMSTOCK FUND CUSIP: 92112D202	*	03/25/98	PURCHASE	.759		$13.14
VK COMSTOCK FUND CUSIP: 92112D202	*	03/25/98	PURCHASE	7.610		$131.73
VK COMSTOCK FUND CUSIP: 92112D202	*	03/25/98	PURCHASE	9.381		$162.38
VK COMSTOCK FUND CUSIP: 92112D202	*	06/24/98	PURCHASE	.811		$13.72
VK COMSTOCK FUND CUSIP: 92112D202	*	09/23/98	PURCHASE	.861		$13.74
VK COMSTOCK FUND CUSIP: 92112D202	*	12/11/98	PURCHASE	1.648		$26.48
VK COMSTOCK FUND CUSIP: 92112D202	*	12/11/98	PURCHASE	17.812		$286.24
VK COMSTOCK FUND CUSIP: 92112D202	*	12/11/98	PURCHASE	33.484		$538.08
VK PACE FUND CL B CUSIP: 92113N209	*	04/28/98	PURCHASE	346.741	14.4200	$5,000.00
VK PACE FUND CL B CUSIP: 92113N209	*	06/16/98	PURCHASE	176.056	14.2000	$2,500.00
VK PACE FUND CL B CUSIP: 92113N209	*	12/18/98	PURCHASE	14.981		$201.80
VK PACE FUND CL B CUSIP: 92113N209	*	12/18/98	PURCHASE	33.681		$453.68
VAN KAMPEN SER FD INC CUSIP: 921133591	*	09/23/98	PURCHASE	.372		$3.28
VAN KAMPEN SER FD INC CUSIP: 921133591	*	12/11/98	PURCHASE	9.344		$88.11

COLLATERALIZED DEBT OBLIGATIONS.
REAL ESTATE MORTGAGE INVESTMENT CONDUITS (REMICS) & COLL. MORTGAGE OBLIG (CMO).

DESCRIPTION	TYPE
FNMA 92 11E B 12/25/21	REMIC
GE94 29A4 8.125%11/25/24	REMIC

IRS REGULATIONS REQUIRE US TO PROVIDE ADDITIONAL INFORMATION TO HOLDERS OF SECURITIES CLASSIFIED AS COLLATERALIZED DEBT OBLIGATIONS.
REMIC- INCOME DERIVED FROM REMICS MUST BE REPORTED USING THE ACCRUAL METHOD OF ACCOUNTING. THIS MEANS, THE INTEREST APPEARING ON YOUR FORM 1099-OID/REMIC IS REPORTED ON AN ACCRUAL METHOD RATHER THAN A CASH BASIS. PER IRS REGULATIONS INCOME GENERATED BY A REMIC WILL BE REPORTED ON FORM 1099-OID/REMIC WHICH WILL BE ISSUED BY MARCH 15.
CMO- A STATEMENT OF ADDITIONAL INFORMATION WHICH IS HELPFUL IN DETERMINING THE AMORTIZATION OF MARKET DISCOUNT, MARKET PREMIUM OR BOND PREMIUM WILL BE ISSUED BY MARCH 15.

25 - p.3

DEAN WITTER REYNOLDS INC.

RETIREMENT ACCOUNT
FOR MONTH ENDING MARCH 31, 1998

NICK JOE RAHALL II
1301 DELAWARE AVENUE SW #409N

Account Number AE
642 115917 145

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	28.00	---	---
DEAN WITTER LIQUID ASSET FUND	4,456.19	10.5%	228
Total Cash and Money Market Funds	**$4,484.19**	**10.5%**	**$228**

Annualized 30 Day Yields
Dean Witter Liquid Asset Fund.....5.13%
Dean Witter US Govt Money Mkt.....4.73%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
100	BOEING CO	52 1/8	5,212.50	12.4%	56	1.07%	BA	Next Dividend Payable 06/98
500	BURNHAM PAC PPTYS	14 5/8	7,312.50	17.3%	525	7.17%	BPP	Next Dividend Payable 06/98
100	DIAMOND OFFSHORE DRILLING INC	45 3/8	4,537.50	10.8%	50	1.10%	DO	Next Dividend Payable 06/98
150	HOUSEHOLD INTL INC	137 3/4	20,662.50	49.0%	270	1.30%	HI	Next Dividend Payable 04/15/98
Total Stocks			**$37,725.00**	**89.5%**	**$901**	**2.38%**		

Asset Summary	Value	Est Yrly Income
Total Asset Value	**42,209.19**	**$1,129**

ACTIVITY DETAILS

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	03-05	Sold	200	GREEN TREE FINANCIAL CORP	22 3/4	4,537.49	PREFERENTIAL RATE CLIENT LONG IN ACCOUNT
	03-10	Bought	100	WADDELL&REED FINCL INC CL A	23	2,300.00	
	03-10	Sold	100	WADDELL&REED FINCL INC CL A	26 13/16	2,599.81	
	03-10	Bought	100	KN ENERGY	52	5,200.00	
	03-27	Sold	100	KN ENERGY	57 3/8	5,648.28	
	03-30	Bought	500	BURNHAM PAC PPTYS	14 1/8	7,062.50	

DEAN WITTER REYNOLDS INC.

RETIREMENT ACCOUNT
FOR MONTH ENDING APRIL 30, 1998

NICK JOE RAHALL II
1301 DELAWARE AVENUE SW #409N

Account Number AE
642 115917 145

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
DEAN WITTER LIQUID ASSET FUND	2,770.01	6.6%	141
Total Cash and Money Market Funds	**$2,770.01**	**6.6%**	**$141**

Annualized 30 Day Yields

Dean Witter Liquid Asset Fund 5.10%
Dean Witter US Govt Money Mkt 4.68%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
100	BOEING CO	50 1/16	5,006.25	12.1%	56	1.11%	BA	Next Dividend Payable 06/98
500	BURNHAM PAC PPTYS	14 1/8	7,062.50	17.1%	525	7.43%	BPP	Next Dividend Payable 06/98
100	DIAMOND OFFSHORE DRILLING INC	50 5/8	5,062.50	12.2%	50	.98%	DO	Next Dividend Payable 06/01/98
150	HOUSEHOLD INTL INC	131 7/16	19,715.62	47.6%	270	1.36%	HI	Next Dividend Payable 07/98
100	LASALLE HOTEL PROPERTIES	18 1/4	1,825.00	4.4%	0	0.00%	LHO	
Total Stocks			**$38,671.87**	**93.4%**	**$901**	**2.32%**		

Asset Summary	Value	Est Yrly Income
Total Asset Value	**41,441.88**	**$1,042**

ACTIVITY DETAILS

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	04-29	Bought	100	LASALLE HOTEL PROPERTIES	18	1,800.00	

Income	Date	Activity	Description	Amount	Income Category
	04-15	Dividend	HOUSEHOLD INTL INC	67.50	Stocks
	04-30	Dividend	DEAN WITTER LIQUID ASSET FUND	18.32	Money Market Funds
			Total Income	**$85.82**	

3525

DEAN WITTER REYNOLDS INC.

RETIREMENT ACCOUNT
FOR MONTH ENDING MAY 31, 1998

NICK JOE RAHALL II
1301 DELAWARE AVENUE SW #409N

Account Number 642 115917 AE 145

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
DEAN WITTER LIQUID ASSET FUND	5,564.30	13.2%	282
Total Cash and Money Market Funds	**$5,564.30**	**13.2%**	**$282**

Annualized 30 Day Yields

Dean Witter Liquid Asset Fund 5.08%
Dean Witter US Govt Money Mkt. 4.66%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
100	ARM FINCL GP INC CLASS A	20 7/16	2,043.75	4.9%	16	.78%	ARM	Next Dividend Payable 06/15/98
500	BURNHAM PAC PPTYS	14	7,000.00	16.8%	525	7.50%	BPP	Next Dividend Payable 06/98
150	HOUSEHOLD INTL INC	135 5/16	20,296.87	48.5%	270	1.33%	HI	Next Dividend Payable 06/01/98
100	LASALLE HOTEL PROPERTIES	16 15/16	1,693.75	4.0%	0	0.00%	LHO	
100	MWD&CO MED TERM 6% RESET PERQS	35 3/4	3,575.00	8.5%	0	0.00%	APP	
100	UNICAPITAL CORPORATION	17 3/8	1,737.50	4.1%	0	0.00%	UCP	
Total Stocks			**$36,346.87**	**86.8%**	**$811**	**2.23%**		

Asset Summary	Value	Est Yrly Income
Total Asset Value	**41,911.17**	**$1,093**

ACTIVITY DETAILS

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	05-04	Bought	100	ZIFF-DAVIS INC	15.5000	1,550.00	
	05-04	Sold	100	ZIFF-DAVIS INC	18 5/8	1,785.08	
	05-05	Sold	100	DIAMOND OFFSHORE DRILLING INC	49 15/16	4,921.68	
	05-06	Bought	200	AMERICAN ITALIAN PASTA CO A	30	6,000.00	
	05-12	Sold	100	BOEING CO	49 3/4	4,967.48	
	05-13	Bought	100	ARM FINCL GP INC CLASS A	21 11/16	2,168.75	
	05-15	Sold	200	AMERICAN ITALIAN PASTA CO A	34	6,672.79	
	05-18	Bought	100	MWD&CO MED TERM 6% RESET PERQS	39.5000	3,950.00	

3526

DEAN WITTER REYNOLDS INC.

RETIREMENT ACCOUNT

FOR MONTH ENDING MAY 31, 1998

NICK JOE RAHALL II
1301 DELAWARE AVENUE SW #409N

Account Number 642 115917 AE 145

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
05-20	Bought	100	UNICAPITAL CORPORATION	19	1,900.00	

Income

Date	Activity	Description	Amount	Income Category
05-29	Dividend	DEAN WITTER LIQUID ASSET FUND	16.01	Money Market Funds
		Total Income	**$16.01**	

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance as of 4/30	2,770.01	
05-06	Automatic Redemption	DEAN WITTER LIQUID ASSET FUND	-843.24	
05-13	Automatic Investment	DEAN WITTER LIQUID ASSET FUND	2,798.73	
05-18	Automatic Investment	DEAN WITTER LIQUID ASSET FUND	2,722.79	
05-20	Automatic Redemption	DEAN WITTER LIQUID ASSET FUND	-1,900.00	
05-29	Dividend Reinvestment	DEAN WITTER LIQUID ASSET FUND	16.01	
		Closing Balance as of 5/31	5,564.30	

Dean Witter Fund Summary

	Ytd Dividends	Ytd Cap Gains	Ytd Taxes	Features
DEAN WITTER LIQUID ASSET FUND	113.05	0.00	0.00	Reinvest Dividends
Totals	**$113.05**	**$0.00**	**$0.00**	

For Dean Witter Mutual Fund Account Information, call 1-800-869-NEWS(6397).

Retirement Recap

	1998	1997
Account Valuation	41,911.17	40,182.39

3527

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT
FOR MONTH ENDING OCTOBER 31, 1998

NICK JOE RAHALL II
1301 DELAWARE AVENUE SW #409N

Account Number 042 115917 FA 022

ACTIVITY DETAILS

Assets	Date	Activity	Quantity	Description	Price	Amount	Additional Information
	10-15	Sold	500	BURNHAM PAC PPTYS	12 7/16	6,166.19	
	10-21	Sold	100	MHD&CO MED TERM 6000 00MY15		100.00	REDEMPTION OF PERF EQUITY SECS
	10-21	Received	100	MHD&CO MED TERM 6000 00MY15		100.00	CANCEL REDEMPTION OF PERF EQUITY SECS
	10-27	Bought	500	CONOCO INC CL A	23	11,500.00	

Income	Date	Activity	Description	Amount	Income Category
	10-15	Dividend	HOUSEHOLD INTL INC	67.50	Stocks
	10-30	Dividend	MSDW LIQUID ASSET FUND	30.46	Money Market Funds
			Total Income	**$97.96**	

Money Market	Date	Activity	Description	Amount	Additional Information
			Closing Balance as of 9/30	5,966.12	
	10-01	Automatic Investment	MSDW LIQUID ASSET FUND	131.25	
	10-16	Automatic Investment	MSDW LIQUID ASSET FUND	6,233.69	
	10-22	Automatic Investment	MSDW LIQUID ASSET FUND	100.00	
	10-26	Automatic Redemption	MSDW LIQUID ASSET FUND	-100.00	
	10-27	Automatic Redemption	MSDW LIQUID ASSET FUND	-11,500.00	
	10-30	Dividend Reinvestment	MSDW LIQUID ASSET FUND	30.46	
			Closing Balance as of 10/31	**861.52**	

Morgan Stanley Dean Witter Fund Summary	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
MSDW LIQUID ASSET FUND	242.80	0.00	0.00	Reinvest Dividends
Totals	**$242.80**	**$0.00**	**$0.00**	

For Morgan Stanley Dean Witter Mutual Fund Account Information, call 1-800-869-NEWS(6397).

Retirement Recap	1998	1997
Account Valuation	37,277.14	40,182.39

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

3528

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT
FOR MONTH ENDING NOVEMBER 30, 1998

NICK JOE RAHALL II
1301 DELAWARE AVENUE SW #409N

Account Number 642 115917 FA 022

ACTIVITY DETAILS

Assets

Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-13	Sold	500	CONOCO INC CL A	25 1/2	12,477.67	

Income

Date	Activity	Description	Amount	Income Category
11-13	Dividend	LASALLE HOTEL PROPERTIES	37.50	Stocks
11-15	Interest	MWD&CO MED TERM 6000 00MY15	59.25	Corporate Fixed Income
11-30	Dividend	MSDW LIQUID ASSET FUND	26.68	Money Market Funds
		Total Income	$123.43	

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance as of 10/31	861.52	
11-16	Automatic Investment	MSDW LIQUID ASSET FUND	12,515.17	
11-17	Automatic Investment	MSDW LIQUID ASSET FUND	59.25	
11-30	Dividend Reinvestment	MSDW LIQUID ASSET FUND	26.68	
		Closing Balance as of 11/30	13,462.62	

Morgan Stanley Dean Witter Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
MSDW LIQUID ASSET FUND	269.48	0.00	0.00	Reinvest Dividends
Totals	$269.48	$0.00	$0.00	

For Morgan Stanley Dean Witter Mutual Fund Account Information, call 1 800-869-NEWS(6397).

Retirement Recap

	1998	1997
Account Valuation	39,125.12	40,182.39

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

MERCER, BOKERT, BUCKMAN & REID

REP ACCOUNT	PAGE
KH03 7174-9050	1 OF 2
TAXPAYER ID: 236-78-8645	

FOR QUESTIONS CALL:
(212)809-8655
MARK ELLIOTT
30 BROAD STREET, 17TH FLOOR
NEW YORK, NY 10004

NICK RAHALL II
SW APT 409N
1301 DELWARE AVE
WASHINGTON DC 20024

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.

ISSUE DATE:@01/21/99

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
INTEGRATED PROCESS EQUIP CUSIP: 45812K108	11/13/98	SALE	4,000.000	10.7187	$42,863.82
PATTERSON ENERGY INC CUSIP: 703414102	11/24/98	SALE	105.000	5.0000	$515.23
PATTERSON ENERGY INC CUSIP: 703414102	12/01/98	SALE	1,895.000	4.4687	$8,458.24
PATTERSON ENERGY INC CUSIP: 703414102	12/08/98	SALE	1,200.000	4.5937	$5,502.56
PATTERSON ENERGY INC CUSIP: 703414102	12/22/98	SALE	1,000.000	3.7500	$3,740.12
TOTAL PROCEEDS					$61,079.97

IRS BOX	1099-B -- TOTALS FOR TAX YEAR 1998 IRS FORM 1099-B, OMB NO. 1545-0715	
2. GROSS PROCEEDS LESS COMMISSIONS ON STOCK, BONDS, ETC		$61,079.97
4. FEDERAL INCOME TAX WITHHELD		$0.00

Department of the Treasury - Internal Revenue Service Copy B for Recipient FW-PG2-002 2005802

Attachment 27 - p.1

1998

WHEAT, FIRST SECURITIES, INC.
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 54-0796506

NICK RAHALL II	REP ACCOUNT	PAGE
SW APT 409N	KH03 7174-9050	2 OF 2
1301 DELWARE AVE	TAXPAYER ID: 236-78-8645	

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

ISSUE DATE:01/21/99

THIS SECTION LISTS MISCELLANEOUS INFORMATION WHICH CAN BE HELPFUL IN THE PREPARATION OF YOUR TAX RETURN. IT LISTS NON FEDERALLY REPORTABLE INCOME WHICH MAY OR MAY NOT BE TAXABLE AT THE STATE OR LOCAL LEVEL. PLEASE REVIEW THIS INFORMATION WITH YOUR TAX ADVISOR.

DESCRIPTION	ACTIVITY	AMOUNT	REF #
MARGIN DEBIT INTEREST	MARGIN INTEREST	$345.42DR	1

REF # -- TOTALS FOR TAX YEAR 1998
MISCELLANEOUS INFORMATION TOTALS

1. MARGIN DEBIT INTEREST	$345.42DR
2. FEDERALLY TAX EXEMPT MUNICIPAL BONDS	$0.00
3. FEDERALLY TAX EXEMPT DIVIDENDS	$0.00
4. RETURN OF CAPITAL OR PRINCIPAL PAYMENTS	$0.00
5. INCOME SUBJECT TO ALTERNATIVE MINIMUM TAX	$0.00
6. FEDERALLY TAX EXEMPT INTEREST	$0.00
7. FEDERALLY TAX EXEMPT ACCRUED INTEREST	$0.00
8. MASTER LIMITED PARTNERSHIP DISTRIBUTIONS	$0.00
9. ACCRUED INTEREST ON PURCHASES	$0.00DR

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
IRIDIUM WORLD CUSIP: G49398103	09/08/98	PURCHASE	100.000	35.8750	$3,684.46
INTEGRATED PROCESS EQUIP CUSIP: 45812K108	10/20/98	PURCHASE	4,000.000	8.5000	$34,009.75
PATTERSON ENERGY INC CUSIP: 703414102	11/13/98	PURCHASE	6,500.000	6.2812	$40,837.88
PATTERSON ENERGY INC CUSIP: 703414102	11/16/98	PURCHASE	1,000.000	6.2500	$6,259.75

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
99 MAY 12 AM 11:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

JAMES MARVIN RAMSTAD
(Full Name)

2618 CROSBY ROAD
(Mailing Address)

WAYZATA, MINNESOTA 55391

Daytime Telephone: (202) 225-2871

Filer Status
[X] Member of the U S House of Representatives — State Minnesota, District Third
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII** — Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U S C app 4, §104 and 18 U S C §1001)

SIGNATURE OF REPORTING INDIVIDUAL: James M Ramstad

DATE (Month/Day/Year): 5-11-99

3532

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JAMES MARVIN RAMSTAD | Page 2 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C								BLOCK D											BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000		E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	*Examples*																																
SP	Mega Corp Stock					X									X																		P
DC	Simon & Schuster		Indefinite																		Royalties												
JT	1st Bank of Paducah, KY accounts						X																										
	Woodworth Farmers Group		X												X								X									
	Legislative Retirement Plan *					X																											
	* No Income Derived																																

For additional assets and unearned income, use next page.

3533

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JAMES MARVIN RAMSTAD | Page 3 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Farm property - No.Dakota, Total of 960 acres (no improvements) and undivided one-half interest in parcels totaling 800 acres (one parcel with farm bldgs.)								X					X													X				(Gross & Net)

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St , Dover, Del				X						
	Norwest Bank, Minneapolis, MN	Personal Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	Lake Country Food Bank
Board Member	Violence Against Women Coalition
Board Member	Minnesota D.A.R.E.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO: 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99 MAY 17 PM 12:35

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

Charles Bernard Rangel
(Full Name)

2354 Rayburn House Office Bldg.
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone

Filer Status	[XX]	Member of the U.S. House of Representatives	State / District	[ ] Officer or Employee	Employing Office
Report Type	[XX]	Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [XX]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [XX] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [XX]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [XX] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI** — Yes [ ] No [XX]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [XX] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [XX] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [XX]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [XX]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file, this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year)
May 12, 1999

8537

Name Charles Bernard Rangel | Page 2 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP	*Examples*	SP	Mega Corp. Stock
DC			Simon & Schuster
JT			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000
SP					X							
DC		Indefinite										
JT						X						
74 West 132nd St, NY, NY					X							
Punta Cana Beach Villa, Dom Rep					X							
New England Mutual Life Boston, MA				X								

BLOCK C — Type of Income

	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)
SP	X						
DC							
JT			X				Royalties
74 West 132nd St, NY, NY							
Punta Cana Beach Villa, Dom Rep							
New England Mutual Life Boston, MA							

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000
SP				X							
DC											
JT						X					
74 West 132nd St, NY, NY	X										
Punta Cana Beach Villa, Dom Rep	X										
New England Mutual Life Boston, MA									X		

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

SP	P, S, E
DC	
JT	P
74 West 132nd St, NY, NY	
Punta Cana Beach Villa, Dom Rep	
New England Mutual Life Boston, MA	

For additional assets and unearned income, use next page.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				x						
	Citibank, New York, NY	mortgage on 74 W 132nd St New York, NY			X							
	Punta Cana Beach Villa, Dom Rep			X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U S C § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar 2	Wash , D C —Chicago—Wash , D C	N	N	N	N
	Roycroft Corporation	Aug 6–13	Wash , D C —Los Angeles—Cleveland	Y	Y	Y	4 Days
	Federation of Korean Industries Seoul, Korea	May 22-25	New York - Seoul - New York	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I

Position	Name of Organization
Chairman of the Board	Apollo Theatre Foundation
Board Member	Harlem Community Development Corporation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement
	N/A	

GPO 1999 55-195 (mnc)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
FEC MAIL RECEIVING CENTER
99 MAY 14 AM 10:27
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Ralph Regula
(Full Name)

2309 Rayburn HOB
Washington, DC 20515
(Mailing Address)

(202) 225-3876
Daytime Telephone

Filer Status
[x] Member of the U.S. House of Representatives — State Ohio, District 16th
[ ] Officer or Employee — Employing Office

Report Type
[x] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I** — Yes [x] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [x] No [ ]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [x] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [x] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [x] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [x]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [x]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [x]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [x]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [x]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) May 1999

3542

Name	Ralph Regula	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
Ohio Public Employees Retirement Systam		Employer Employee Funded Retirement Pension	5583.00

For payments to charity in lieu of honoraria, use Schedule II.

3543

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C XYZ Magazine	Speech Article	Feb 2, 1998 Aug 13, 1998	$2,000 $500
American Council on Education Fellows Program	Participation in Federal Budget Exercises	June 3, 1998	$ 1000.
Steel Service Center Institute	Speech	Mar. 24, 1998	$500
Wooster Motor Ways, Inc.	Speech	Mar. 7, 1998	$500
A.R.E.	Speech	Aug. 15, 1998	$1,000

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Ralph Regula

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, or E
SP DC JT	*Examples* SP Mega Corp Stock Simon & Schuster 1st Bank of Paducah, KY accounts		Indefinite			X	X							X		X				Royalties				X		X			X			P
	United Bank - Stocks and ~~Savings~~, Including IRA							X						X		X										X						
	J. M. Smucker - Stock					X								X									X									
	Crown Cork & Seal, Inc.					X								X									X									
	Sky Financial Group, Formerly CBC Bank-Stock & IRA						X							X		X								X								

For additional assets and unearned income, use next page.

3545

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ralph Regula

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
	Undivided 1/2 Int. in 122 acres, Beth. Twp., Stark County, Ohio					X									Royalty-Rent									X								
	200 acres, Beth. Twp., Stark County, Ohio, Exclusive of residences (2)						X								Royalty - Rent									X								
	T&C Credit Union, Canton, Ohio, IRA (Savings Acc't.)		X	CLOSED			OUT									X						X										
	Wayne National -IRA (Savings Acc't.)		X	CLOSED			OUT									X						X										
	Regula Bros., Beach City, Ohio - Partnership - Livestock, Farm Equipment, Transportation Equipment						X								Partnership Distribution											X						

This page may be copied if more space is required.

3546

SCHEDULE IV — TRANSACTIONS

Name: Ralph Regula | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	CBC Bank Stock to Sky Financial Group Inc.			X				D							

This page may be copied if more space is required.

Name	Ralph Regula	Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	United Bank	Business Loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE	

Use additional sheets if more space is required.

Name	Ralph Regula	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Regula Brothers Partnership
Trustee	Mt. Union College
Trustee	Stark Wilderness Center
Trustee	McKinley Museum

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

RALPH REGULA
18th District, Ohio

APPROPRIATIONS
SUBCOMMITTEES
CHAIRMAN, INTERIOR
TRANSPORTATION
COMMERCE, STATE, JUSTICE
TOLL FREE DISTRICT NUMBER
1-800-826-4115
WORLD WIDE WEB
www.house.gov/regula

INSIDE MAIL

Congress of the United States
House of Representatives
Washington, DC 20515–3516

May 24, 1999

99MAY 25 PM 3:27

The Hon. Jeff Trandahl
Clerk of the House
H-154 The Capitol
INSIDE MAIL

Dear Sir:

IN RE: Financial Disclosure Statement

I inadvertently checked "no" on page 1, sub item VIII of my report. The correct answer is "yes."

Respectfully,

Ralph Regula, M.C.

RR:sls

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
FEDERAL ELECTIONS CENTER
99 MAY 12 AM 10:05
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HC (Office Use Only)

SILVESTRE REYES
(Full Name)

132 AZALEA EL PASO, TEXAS 79902
(Mailing Address)

(Daytime Telephone)

Filer Status
[X] Member of the U.S. House of Representatives — State TX, District 16
[ ] Officer or Employee — Employing Office

Report Type
[X] Annual (May 17)
[ ] Amendment
[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II** — Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

DATE (Month/Day/Year) 5/11/99

3551

Name SILVESTRE PEYES | Page 2 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000		E $15,001 – $50,000	$50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	*Examples*		Indefinite				X								X						Royalties					X			X		P
SP	Mega Corp. Stock							X								X															
SP	Simon & Schuster																														
SP	1st Bank of Paducah, KY accounts																														
JT	GOVERNMENT EMPLOYEES C.U.			X												X							X								

For additional assets and unearned income, use next page.

3552

Name	SILVESTRE REYES	Page 3 of 4

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	RUIDOSO STATE BANK, RUIDOSO, N.M.	MORTGAGE ON LAND/RUIDOSO, NM			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source		Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name	SILVESTRE REYES	Page 4 of 4

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
ECONOMIC DEVELOPMENT COUNCIL OF CHINA		JULY	WASH, D.C., BEJING, XI-AN, SHANGHI, WASH, D.C.	Y	Y	Y	N
CITY OF EL PASO		FEB	EL PASO/TAIPEI/EL PASO	Y	Y	Y	N

This page may be copied if more space is required.

99 JUN -8 AM 9:20

INSIDE MAIL

May 18, 1999

The Clerk, U.S. House of Representatives
Legislative Resource Center
B-106 Cannon House Office Building
Washington, D.C. 20515-6612

MC ✓ (1)

Dear Sir/Madam:

My 1998 Financial Disclosure Statement needs to be amended as follows:

IRA at Bank of the West with an asset value between $1,001 and $15,000 with no income.

Land in Ruidoso, New Mexico with an asset value between $15,001 and $50,000 with no income.

In advance, thanks for accepting this amendment.

Sincerely,

Silvestre Reyes
Texas 16th Congressional District

FORM A

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998 | For use by Members, officers, and employees

99 MAY 17 PM 3:38

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
WASHINGTON, DC
(Office Use Only)

Thomas M Reynolds
(Full Name)
28 Westhaven Drive, Spring ville, NY 14141 (716) 634-2324
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State New York District 27th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL Thomas M Reynolds **DATE** (Month/Day/Year) 5-17-99

3556

Name	Reynolds	Page 1 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
New York State Assembly	Salary	73584
MJ Peterson Real Estate	Spouse Commissions	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Reynolds | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP, DC, JT	*Examples*	SP	Mega Corp. Stock
			Simon & Schuster
			1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

A	B	C	E	F	G	H	I	J	K	L
None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000
			X							
	Indefinite				X					

BLOCK C — Type of Income

DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)
X						
		X				
						Royalties

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

I	II	III	IV	V	VI	VII	VIII	IX	X	XI
None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000
			X							
					X			X		

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

P, S, E

	T.M. Reynolds Real Co. Inc. Hamburg, N.Y.		X											Sold all corp. assets	X							S
SP	Agra Land Associates, The Hamburg, N.Y. 6200 S. Park Ave, Hamburg, N.Y. (1/2 partnership)	X				X						X			X							
	Hwy 5 Snowmobile Village Elliottsville, N.Y. (1/4 partnership)			X								X			X							
	Geist Hill Estates, subdivision Springville, N.Y. (1/3 partnership)					X								Sold all 1/3's				X					

For additional assets and unearned income, use next page.

3558

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name [illegible] Page 4 of 7

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
	E. Aurora Postmast. R.D. Hamburg NY C/J Spouse Inc. Sp.				X															[illegible] Inc	X										
	KeyBank Money market Acct Buffalo, NY				X										X							X									
	Erie County Employees Credit Union, Buffalo, NY.				X										X								X									
	New York State Retirement System, Albany NY.				Unknown															Interest	N/A										

This page may be copied if more space is required.

3559

SCHEDULE IV — TRANSACTIONS

Name Reynolds | Page 5 of 7

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–98		X								
	T.M. Reynolds & Co., Inc		X		4-1-98	X									

This page may be copied if more space is required.

3560

Name	Reynolds	Page 6 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Manufacturers & Traders Trust Co Springville, NY	Mortgage 62 Lee Street Hamburg, NY		X								
	Seth Abbott Hamburg, NY	Mortgage Hice Swamp Rd Village Collicut Hills, NY		X								
	Lloyds Rumsfeld, Springville, NY	Mortgage East Hill Estates Springville, NY	X									
	Marine Midland Bank, Buffalo, NY	Mortgage East Hill Estates Springville, NY		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

Name	Reynolds	Page 7 of 7

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
President	T.M. Reynolds & Co Inc
Real Estate Broker	Thomas M Reynolds Real Estate Broker

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U S Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement
	NONE	

GPO 1999 55-195 (mac)

Use additional sheets if more space is required

3562

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 MAY 21 PM 12:21

Robert R. Riley
(Full Name)

700 County Road 5
(Mailing Address)

Daytime Telephone

Ashland, AL 36251

MAY 17 1999
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State: Alabama District: 3rd	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 17)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL

Robert R. Riley

DATE (Month/Day/Year)
5-15-99

3563

Name Robert R. Riley	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U S Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Midway Transit	Salary	20,500.00

For payments to charity in lieu of honoraria, use Schedule II.

Name Robert R. Riley	Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
N/A			

This page may be copied if more space is required.

Name Robert R. Riley

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None"

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	A None	B $1 – $1,000	C $1,001 – $15,000	$15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP, DC, JT	*Examples*		Indefinite		X		X						X		X				Royalties				X		X			X		P
SP	Mega Corp. Stock																													
	Simon & Schuster																													
	1st Bank of Paducah, KY accounts																													
	Randolph C., AL Util. Bonds			X											X							X							
	AL State G/O Bonds			X											X							X							
	Birmingham Med. Cnt. Bonds				X										X							X							
	Ford Motor Credit Notes					X									X									X					
	Huntsville AL GO Bonds			X											X							X							
	Mobile AL I/D Bonds			X											X							X							S

For additional assets and unearned income, use next page.

3566

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley | Page 2 of 4

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST*	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	Tarrant City, AL I/D Bd			X												X							X									
	Un. of AL G/T Bonds					X										X								X								S
	Eaton-Vance AL Tax Fr94				X											X							X									
	Gadsden, AL Med Bd				X											X							X									
	Georgia Mun. Authority			X												X						X										S
	Hale Co., AL Water Bd			X												X						X										S
	Homewood, AL Hosp. Bds			X												X						X										
	Jefferson Co., AL bds			X												X						X										
	Lineville, AL G/O Bds			X												X						X										S
	Monroeville, AL Water Bd.			X												X						X										
	Birmingham Airport Aut. Bd			X												X						X										
	Courtland, AL IDB Bds			X												X						X										
	Decatur, AL Pub. Hsg A. Bds			X												X						X										
	Huntsville, AL IDB Bds			X												X						X										
	Mobile, AL Pub Hsg A. Bds			X												X						X										
	Scottsboro, AL IDB Bds			X												X						X										S
	Un. of AL IDB Bonds				X											X							X									
	Selma, AL IDB Bonds			X												X						X										
	600 C. Rd 5 House & Lot					X								X										X								
	Ashland Hwy 77 House & Lot					X								X										X								
	Ashland 405 E. Orange House & Lot				X									X										X								
	Tuscaloosa Liberty C. Rd. House & Lot				X									X										X								

This page may be copied if more space is required.

3567

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley | Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Ashland 5055 Gulf of Mexico Dr-condo							X							X											X						S
	Sarasota Hwy 9N 10 acr. land Ashland					X									X								X									
	80 acres land Clay, Co, AL					X									X								X									
	70% Midway Ford-Ashland							X						X			X	X								X						S
	Southland Mfg Co-Ashland						X							X											X							
	50% Midway Transit-Ashland						X							X			X									X						
	50% Riley Inv-partnership								X						X												X					
	50% Woodland Hills-partn.						X								X												X					
	EMC-LTD partnership				X										X									X								
	50% Ashland Plaza-Ashland							X							X												X					
	50% Raintree Dev.					X									X							X										
	5% E.D.Riley,JrFmyLTD Ptr				X									X			X	X							X							
	Exten Industries		X											X								X										
	Merry Go Round Enterpr.		X											X								X										
	Centennial Money Market		X											X								X										
	150 ac Hwy 31N-Ashland						X								X									X								
	700 C. Rd 5 600 acre home farm								I						X									X								
	Ft Target Trust Ser. 211			X										X								X										S
	1st TR Target 5 TR				X									X								X										S
	1st TR Target 5 TR				X									X								X										S
	1st TR Target 5 TR			X										X								X										S
	1st TR Target 5 TR			X										X								X										S

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley | Page 4 of 4

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income							BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
	1st TR Target 5 TR			X										X								X									S
	1st TR Target 5 TR				X									X								X									S
	1st TR Target 5 TR	X												X								X									S
	B'Ham Reg. Med. N.			X										X								X									
	Ft DJIA Tar. 5 Trust #227				X									X		X							X								P
	Ft DJIA Tar. 5 Trust #228				X									X		X							X								P
	Ft DJIA Tar. 5 Trust #228				X									X		X							X								P
	Ft DJIA Tar. Trust #238			X										X		X						X									P
	Ft DJIA Tar. Trust #241				X									X		X							X								P
	Ft DJIA Tar. Trust #249			X										X		X						X									P
	Ft DJIA Tar 5 Trust #252				X									X		X							X								P
	Ft DJIA Tar 5 Trust #252				X									X		X							X								P
	Ft DJIA Tar 5 Trust				X									X		X							X								P
	Ft DJIA Tar 5 Trust #265				X									X		X							X								P
	Ft DJIA Tar 5 Trust #285			X										X		X							X								P
	Wash. D.C. 905 1st St. S.E.							X								X								X							

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: Robert R. Riley

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B ($1,001–$15,000)	C ($15,001–$50,000)	D ($50,001–$100,000)	E ($100,001–$250,000)	F ($250,001–$500,000)	G ($500,001–$1,000,000)	H ($1,000,001–$5,000,000)	I ($5,000,001–$25,000,000)	J ($25,000,001–$50,000,000)	K (Over $50,000,000)
SP	*Example* Mega Corporation Common Stock	X			10-12-98		X								
	1st Trust Series 211		X		12/02/98	X									
	1st TR Target 5 TR		X		6-03-97		X								
	1st TR Target 5 TR		X		6-03-97		X								
	1st TR Target 5 TR		X		6-12-97	X									
	1st TR Target 5 TR		X		5-23-97	X									
	1st TR Target 5 TR		X		7-02-97	X									
	1st TR Target 5 TR		X		8-20-97		X								
	1st TR Target 5 TR		X		4-25-97		X								
	Ft DJIA Target 5 Trust 1/98, 1st series 227	X			1-05-98		X								
	Ft. DJIA Target 5 Trust 2/98; 1st series 228	X			2-02-98		X								
	Ft DJIA Tar 5 TR 2/98; 1st series 228	X			2-18-98		X								
	Ft DJIA Tar 5 TR 4/98; 1st series #238	X			4-02-98	X									
	Ft DJIA Tar 5 Trust 5/98; 1st series 241	X			5-01-98		X								
	Ft DJIA Tar 5 Trust 6/98; series 249	X			6-01-98	X									
	Ft. DJIA Tar 5 Trust; series 252	X			7-01-98		X								
	Ft. DJIA Tar 5 Trust; series 252	X			7-02-98		X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Robert R. Riley | Page 2 of 2

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10 12 98		X								
	Ft DJIA Tar 5 Trust	X			8-03-98		X								
	Ft DJIA Tar 5 Trust; series 265	X			9-01-98		X								
	Ft DJIA Tar 5 Trust; series 285	X			12-01-98	X									
	70% Midway Ford		X		6-01-98				X						
	Georgia Mun. Authority		X		1-02-98	X									
	Hale Co., Alabama Water Board		X		7-01-98	X									
	Lineville, AL. G/O Bonds		X		4-01-98	X									
	Mobile AL I/D Bonds		X		6-08-98	X									
	University of AL G/T Bonds		X		6-08-98		X								
	Scottsboro AL IDB Bonds		X		6-08-98	X									

This page may be copied if more space is required

3571

Name	Robert R. Riley	Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001– $15,000	C $15,001– $50,000	D $50,001– $100,000	E $100,001– $250,000	F $250,001– $500,000	G $500,001– $1,000,000	H $1,000,001– $5,000,000	I $5,000,001– $25,000,000	J $25,000,001– $50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	First Citizen's Bank, Talladega, AL	Personal Loan			X							
	SouthTrust Bank	Personal Loan			X							
	AmSouth, Anniston, AL	Personal Loan					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

Name	Robert R. Riley	Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
	N/A						

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
Partner	Riley and Riley Investments
Partner	E.D. Riley, Jr. Family Limited Partnership
Partner	Woodland Hills Apartments
Stockholder	E.M.C. Properties, LTD
Stockholder	Midway Transit, Inc.
Stockholder	Southland Mfg., Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Stockholder	Midway Ford, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

LYNN NANCY RIVERS
(Full Name)
518 Second St Ann Arbor, MI 48103
(Mailing Address)
(202) 225-6261
Daytime Telephone

(5)

HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State MI District 13	[ ] Officer or Employee	Employing Office	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** Yes [X] No [ ]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** Yes [ ] No [X]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** Yes [X] No [ ]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL Lynn N Rivers

DATE (Month/Day/Year) May 6, 1999

3576

Name LYNN NANCY RIVERS	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Ford Motor Company (n/k/a Visteon)	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II

Name LYNN NANCY RIVERS | Page 3 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

SP, DC, JT	*Examples*	SP	Mega Corp. Stock Simon & Schuster 1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

A	B	C	E	F	G	H	I	J	K	L
None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000
	Indefinite			X							

BLOCK C — Type of Income

DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)
X						
		X				Royalties

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

I	II	III	IV	V	VI	VII	VIII	IX	X	XI
None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000
			X		X			X		

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

SP	Ford Motor Co (Visteon) pension		X										NA					NA						P

For additional assets and unearned income, use next page.

3578

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6-13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
	Aspen Institute	March 19-21	Wash DC - Hersey - Wash DC	Y	Y	Y	N
	CA MINNAR LLC (Non Profit Social Networking)	March 30 - 31	Detroit - San Francisco - Detroit	Y	Y	N	N

This page may be copied if more space is required

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
Board Member	M-CATS Education + Research Foundation Advisory Board

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

3580

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A

For use by Members, officers, and employees

99 MAY 17 PM 6:01

CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

CIRO D. RODRIGUEZ
(Full Name)

363 W HARDING, SAN ANTONIO, TX 78221
(Mailing Address)

Daytime Telephone: 202/225-1640

Filer Status	[X] Member of the U.S. House of Representatives — State TX District 28	[ ] Officer or Employee — Employing Office		A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [ ]	V. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI**	Yes [ ]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II**	Yes [ ]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $450 for one source)? **If yes, complete and attach Schedule VII**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [ ]	VII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII**	Yes [ ]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Ciro D. Rodriguez

DATE (Month/Day/Year): 5/17/99

3581

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
SOUTH SAN ANTONIO INDEP SCHOOL DISTRICT, SAN ANTONIO, TX		SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

3582

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name CIRO D. RODRIGUEZ | Page 3 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B												BLOCK C							BLOCK D										BLOCK E
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	
		None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example: Partnership Income or Farm Income)	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	P, S, or E
SP		SP	Mega Corp. Stock				X							X																		P
DC	*Examples*		Simon & Schuster		Indefinite															Royalties								X				
JT			1st Bank of Paducah, KY accounts				X									X									X							
	371 W HARDING, San Antonio TX				X										X									X							
	307 S HALINE, San Antonio, TX				X										X										X						
	TIAA/CREF RETIREMENT EQUITIES FUND, NEW YORK, NY				X									X		X	X							–	NA	–					
	SAN ANTONIO CREDIT UNION SAN ANTONIO, TX		X											X								X									
	SAN ANTONIO TEACHERS CREDIT UNION San Antonio TX			X										X								X									
	WRIGHT PATMAN CONGRESSIONAL CREDIT UNION WASH DC		X											X								X									

For additional assets and unearned income, use next page.

3583

Name CIRO D. RODRIGUEZ | Page 4 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	EDDIE EGNER, SAN ANTONIO, TX	MORTGAGE ON 371 W HARDING SAN ANTONIO, TX										
	HOMESIDE LENDING, SAN ANTONIO, TX	MORTGAGE ON 327 SIRINE SAN ANTONIO, TX										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash , D.C.—Chicago—Wash , D C	N	N	N	N
Roycroft Corporation	Aug 6–13	Wash , D C —Los Angeles—Cleveland	Y	Y	Y	4 Days
ROBT F KENNEDY MEMORIAL CENTER FOR HUMAN RIGHTS	JULY 24 - 27	WASH DC - GUATEMALA CITY - WASH DC	Y	Y	Y	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
BOARD MEMBER	SOUTHWEST CAPITOL FOUNDATION, SAN ANTONIO, TX
BOARD MEMBER	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE, WASH DC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement

GPO 1999 55-195 (mac)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

FOR FILING
99 MAY 27 PM 3:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
WASHINGTON, DC
(Office Use Only)

CIRO D RODRIGUEZ
(Full Name)

363 W HARDING, SAN ANTONIO, TX 78221
(Mailing Address)

(202) 225-1640
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State TX District 23	[ ] Officer or Employee	Employing Office	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[ ] Annual (May 17)	[X] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS— Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Ciro Rodriguez

DATE (Month/Day/Year): 5/27/99

3587

AMENDMENT

Name CIRO D. RODRIGUEZ | Page 4 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	EDDIE EGNER, SAN ANTONIO, TX	MORTGAGE ON 371 W HARDIN SAN ANTONIO, TX	X									
	HOMESIDE LENDING, SAN ANTONIO, TX	MORTGAGE ON 307 SHRINE SAN ANTONIO, TX	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

99MAY 17 PM 4:24
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Timothy J. Roemer
(Full Name)
2352 Rayburn House Office Building
(Mailing Address)
202-225-3915
Daytime Telephone
Washington, DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State District	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 17)	[ ] Amendment		[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV** — Yes [X] No [ ]

V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI** — Yes [ ] No [X]

VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001)

SIGNATURE OF REPORTING INDIVIDUAL: Timothy J. Roemer

DATE (Month/Day/Year): May 17, 1999

3589

Name Timothy J. Roemer | Page 2 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property in land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year **Exclude:** Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any personal interests in unincorporated businesses, or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left	BLOCK B **Value of Asset** at close of reporting year If you use a valuation method other than fair market value, please specify the method used If an asset was sold and is included only because it generated income, the value should be "None"	BLOCK C **Type of Income**	BLOCK D **Amount of Income** For IRAs and retirement plans that are *not* self-directed, you may write in "NA" for income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
SP, DC, JT — *Examples*	None / $1 – $1,000 / $1,001 – $15,000 / $15,001 – $50,000 / $50,001 – $100,000 / $100,001 – $250,000 / $250,001 – $500,000 / $500,001 – $1,000,000 / $1,000,001 – $5,000,000 / $5,000,001 – $25,000,000 / $25,000,001 – $50,000,000 / Over $50,000,000	DIVIDENDS / RENT / INTEREST / CAPITAL GAINS / EXCEPTED TRUST / QUALIFIED BLIND TRUST / Other Type of Income (Specify, for Example "Partnership Income" or "Farm Income")	None / $1 – $200 / $201 – $1,000 / $1,001 – $2,500 / $2,501 – $5,000 / $5,001 – $15,000 / $15,001 – $50,000 / $50,001 – $100,000 / $100,001 – $250,000 / $250,001 – $500,000 / $500,001 – $1,000,000 / Over $1,000,000	P, S, E
JT — Acorn Fund		X		X	NA
JT — Autoliv, Inc.				X	S
JT — E-Trade Securities				X	NA
JT — Morton International				X	S
JT — Proctor and Gamble				X	NA
JT — Oakmark Fund				X	NA

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Timothy J. Roemer | Page 3 of 5

SP. DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
JT	Third Avenue Small Cap Value Fund			X										X								X										NA
JT	Vanguard International Growth				X									X									X										NA
JT	Vanguard U.S. Growth				X									X									X										NA
JT	VSP Health Care			X										X								X											NA
JT	Vanguard Emerging Markets	X																															S
JT	Vanguard Growth IRA			X										X																			NA
JT	Cisco Systems			X																		X											NA
JT	Congressional Federal Credit Union			X												X						X											NA

This page may be copied if more space is required

Name Timothy J. Roemer | Page 4 of 5

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction			Date	Amount of Transaction										
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	x			10/12/98		x								
JT	Autoliv		x		4/6/98	LESS THAN $1,000									
JT	Morton International		x		4/6/98	LESS THAN $1,000									
JT	Third Avenue Small Cap		x		10/5/98	x									
JT	Vanguard Emerging Markets		x		4/6/98	x									
JT	Cisco Systems	x			10/10/98	x									

This page may be copied if more space is required.

Name Timothy J. Roemer	Page 5 of 5

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar 2	Wash. D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6-13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
	Democratic Leadership Council	Apr. 30-May 3, 98	Washington/New Orleans	Yes	yes	yes	4 days

This page may be copied if more space is required.

TIM ROEMER
THIRD DISTRICT, INDIANA
COMMITTEE ON EDUCATION AND THE WORKFORCE
COMMITTEE ON SCIENCE
E-MAIL: tim.roemer@mail.house.gov
INTERNET: http://www.house.gov/roemer

INSIDE MAIL

99 MAY 28 AM 9:39

CENTER
WASHINGTON, DC 20515-1403
202-225-3915
DISTRICT OFFICE
227 WEST MAIN STREET
SUITE 200
SOUTH BEND, INDIANA
219-236-8000
FAX: 236-8272
800-521-8272

Congress of the United States
House of Representatives
Washington, DC 20515–1403

May 27, 1999

Mr. Jeff Trandahl
Clerk of the House
Room B-106
Washington, D.c. 20515

MC

Dear Mr. Trandahl,

I am writing in regards to making an amendment to my 1998 Financial Disclosure which was filed on May 17th

Under filer status (page one) under State and District - it should have read Indiana, Third District. Additionally, Schedule VII should have included the complete round trip. It should have read: Washington/New Orleans/Washington.

Should you have any questions, please feel free to contact me at 202-225-3915.

Sincerely,

Tim Roemer

Tim Roemer
Member of Congress

TR:ja

THIS PAPER CONTAINS 100% RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A
For use by Members, officers, and employees

RECEIVED
99 JUN 15 PM 2:49
CLERK
U.S. HOUSE OF REPRESENTATIVES

James Edward Rogan
(Full Name)
126 Cannon House Office Building
Washington, DC 20515-0527
(Mailing Address)
Daytime Telephone 202-225-4176

MC (Office Use Only)

(9)

Filer Status
- [X] Member of the U.S. House of Representatives — State California District 27
- [ ] Officer or Employee — Employing Office

Report Type
- [X] Annual (May 17)
- [ ] Amendment
- [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [ ] No [X]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL — DATE (Month/Day/Year) 6-15-99

3595

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U S Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
NONE		

For payments to charity in lieu of honoraria, use Schedule II.

Name James E. Rogan	Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
XYZ Magazine	Article	Aug. 13, 1998	$500
NONE			

This page may be copied if more space is required.

Name James E. Rogan | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000		E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K $5,000,001 – $25,000,000	L $25,000,001 – $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	
SP		SP					X								X																		P
DC	*Examples*			Indefinite													X				Royalties								X				S
JT								X																		X							E
SP	Mega Corp Stock																																
DC	Simon & Schuster																																
JT	1st Bank of Paducah, KY accounts																																

For additional assets and unearned income, use next page.

3398

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: James E. Rojan | Page 5 of 9

SP, DC, JT	BLOCK A: Asset and/or Income Source	BLOCK B: Year-End Value of Asset											BLOCK C: Type of Income							BLOCK D: Amount of Income											BLOCK E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	NONE																													

This page may be copied if more space is required.

Name James E. Rogan | Page 6 of 9

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.	Type of Transaction			Date	Amount of Transaction										
SP, DC, JT / Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP *Example* Mega Corporation Common Stock	X			10-12-98		X								
NONE														

This page may be copied if more space is required.

3600

Name James E. Rogan | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	NONE											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $250 disclosure threshold.

Note: The gift rule (House Rule 51, re-numbered as clause 5 of House Rule 26 in the 106th Congress) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

3601

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
			NONE				

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
	NONE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer

Date	Parties To	Terms of Agreement
	NONE	

UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

99 MAY 17 11:45:52

Harold D. Rogers
(Full Name)

309 College St.
(Mailing Address)

Somerset KY 42501
Daytime Telephone 202-225-4601

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State KY	District 05	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment		[ ] Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes [ ] No [X]
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes [ ] No [X]
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes [X] No [ ]
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? Yes [X] No [ ]
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes [X] No [ ]
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? Yes [ ] No [X]
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? Yes [X] No [ ]
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes [X] No [ ]
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes [ ] No [X]
If yes, complete and attach Schedule IX

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION. Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Harold D. Rogers

DATE (Month/Day/Year): 5-13-99

3604

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *directed* provide the required information on underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude**: Your personal residence(s) (unless rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20		BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block.	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you write in "NA" for income.	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC *Examples* JT	SP	Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
		Citizens Bancshares, Kentucky (Stock)	$1,000,001 - $5,000,000	Dividends	$100,001 - $1,000,000	
		Broughton Foods, Inc (Stock) formerly Southern Belle Dairy	$1,001 - $15,000	Capital Gains	$5,001 - $15,000	S
		Congressional Federal Credit Union	$100,001 - $250,000	None	None	
		Fidelity Magellan Fund	$15,001 - $50,000	Dividends, Capital Gains	$1,001 - $2,500	
		IRA - Prudential Securities Equity Fund	$50,001 - $100,000	Income Not Received Until Retirement	None	
		Aztar Corporation (Stock)	$1 - $1,000	None	None	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

Caterpillar, Inc. (Stock)	$15,001 - $50,000	Dividends	$1 - $200	
Daimler Chrysler (Stock) formerly Chrysler Corporation	$1,001 - $15,000	Dividends	$201 - $1,000	
Intel (Stock)	$15,001 - $50,000	Dividends	$1 - $200	
Kellogg Company (Stock)	$1,001 - $15,000	Dividends	$1 - $200	
Merck & Co. (Stock)	$1,001 - $15,000	Dividends	$1 - $200	
Motorola, Inc. (Stock)	$1,001 - $15,000	Dividends	$1 - $200	
Pepsico, Inc. (Stock)	$1,001 - $15,000	Dividends	$1 - $200	
Rexall Sundown (Stock)	$1,001 - $15,000	None	None	
Rubbermaid, Inc. (Stock)	$1,001 - $15,000	Dividends	$1 - $200	
Texas Instruments, Inc. (Stock)	$15,001 - $50,000	Dividends	$1 - $200	
TYCO (Stock) formerly United States Surgical Corporation (Stock)	$1,001 - $15,000	Dividends	$1 - $200	
First Commerce Bancorp	$15,001 - $50,000	Dividends	$201 - $1,000	S
Beaver Lodge Subdivision, Wayne County, Kentucky	$1,001 - $15,000	None	None	
Citizens National Bank, Somerset, Kentucky	$100,001 - $250,000	Interest	$2,501 - $5,000	S

Name Harold D Rogers	Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
	First Commerce Bancorp - Stock	Sold	12/02/98	$15,001 - $50,000
	Broughton Foods - Stock	Sold	04/06/98	$1,001 - $15,000
	Citizens National Bank - Certificate	Sold	03/30/98	$100,001 - $250,000

Name Harold D. Rogers	Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child
amount owed during the year
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed
spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000
	Citizens National Bank	Personal Loan - Paid Off 3/30/98	$50,001 - $100,000

Name Harold D Rogers	Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your **spouse**, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the **Foreign Gifts and Decorations** Act (5 U S C 7342); travel paid for by a Federal political committee, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash , D C -Chicago-Wash , D C	N	N	N	N
Mega Corporation	Aug 6-13	Wash , D C -Los Angeles-Cleveland	Y	Y	Y	4 Days
American Association of Airport Executives	January 4 - 10, 1998	Lexington, KY - Maui, Hawaii - Lexington, KY	Y	Y	N	none
United States Telephone Association	February 15 - 18, 1998	Washington, D C - Palm Springs, California - Lexington, Kentucky	Y	Y	N	none
American Crop Protection Association	September 26 - 28, 1998	Washington, D.C - White Suphur Springs, WV - Washington, D C	Y	Y	N	none
Ashland, Inc	November 10 - 17, 1998	Lexington, Kentucky - Ft Myers, Florida - Washington, D C	N	Y	N	7

Name Harold D. Rogers	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
Director (unpaid)	Citizens Bancshares, Inc., Somerset, Kentucky

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 1998

FORM A

For use by Members, officers, and employees

RECEIVED

99 MAY 14 PM 12:58

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

(Office Use Only)

Dana Rohrabacher
(Full Name)

2338 Rayburn
Washington, D.C. 20515
(Mailing Address)

(202) 225-2415
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 45	[ ] Officer or Employee	Employing Office	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

SIGNATURE OF REPORTING INDIVIDUAL: Dana Rohrabacher

DATE (Month/Day/Year): April 27, 1999

3611

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples		
Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Committee to Re-elect Dana Rohrabacher	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name [redacted] Page 3 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan over which you have investment power, provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify, For Example: Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP Mega Corp. Stock; Simon & Schuster; 1st Bank of Paducah, KY accounts	DIVIDENDS: X; INTEREST: X; Other Type of Income: Royalties	VI	P
		B	DIVIDENDS	II	
	Congressional Federal Credit Union Acct	C	INTEREST	III	
	SBC (IRA)	D	DIVIDENDS	IV	
	AirTouch Communications (IRA)	D	DIVIDENDS	I	
	Edison Int'l (IRA)	C	DIVIDENDS	III	
	MSDW Liquid Asset Fund (IRA)	C	DIVIDENDS	II	

For additional assets and unearned income, use next page.

3613

Name	Rohrabacher	Page 4 of 4

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–13	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	4 Days
	Blackwater Lodge	5/15-17/98	DC - Moyock, N.C. - DC.	Y	Y	Y	N
	Traditional Values Coalition	8/16-9/1/98	LA - Frankfurt - Moscow - St. Petersburg - Frankfurt - LA	Y	Y	Y	2 days

This page may be copied if more space is required.

BOSTON PUBLIC LIBRARY
3 9999 06352 851 5